

## 9. Interpretations and Assignments

We refer to a subset  $\mathcal{L} \subseteq \mathcal{L}^{FOPC}$  containing all the logical symbols, but possibly only some non-logical as a **language** (or **first-order language**).

**9.1 Definition** Let  $\mathcal{L}$  be a language. An **interpretation** of  $\mathcal{L}$  is an  $\mathcal{L}$ -**structure**  $\mathcal{A} :=$

$\langle A; (f_{\mathcal{A}})_{f \in \text{Fct}(\mathcal{L})}; (P_{\mathcal{A}})_{P \in \text{Pred}(\mathcal{L})}; (c_{\mathcal{A}})_{c \in \text{Const}(\mathcal{L})} \rangle$ ,  
i.e.

- $A$  is a non-empty set, the **domain** of  $\mathcal{A}$ ,
- for each  $k$ -ary function symbol  $f = f_n^{(k)} \in \mathcal{L}$ ,  
 $f_{\mathcal{A}} : A^k \rightarrow A$  is a function
- for each  $k$ -ary predicate symbol  $P = P_n^{(k)} \in \mathcal{L}$ ,  
 $P_{\mathcal{A}}$  is a  $k$ -ary relation on  $A$ , i.e.  $P_{\mathcal{A}} \subseteq A^k$   
(write  $P_{\mathcal{A}}(a_1, \dots, a_k)$  for  $(a_1, \dots, a_k) \in P_{\mathcal{A}}$ )
- for each  $c \in \text{Const}(\mathcal{L})$ :  $c_{\mathcal{A}} \in A$ .

## 9.2 Definition

Let  $\mathcal{L}$  be a language and let  $\mathcal{A} = \langle A; \dots \rangle$  be an  $\mathcal{L}$ -structure.

**(1)** An **assignment** in  $\mathcal{A}$  is a function

$$v : \{x_0, x_1, \dots\} \rightarrow A$$

**(2)**  $v$  determines an assignment

$$\tilde{v} = \tilde{v}_{\mathcal{A}} : \text{Terms}(\mathcal{L}) \rightarrow A$$

defined recursively as follows:

- (i)  $\tilde{v}(x_i) = v(x_i)$  for all  $i = 0, 1, \dots$
- (ii)  $\tilde{v}(c) = c_{\mathcal{A}}$  for each  $c \in \text{Const}(\mathcal{L})$
- (iii)  $\tilde{v}(f(t_1, \dots, t_k)) = f_{\mathcal{A}}(\tilde{v}(t_1), \dots, \tilde{v}(t_k))$  for each  $f = f_n^{(k)} \in \text{Fct}(\mathcal{L})$ , where the  $\tilde{v}(t_i)$  are already defined.

**(3)**  $v$  determines a **valuation**

$$\tilde{v} = \tilde{v}_{\mathcal{A}} : \text{Form}(\mathcal{L}) \rightarrow \{T, F\}$$

as follows:

(i) for atomic formulas  $\phi \in \text{Form}(\mathcal{L})$ :

- for each  $P = P_n^{(k)} \in \text{Pred}(\mathcal{L})$  and for all  $t \in \text{Term}(\mathcal{L})$

$$\tilde{v}(P(t_1, \dots, t_k)) = \begin{cases} T & \text{if } P_{\mathcal{A}}(\tilde{v}(t_1), \dots, \tilde{v}(t_k)) \\ F & \text{otherwise} \end{cases}$$

- for all  $t_1, t_2 \in \text{Term}(\mathcal{L})$ :

$$\tilde{v}(t_1 \doteq t_2) = \begin{cases} T & \text{if } \tilde{v}(t_1) = \tilde{v}(t_2) \\ F & \text{otherwise} \end{cases}$$

(ii) for arbitrary formulas  $\phi \in \text{Form}(\mathcal{L})$  recursively:

- $\tilde{v}(\neg\psi) = T$  iff  $\tilde{v}(\psi) = F$
- $\tilde{v}(\psi \rightarrow \chi) = T$  iff  $\tilde{v}(\psi) = F$  or  $\tilde{v}(\chi) = T$
- $\tilde{v}(\forall x_i \psi) = T$  iff  $\tilde{v}^*(\psi) = T$  for all assignments  $v^*$  agreeing with  $v$  except possibly at  $x_i$ .

**Notation:** Write  $\mathcal{A} \models \phi[v]$  for  $\tilde{v}_{\mathcal{A}}(\phi) = T$ , and say ' $\phi$  is true in  $\mathcal{A}$  under the assignment  $v = v_{\mathcal{A}}$ .'

### 9.3 Some abbreviations

We use ...	as abbreviation for ...
$(\alpha \vee \beta)$	$((\alpha \rightarrow \beta) \rightarrow \beta)$
$(\alpha \wedge \beta)$	$\neg(\neg\alpha \vee \neg\beta)$
$(\alpha \leftrightarrow \beta)$	$((\alpha \rightarrow \beta) \wedge (\beta \rightarrow \alpha))$
$\exists x_i \phi$	$\neg \forall x_i \neg \phi$

### 9.4 Lemma

For any  $\mathcal{L}$ -structure  $\mathcal{A}$  and any assignment  $v$  in  $\mathcal{A}$  one has

$$\begin{array}{ll}
 \mathcal{A} \models (\alpha \vee \beta)[v] & \text{iff } \mathcal{A} \models \alpha[v] \text{ or } \mathcal{A} \models \beta[v] \\
 \mathcal{A} \models (\alpha \wedge \beta)[v] & \text{iff } \mathcal{A} \models \alpha[v] \text{ and } \mathcal{A} \models \beta[v] \\
 \mathcal{A} \models (\alpha \leftrightarrow \beta)[v] & \text{iff } \tilde{v}(\alpha) = \tilde{v}(\beta) \\
 \mathcal{A} \models \exists x_i \phi[v] & \text{iff for some assignment} \\
 & v^* \text{ agreeing with } v \\
 & \text{except possibly at } x_i \\
 & \mathcal{A} \models \phi[v^*]
 \end{array}$$

*Proof:* easy

## 9.5 Example

Let  $f$  be a binary function symbol, let ' $\mathcal{L} = \{f\}$ ' (need only list non-logical symbols), consider  $\mathcal{A} = \langle \mathbf{Z}; \cdot \rangle$  as  $\mathcal{L}$ -structure, let  $v$  be the assignment  $v(x_i) = i (\in \mathbf{Z})$  for  $i = 0, 1, \dots$ , and let

$$\phi = \forall x_0 \forall x_1 (f(x_0, x_2) \doteq f(x_1, x_2) \rightarrow x_0 \doteq x_1)$$

Then

- $\mathcal{A} \models \phi[v]$
- iff for all  $v^*$  with  $v^*(x_i) = i$  for  $i \neq 0$   
 $\mathcal{A} \models \forall x_1 (f(x_0, x_2) \doteq f(x_1, x_2) \rightarrow x_0 \doteq x_1)[v^*]$
- iff for all  $v^{**}$  with  $v^{**}(x_i) = i$  for  $i \neq 0, 1$   
 $\mathcal{A} \models (f(x_0, x_2) \doteq f(x_1, x_2) \rightarrow x_0 \doteq x_1)[v^{**}]$
- iff for all  $v^{**}$  with  $v^{**}(x_i) = i$  for  $i \neq 0, 1$   
 $v^{**}(x_0) \cdot v^{**}(x_2) = v^{**}(x_1) \cdot v^{**}(x_2)$   
implies  $v^{**}(x_0) = v^{**}(x_1)$
- iff for all  $a, b \in \mathbf{Z}$ ,  $a \cdot 2 = b \cdot 2$  implies  $a = b$ ,  
which is true.

So  $\mathcal{A} \models \phi[v]$

However, if  $v'(x_i) = 0$  for all  $i$ , then would have finished with

... iff for all  $a, b \in \mathbf{Z}$ ,  $a \cdot 0 = b \cdot 0$  implies  $a = b$ , which is false. So  $\mathcal{A} \not\models \phi[v']$ .

## 9.6 Example

Let  $P$  be a unary predicate symbol,  $\mathcal{L} = \{P\}$ ,  $\mathcal{A}$  an  $\mathcal{L}$ -structure,  $v$  any assignment in  $\mathcal{A}$ , and

$$\phi = ((\forall x_0 P(x_0) \rightarrow P(x_1)).$$

Then  $\mathcal{A} \models \phi[v]$ .

*Proof:*

$\mathcal{A} \models \phi[v]$  iff

$\mathcal{A} \models \forall x_0 P(x_0)[v]$  implies  $\mathcal{A} \models P(x_1)[v]$ .

Now suppose  $\mathcal{A} \models \forall x_0 P(x_0)[v]$ . Then for all  $v^*$  which agree with  $v$  except possibly at  $x_0$ ,  $P(x_0)[v^*]$ .

In particular, for  $v^*(x_i) = \begin{cases} v(x_i) & \text{if } i \neq 0 \\ v(x_1) & \text{if } i = 0 \end{cases}$   
we have  $P_{\mathcal{A}}(v^*(x_0))$ , and hence  $P_{\mathcal{A}}(v(x_1))$ ,  
i.e.  $P(x_1)[v]$ .

## 9.7 Definition

Let  $\mathcal{L}$  be any first-order language.

- An  $\mathcal{L}$ -formula  $\phi$  is **logically valid** ( $\models \phi$ ) if  $\mathcal{A} \models \phi[v]$  for *all*  $\mathcal{L}$ -structures  $\mathcal{A}$  and for *all* assignments  $v$  in  $\mathcal{A}$ .
- $\phi \in \text{Form}(\mathcal{L})$  is **satisfiable** if  $\mathcal{A} \models \phi[v]$  for *some*  $\mathcal{L}$ -structure  $\mathcal{A}$  and for *some* assignment  $v$  in  $\mathcal{A}$ .
- For  $\Gamma \subseteq \text{Form}(\mathcal{L})$  and  $\phi \in \text{Form}(\mathcal{L})$ ,  $\phi$  is a **logical consequence** of  $\Gamma$  ( $\Gamma \models \phi$ ) if for *all*  $\mathcal{L}$ -structures  $\mathcal{A}$  and for *all* assignments  $v$  in  $\mathcal{A}$  with  $\mathcal{A} \models \psi[v]$  for all  $\psi \in \Gamma$ , also  $\mathcal{A} \models \phi[v]$ .
- $\phi, \psi \in \text{Form}(\mathcal{L})$  are **logically equivalent** if  $\{\phi\} \models \psi$  and  $\{\psi\} \models \phi$ .

**Example:**  $\models \phi$  for  $\phi$  from 9.6

**Note:**

The symbol ' $\models$ ' is now used in two ways:

$\Gamma \models \phi$  –  $\phi$  is a logical consequence of  $\Gamma$

$\mathcal{A} \models \phi[v]$  –  $\phi$  is satisfied in the  $\mathcal{L}$ -structure  $\mathcal{A}$   
under the assignment  $v$

This shouldn't give rise to confusion, since it will always be clear from the context whether there is a set  $\Gamma$  of  $\mathcal{L}$ -formulas or an  $\mathcal{L}$ -structure  $\mathcal{A}$  in front of ' $\models$ '.