

## B4.2 Functional Analysis II - Sheet 3 of 4

Reread Chapter 2, read Chapter 3 and prove the few statements whose proofs were left out as an exercise. (Not to be handed in.)

Do:

- Q1.** Let  $X$  and  $Y$  be real Hilbert spaces and  $T \in \mathcal{B}(X, Y)$  be surjective. Show that there exists a unique bounded linear operator  $R \in \mathcal{B}(Y, X)$  such that  $TR = I_Y$  and  $\|Ry\| \leq \|x\|$  for all  $x \in X$  and  $y \in Y$  satisfying  $Tx = y$ .

[Hint: Follow the strategy of the proof of Theorem 2.3.4.]

- Q2.** Let  $X$  be a Hilbert space and  $T \in \mathcal{B}(X)$ . Show that the graph  $\Gamma(T)$  of  $T$  is a closed subspace of  $X \times X$  and that

$$\Gamma(T)^\perp = \{(-T^*x, x) : x \in X\}.$$

By considering the corresponding orthogonal decomposition of  $(x, 0)$ , prove that  $I + T^*T$  maps  $X$  onto  $X$ . Here the space  $X \times X$  is endowed with the product inner product

$$\langle (x_1, x_2), (y_1, y_2) \rangle_{X \times X} = \langle x_1, y_1 \rangle_X + \langle x_2, y_2 \rangle_X.$$

- Q3.** Let  $X$  and  $Y$  be real Banach spaces and  $T \in \mathcal{B}(X, Y)$ . Assume that  $Z = TX$  is a finite-codimensional subspace of  $Y$  and let  $\{y_1 + Z, \dots, y_m + Z\}$  be a basis for  $Y/Z$ . Define  $\hat{T} : X \oplus \mathbb{R}^m \rightarrow Y$  by

$$\hat{T}(x, (v_1, \dots, v_m)) = T(x) + \sum_{j=1}^m v_j y_j.$$

Show that  $\hat{T}$  is a surjective bounded linear operator. Hence, by applying the open mapping theorem, deduce that  $Z$  is closed.

- Q4.** Let  $X$  be a Banach space.

- (a) Show that if a sequence  $(x_n)$  in  $X$  converges weakly, then its weak limit is unique.

- (b) Suppose that  $x_n \rightharpoonup x$  in  $X$  and  $\ell_n \rightarrow \ell$  in  $X^*$ . Show that  $\ell_n(x_n) \rightarrow \ell(x)$ .
- (c) Suppose in addition that  $X$  is a Hilbert space. Show that if  $x_n \rightharpoonup x$  in  $X$  and if  $\|x_n\| \rightarrow \|x\|$ , then  $x_n \rightarrow x$ .
- (d) Prove (c) when the assumption that  $X$  is a Hilbert space is replaced by the assumption that  $X$  is uniformly convex: for every  $\varepsilon > 0$ , there exists  $\delta = \delta(\varepsilon) > 0$  such that if  $\|x\| = \|y\| = 1$  and if  $\|x - y\| \geq \varepsilon$ , then  $\|x + y\| \leq 2(1 - \delta)$ .  
*[Hint: Consider the sequence  $\frac{1}{2}(x_n + x)$  and use Theorem 3.2.2.]*

**Q5.** All sequence spaces in this question are real.

- (a) Let  $1 < p < \infty$ . Show that a sequence  $(x_n) \subset \ell^p$  converges weakly to  $x$  if and only if it is bounded and  $x_n(j) \rightarrow x(j)$  for every  $j$ .  
*[Hint: Use weak sequential compactness or the inequality*

$$\left| \sum_j \alpha(j)\beta(j) \right| \leq \left\{ \sum_j |\alpha(j)|^p \right\}^{1/p} \left\{ \sum_j |\beta(j)|^q \right\}^{1/q}. \quad ]$$

- (b\*) Show that a sequence in  $\ell^1$  is weakly convergent if and only if it is strongly convergent.

*[Hint: For given  $\varepsilon > 0$ , construct inductively increasing sequences  $n_k$  and  $m_k$  such that  $\sum_{j \leq m_{k-1}} |x_{n_k}(j)| < \varepsilon/8$  and  $\sum_{j > m_k} |x_{n_k}(j)| < \varepsilon/8$ . Then test the weak convergence against  $b \in \ell^\infty$  given by  $b(j) = \text{sign}(x_{n_k}(j))$  for  $m_{k-1} < j \leq m_k$ .]*

- (c) Let  $1 \leq p < \infty$  and let  $e_n \in \ell^p$  denote the sequence  $(\delta_{nj})_{j=1}^\infty$  where  $\delta$  is the Kronecker delta. Does  $(e_n)$  converge weakly or strongly in  $\ell^p$ ? If it converges (weakly or strongly), identify its limit.

**Q6.** A sequence  $(\ell_n)$  in the dual space  $X^*$  of a Banach space  $X$  is said to be weak\* convergent to  $\ell \in X^*$  if

$$\ell_n(x) \rightarrow \ell(x) \text{ for all } x \in X.$$

- (a) Show that weak\* convergent sequences are bounded.

- (b) Show that if  $X$  is separable, then the unit ball of  $X^*$  is weak\* sequentially compact, i.e. every sequence  $(\ell_n)$  in  $X^*$  with  $\|\ell_n\|_* \leq 1$  has a weak\* convergent subsequence.

*[Hint: Let  $(x_n)$  be a dense subset of  $X$ . Mimic the proof of the weak sequential compactness of the unit ball to construct a subsequence  $(\ell_{n_k})$  such that  $\ell_{n_k}(x_m)$  is convergent for every  $m$ .]*