# Homological algebra

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# Week 1

 $\mathbb{Z}$ -modules are the same thing as abelian groups. The direct sum of R-modules  $M_i$  is defined by

$$\bigoplus_{i \in \mathcal{I}} M_i := \Big\{ f : \mathcal{I} \to \bigcup_{i \in \mathcal{I}} M_i \, \Big| \, f(i) \in M_i \text{ and } \#\{i \in \mathcal{I} : f(i) = 0_{M_i}\} < \infty \Big\}.$$

The product of modules  $M_i$  is given by

$$\prod_{i \in \mathcal{I}} M_i := \Big\{ f : \mathcal{I} \to \bigcup_{i \in \mathcal{I}} M_i \, \Big| \, f(i) \in M_i \Big\}.$$

The *R*-module structure is given by  $(f + g)(i) = f(i) +_{M_i} g(i)$  and  $(r \cdot f)(i) = r \cdot_{M_i} (f(i))$ .

An inclusion of of *R*-modules  $N \subset M$  is called *split* if there exists another submodule  $N' \subset M$ such every element of *M* can be uniquely written as a sum of an element of *N* and an element of *N'*. *Example:* the inclusion  $\mathbb{Z}/2 \hookrightarrow \mathbb{Z}/4$  is not split, but the inclusion  $\mathbb{Z}/2 \hookrightarrow \mathbb{Z}/6$  is split. A sequence

$$0 \to A \stackrel{i}{\to} B \stackrel{\pi}{\to} C \to 0$$

is called a *short exact sequence* if *i* is injective,  $\pi$  is surjective, and ker( $\pi$ ) = im(*i*). A short exact sequence is split if it is isomorphic to one of the form  $0 \to A \to A \oplus C \to C \to 0$ .

**Lemma 1.** A short exact sequence  $0 \to A \xrightarrow{i} B \xrightarrow{\pi} C \to 0$  is split iff there exists a retraction  $r: B \to A$  (a map satisfying  $ri = 1_A$ ) iff there exists a section  $s: C \to B$  (a map satisfying  $\pi s = 1_C$ ).

*Proof.* Assuming the existence of a retraction  $r : B \to A$ , we construct a section  $s : C \to B$ . Consider the map  $1 - ir : B \to B$ . This map is zero on  $i(A) \subset B$ , and therefore descends to a map  $s : B/i(A) \cong C \to B$ . We check that for  $c \in C$ , we have  $\pi s(c) = (c)$ :

$$\pi s(c) \stackrel{\text{pick } b \in B,}{= c} \pi(b - ir(b)) = \pi(b) - \pi ir(b) \stackrel{\pi \circ i = 0}{=} \pi(b) = c$$

Assuming the existence of a section  $s: C \to B$ , we construct a retraction  $r: B \to A$ . Consider the map  $1 - s\pi: B \to B$ . The composite of this map with the projection  $\pi: B \to C$  is zero. Its image therefore lands in  $\ker(\pi) = i(A) \subset B$ . Let  $r:=i^{-1}(1-s\pi)$ . We check:

$$ri(a) = i^{-1}(i(a) - s\pi i(a)) \stackrel{\pi i = 0}{\stackrel{\pm}{=}} i^{-1}i(a) = a.$$

Finally, assuming the existence of a section s and a retraction r, we can identify B with the direct sum  $A \oplus C$  via the maps  $B \to A \oplus C : b \mapsto (r(b), \pi(b))$  and  $A \oplus C \to B : (a, c) \mapsto i(a) + s(c)$ .  $\Box$ 

Given a ring R, the tensor product over R of a right module M with a left module N is denoted  $M \otimes_R N$ . It is the abelian group generated by symbols  $m_1 \otimes n_1 + \ldots + m_k \otimes n_k$ , under the equivalence relation generated by

$$(m+m') \otimes n = m \otimes n + m' \otimes n,$$
  

$$m \otimes (n+n') = m \otimes n + m \otimes n',$$
  
and  

$$mr \otimes n = m \otimes rn.$$

If R is non-commutative, then  $M \otimes_R N$  is just an abelian group. If R is commutative, then it is an R-module, via  $r \cdot (\sum m_i \otimes n_i) := \sum rm_i \otimes n_i$ .

Given two left *R*-modules *M* and *N*, we write  $\operatorname{Hom}_R(M, N)$  for the set of *R*-module homomorphism from *M* to *N*. If *R* is non-commutative, then  $\operatorname{Hom}_R(M, N)$  is just an abelian group. If *R* is commutative, then it is an *R*-module, with  $(r \cdot f)(m) := r \cdot (f(m))$ .

There are canonical isomorphisms

$$(\bigoplus A_i) \otimes B \cong \bigoplus (A_i \otimes B), \quad \text{Hom} (\bigoplus A_i, B) \cong \prod \text{Hom}(A_i, B), \quad \text{Hom} (A, \prod B_i) \cong \prod \text{Hom}(A, B_i).$$

There are also canonical isomorphisms  $R \otimes_R N \cong N$ ,  $M \otimes_R R \cong M$ , and  $\text{Hom}_R(R, N) \cong N$ . More generally, if I < R is a left ideal, then there are canonical isomorphisms

$$M \otimes_R R/I \cong M/MI$$
, and  $\operatorname{Hom}_R(R/I, N) \cong \{n \in N \mid rn = 0 \; \forall r \in I\}.$ 

We provide a proof for the first isomorphism:

*Proof.* The isomorphism  $M \otimes_R R/I \to M/MI$  is given by

$$\sum m_i \otimes [r_i] \mapsto \big[\sum m_i \otimes r_i\big]$$

This map is well defined because (1)  $(m+m') \otimes [r]$  and  $m \otimes [r] + m' \otimes [r]$  map to the same element [(m+m')r] of M/MI, (2)  $m \otimes ([r] + [r'])$  and  $m \otimes [r] + m \otimes [r']$  map to the same element [m(r+r')] of M/MI, (3)  $mr_1 \otimes [r]$  and  $m \otimes r_1[r]$  map to the same element  $[mr_1r]$  of M/MI, and (4) for any  $a \in I$ , the elements  $m \otimes [r]$  and  $m \otimes [r+a]$  map to the same element [mr] = [m(r+a)] of M/MI. The inverse map is given by

$$M/MI \to M \otimes_R R/I : [m] \mapsto m \otimes [1].$$

It is well defined because for m = m'a with  $a \in I$ , the image of [m] under that map is given by  $m'a \otimes [1] = m' \otimes a[1] = m' \otimes 0$ , which is zero in  $M \otimes_R R/I$ .

The composite  $M/MI \to M \otimes_R R/I \to M/MI$  is obviously the identity. The other composite  $M \otimes_R R/I \to M/MI \to M \otimes_R R/I$  sends  $\sum m_i \otimes [r_i]$  to  $(\sum m_i r_i) \otimes [1]$ . It is the identity since

$$\left(\sum m_i r_i\right) \otimes [1] = \sum \left(m_i r_i \otimes [1]\right) = \sum m_i \otimes r_i [1] = \sum m_i \otimes [r_i].$$

**Exercise 1.** Show that if p and q are distinct prime numbers, then  $\mathbb{Z}/p \otimes_{\mathbb{Z}} \mathbb{Z}/q = 0$ .

**Exercise 2.** Let  $R = \mathbb{R}[x]/x^n$ . Prove that the obvious inclusion of *R*-modules  $R/x \hookrightarrow R$  is not split. Compute the quotient, and write down the short exact sequence formed by those three modules.

**Exercise 3.** Let R be ring, let  $\{A_i\}_{i \in \mathcal{I}}$  be a collection of right R-modules, and let B be a left R-module. Show that  $(\bigoplus A_i) \otimes_R B \cong \bigoplus (A_i \otimes_R B)$ .

**Exercise 4.** Let  $R := \mathbb{Z}[x]$ . Compute  $\operatorname{Hom}_R(R/(2x), R/(4))$  as an *R*-module. Show that it is isomorphic to R/I for some ideal  $I \subset R$ .

**Exercise 5.** Let  $R = \{ \begin{pmatrix} a & b \\ 0 & c \end{pmatrix} | a, b, c \in k \}$  be the ring of upper triangular  $2 \times 2$  matrices with coefficients in some field k. Show that R is a direct sum of two smaller R-modules:  $R = P \oplus Q$ . Compute  $\operatorname{Hom}_R(P, Q)$  and  $\operatorname{Hom}_R(Q, P)$ .

**Exercise 6.** Let k be a field. Find an exact sequence of k[x]-modules  $0 \to A \to B \to C \to 0$  such that the induced sequence  $A \otimes_{k[x]} k[x]/(x) \to B \otimes_{k[x]} k[x]/(x) \to C \otimes_{k[x]} k[x]/(x)$  is not exact.

**Exercise 7.** Find an example of a ring R and two modules M and N such that the abelian group  $M \otimes_R N$  does not carry the structure of an R-module. *Hint:* try a 2 × 2 matrix algebra.

### Week 2

A chain complex of *R*-modules  $C_{\bullet} = (C_n, d_n)_{n \in \mathbb{Z}}$  is a collection of *R*-modules  $C_n$  and *R*-module maps  $d_n : C_n \to C_{n-1}$ , called 'differentials', subject to the axiom  $d_n \circ d_{n+1} = 0$ . This axiom is sometimes abusively abbreviated  $d^2 = 0$ . A chain complex is called *exact* if ker $(d_n) = \operatorname{im}(d_{n+1})$ .

The homology of a chain complex of R-modules  $C_{\bullet} = (C_n, d_n : C_n \to C_{n-1})_{n \in \mathbb{Z}}$  is defined by

$$H_n(C_{\bullet}) := \frac{Z_n}{B_n} := \frac{\ker(d_n : C_n \to C_{n-1})}{\operatorname{im}(d_{n+1} : C_{n+1} \to C_n)}$$

Here  $Z_n$  are called the *cycles*, and  $B_n$  are called the *boundaries*. If  $C_{\bullet}$  is a chain complex in an arbitrary abelian category (to be defined later), the object  $H_n(C_{\bullet})$  can be defined in purely categorical terms, as the cokernel of the canonical map  $C_{n+1} \to \ker(d_n : C_n \to C_{n-1})$ .

A morphism of chain complexes  $f_{\bullet}: C_{\bullet} \to D_{\bullet}$  is called a *quasi-isomorphism* if it induces an isomorphism at the level of homology:  $H_n(f_{\bullet}): H_n(C_{\bullet}) \xrightarrow{\cong} H_n(D_{\bullet}), \forall n \in \mathbb{Z}.$ 

An additive functor between abelian categories (to be defined later) is called *exact* if it sends exact sequences to exact sequences, equivalently, if it sends short exact sequences to short exact sequences. Note that the functor  $- \bigotimes_{\mathbb{Z}} \mathbb{Z}/2$  is not exact: it sends the exact sequence

$$0 \to \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \twoheadrightarrow \mathbb{Z}/2 \to 0$$

to the sequence  $0 \to \mathbb{Z}/2 \xrightarrow{0} \mathbb{Z}/2 \xrightarrow{\simeq} \mathbb{Z}/2 \to 0$  which is not exact. Similarly, the functor  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/2, -)$  sends the exact sequence

$$0 \to \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \twoheadrightarrow \mathbb{Z}/2 \to 0$$

to the sequence  $0 \to 0 \to \mathbb{Z}/2 \to 0$  which is not exact. Finally, the contravariant functor  $\operatorname{Hom}_{\mathbb{Z}}(-,\mathbb{Z}/2)$  sends the exact sequence

$$0 \to \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \twoheadrightarrow \mathbb{Z}/2 \to 0$$

to the sequence  $0 \leftarrow \mathbb{Z}/2 \stackrel{\circ}{\leftarrow} \mathbb{Z}/2 \stackrel{\simeq}{\leftarrow} \mathbb{Z}/2 \leftarrow 0$  which is not exact. The functors  $- \otimes_{\mathbb{Z}} \mathbb{Z}/2$  and  $\operatorname{Hom}_{\mathbb{Z}}(\mathbb{Z}/2, -)$  and  $\operatorname{Hom}_{\mathbb{Z}}(-, \mathbb{Z}/2)$  are therefore not exact.

A functor F is *right exact* if for every short exact sequence  $0 \to A \to B \to C \to 0$ , the sequence  $F(A) \to F(B) \to F(C) \to 0$  is exact. Similarly, a functor F is *left exact* if whenever  $0 \to A \to B \to C \to 0$  is exact, then  $0 \to F(A) \to F(B) \to F(C)$  is exact.

**Lemma 2.** Let  $\mathcal{A}$  be an abelian category, and let  $M \in \mathcal{A}$  be an object. Then the functor  $\operatorname{Hom}_{\mathcal{A}}(M, -)$ :  $\mathcal{A} \to \operatorname{AbGrp}$  is left exact.

*Proof.* Let  $0 \to A \xrightarrow{\iota} B \xrightarrow{\pi} C \to 0$  be a short exact sequence in  $\mathcal{A}$ . We need to show that  $0 \to \operatorname{Hom}(M, A) \xrightarrow{\iota_*} \operatorname{Hom}(M, B) \xrightarrow{\pi_*} \operatorname{Hom}(M, C)$  is exact.

•  $\underline{\iota_* \text{ is injective}}$ . Let  $\alpha \in \text{Hom}(M, A)$  be an element that maps to zero in Hom(M, B). Since  $\iota \circ \alpha = 0$ , and  $\iota$  is a monomorphism (see Lemma 4 below),  $\alpha = 0$ . So  $\iota_*$  is injective.

•  $\underline{\operatorname{im}}(\iota_*) \subseteq \operatorname{ker}(\pi_*)$ . Follows trivially from the fact that  $\pi \circ \iota = 0$ .

•  $\underline{\ker}(\pi_*) \subseteq \underline{\operatorname{im}}(\iota_*)$ . Let  $\beta \in \operatorname{Hom}(M, B)$  be an element that maps to zero in  $\operatorname{Hom}(M, C)$ . Since  $\pi \circ \beta = 0$ , the map  $\beta : M \to B$  factors through  $\ker(\pi) = A$ . So we can write  $\beta$  as  $\iota \circ \alpha$  for some  $\alpha \in \operatorname{Hom}(M, A)$ . We have  $\beta = \iota_*(\alpha)$ , and hence  $\beta \in \operatorname{im}(\iota_*)$ .

**Corollary.** Let R be a ring and let M be an R-module. Then the functors

 $\operatorname{Hom}_R(M, -) : R\operatorname{-Mod} \to \operatorname{AbGrp}$  and  $\operatorname{Hom}_R(-, M) : R\operatorname{-Mod}^{op} \to \operatorname{AbGrp}$ 

are left exact.

#### **Lemma 3.** The functor $-\otimes_R N$ is right exact.

*Proof.* Given a short exact sequence of right *R*-modules  $0 \to A \stackrel{\iota}{\to} B \stackrel{\pi}{\to} C \to 0$ , we need to show that  $A \otimes_R N \to B \otimes_R N \to C \otimes_R N \to 0$  is exact. The surjectivity of  $B \otimes_R N \to C \otimes_R N$  is easy, so let us focus on the harder argument: given an element  $\sum b_i \otimes n_i \in B \otimes_R N$  that goes to zero in  $C \otimes_R N$ , we need to show that it comes from  $A \otimes_R N$ .

Since  $\sum \pi(b_i) \otimes n_i = 0$  in  $A \otimes_R N$ , there exist elements  $c'_{\alpha}, c''_{\alpha}, n_{\alpha}, c_{\beta}, n'_{\beta}, n''_{\beta}, c_{\gamma}, r_{\gamma}, n_{\gamma}$  such that

$$\sum_{i} \pi(b_{i}) \otimes n_{i} + \sum_{\alpha} (c'_{\alpha} + c''_{\alpha}) \otimes n_{\alpha} - c'_{\alpha} \otimes n_{\alpha} - c''_{\alpha} \otimes n_{\alpha} + \sum_{\beta} c_{\beta} \otimes (n'_{\beta} + n''_{\beta}) - c_{\beta} \otimes n'_{\beta} - c_{\beta} \otimes n''_{\beta} + \sum_{\gamma} c_{\gamma} r_{\gamma} \otimes n_{\gamma} - c_{\gamma} \otimes r_{\gamma} n_{\gamma}$$

is zero in the free abelian group on the set of symbols " $c \otimes n$ ". If we mod out that free abelian group by the first set of relations  $(c' + c'') \otimes n = c' \otimes n + c'' \otimes n$ , then we get the abelian group  $\bigoplus_{n \in N} C$ . So, another way of saying that  $\sum \pi(b_i) \otimes n_i$  is zero in  $A \otimes_R N$  is to say that there exist elements  $c_\beta$ ,  $n'_\beta$ ,  $n''_\beta$ ,  $c_\gamma$ ,  $r_\gamma$ ,  $n_\gamma$  such that

$$\sum_{i} \pi(b_{i}) \otimes n_{i} + \sum_{\beta} c_{\beta} \otimes (n_{\beta}' + n_{\beta}'') - c_{\beta} \otimes n_{\beta}' - c_{\beta} \otimes n_{\beta}'' + \sum_{\gamma} c_{\gamma} r_{\gamma} \otimes n_{\gamma} - c_{\gamma} \otimes r_{\gamma} n_{\gamma} = 0 \text{ in } \bigoplus_{n \in N} C,$$

where " $c \otimes n$ " now stands for the element c put in the n-th copy of C.

Pick preimages  $b_{\beta}, b_{\gamma} \in B$  of  $c_{\beta}, c_{\gamma} \in C$ , and consider the element

$$y := \sum_{i} b_i \otimes n_i + \sum_{\beta} b_{\beta} \otimes (n_{\beta}' + n_{\beta}'') - b_{\beta} \otimes n_{\beta}' - b_{\beta} \otimes n_{\beta}'' + \sum_{\gamma} b_{\gamma} r_{\gamma} \otimes n_{\gamma} - b_{\gamma} \otimes r_{\gamma} n_{\gamma} \in \bigoplus_{n \in N} B.$$

This element goes to 0 in  $\bigoplus_{n \in N} C$  and therefore comes from some  $x \in \bigoplus_{n \in N} A$ .

Let [x] denote the image of x in  $A \otimes_R N$  and let [y] denote the image of y in  $B \otimes_R N$ . Since  $x \mapsto y$ , it follows that  $[x] \mapsto [y]$ . We are done since  $[y] = \sum_i b_i \otimes n_i$  in  $B \otimes_R N$ .

#### Week 3

A terminal object is an object that admits exactly one morphism to it from any other object. An *initial object* is an object that admits exactly one morphism from it to any other object. A *zero* object is an object that admits exactly one morphism to it from any other object and exactly one morphism from it to any other object, i.e., is both initial and terminal.

A monomorphism is a morphism f that satisfies  $(f \circ g_1 = f \circ g_2) \Rightarrow (g_1 = g_2)$ . Equivalently, it is a morphism  $f: X \to Y$  with the property that whenever two morphisms  $g_1, g_2: Z \to X$  are distinct, they remain distinct after composing them with f. Dually, an *epimorphism* is a map fthat satisfies  $(g_1 \circ f = g_2 \circ f) \Rightarrow (g_1 = g_2)$ .

The direct sum of two objects  $X_1$  and  $X_2$  is an object Z equipped with maps  $i_1 : X_1 \to Z$ ,  $i_2 : X_2 \to Z$ ,  $p_1 : Z \to X_1$ ,  $p_2 : Z \to X_2$  satisfying  $p_1 \circ i_1 = id$ ,  $p_2 \circ i_2 = id$ ,  $p_1 \circ i_2 = 0$ ,  $p_2 \circ i_1 = 0$ , and  $i_1 \circ p_1 + i_2 \circ p_2 = id$ .

An pre-additive category is a category such that all the hom-sets are equipped with the structure of abelian groups and such that composition  $\operatorname{Hom}(x, y) \times \operatorname{Hom}(y, z) \to \operatorname{Hom}(x, z)$  is bilinear. An *additive category* is a category which is preadditive, admits a zero object, and admits all direct sums.

The kernel of a map  $f: X \to Y$  is a morphism  $i: K \to X$  which is universal w.r.t the property that  $f \circ i = 0$ . This means the following: it's an object K along with a morphism  $i: K \to X$ 

satisfying  $f \circ i = 0$ , such that for every object  $\tilde{K}$  and every morphism  $\tilde{i} : \tilde{K} \to X$  satisfying  $f \circ \tilde{i} = 0$ , there exists a unique morphism  $g: \tilde{K} \to K$  such that  $\tilde{i} = i \circ g$ .

Dually, the *cokernel* of a map  $f: X \to Y$  is a morphism  $q: Y \to C$  which is universal w.r.t the property that  $q \circ f = 0$ .

An additive category is called *abelian* if for every monomorphism  $f: A \rightarrow B$ , the pair (A, f) is a kernel of the morphism  $B \to \operatorname{coker}(f)$ , and for every epimorphism  $f: A \twoheadrightarrow B$  the pair (B, f) is a cokernel of the morphism  $\ker(f) \to A$ .

A sequence  $0 \to A \xrightarrow{f} B \xrightarrow{g} C \to 0$  is exact iff (A, f) is a kernel of g and (C, g) is a cokernel of f.

The homology of a chain complex  $\ldots C_{n+1} \xrightarrow{d_{n+1}} C_n \xrightarrow{d_n} C_{n-1} \ldots$  is the cokernel of the map  $C_{n+1} \to \ker(d_n).$ 

Lemma 4. Kernels are monomorphisms; cokernels are epimorphisms.

*Proof.* Let  $f: A \to B$  be a morphism. Consider two morphisms  $a, b: X \to \ker(f)$  with the property that  $\iota a = \iota b$ :

$$X \xrightarrow{a}_{b} \ker(f) \xrightarrow{\iota} A \xrightarrow{f} B$$

Since  $f\iota a = 0$ , by the universal property of ker(f), there exists a unique morphism  $X \to \text{ker}(f)$ whose composition with  $\iota$  yields  $\iota a$ . Both a and b satisfy that property. So they're equal. 

**Lemma 5** (exercise). A morphism f is an epimorphism if and only if coker(f) = 0.

A colimit (also called *direct limit*) of a sequence of morphisms  $X_1 \to X_2 \to X_3 \to \dots$  is an object Z along with morphisms  $X_i \to Z$  such that



all little triangles commute

and such that for every other diagram



there exists a unique morphism  $Z \to \tilde{Z}$  such that all the triangles in this big diagram commute:



The colimit can be denoted colim  $X_i$  or  $\underline{\lim} X_i$ . Quite often 'colimit' means the same thing as 'union'. The dual notion is called a *limit*. It is denoted  $\lim X_i$  or  $\lim X_i$ .

An *R*-module is called *projective* if it is a direct summand of a free module. An object *P* of an abelian category is called *projective* if for every epimorphism  $A \to B$  and every morphism  $P \to B$ , there exists a morphism  $P \to A$  such that the triangle commutes:



**Exercise 8.** Let k be a field, and let C be the abelian category of k-vector spaces. Let D be an arbitrary abelian category. Prove that every additive functor  $C \to D$  is exact.

**Exercise 9.** Let R and S be rings, let C := R-Mod and D := S-Mod be the associated abelian categories of modules, and let  $F : C \to D$  be an additive functor.

Assume that F sends short exact sequences to short exact sequences. Prove that it sends exact sequences (or any length) to exact sequences.

**Exercise 10.** Let R be a ring. Prove that an R-module P is projective iff every surjective map  $A \to P$  admits a section.

**Exercise 11.** Let  $R := \mathbb{Z}[\sqrt{-5}]$ . Prove that the ideal generated by 2 and  $1 + \sqrt{-5}$  is a projective *R*-module which is not free. *Hint:* show that the map  $\binom{2}{1+\sqrt{-5}} = \binom{2}{2} \cdot R^{\oplus 2} \to M^{\oplus 2}$  is an isomorphism.

**Exercise 12.** Let R be a ring. Prove that for every sequence of R-modules  $(M_i)_{n \in \mathbb{Z}}$ , there exists a chain complex of free modules  $C_{\bullet}$  such that  $H_i(C_{\bullet}) \cong M_i$  for all  $i \in \mathbb{Z}$ .

**Exercise 13.** Let  $\mathcal{A}$  be an arbitrary abelian category, and let  $Ch(\mathcal{A})$  be the category of chain complexes of objects of  $\mathcal{A}$ . Given a morphism  $f_{\bullet}: C_{\bullet} \to D_{\bullet}$  in  $Ch(\mathcal{A})$ , prove that the kernel of  $f_{\bullet}$  is the chain complex  $(\ldots \to \ker(f_n) \to \ker(f_{n-1}) \to \ldots)$ .

The next exercise is a long and painful one which I don't expect you (or want you) to finish. But I do want you to start it. Write down what you think is approximately 50% of the proof, and then write "I give up" (or, if you don't want to give up, you may hand in a complete answer):

**Exercise 14.** Prove that a short exact sequence of chain complexes (of *R*-modules)



induces a long exact sequence in homology

$$\dots \to H_{n+1}(C_{\bullet}) \to H_n(A_{\bullet}) \to H_n(B_{\bullet}) \to H_n(C_{\bullet}) \to H_{n-1}(A_{\bullet}) \to \dots$$

[For the definition of the so-called 'connecting homomorphism'  $H_{n+1}(C_{\bullet}) \to H_n(A_{\bullet})$ , you may have a look at e.g. https://ncatlab.org/nlab/show/connecting+homomorphism]

### Week 4

Let M be a right R-module and N a left R-module. Then:

$$\operatorname{Tor}_{i}^{R}(M,N) := H_{i}(P_{\bullet} \otimes_{R} N) = H_{i}(M \otimes_{R} Q_{\bullet})$$

where  $P_{\bullet}$  is a projective resolution of M, or  $Q_{\bullet}$  is a projective resolution of N. Implicit in the above definition is the fact that  $\operatorname{Tor}_{i}^{R}(M, N)$  doesn't depend on the choice of projective resolution, and doesn't depend on whether one resolves M or N.

Let M and N be R-modules (either both right modules or both left modules). Then:

$$\operatorname{Ext}_{R}^{i}(M,N) := H^{i}(\operatorname{Hom}_{R}(P_{\bullet},N)) = H^{i}(\operatorname{Hom}_{R}(M,I^{\bullet})).$$

Here,  $P_{\bullet}$  is a projective resolution of M and  $I^{\bullet}$  is an injective resolution of N (injective objects are defined below). Once again, the choice of resolution doesn't matter, neither does the choice of which of the two modules one decides to resolve.

If  $R = \mathbb{Z}$ , then every module admits a resolution of length 1. This implies that  $\operatorname{Tor}_{i}^{\mathbb{Z}}$  and  $\operatorname{Ext}_{\mathbb{Z}}^{i}$  vanishes as soon as i > 1. This property is called ' $\mathbb{Z}$  has cohomological dimension one'.

Let A and B be abelian categories. Assume that A has enough projectives. Let  $F : A \to B$  be an additive functor (often assumed to be right exact). The nth left derived functor of F, denoted  $L_nF : A \to B$  is defined by  $X \mapsto H_n(F(P_{\bullet}))$ , where  $P_{\bullet} \to X$  is a projective resolution.

Assume now that A has enough injectives and that  $F : A \to B$  is an additive functor (often assumed to be left exact). The *n*th *right derived functor* of F, denoted  $\mathbb{R}^n F : A \to B$  is defined by  $X \mapsto H^n(F(I^{\bullet}))$ , where  $X \to I^{\bullet}$  is an injective resolution.

**Lemma 6.** If F is right exact, then  $L_0F = F$ . (If F is left exact, then  $R^0F = F$ .)

Proof. Let  $P_{\bullet} \to M$  be a projective resolution, so that  $P_1 \xrightarrow{d} P_0 \xrightarrow{\varepsilon} M \to 0$  is exact. By definition,  $L_0F(M) = \operatorname{coker}(F(d))$ . Consider the short exact sequence  $0 \to K \to P_0 \to M \to 0$ , where  $K := \ker(\varepsilon)$ . The comparison map  $P_1 \to K$  is an epimorphism by the exactness of  $P_{\bullet} \to M$ . Since right exact functors send epimorphisms to epimorphisms, the map  $F(P_1) \to F(K)$  is then also an epimorphism.

By the right exactness of F, the sequence  $F(K) \to F(P_0) \to F(M) \to 0$  is exact. So  $F(M) = \ker(F(K) \to F(P_0)) = \ker(F(P_1) \to F(P_0)) = L_0F(M)$ . The middle equality holds true because composing with an epimorphism (namely with the map  $F(P_1) \to F(K)$ ) doesn't change cokernels; see the next lemma.

**Lemma 7** (exercise). Given composable morphisms  $A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D$ , the morphism h is a cokernel of g if and only if it is a cokernel of  $g \circ f$ .

A morphism of chain complexes  $f_{\bullet}: C_{\bullet} \to D_{\bullet}$  induces a corresponding morphism at the level of cohomology groups  $H_n(f_{\bullet}): H_n(C_{\bullet}) \to H_n(D_{\bullet})$ . Two chain maps  $f_{\bullet}, g_{\bullet}: C_{\bullet} \to D_{\bullet}$  are called *chain homotopic* if there exists a degree -1 map  $h: C_{\bullet} \to D_{\bullet}$  satisfying hd + dh = f - g.

There are two ways of making the operation "take a projective resolution" into a functor:

(1) Take  $P_0$  to be the free *R*-module on the underlying set of *M*. Take  $P_1$  to be the free *R*-module on the underlying set of ker $(P_0 \rightarrow M)$ . Take  $P_2$  to be the free *R*-module on the underlying set of ker $(P_1 \rightarrow P_0)$ . Etc.

(2) View the operation "take a projective resolution" as a functor from our abelian category  $\mathcal{A}$  to its derived category  $D(\mathcal{A})$ .

Definition: Let  $\mathcal{A}$  be an abelian category. Its derived category  $D(\mathcal{A})$  has:

- Object = positively graded chain complexes of projectives of  $\mathcal{A}$
- $\bullet$  Morphisms = chain maps modulo chain homotopy.

The notion of chain homotopy is made so that whenever  $f_{\bullet}: C_{\bullet} \to D_{\bullet}$  and  $g_{\bullet}: C_{\bullet} \to D_{\bullet}$  are chain homotopic maps, then  $H_*(f_{\bullet}) = H_*(g_{\bullet}): H_*(C_{\bullet}) \to H_*(D_{\bullet})$ .

Here's a way of defining the *n*th derived functor of an additive functor  $F : \mathcal{A} \to \mathcal{B}$ :

$$L_n F : \mathcal{A} \xrightarrow{\text{take projective}} D(\mathcal{A}) \xrightarrow{\text{apply } F} \left( \operatorname{Ch}(\mathcal{B}); \underset{\text{chain maps modulo}}{\overset{\text{chain maps modulo}}{\longrightarrow}} \right) \xrightarrow{H_n} \mathcal{B}$$

The total derived functor of F, or simply "the derived functor of F" is the functor

$$LF : \mathcal{A} \xrightarrow{\text{resolution}} D(\mathcal{A}) \xrightarrow{\text{apply } F} \left( \operatorname{Ch}(\mathcal{B}); \underset{\text{chain maps modulo}}{\operatorname{chain homotopy}} \right) \xrightarrow{\text{take projective}} D(\mathcal{B}).$$

Here, a projective resolution of a chain complex  $C_{\bullet}$  is the data of a chain complex of projectives  $P_{\bullet}$  together with a map of chain complexes  $P_{\bullet} \to C_{\bullet}$  which is a quasi-isomorphism.

<u>Week 5</u> Recall that a module (or object of some arbitrary abelian category) P is projective if for every solid arrow diagram there exists a dotted arrow making the diagram commute:



In the same vein, a module (or object of some arbitrary abelian category) I is called injective if for every solid arrow diagram there exists a dotted arrow making the diagram commute:



A module P is projective iff  $\operatorname{Hom}_R(P, -)$  is exact. A module I is injective iff  $\operatorname{Hom}_R(-, I)$  is exact. A module F is flat if  $-\otimes_R F$  is exact. Every projective module is flat. Indeed, if  $M = M' \oplus M''$ , then we have  $(M \text{ is flat}) \Leftrightarrow (M' \text{ is flat and } M'' \text{ is flat})$ . Starting from the obvious fact that free modules are flat, we conclude that every projective module is flat.

Example:  $\mathbb{Q}$  is a flat  $\mathbb{Z}$ -module. That's because  $\mathbb{Q} = \operatorname{colim}(\mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{\cdot 3} \mathbb{Z} \xrightarrow{\cdot 4} \mathbb{Z} \xrightarrow{\cdot 5} \ldots)$  and for every abelian group A we have

$$\mathbb{Q} \otimes_{\mathbb{Z}} A = \operatorname{colim}(A \xrightarrow{\cdot 2} A \xrightarrow{\cdot 3} A \xrightarrow{\cdot 4} A \xrightarrow{\cdot 5} \ldots).$$

In order to check that  $\mathbb{Q}$  is flat, one needs to check that an injective map  $f : A \to B$  remains injective after applying the functor  $\mathbb{Q} \otimes_{\mathbb{Z}} -$ . This is a diagram chase in the diagram:

$$A \xrightarrow{\cdot 2} A \xrightarrow{\cdot 3} A \xrightarrow{\cdot 4} \dots$$
$$\left| \begin{array}{c} f \\ f \\ B \xrightarrow{\cdot 2} \end{array} \right| \begin{array}{c} f \\ \end{array} \xrightarrow{\cdot 3} B \xrightarrow{\cdot 4} \dots \end{array}$$

**Lemma 8.** A short exact sequence of chain complexes  $0 \to A_{\bullet} \to B_{\bullet} \to C_{\bullet} \to 0$  (which, by definition, means that for each n the sequence  $0 \to A_n \to B_n \to C_n \to 0$  is exact) induces a long exact sequence in homology. See p. 117 of Hatcher's book for a proof.

A bigraded chain complex  $C_{\bullet\bullet}$  is a sequence of abelian groups  $C_{p,q}$  (or objects of some abelian category) together with maps  $d_h : C_{p,q} \to C_{p-1,q}$  and  $d_v : C_{p,q} \to C_{p,q-1}$  satisfying  $d_h d_h = 0$ ,  $d_v d_v = 0$ , and  $d_h d_v = d_v d_h$ . The total chain complex  $\text{Tot}(C_{\bullet\bullet})$  is defined by

$$\left[\operatorname{Tot}(C_{\bullet\bullet})\right]_n = \bigoplus_{p+q=n} C_{p,q}$$

The differential  $d^{\text{Tot}}$ :  $[\text{Tot}(C_{\bullet\bullet})]_n \to [\text{Tot}(C_{\bullet\bullet})]_{n-1}$  is the sum of the maps  $d_h : C_{p,q} \to C_{p-1,q}$ and  $(-1)^p \cdot d_v : C_{p,q} \to C_{p,q-1}$  over all p, q such that p+q=n. There's also a variant of Tot where one uses direct products instead of direct sums

$$\left[\operatorname{Tot}^{\prod}(C_{\bullet\bullet})\right]_n = \prod_{p+q=n} C_{p,q}$$

**Lemma 9.** Let  $C_{\bullet\bullet}$  be a double complex such that for every n there exists only finitely many pairs (p,q), p+q=n, such that  $C_{p,q} \neq 0$ . Then we have

$$(C_{\bullet\bullet} \text{ has exact rows}) \Rightarrow (\operatorname{Tot}(C_{\bullet\bullet}) \text{ is exact})$$

More generally, if  $C_{\bullet\bullet}$  is a double complex such that for every n the set  $\{p \in \mathbb{Z} | C_{p,n-p} \neq 0\}$  is bounded below, then

$$(C_{\bullet\bullet} \text{ has exact rows}) \Rightarrow (\text{Tot}^{\prod}(C_{\bullet\bullet}) \text{ is exact})$$

 $\operatorname{Tor}_{i}^{R}(M, N)$  and  $\operatorname{Ext}_{R}^{i}(M, N)$  are independent of the choice of resolution. They can be computed by resolving either M or N.

Let M be a right R-module and N a left R-module, let  $P_{\bullet}$  be a projective resolution of M and  $Q_{\bullet}$  a projective resolution of N. Then we have quasi-isomorphisms

$$P_{\bullet} \otimes_R N \leftarrow \operatorname{Tot}(P_{\bullet} \otimes_R Q_{\bullet}) \to M \otimes_R Q_{\bullet}$$

inducing isomorphisms

$$H_i(P_{\bullet} \otimes_R N) \cong H_i(\operatorname{Tot}(P_{\bullet} \otimes_R Q_{\bullet})) \cong H_i(M \otimes_R Q_{\bullet}).$$

The isomorphism  $H_i(P_{\bullet} \otimes_R N) \stackrel{\simeq}{\leftarrow} H_i(\operatorname{Tot}(P_{\bullet} \otimes_R Q_{\bullet}))$  is the connecting homomorphism in the LES associated to the short exact sequence

$$0 \to P_{\bullet} \otimes_{R} N \to \operatorname{Tot}(P_{\bullet} \otimes_{R} Q_{\bullet} \to P_{\bullet} \otimes_{R} N) \to \operatorname{Tot}(P_{\bullet} \otimes_{R} Q_{\bullet}) \to 0.$$

The fact that the middle term is acyclic (the words 'acyclic' and 'exact' are synonyms) follows from Lemma 9 below.

Let now M and N be R-modules (either both right modules or both left modules). Let  $P_{\bullet}$  be a projective resolution of M and  $I^{\bullet}$  an injective resolution of N. Then we have quasi-isomorphisms

$$\operatorname{Hom}_R(P_{\bullet}, N) \to (\operatorname{Tot}(\operatorname{Hom}_R(P_{\bullet}, I^{\bullet})) \leftarrow \operatorname{Hom}_R(M, I^{\bullet})$$

and  $\operatorname{Ext}_{R}^{i}(M, N)$  can be computed in any one of the following ways:

$$H^{i}(\operatorname{Hom}_{R}(P_{\bullet}, N)) \cong H^{i}(\operatorname{Tot}(\operatorname{Hom}_{R}(P_{\bullet}, I^{\bullet}))) \cong H^{i}(\operatorname{Hom}_{R}(M, I^{\bullet})).$$

If instead one takes a projective resolution  $Q_{\bullet}$  of N, then one has yet another chain complex that computes  $\operatorname{Ext}_{R}^{*}(M, N)$ , namely  $\operatorname{Tot}^{\prod}(\operatorname{Hom}_{R}(P_{\bullet}, Q_{\bullet}))$ .

**Exercise 15.** Compute  $\operatorname{Ext}_{\mathbb{Z}}^*(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z})$ ,  $\operatorname{Ext}_{\mathbb{Z}}^*(\mathbb{Z}, \mathbb{Z}/2\mathbb{Z})$ , and  $\operatorname{Ext}_{\mathbb{Z}}^*(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z})$  first using projective resolutions, and then using injective resolutions.

**Exercise 16.** Let k be a field. Compute  $\operatorname{Tor}_*^R(k,k)$  and  $\operatorname{Ext}_R^*(k,k)$  for R = k[x], R = k[x,y],  $R = k[x,y]/(x^n, y^m)$ ,  $R = k[x,y]/(x^2, y^2, xy)$ ,  $R = k[x,y]/(x^3 - y^2)$ .

**Exercise 17.** Compute  $\operatorname{Ext}_{\mathbb{Z}}^*(\mathbb{Z}/2\mathbb{Z},\mathbb{Z}/2\mathbb{Z})$  using the formula  $H^*(\operatorname{Tot}(\operatorname{Hom}_R(P_{\bullet},I^{\bullet})))$ .

**Exercise 18.** Compute  $\operatorname{Tor}_*^{\mathbb{C}[x]/x^2}(\mathbb{C},\mathbb{C})$  using the formula  $H_*(\operatorname{Tot}(P_{\bullet}\otimes_R Q_{\bullet}))$ .

**Exercise 19.** Write down an example of a bigraded chain complex  $C_{\bullet\bullet}$  which fails the condition "for every *n* there exists only finitely many pairs (p,q) such that p + q = n and  $C_{p,q} \neq 0$ ", and for which the implication

$$(C_{\bullet\bullet} \text{ has exact rows}) \Rightarrow (\operatorname{Tot}(C_{\bullet\bullet}) \text{ is exact})$$

fails. In other words, you must find a bigraded chain complex  $C_{\bullet\bullet}$  which has has exact rows, but such that  $Tot(C_{\bullet\bullet})$  is not exact.

**Exercise 20.** Prove that an abelian group A is *flat* as a  $\mathbb{Z}$ -module if and only if it is *torsion-free*. *Hint:* Write A as a colimit of free abelian groups.

**Exercise 21.** Prove that an abelian group A is *injective* as a  $\mathbb{Z}$ -module if and only if it is *divisible* (here, divisible means  $\forall a \in A, \forall n \in \mathbb{N}, \exists x \in A \text{ s.t. } nx = a$ ).

# Week 6

The *pullback* of a diagram of modules  $A \xrightarrow{f} C \xleftarrow{g} B$  is the set  $\{(a, b) \in A \oplus B : f(a) = g(b)\}$ . It is also the limit of the diagram  $A \to C \leftarrow B$ . The *pushout* of a diagram of modules  $A \xleftarrow{f} C \xrightarrow{g} B$  is the quotient  $A \oplus B / \{(f(c), -g(c)) : c \in C\}$ . It is also the colimit of the diagram  $A \leftarrow C \to B$ .

A diagram of R-modules indexed by a poset P is just a functor  $P \to R$ -Mod. Concretely, this is the data of R-modules  $M_{\alpha}$  indexed by P, and maps  $f_{\alpha\beta} : M_{\alpha} \to M_{\beta}$  for all  $\alpha < \beta \in P$ , satisfying  $f_{\beta\gamma}f_{\alpha\beta} = f_{\alpha\gamma}$ .

The limit of a diagram  $P \to R$ -Mod (where P is a poset) can be described concretely as  $\{(m_{\alpha}) \in \prod_{\alpha \in P} M_{\alpha} : f_{\alpha\beta}(m_{\alpha}) = m_{\beta}, \forall \alpha < \beta \in P\}$ . The colimit of a diagram  $P \to R$ -Mod is given by  $\bigoplus_{\alpha \in P} M_{\alpha}/\text{Span}\{m - f_{\alpha\beta}(m) : m \in M_{\alpha}\}$ . Limits and colimits can alternatively be defined by means of a universal property.

A poset is called *directed* if for every  $x, y \in P$ , there exists  $z \in P$  such that  $z \ge x$  and  $z \ge y$ . If P is a directed poset, then every element of  $\operatorname{colim}_{\alpha \in P} M_{\alpha}$  is represented by some element m of some  $M_{\alpha}$ . Moreover, if P is a direct poset, then an element  $m \in M_{\alpha}$  represents the zero element in  $\operatorname{colim}_{\alpha \in P} M_{\alpha}$  iff there exists some  $\beta \ge \alpha$  in P such that m becomes zero in  $M_{\beta}$ .

The latter fails miserably for e.g. pushout  $(\mathbb{Z}/2 \leftarrow \mathbb{Z} \rightarrow \mathbb{Z}/3)$ .

**Theorem** (Baer's criterion)

An *R*-module *E* is injective if and only if every left ideal I < R and any map  $I \rightarrow E$ , the

extension problem 
$$A \xrightarrow{E}$$
 admits a solution.  
 $I \longrightarrow R$ 

See e.g. https://ncatlab.org/nlab/show/Baer's+criterion for a proof.

Corollary of Baer's criterion: if R is a PID, then a module M is injective iff it is *divisible*, i.e. iff for every  $x \in M$  and every non-zero  $r \in R$  there exists  $y \in M$  such that ry = x.

An abelian category is said to have enough projectives if for every object X, there exists a projective object P and an epimorphism  $P \to X$ . Dually, an abelian category is said to have enough injectives if for every object X, there exists an injective object I and a monomorphism  $X \to I$ .

It is easy to see that for any ring R, the category of R-modules has enough projectives: take P to be free R module on the underlying set of X (any generating set would also do).

Showing the *R*-mod has enough injectives is much harder. Given an *R*-module *M*, let *S* denote the set of all pairs (I, f), where *I* is an ideal of *R*, and  $f : I \to M$  is an *R*-module homomorphism.

We write M' for the following pushout:



Write  $M_0 := M$  and  $M_{n+1} := (M_n)'$ . If every ideal is finitely generated, then  $M_\infty := \operatorname{colim}(M_0 \to M_0)$  $M_1 \rightarrow M_2 \rightarrow \ldots$ ) is an injective module. It obviously contains M as a submodule. To show that  $M_{\infty}$  is injective, we use Baer's criterion. Using the fact that every ideal is finitely generated, every map  $f: I \to M_{\infty}$  factors through some finite stage of the colimit, let's say  $f: I \to M_n$ . The

 $\begin{array}{c|c} M_{n+1} \\ f \\ f \\ I \longrightarrow R \end{array}^{\clubsuit} & \vdots & \text{Here, the map} \end{array}$ extension problem will then admit a solution at the next stage:

 $R \to \bigoplus_{(I,f)} R$  are the inclusions of the summands indexed by (I, f).

For general rings, i.e. without the condition that every ideal is finitely generated, then a similar construction can be made to work, provided one replaces  $\operatorname{colim}_{n \in \mathbb{N}} M_n$  by a colimit indexed over all ordinals which are small than a suitably chosen cardinal. Let  $\lambda$  be the smallest cardinal which is bigger than the cardinality of R. For every ordinal  $\alpha$  with  $|\alpha| < \lambda$ , define inductively  $M_0 := M$ ,  $M_{\alpha} := (M_{\beta})'$  if  $\alpha = \beta + 1$ , and  $M_{\alpha} := \operatorname{colim}_{\beta < \alpha} M_{\beta}$  if  $\alpha$  is a limit ordinal. Then  $\operatorname{colim}_{|\alpha| < \lambda} M_{\alpha}$  is an injective that contains M as a submodule.

# Week 7

Recall that a ring is called Noetherian if every ideal is finitely generated. Using Bear's criterion, one can prove:

**Lemma 10** (exercise). Let R be a Noetherian ring, and let  $\{I_i\}_{i\in\mathcal{I}}$  be a collection of injective modules. Then  $\bigoplus_{i \in \mathcal{I}} I_i$  is injective.

In the absence of the Noetherian condition, one can still show that  $\prod_{i \in \mathcal{I}} I_i$  is injective.

**Proposition.** A  $\mathbb{Z}$ -module is injective if and only if it is a direct sum of the following groups:  $\mathbb{Q}$ , and  $\mathbb{Z}[\frac{1}{n}]/\mathbb{Z}$ , for p a prime.

Proof. Let I be an injective  $\mathbb{Z}$ -module. Consider the collection of submodules M equipped with a direct sum decomposition into pieces isomorphic to  $\mathbb{Q}$  or  $\mathbb{Z}[\frac{1}{n}]/\mathbb{Z}$ . This is a poset under inclusion respecting the direct sum decompositions. By an application of Zorn's lemma, this poset admits a maximal element. If the maximal element is I, we're done.

Assume by contradiction that the maximal element M is not I. Since M is injective, the short exact sequence  $0 \to M \to I \to I/M \to 0$  splits. So it's enough to find a submodule of N := I/M which is isomorphic to either  $\mathbb{Q}$  or  $\mathbb{Z}[\frac{1}{p}]/\mathbb{Z}$ . Note that N is injective as it's a direct summand of an injective module.

Pick  $x \in N$ , non-zero, and let  $C_0$  be the cyclic subgroup generated by x. Let  $C \subset C_0$  be a subgroup isomorphic to  $\mathbb{Z}/p\mathbb{Z}$  or  $\mathbb{Z}$ . Let  $D := \mathbb{Z}[\frac{1}{p}]/p\mathbb{Z} \cong \mathbb{Z}[\frac{1}{p}]/\mathbb{Z}$  if  $C \cong \mathbb{Z}/p\mathbb{Z}$ , and  $D := \mathbb{Q}$  if  $C \cong \mathbb{Z}$ . Since N is injective, the map  $C \to N$  extends to a map  $D \to N$ .

It remains to show that the map  $D \to N$  is injective. Indeed, for every non-zero element  $d \in D$ , there exists  $n \in \mathbb{N}$  such that  $nd \in C$ . The map  $D \to N$  is injective when restricted to C. So it's injective on all of D.

Similarly, if k is an algebraically closed field, a k[x]-module is injective if and only if it is a direct sum of copies of the fraction field k(x), and of the modules  $k[\tilde{x}, \tilde{x}^{-1}]/k[\tilde{x}]$  for  $\tilde{x} := x - a$  and  $a \in k$ .

Given two objects A and C in some abelian category, there is a canonical bijection between the abelian group  $\text{Ext}^1(C, A)$  and the set of isomorphism classes of extension  $0 \to A \to B \to C \to 0$ . Indeed, this is where the notation "Ext" comes from. Here, two extensions (= short exact sequences) are called *isomorphic* if they fit into a commutative diagram



The sum operation on the set of isomorphism classes of extensions of A by B is called the *Baer* sum. Given two extensions

$$0 \to B \to X_1 \xrightarrow{\pi_1} A \to 0$$
 and  $0 \to B \to X_2 \xrightarrow{\pi_2} A \to 0$ 

let  $X_{12}$  be the pullback (or fibre product)  $X_1 \times_A X_2 := \{(x_1, x_2) \in X_1 \times X_2 : \pi_1(x_1) = \pi_2(x_2)\}$ . There's two copies of B inside  $X_{12}$ , namely  $\{(b, 0)\}$  and  $\{(0, b)\}$ . The Baer sum is what one gets by identifying those two copies of B:

$$X_1 \boxplus X_2 := X_{12}/(b,0) \sim (0,b) = X_{12}/\{(b,-b) : b \in B\}$$

and it again fits into a short exact sequence

$$0 \to B \to X_1 \boxplus X_2 \to A \to 0$$

This operation is obviously commutative. To see that  $\boxplus$  is associative, one notes that both  $(X_1 \boxplus X_2) \boxplus X_3$  and  $X_1 \boxplus (X_2 \boxplus X_3)$  can be identified with  $(X_1 \times_A X_2 \times_A X_3)/(b,0,0) \sim (0,b,0) \sim (0,0,b)$ .

The main steps of the proof of the bijection

$$\left\{\begin{array}{l} \text{Isomorphism classes of} \\ \text{extensions of } A \text{ by } B \end{array}\right\} \cong \text{Ext}^1(A, B)$$

are as follows:

▶ Given an extension  $0 \to A \to B \to C \to 0$  and a resolution  $P_{\bullet} \to C$ , construct a map of chain complexes from  $(P_{\bullet} \to C \to 0)$  to  $(0 \to A \to B \to C \to 0)$ .

▶ Use this to define an element of  $\text{Ext}^1(C, A)$ .

Show that the resulting element of  $\text{Ext}^1(C, A)$  does not depend on the choice of map from  $(P_{\bullet} \to C \to 0)$  to  $(0 \to A \to B \to C \to 0)$ .

▶ Given an element of  $\operatorname{Ext}^1(C, A)$  represented by a map  $P_1 \to A$  for some resolution  $P_{\bullet} \to C$ , define the group  $B := (P_0 \oplus A)/P'_1$ , where  $P'_1$  is the quotient of  $P_1$  by the image of  $d_2 : P_2 \to P_1$ .

- ▶ Show that B fits into a short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ .
- ▶ Show that this short exact sequence does not depend on the choice of resolution  $P_{\bullet} \to C$ .
- ▶ Finally, show that the above constructions are each other's inverses.

### Week 8

Recall that given projective resolutions  $A \leftarrow P_{\bullet}$  and  $B \leftarrow Q_{\bullet}$ , the cochain complex

$$\underline{\operatorname{Hom}}(C_{\bullet}, D_{\bullet}) := \operatorname{Tot}^{\prod} \Big( \operatorname{Hom}(P_{\bullet}, Q_{\bullet}) \Big)$$

computes Ext(A, B). (By this we mean that the *n*th cohomology group of this complex is canonically isomorphic to Ext(A, B).)

Using this fact, composition of homomorphisms  $\circ$  : Hom $(A, B) \otimes$  Hom $(B, C) \rightarrow$  Hom(A, C)induces a well-defined map  $\operatorname{Ext}^{i}(A, B) \otimes \operatorname{Ext}^{j}(B, C) \to \operatorname{Ext}^{i+j}(A, C)$ . In particular, this equips the graded abelian group

$$\operatorname{Ext}^*(A, A) := \bigoplus_{i=0}^{\infty} \operatorname{Ext}^i(A, A)$$

with the structure of a ring.

Upon identifying cycles in  $\underline{\operatorname{Hom}}(C_{\bullet}, D_{\bullet})$  with chain maps  $C_{\bullet} \to D_{\bullet}$ , one get the following convenient description of Ext:

$$H^n\Big(\underline{\operatorname{Hom}}(C_{\bullet}, D_{\bullet})\Big) = \frac{\operatorname{degree} (-n) \operatorname{chain maps} C_{\bullet} \to D_{\bullet}}{\operatorname{chain maps which are chain-homotopic to zero}}$$

Here are some examples of Ext-ring computations:

- $\operatorname{Ext}_{k[x]}(k,k) = k[y]/y^2$ , with y in degree 1.

•  $\operatorname{Ext}_{k[x]/(x^2)}(k,k) = k[y]$ , with y in degree 1. •  $\operatorname{Ext}_{k[x]/(x^2)}(k,k) = k[y,z]/(y^2)$ , with y in degree 1 and z in degree 2.

Let's work out the last example in detail. Let  $R := k[x]/(x^3)$  and let  $P_{\bullet} := \left(R \xleftarrow{x} R \xleftarrow{x^2} R \xleftarrow{x} R \dots\right)$ be a resolution of k. Then the generator y of  $\text{Ext}^1(k, k)$  is given by

and the generator z of  $\text{Ext}^2(k,k)$  is given by

$$z := \begin{array}{c} 0 \xleftarrow{} R \xleftarrow{x} R \xleftarrow{x^2} R \xleftarrow{x} R \xleftarrow{x^2} R \xleftarrow{x} R \xleftarrow{x^2} R \xleftarrow{x} R \cdots \\ 1 & 1 & 1 & 1 \\ 0 \xleftarrow{} R \xleftarrow{x} R \xleftarrow{x^2} R \xleftarrow{x} R \cdots \end{array}$$

To check that  $y^2 = 0$  in the ring  $\text{Ext}^*(k, k)$ , one composes the chain maps as follows:

$$0 \leftarrow R \leftarrow \frac{x}{R} = \frac{x^2}{R} = \frac{x}{R} \leftarrow \frac{x}{R} = \frac{x^2}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{x}{R} + \frac{x}{R} + \frac{x}{R} + \frac{x}{R} + \frac{x}{R} = \frac{x}{R} + \frac{$$

This gives  $x \cdot z$ , which is zero in the Ext ring (because  $\text{Ext}^2(k, k) = k$  as an *R*-module). Alternatively, one can construct an explicit null-homotopy of the above composite:



**Exercise 22.** Let k be a field, and let R := k[x, y]. Write k for the R-module R/(x, y). Let  $n_1 > n_2 > \ldots > n_s = 0$ , and  $0 = m_1 < m_2 < \ldots < m_s$  be integers. Compute  $\operatorname{Tor}^R_*(R/(x^{n_1}y^{m_1}, x^{n_2}y^{m_2}, \ldots, x^{n_s}y^{m_s}), k)$ A projective resolution of  $R/(x^{m_1}y^{m_1}, x^{m_2}y^{m_2}, \ldots, x^{m_s}y^{m_s})$  is given by



After tensoring by k, the differentials become zero and we get  $\text{Tor}_0 = k$ ,  $\text{Tor}_1 = k^s$ ,  $\text{Tor}_2 = k^{s-1}$ . Let R = k[x, y] be as above, and let a > n > 0 be integers. Compute  $\text{Tor}_*^R(R/(x^n, y^n), R/(x^a, xy, y^a))$ .

 $R \xrightarrow{(y^n - x^n)} R^2 \xrightarrow{(y^n)} R$  is a projective resolution of  $R/(x^n, y^n)$ . After tensoring with  $R/(x^a, xy, y^a)$ , this becomes

$$R/(x^a, xy, y^a) \xrightarrow{d_2 = (y^a - x^a)} \left[ R/(x^a, xy, y^a) \right]^2 \xrightarrow{d_1 = \begin{pmatrix} x^a \\ y^a \end{pmatrix}} R/(x^a, xy, y^a).$$

The homology in degree zero is  $\text{Tor}_0 = \text{coker}(d_1) = R/(x^n, xy, y^n)$ . The kernel of  $d_1$  has a kbasis given by  $\{x^{a-n}, x^{a-n+1}, \ldots, x^{a-1}, y, y^2, \ldots, y^{a-1}\}$  in the first copy of  $R/(x^a, xy, y^a)$  and by  $\{x, x^2, \ldots, x^{a-1}, y^{a-n}, y^{a-n+1}, \ldots, y^{a-1}\}$  in second first copy of  $R/(x^a, xy, y^a)$ . Let us write

$$x_1^{a-n}, x_1^{a-n+1}, \dots, x_1^{a-1}, y_1, y_1^2, \dots, y_1^{a-1}$$
 and  $x_2, x_2^2, \dots, x_2^{a-1}, y_2^{a-n}, y_2^{a-n+1}, \dots, y_2^{a-1}$ 

to distinguish them. The elements in the image of  $d_2$  are  $y_1^n - x_2^n$ ,  $y_1^{n+1}$ ,  $y_1^{n+2}$ , ...,  $y_1^{a-1}$ ,  $x_2^{n+1}$ ,  $x_2^{n+2}$ , ...,  $x_2^{a-1}$ . So a k-basis of  $\text{Tor}_1 = \text{ker}(d_1)/\text{im}(d_2)$  is given by

$$x_1^{a-n}, x_1^{a-n+1}, \dots, x_1^{a-1}, y_1, y_1^2, \dots, y_1^n = x_2^n, x_2^{n-1}, \dots, x_2^3, x_2^2, x_2, y_2^{a-n}, y_2^{a-n+1}, \dots, y_2^{a-1}$$

which decomposes as an R-module as

$$\underbrace{x_1^{a^{-n}}, x_1^{a^{-n+1}}, \dots, x_1^{a^{-1}}}_{\cong R/(y, x^n)}, \underbrace{y_1, y_1^2, \dots, y_1^n = x_2^n, x_2^{n^{-1}}, \dots, x_2^3, x_2^2, x_2}_{\cong \frac{(x^{n^{-1}}, y^{n^{-1}})}{(x^n, y^n)}} \cong R/(y^n, x) \xrightarrow{\cong R/(y^n, x)}$$

Finally,  $\operatorname{Tor}_2 = \operatorname{ker}(d_2)$  has a k-basis given by  $x^{a-n}, x^{a-n+1}, \ldots, x^{a-1}$  and  $y^{a-n}, y^{a-n+1}, \ldots, y^{a-1}$ , and is isomorphic to  $R/(y, x^n) \oplus R/(y^n, x)$ .

**Exercise 23.** Consider the abelian category whose objects are diagrams  $(M_1 \xleftarrow{f_1} M_2 \xleftarrow{f_2} M_3 \xleftarrow{f_3} \dots)$  of abelian groups indexed by  $\mathbb{N}$ , and whose morphisms are natural transformations between such diagrams. Show, that the functor which sends an object  $(M_1 \xleftarrow{f_1} M_2 \xleftarrow{f_2} M_3 \xleftarrow{f_3} \dots)$  to its inverse limit  $\lim M_i$  is not right exact.

Hint: Construct a suitable morphism between the object  $(\mathbb{Z} \stackrel{id}{\leftarrow} \mathbb{Z} \stackrel{id}{\leftarrow} \mathbb{Z}...)$  and the object  $(\mathbb{Z}/2\mathbb{Z} \twoheadleftarrow \mathbb{Z}/4\mathbb{Z} \twoheadleftarrow \mathbb{Z}/8\mathbb{Z}...)$ , and analyse its properties.

In order to show that a functor F is not right exact, it suffices to exhibit an epimorphism f such that F(f) is not an epimorphism.



Its image under the functor  $\varprojlim$  is the morphism of abelian groups  $\mathbb{Z} \to \mathbb{Z}_2$  (the inclusion of the integers into the 2-adic integers). The latter is not be an epimorphism.

Consider the derived functors  $\lim^{i} := R^{i}(\lim)$  of the inverse limit functor

$$\underbrace{\lim} : (M_1 \xleftarrow{f_1} M_2 \xleftarrow{f_2} M_3 \xleftarrow{f_3} \ldots) \mapsto (\underbrace{\lim} M_i).$$

[You may assume the knowledge that the inverse limit functor is left exact] Assuming the knowledge that the functors  $\lim^i$  for  $i \ge 1$  yield zero when evaluated on the object  $(\mathbb{Z} \stackrel{id}{\leftarrow} \mathbb{Z} \stackrel{id}{\leftarrow} \mathbb{Z} \dots)$ , compute the value of

$$\lim^{1} (\mathbb{Z} \stackrel{\cdot^{2}}{\longleftarrow} \mathbb{Z} \stackrel{\cdot^{2}}{\longleftarrow} \mathbb{Z} \stackrel{\cdot^{2}}{\longleftarrow} \dots).$$

The short exact sequence

$$0 \to (\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \dots) \to (\mathbb{Z} \stackrel{i^d}{\leftarrow} \mathbb{Z} \stackrel{i^d}{\leftarrow} \mathbb{Z} \dots) \to (\mathbb{Z}/2\mathbb{Z} \twoheadleftarrow \mathbb{Z}/4\mathbb{Z} \twoheadleftarrow \mathbb{Z}/8\mathbb{Z} \twoheadleftarrow \dots) \to 0$$

yields a long exact sequence of derived functors

$$0 \to \varprojlim (\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \dots) \to \varprojlim (\mathbb{Z} \stackrel{i^d}{\leftarrow} \mathbb{Z} \stackrel{i^d}{\leftarrow} \mathbb{Z} \dots)$$
$$\to \varprojlim (\mathbb{Z}/2\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z}/4\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z}/8\mathbb{Z} \stackrel{i^2}{\leftarrow} \dots)$$
$$\to \lim^1 (\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \dots) \to 0$$

which reads

$$0 \to 0 \to \mathbb{Z} \to \mathbb{Z}_2 \to ? \to 0$$

It follows that  $\lim^1(\mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \mathbb{Z} \stackrel{i^2}{\leftarrow} \dots) = \mathbb{Z}_2/\mathbb{Z}.$ 

**Exercise 24.** Given a possibly non-abelian group G, the nth homology group of G with coefficients in an abelian group A is defined to be the nth Tor-group  $\operatorname{Tor}_{n}^{\mathbb{Z}[G]}(\mathbb{Z}, A)$ . (Here,  $\mathbb{Z}[G]$  denotes the group algebra of G i.e., the free abelian group on the elements of G, equipped with the ring structure inherited from the multiplication in G).

Here, both  $\mathbb{Z}$  and A are equipped with the action of  $\mathbb{Z}[G]$  in which all the generators of G act trivially.

Let G be the cyclic group of order four, so that  $\mathbb{Z}[G] = \mathbb{Z}[x]/(x^4 - 1)$ . Compute the group homology  $H_i(G,\mathbb{Z})$  for all i.

The group algebra  $\mathbb{Z}[G]$  is the same as the ring  $\mathbb{Z}[x]/(x^4-1)$ . So, by definition,  $H_i(G,\mathbb{Z}) = \operatorname{Tor}_i^R(\mathbb{Z},\mathbb{Z})$ .

A free resolution of  $\mathbb{Z}$  is given by

 $R \xrightarrow{1 \mapsto 1 + x + x^2 + x^3} R \xrightarrow{1 \mapsto 1 - x} R \xrightarrow{1 \mapsto 1 + x + x^2 + x^3} R \xrightarrow{1 \mapsto 1 - x} R \to \mathbb{Z}$ 

Removing the last term and tensoring by  $\mathbb{Z}$ , we get

 $\dots \mathbb{Z} \xrightarrow{1+x+x^2+x^3} \mathbb{Z} \xrightarrow{1-x} \mathbb{Z} \xrightarrow{1+x+x^2+x^3} \mathbb{Z} \xrightarrow{1-x} \mathbb{Z} \to 0$ 

which is

 $\ldots \mathbb{Z} \xrightarrow{4} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{4} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \to 0$ 

So the homology is  $\mathbb{Z}$  in degree zero,  $\mathbb{Z}/4$  is odd degrees, and zero otherwise.

**Exercise 25.** Compute the structure of the graded ring  $\operatorname{Ext}_{\mathbb{Z}}^*(\mathbb{Z}/2, \mathbb{Z}/2)$ . Compute the structure of the graded ring  $\operatorname{Ext}_{\mathbb{Z}/8}^*(\mathbb{Z}/4, \mathbb{Z}/4)$ .