

# Surfaces

Objectives: construct, measure lengths & areas, extend idea of isometry

Surface descriptions / types:

eg  $x^2 + y^2 + z^2 = a^2$  (sphere)  
 $z = x^2 + y^2$  (paraboloid)

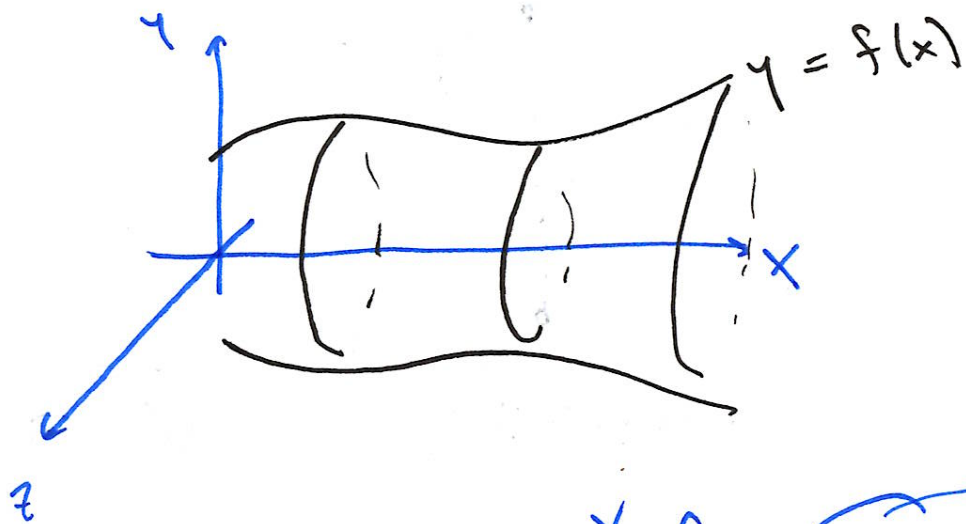
□ graph  $z = f(x, y)$

• variable representation:  $F(x, y, z) = 0$

(more generally,  $F(x_1, x_2, x_3) = 0$ )

$F$  could be other coords, eg polar

• Surface of revolution - rotate a curve about an axis

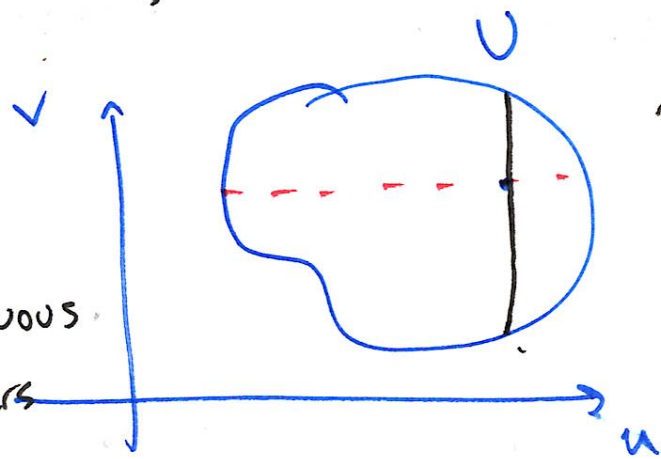


• Parametric representation  
 • map from  $U \subseteq \mathbb{R}^2$  to  $\mathbb{R}^3$

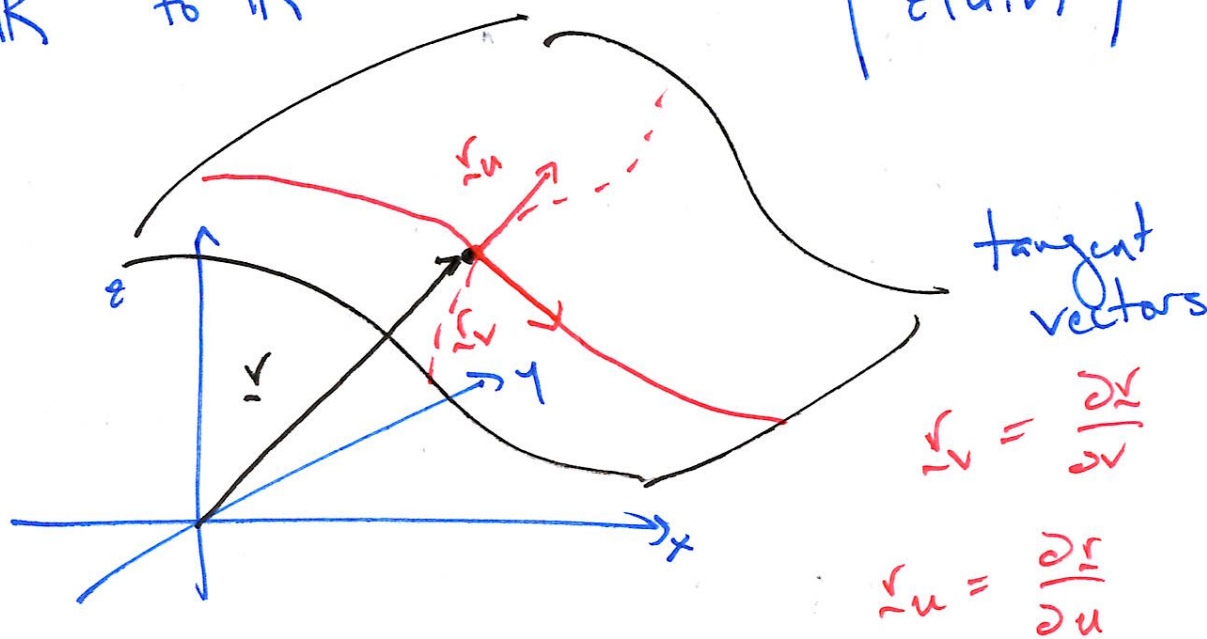
$$\underline{r}(u, v) = \begin{pmatrix} x(u, v) \\ y(u, v) \\ z(u, v) \end{pmatrix}$$

A smooth surface:

- $x, y, z$  have continuous derivs of all orders
- $\underline{r}_u$  and  $\underline{r}_v$  are lin. indep.



• map is a bijection

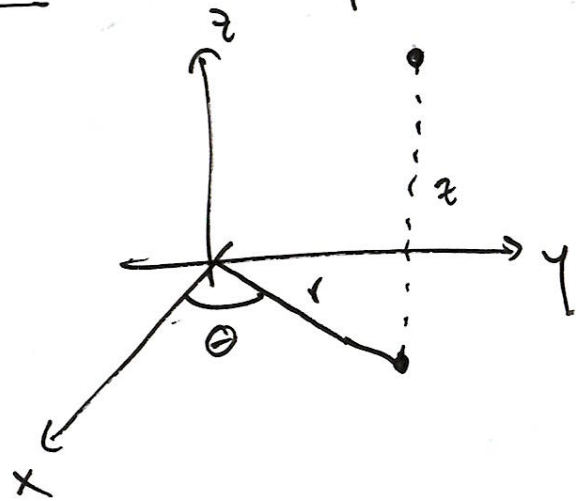


$$\underline{r}_v = \frac{\partial \underline{r}}{\partial v}$$

$$\underline{r}_u = \frac{\partial \underline{r}}{\partial u}$$

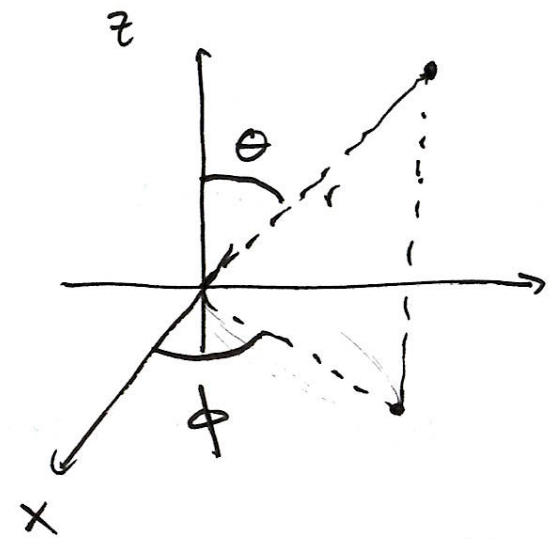
- Notes :
- parameterisations non-unique
  - a graph  $z = f(x, y)$  can be parameterised by
- $$r(x, y) = \begin{pmatrix} x \\ y \\ f(x, y) \end{pmatrix}$$

Recall Cylindrical polar coords  $(r, \theta, z)$



$$\begin{cases} x = r \cos \theta \\ y = r \sin \theta \\ z = z \end{cases}$$

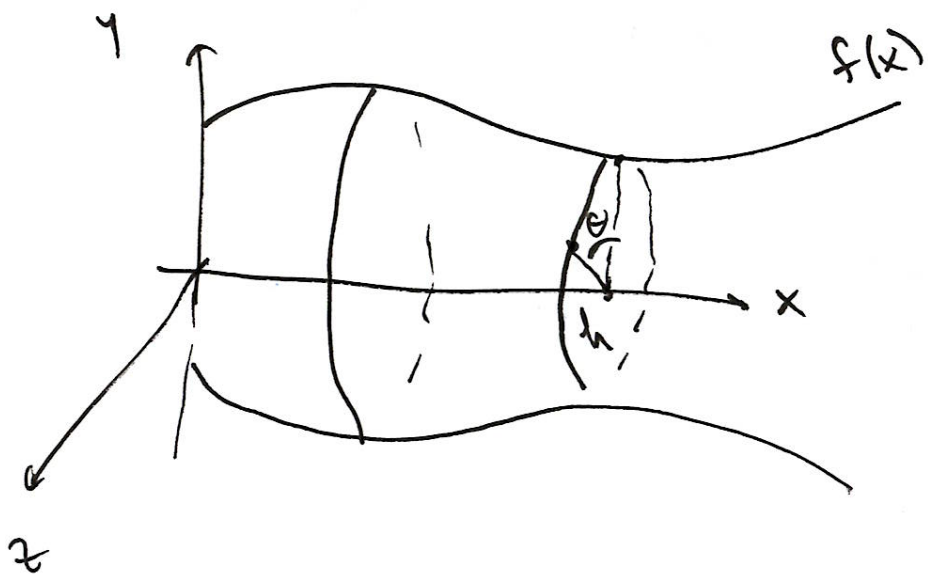
Spherical coords  $(r, \theta, \phi)$



$$\begin{cases} x = r \sin \theta \cos \phi \\ y = r \sin \theta \sin \phi \\ z = r \cos \theta \end{cases}$$

Consider surface of revolution - each cross section  $x = h$  is a circle

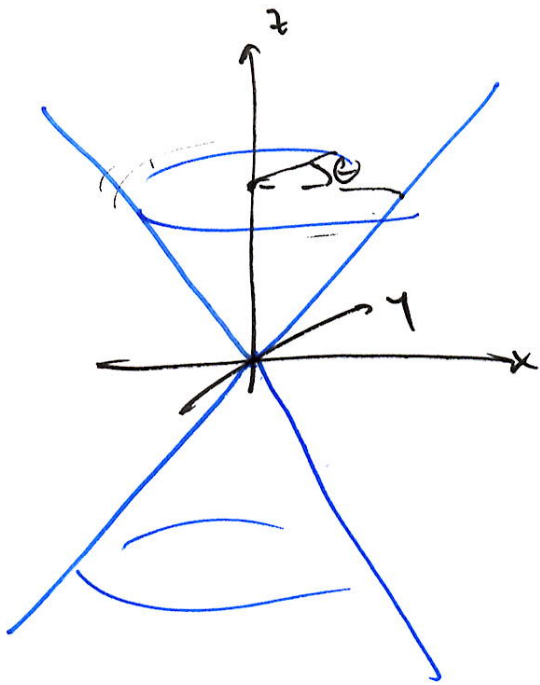
$\Rightarrow$  a plane parallel to  $y-z$  plane  
 $\hookrightarrow$  radius  $f(h)$



$\Rightarrow$  a natural parameterisation is

$$r(x, \theta) = \begin{pmatrix} x \\ f(x) \cos \theta \\ f(x) \sin \theta \end{pmatrix}$$

Ex. Provide a parameterisation for the double cone  $x^2 + y^2 = z^2$



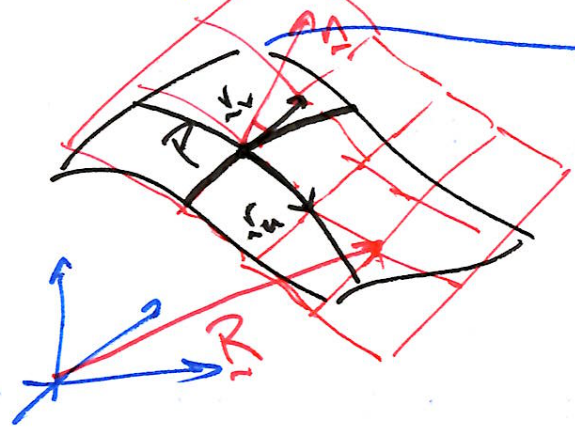
- Note - can't be expressed as a graph

$$\vec{r}(\theta, z) = \begin{pmatrix} |z| \cos \theta \\ |z| \sin \theta \\ z \end{pmatrix}$$

$|z|$  implies a singularity point at origin

- not smooth at tip

# Normal vector and tangent plane - at any point on surface



$\underline{r}(u,v)$ , the two tangent vec's  $\underline{r}_u, \underline{r}_v$  define a plane - the tangent plane

- then  $\underline{r}_u \wedge \underline{r}_v$  forms a vector normal to the plane.  
 → we define the unit normal to surface:  $\underline{n} = \frac{\underline{r}_u \wedge \underline{r}_v}{\|\underline{r}_u \wedge \underline{r}_v\|}$

OR  $\underline{n} = \frac{\underline{r}_v \wedge \underline{r}_u}{\|\cdot\|}$

• Note: if surface has form  $f(x,y,z) = 0$ , then  $\nabla f = \begin{pmatrix} f_x \\ f_y \\ f_z \end{pmatrix}$  is a normal vec to surface.

[ Check: if  $f = z - g(x,y)$ ,  $\nabla f = \begin{pmatrix} -g_x \\ -g_y \\ 1 \end{pmatrix}$ . Par:  $\underline{r}(x,y) = \begin{pmatrix} x \\ y \\ g(x,y) \end{pmatrix} \Rightarrow \underline{r}_x = \begin{pmatrix} 1 \\ 0 \\ g_x \end{pmatrix}$   
 $\underline{r}_y = \begin{pmatrix} 0 \\ 1 \\ g_y \end{pmatrix}$  ]

And note  $\underline{r}_x \cdot \nabla f = 0 = \underline{r}_y \cdot \nabla f$

The plane tangent to point  $\underline{P} = \underline{r}(p)$  has equation  $(\underline{R} - \underline{P}) \cdot (\underline{r}_u(p) - \underline{r}_v(p)) = 0$

OR  $\underline{R}(a,b) = \underline{P} + a \underline{r}_u(p) + b \underline{r}_v(p)$

Ex. Find tangent plane to ellipsoid  $\frac{x^2}{3} + \frac{y^2}{2} + \frac{z^2}{6} = 1$  at point  $(1,1,1)$

•  $\nabla f = \left(\frac{2}{3}x, y, \frac{2}{3}z\right)$  is normal  $\Rightarrow \nabla f(1,1,1) = \left(\frac{2}{3}, 1, \frac{2}{3}\right)$

→ plane:  $\left((x,y,z) - (1,1,1)\right) \cdot \left(\frac{2}{3}, 1, \frac{2}{3}\right) = 0 \Rightarrow \underline{2x + 3y + z = 6}$

Arc length A curve  $\vec{\gamma}(t)$ ,  $a \leq t \leq b$ , has length

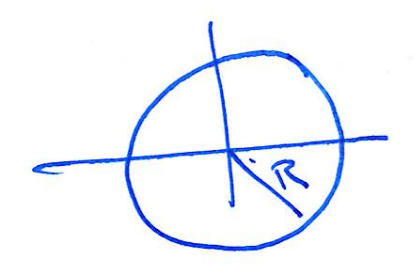
$$\int_a^b |\vec{\gamma}'(t)| dt$$

OR, starting from pt  $t=a$ , the

arc length as a variable is  $s(t) = \int_a^t |\vec{\gamma}'(\tilde{t})| d\tilde{t}$

•  $\vec{\gamma}(t)$  is an arc-length parameterisation if  $s(t) = t \quad \forall t$   
 true iff  $|\vec{\gamma}'(t)| \equiv 1$

Compare:



$$\vec{\gamma}_1(t) = R \begin{pmatrix} \cos t \\ \sin t \end{pmatrix}, \quad 0 \leq t < 2\pi$$

$$\vec{\gamma}_2(s) = R \begin{pmatrix} \cos(s/R) \\ \sin(s/R) \end{pmatrix}, \quad 0 \leq s \leq 2\pi R$$

Note  $|\vec{\gamma}'_2(s)| \equiv 1$  - arc length par.

• Converting to arc length par:  $\frac{ds}{dt} = |\vec{\gamma}'(t)| \xrightarrow{\text{solve}} s(t) \xrightarrow{\text{invert}} t(s) \rightarrow \hat{\vec{\gamma}}(s) = \vec{\gamma}(t(s))$   
 $s(0) = 0$

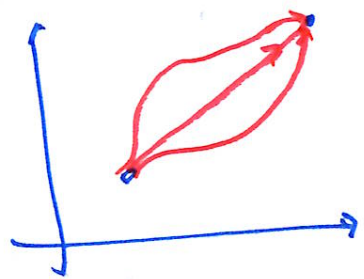
[in previous ex:  $|\vec{\gamma}'_1(t)| = R = \frac{ds}{dt} \Rightarrow s = Rt \Rightarrow t = \frac{s}{R}$

$$\rightarrow \vec{\gamma}_2(s) = \vec{\gamma}_1\left(\frac{s}{R}\right)$$

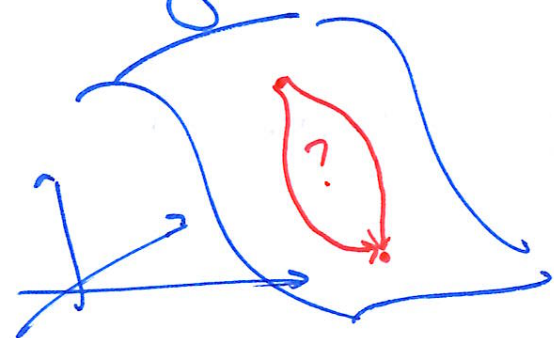
is arc length par.

# Shortest Path Between 2 Points on a Surface

On a plane - straight line!



(Can you prove it? - Part A Calc of Variations)

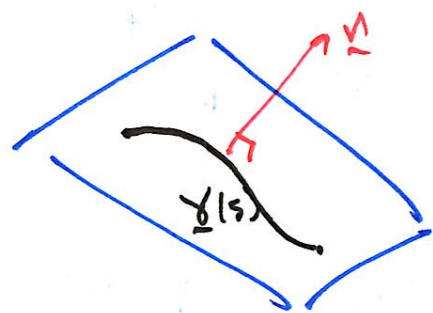


on curved surface?

- called a geodesic

Thm Let  $\underline{\gamma}(s)$  be a curve on surface w/ arc length parameterisation,  
 $\underline{\gamma}(s)$  is a geodesic iff  $\underline{\gamma}''(s) \wedge \underline{n}(s) = \underline{0}$  where  $\underline{n}(s)$  is normal vec.

On a plane:



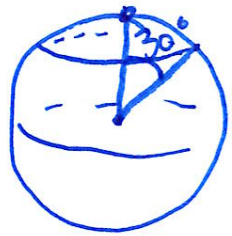
$\underline{\gamma}''(s)$  is in the plane, thus  $\underline{\gamma}'' \wedge \underline{n} = \underline{0}$  iff  $\underline{\gamma}'' = \underline{0}$  (i.e.  $\underline{\gamma}' = \text{const}$ )  
 iff  $\underline{\gamma}$  is a straight line

Ex 99 Compare 2 paths from  $60^\circ N, 0^\circ E$  to  $60^\circ N, 90^\circ E$   
 (in North Sea)  $\downarrow$  (in Russia)

Path 1: follow  $60^\circ N$

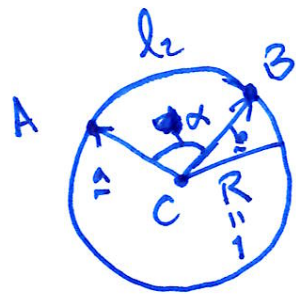
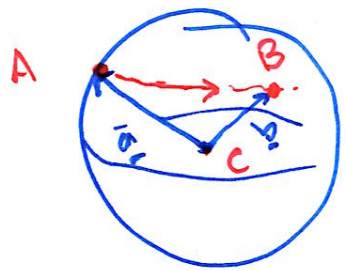
Path 2: Great circle

$\hookrightarrow$  in spherical coords: ( $R=1$  WLOG)  $\Theta = 30^\circ = \frac{\pi}{6}$  rad,  $\phi \in [0, \frac{\pi}{2}]$



$$\underline{r}_1(\phi) = \left( \frac{1}{2} \cos \phi, \frac{1}{2} \sin \phi, \frac{\sqrt{3}}{2} \right) \Rightarrow l_1 = \int_0^{\frac{\pi}{2}} |\underline{r}'_1(\phi)| d\phi = \frac{\pi}{4} \approx \boxed{.785}$$

Great Circle - circle passing thru 2 points and contains centre of sphere



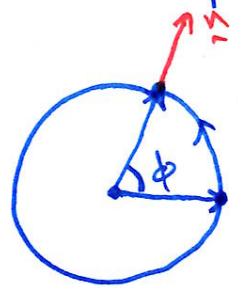
$$l_2 = R\alpha = \alpha$$

$$\underline{a} = \left( \frac{1}{2}, 0, \frac{\sqrt{3}}{2} \right) \quad (\phi = 0 \text{ in } \underline{r}_1)$$

$$\underline{b} = \left( 0, \frac{1}{2}, \frac{\sqrt{3}}{2} \right) \quad (\phi = \frac{\pi}{2})$$

$$\Rightarrow \alpha = \cos^{-1}(\underline{a} \cdot \underline{b}) = \cos^{-1}\left(\frac{3}{4}\right) \approx \boxed{.72 = l_2}$$

• Note: A path on any great circle could be written



$$\underline{r}(\phi) = (\cos \phi, \sin \phi, 0)$$

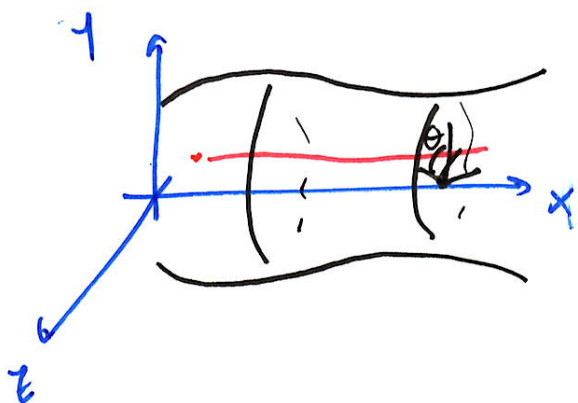
arcs  
are length  
par.

$$\rightarrow \underline{r}''(\phi) = -\underline{r}(\phi) = -\underline{n}$$

$$\therefore \underline{r}'' \wedge \underline{n} = \underline{0}$$

$\therefore$  Great circle is a geodesic

Ex. Show that on a surface of revolution, meridians (curves w/ fixed angle) are geodesics.



- if rotate  $y = F(x)$  about  $x$ -axis, fix angle  $\theta = \alpha$ ,  
 $\rightarrow$  curve  $\underline{\gamma}(x) = \begin{pmatrix} x \\ F(x) \cos \alpha \\ F(x) \sin \alpha \end{pmatrix}$

- But not an arclength par!

To obtain arclength par: parameterise planar curve as  $\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} f(s) \\ g(s) \end{pmatrix}$  st  $f'^2 + g'^2 \equiv 1$

$\rightarrow$  surface:  $\underline{r}(s, \theta) = \begin{pmatrix} f(s) \\ g(s) \cos \theta \\ g(s) \sin \theta \end{pmatrix}$

A meridian:  $\underline{\gamma}(s) = \underline{r}(s, \alpha)$  for fixed  $\alpha$

Now can compute  $\underline{\gamma}''$ ,  $\underline{n} = \underline{r}_s \wedge \underline{r}_\theta$  w/  $\theta = \alpha$

Then find  $\underline{\gamma}'' \wedge \underline{n} = \underline{0} \quad \forall s.$

[uses:  $f'^2 + g'^2 = 1 \Rightarrow 2f'f'' + 2g'g'' = 0$ ]



Geodesics - a curve  $\gamma(t)$

for which  $\gamma''(t) \wedge \dot{\gamma}(t) = 0$

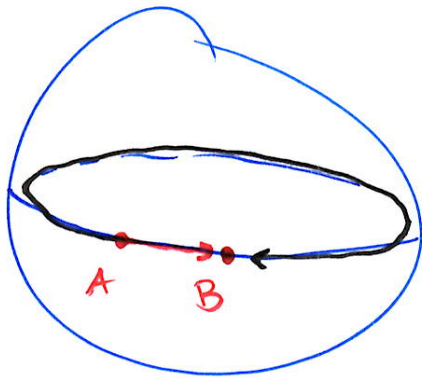
at each point.

• The shortest path

between 2 points on  
a surface will be a

geodesic. (But geodesic

does not  
imply  
shortest  
path)



Geodesic A path  $\underline{\gamma}(t)$  for which  $\underline{\gamma}'' \perp \underline{\gamma}' = 0$

Claim  $|\underline{\gamma}'(t)| = \text{constant}$

Pf  $\frac{d}{dt} |\underline{\gamma}'(t)|^2 = \frac{d}{dt} (\underline{\gamma}' \cdot \underline{\gamma}') = 2 \underline{\gamma}'' \cdot \underline{\gamma}' = 0$

Recall:  $|\underline{\gamma}'(s)| = 1$  for arc length par.

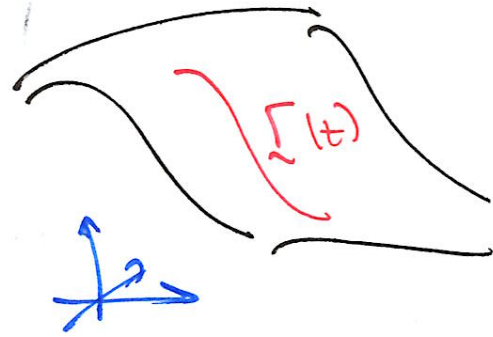
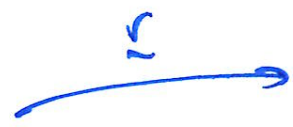
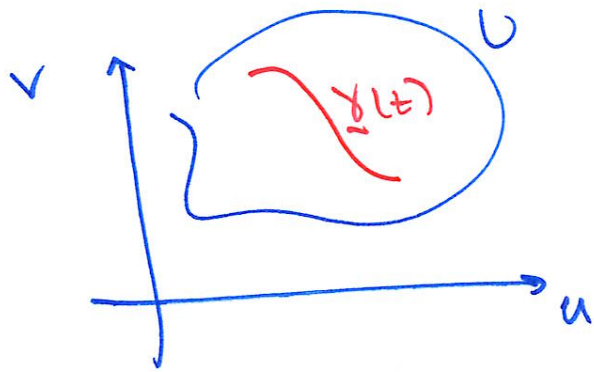
$\therefore$  if  $\underline{\gamma}'(t)$  is a geodesic  $\Leftrightarrow |\underline{\gamma}'(t)| = c \neq 1$ ,

then  $s = ct \Rightarrow \hat{\underline{\gamma}}(s) = \underline{\gamma}\left(\frac{s}{c}\right)$  will be

arc length par & geodesic

Surface Isometry - A map that transforms one surface into another is an isometry if it preserves lengths.

Let  $\underline{r}(u,v)$  be a par. of a surface



$$\tilde{\gamma}(t) = \begin{pmatrix} u(t) \\ v(t) \end{pmatrix}$$

$$\tilde{\Gamma}(t) = \underline{r}(u(t), v(t))$$

$$l = \int_a^b |\tilde{\gamma}'(t)| dt$$

$$L = \int_a^b |\tilde{\Gamma}'(t)| dt$$

isometry if  $l = L$  for all paths  $\tilde{\gamma}$  iff  $|\tilde{\gamma}'(t)| = |\tilde{\Gamma}'(t)| \quad \forall \tilde{\gamma}$

$$|\tilde{\gamma}'(t)|^2 = u'(t)^2 + v'(t)^2$$

$$|\tilde{\Gamma}'(t)|^2 = \left| \underline{r}_u u'(t) + \underline{r}_v v'(t) \right|^2 = \dots$$

$$= u'(t)^2 + v'(t)^2$$

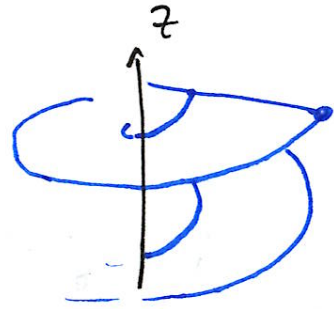
if yes, then isometry

if no, not isometry

Ex 101

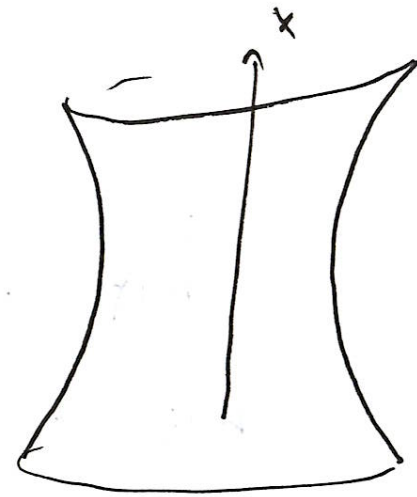
Helicoid

$$\underline{s}(X, Z) = \begin{pmatrix} X \cos Z \\ X \sin Z \\ Z \end{pmatrix}$$

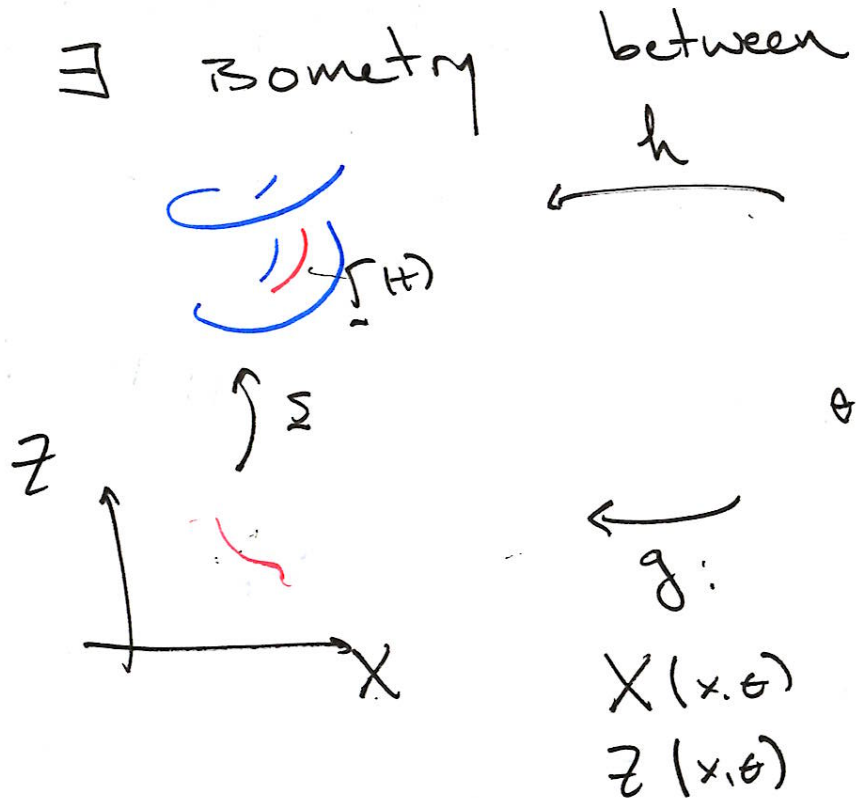


Catenoid

$$\underline{r}(x, \theta) = \begin{pmatrix} x \\ \cosh x \cos \theta \\ \cosh x \sin \theta \end{pmatrix}$$



Claim  $\exists$  Isometry between



these surfaces.

$$h = s \circ g \circ r^{-1}$$

$h$  is an isometry if lengths are preserved:  $|\underline{\gamma}'(t)| = |\underline{s}'(t)|$

$$\underline{\gamma}(t) = \underline{r}(x(t), \theta(t))$$

$$\Rightarrow \underline{\gamma}'(t) = \underline{r}_x x'(t) + \underline{r}_\theta \theta'(t)$$

$$|\underline{\gamma}'(t)| = |\underline{s}'(X(x(t), \theta(t)), Z(x(t), \theta(t)))|$$

$$\Rightarrow |\underline{\gamma}'(t)| = \sqrt{s_x^2 \left( \frac{\partial X}{\partial x} x'(t) + \frac{\partial X}{\partial \theta} \theta'(t) \right)^2 + s_z^2 \left( \frac{\partial Z}{\partial x} x'(t) + \frac{\partial Z}{\partial \theta} \theta'(t) \right)^2}$$

• Isometry for  $g: \begin{cases} X = \sinh x \\ Z = \theta \end{cases}$

# Surface Area

Surface  $\underline{r}(u, v)$ ,  $u, v \in U \in \mathbb{R}^2$

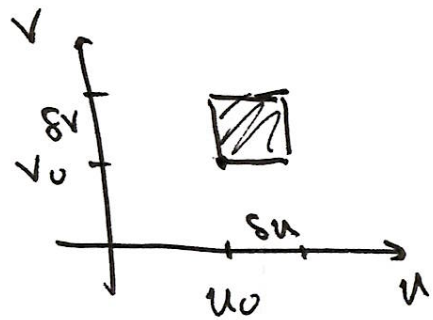
Imagine a zooming in on a patch of surface corresponding to region  $u \in [u_0, u_0 + \delta u]$   
 $v \in [v_0, v_0 + \delta v]$



Expanding:

$$\underline{r}(u_0 + \delta u, v_0) - \underline{r}(u_0, v_0) \approx \frac{\partial \underline{r}}{\partial u}(u_0, v_0) \delta u$$

$$\underline{r}(u_0, v_0 + \delta v) - \underline{r}(u_0, v_0) \approx \frac{\partial \underline{r}}{\partial v}(u_0, v_0) \delta v$$



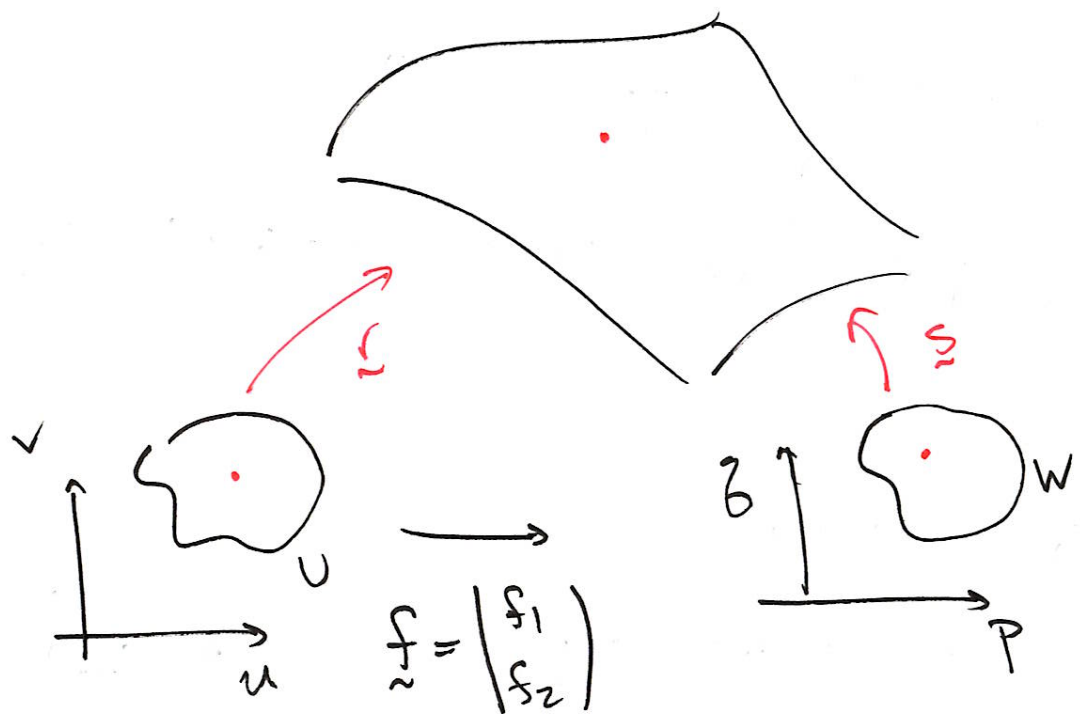
The patch is well-approximated by parallelogram w/ sides  $\underline{r}_u \delta u$ ,  $\underline{r}_v \delta v$   $\Rightarrow$  area  $\approx |\underline{r}_u \delta u \wedge \underline{r}_v \delta v|$   
 $= |\underline{r}_u \wedge \underline{r}_v| \delta u \delta v$

The surface area of  $\underline{r}(U)$  for  $U \subset \mathbb{R}^2$

$$A = \iint_U |\underline{r}_u \wedge \underline{r}_v| du dv$$

Notation:  $dS = |\underline{r}_u \wedge \underline{r}_v| du dv$  is infinitesimal surface area element

★ Surface area is independent of parameterisation



$$\text{So } \vec{r}(u, v) = \vec{s}(f(u, v))$$

$$= \vec{s}(\underbrace{f_1(u, v)}_p, \underbrace{f_2(u, v)}_z)$$

Chain rule:  
 $\vec{r}_u = \vec{s}_p f_{1u} + \vec{s}_z f_{2u}$

~~$$\vec{r}_u \wedge \vec{r}_v = \frac{\partial(p, z)}{\partial(u, v)} \vec{s}_p \wedge \vec{s}_z$$~~

$$\vec{r}_u \wedge \vec{r}_v = \frac{\partial(p, z)}{\partial(u, v)} \vec{s}_p \wedge \vec{s}_z \quad \left| \begin{array}{cc} \frac{\partial p}{\partial u} & \frac{\partial p}{\partial v} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} \end{array} \right| = p_u z_v - p_v z_u$$

$$\therefore \text{Area} = \iint_U |\vec{r}_u \wedge \vec{r}_v| \, du \, dv = \iint_U |\vec{s}_p \wedge \vec{s}_z| \underbrace{\left| \frac{\partial(p, z)}{\partial(u, v)} \right|}_{dp \, dz} \, du \, dv = \iint_W |\vec{s}_p \wedge \vec{s}_z| \, dp \, dz$$

$$\text{Surface Area} = \iint_U |\underline{r}_u \wedge \underline{r}_v| \, du \, dv$$

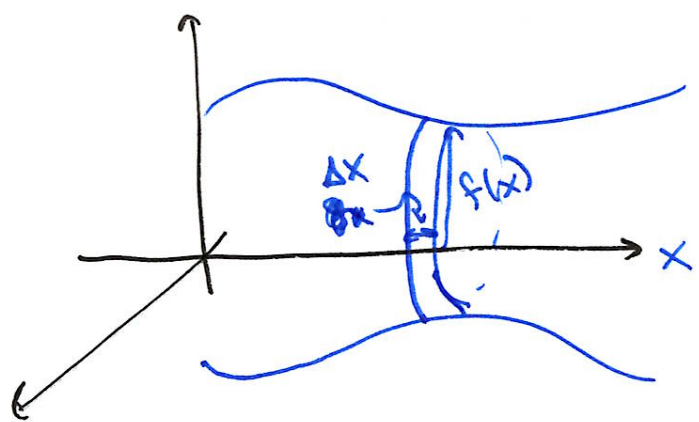
1. Graph  $z = f(x, y)$ ,  $x, y \in S$   
 $\underline{r}(x, y) = (x, y, f(x, y)) \rightarrow A = \iint_S |\underline{r}_x \wedge \underline{r}_y| \, dx \, dy = \iint_S \sqrt{1 + f_x^2 + f_y^2} \, dx \, dy$

2. Surface of Revolution. rotate  $y = f(x)$  about  $x$ -axis  
 $\underline{r}(x, \theta) = (x, f(x) \cos \theta, f(x) \sin \theta) \rightarrow |\underline{r}_x \wedge \underline{r}_\theta| = f(x) \sqrt{1 + f'(x)^2}$

$$\Rightarrow A = 2\pi \int_a^b f(x) \sqrt{1 + f'^2} \, dx \quad \left[ \text{Note: arc length of meridian} \right]$$

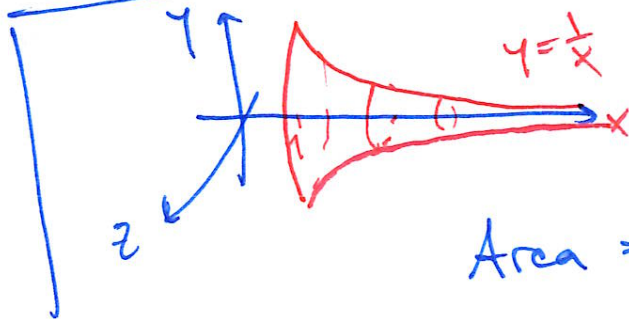
$$s(x) = \int_a^x \sqrt{1 + f'^2} \, dx \rightarrow \frac{ds}{dx} = \sqrt{1 + f'^2}$$

$$\rightarrow A = 2\pi \int_a^b f(x) \frac{ds}{dx} \, dx$$



Area is NOT obtained by approximating area of disc as  $2\pi f(x) \Delta x$ !

Compare: volume =  $\pi \int_a^b f(x)^2 \, dx$

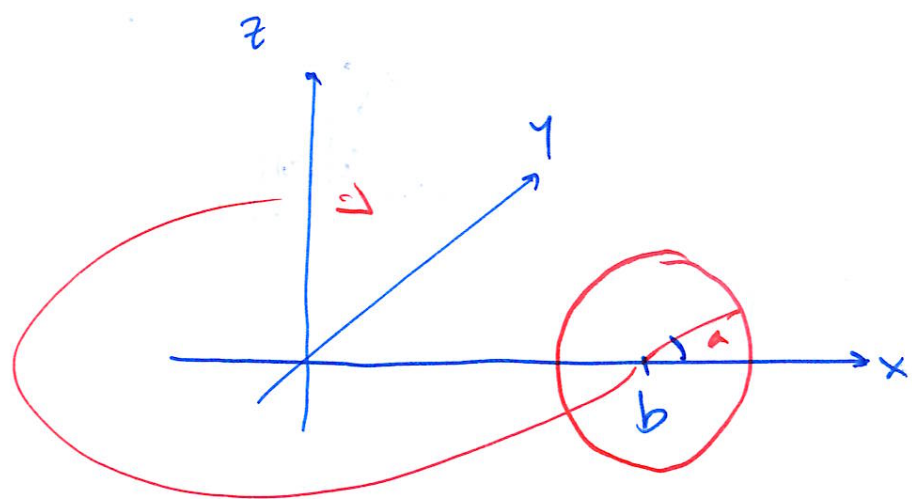


Gabriel's Horn rotate  $y = \frac{1}{x}$ ,  $x \geq 1$  about  $x$ -axis.

$$\text{Volume} = \pi \int_1^\infty \frac{1}{x^2} \, dx = \pi$$

$$\text{Area} = 2\pi \int_1^\infty \frac{1}{x} \sqrt{1 + \frac{1}{x^4}} \, dx > 2\pi \int_1^\infty \frac{1}{x} \, dx \rightarrow \infty$$

Ex. Area of torus : rotate circle  $(x-b)^2 + z^2 = a^2$  ( $a < b$ )  
about z-axis



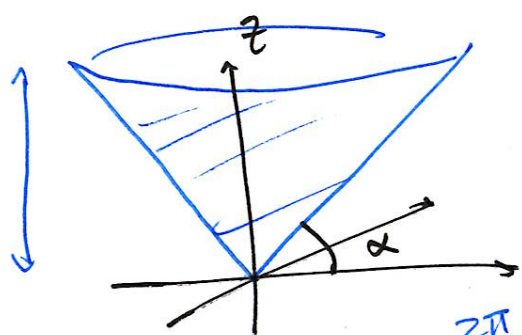
parameterisation :  $\underline{r}(\theta, \phi) = \begin{pmatrix} (b+a \cos \theta) \cos \phi \\ (b+a \cos \theta) \sin \phi \\ a \sin \theta \end{pmatrix}$

$0 < \theta, \phi < 2\pi$   
(~~PS~~ PS 6)

$|\underline{r}_\theta \wedge \underline{r}_\phi| = a(b+a \cos \theta)$

$\Rightarrow A = 2\pi a \int_0^{2\pi} (b+a \cos \theta) d\theta = \underline{\underline{4\pi^2 a b}} + 2\pi a^2 \int_0^{2\pi} \cos \theta d\theta$

Ex. Area of cone  $x^2 + y^2 = z^2 \cot^2 \alpha$ ,  $0 \leq z \leq h$



par:  $\underline{r}(z, \theta) = \begin{pmatrix} z \cot \alpha \cos \theta \\ z \cot \alpha \sin \theta \\ z \end{pmatrix}$

$\rightarrow \underline{r}_z \wedge \underline{r}_\theta = \begin{pmatrix} -z \cot \alpha \cos \theta \\ -z \cot \alpha \sin \theta \\ z \cot^2 \alpha \end{pmatrix}$

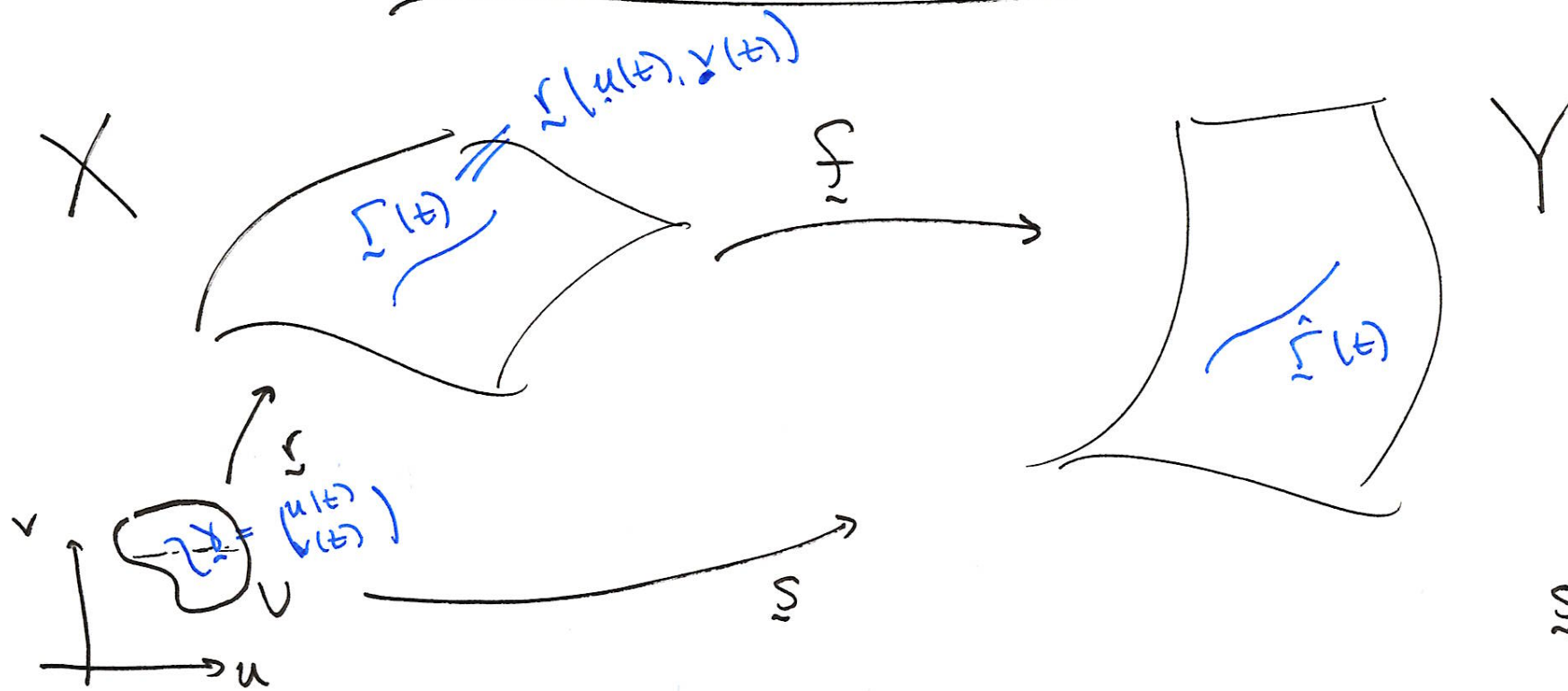
$\rightarrow A = \int_0^{2\pi} \int_0^h z \cot \alpha \sqrt{1 + \cot^2 \alpha} dz d\theta = \frac{\pi h^2 \cos \alpha}{\sin^2 \alpha}$

(Note:  $A \rightarrow \infty$  as  $\alpha \downarrow 0$ )



# Isometries and Area

Claim: Isometries preserve area



let  $f$  be an isometry between surfaces  $X$  and  $Y$

-  $Y$  can be parameterised:

$$\tilde{s} = \tilde{f} \circ \tilde{r} : U \rightarrow Y$$

1st Fundamental Forms

isometry  $\Rightarrow |\tilde{r}'(t)|^2 = |\tilde{r}'(t)|^2 \quad \star$

$$|\tilde{r}'(t)|^2 = |\tilde{r}_u u'(t) + \tilde{r}_v v'(t)|^2 = E u'(t)^2 + 2F u'(t)v'(t) + G v'(t)^2$$

Similarly,  $|\hat{r}'(t)|^2 = \hat{E} u'^2 + 2\hat{F} u'v' + \hat{G} v'^2$

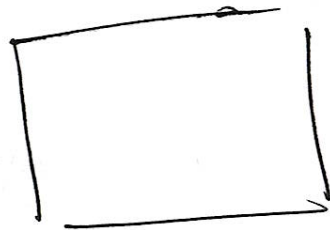
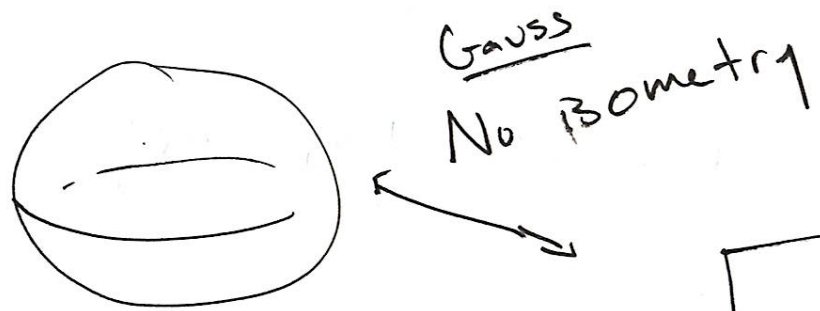
$$\hat{E} = \tilde{s}_u \cdot \tilde{s}_u, \quad \hat{F} = \tilde{s}_u \cdot \tilde{s}_v, \quad \hat{G} = \tilde{s}_v \cdot \tilde{s}_v$$

$\star$  holds for all paths: path w/  $u'=1, v'=0 \Rightarrow E = \hat{E}$   
 " " "  $u'=0, v'=1 \Rightarrow G = \hat{G} \Rightarrow F = \hat{F}$  also

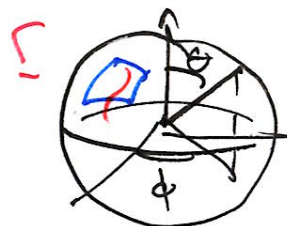
Surface area:  $|\tilde{r}_u \wedge \tilde{r}_v| = \sqrt{EG - F^2}$  (PS 7)

$$\therefore |\tilde{s}_u \wedge \tilde{s}_v| = \sqrt{\hat{E}\hat{G} - \hat{F}^2} = \sqrt{EG - F^2} = |\tilde{r}_u \wedge \tilde{r}_v| \quad \therefore \text{area preserved.}$$

where  
 $E = \tilde{r}_u \cdot \tilde{r}_u$   
 $F = \tilde{r}_u \cdot \tilde{r}_v$   
 $G = \tilde{r}_v \cdot \tilde{r}_v$



PS 7



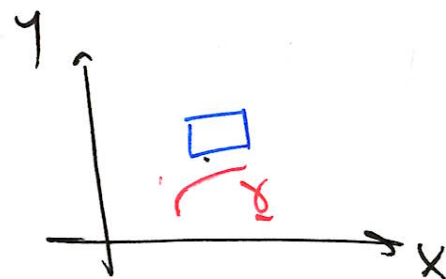
$$\begin{cases} x = \frac{1}{n} \sqrt{c - 2n \cos \theta} \sin(n\phi) \\ y = \rho_0 - \frac{1}{n} \sqrt{c - 2n \cos \theta} \cos(n\phi) \end{cases}$$

$\phi$

$\theta$

$r = \begin{pmatrix} \cos \phi \sin \theta \\ \sin \phi \sin \theta \\ \cos \theta \end{pmatrix}$

$(\theta(t))$   
 $(\phi(t))$



$$\underline{r} = \begin{pmatrix} x(\theta, \phi) \\ y(\theta, \phi) \\ 0 \end{pmatrix}$$

Area:

$$|\underline{r}_\phi \wedge \underline{r}_\theta| = \sin \theta$$

~~$$|\underline{r}_\theta \wedge \underline{r}_\phi| = \left| \frac{\partial(x, y)}{\partial(\theta, \phi)} \right| = \sin \theta$$~~

Lengths:

$$\underline{r} = \begin{pmatrix} x(\theta(t), \phi(t)) \\ y(\theta(t), \phi(t)) \end{pmatrix} \rightarrow |\underline{r}'(t)|^2 = \frac{(c - 2n \cos \theta)^2 \phi'(t)^2 + \sin^2 \theta \theta'(t)^2}{c - 2n \cos \theta}$$

~~$$\underline{r} = \begin{pmatrix} r(\theta(t), \phi(t)) \end{pmatrix} \rightarrow |\underline{r}'(t)|^2 = \sin^2 \theta \phi'(t)^2 + \theta'(t)^2$$~~

$\neq$