

# Chapter 4 Penrose Carter diagrams<sup>①</sup>

(1964-1965)

(see for example MTW §34.1)

The Penrose-Carter diagrams are spacetime diagrams which are useful for

- ① study the asymptotic properties of spacetime and fields (eg  $g, R, F_{ab}, \dots$ ) near "infinity" (not enough to study spatial infinity also need to discuss future infinity, etc)

Key: find coordinates which "bring in" infinity into a finite distance

For asymptotically flat spacetimes we have

$i^\pm$  F(P)-TL infinity:  $t \rightarrow \pm\infty$ , finite radius  $r$   
(TL curves extend towards this region)

$i^0$  SL infinity:  $r \rightarrow \infty$ , finite  $t$   
(SL curves extend towards this region)

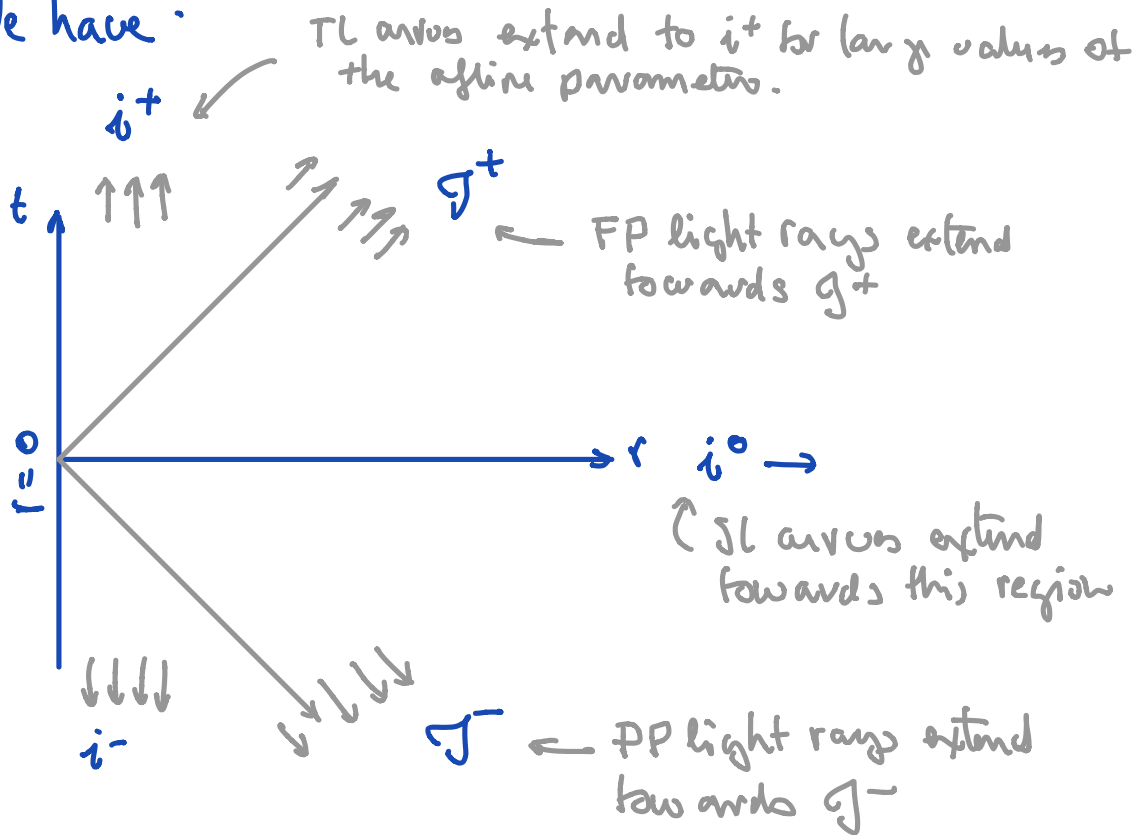
$\mathcal{I}^\pm$  F(P)-Null infinity:  $t \pm r \rightarrow \pm\infty$   
 $t \mp r$  finite

(+ outgoing Null curves extend towards  $\mathcal{I}^+$   
- incoming " " " "  $\mathcal{I}^-$ )

For example: consider flat spacetime with coordinates  $(t, r, \theta, \phi)$  and metric

$$ds^2 = -dt^2 + dr^2 + r^2 d\Omega^2$$

We have:



② study the causal structure of space-time

key: conformal transformations

③

Definition: A conformal transformation is a map

$$(M, g) \longrightarrow (M, \tilde{g})$$

such that  $g_{ab}(x) = \Lambda^2(x) \tilde{g}_{ab}(x)$

where  $\Lambda(x) \neq 0 \forall x$ ,  $\Lambda(x)$  smooth.

Key fact: conformal transformations do not change the causal structure of space-time.

In fact, if  $V$  is a vector on  $M$ , then

$$\tilde{g}(V, V) = \Lambda^2 g(V, V)$$

As  $\Lambda^2 > 0$ , if  $V$  is TL-N-SL with respect to  $g$ , then it is TL-N-SL (respectively) with respect to  $\tilde{g}$ .

Note however that geodesics of  $g$  are not necessarily geodesics of  $\tilde{g}$  unless they are null (exercise)

Solution to the exercise:

④

Consider an affinely parametrized geodesic in  $(M, g)$  with tangent vector  $V$

$$V^a \nabla_a V^b = 0$$

Then, with respect to  $\tilde{g}$

$$V^a \tilde{\nabla}_a V^b = V^a (\partial_a V^b + \tilde{\Gamma}^b_{ac} V^c)$$

$$\begin{aligned} \tilde{\Gamma}^b_{ac} &= \frac{1}{\Omega} \Omega^{-2} g^{bd} (\partial_a (\Omega^2 g_{cd}) + \partial_c (\Omega^2 g_{ad}) - \partial_d (\Omega^2 g_{ac})) \\ &= \Gamma^b_{ac} + \gamma^b_{ac} \end{aligned}$$

$$\text{where } \gamma^b_{ac} = \frac{1}{\Omega} \Omega^{-2} (\delta_c^b \partial_a \Omega^2 + \delta_a^b \partial_c \Omega^2 - g^{bd} g_{ac} \partial_d \Omega^2)$$

Then

$$\begin{aligned} V^a \tilde{\nabla}_a V^b &= V^a \nabla_a V^b + V^a V^c \gamma^b_{ac} \\ &= \frac{1}{\Omega} \bar{\Omega}^2 (2V^a V^b \partial_a \Omega^2 - g(V, V) g^{bd} \partial_d \Omega^2) \\ &= (\bar{\Omega}^2 V^a \partial_a \Omega^2) V^b - g(V, V) g^{bd} \bar{\Omega}^2 \partial_d \Omega^2 \end{aligned}$$

Then the curve is not a geodesic (wrt  $\tilde{g}$ )

unless  $g(V, V) = 0$  i.e. unless it is null

(in which case it is a null geodesic wrt  $g$  but not necessarily affinely parametrized)

To construct the Penrose-Carter diagrams we ⑦

① Find a coordinate transformation of  $(M, g)$  to bring in infinity (compactify) to a finite coordinate distance.

As a consequence: we get a new metric which is not regular at "infinity".

② To resolve this problem, we perform a conformal transformation

at  $\tilde{g}$  is  $g \rightarrow \tilde{g}$   
st  $\tilde{g}$  is now regular on the "edges"

③ Now we add the points at infinity to get a new space-time  $(\tilde{M}, \tilde{g})$

This new space is called the conformal compactification of  $(M, g)$ .

The Penrose-Carter diagram is a space-time diagram of the conformal compactification of  $(M, g)$

## Example: flat Minkowski space-time

①

coordinates  $(t, r, \theta, \phi)$

metric  $ds^2 = -dt^2 + dr^2 + r^2 d\Omega^2$

light cone coordinates:  $u = t - r$   
 $v = t + r$

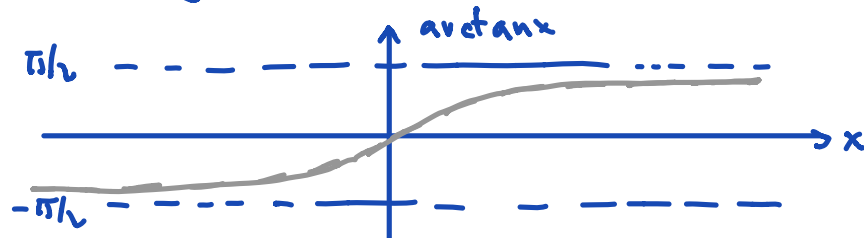
let  $(\tilde{u}, \tilde{v})$  be new coordinates st

$$u = \tan \tilde{u} \quad \text{so} \quad -\frac{\pi}{2} < \tilde{u} < \frac{\pi}{2}$$

$$v = \tan \tilde{v} \quad \text{so} \quad -\frac{\pi}{2} < \tilde{v} < \frac{\pi}{2}$$

constraint:  $r \geq 0 \Leftrightarrow v \geq u \Leftrightarrow \underline{\tilde{v} \geq \tilde{u}}$

Remark: graph of arctan



$\arctan x$  maps the real line to a finite open interval (note that  $\tan(\pm\frac{\pi}{2}) = \pm\infty$  do not belong to space-time)

7

Metric in coordinates  $(\tilde{u}, \tilde{v}, \phi, \theta)$

$$ds^2 = \frac{1}{(2 \cos \tilde{u} \cos \tilde{v})^2} (-4 d\tilde{u} d\tilde{v} + \sin^2(\tilde{u} - \tilde{v}) d\Omega^2)$$

diverges as  $u, v \rightarrow \pm \infty$   
 ie as  $\tilde{u}, \tilde{v} \rightarrow \pm \frac{\pi}{2}$

Conformal transformation:

$$\begin{aligned} d\tilde{s}^2 &= (2 \cos \tilde{u} \cos \tilde{v})^2 ds^2 \\ &= -4 d\tilde{u} d\tilde{v} + \sin^2(\tilde{u} - \tilde{v}) d\Omega^2 \end{aligned}$$

$\tilde{g}$  is regular at infinity, ie at  
 $\tilde{u}, \tilde{v} = \pm \frac{\pi}{2}$

Now "bring in infinity": that is  
 extend coordinates  $-\frac{\pi}{2} \leq \tilde{u} \leq \frac{\pi}{2}$   
 $-\frac{\pi}{2} \leq \tilde{v} \leq \frac{\pi}{2}$

Consider new coordinate

⑧

$$T = \bar{u} + \bar{v}$$

$$\text{range: } 0 \leq X \leq \pi$$

$$X = \bar{v} - \bar{u}$$

$$-\pi \leq T \leq \pi$$

$$|T| + X \leq \pi$$

$$d\tilde{s}^2 = -dT^2 + dX^2 + \sin^2 X d\Omega^2 = \Lambda^2 ds^2$$

$$(\Lambda = 2 \cos \bar{u} \cos \bar{v} = \cos T + \cos X)$$

$(\tilde{M}, \tilde{g}) \rightarrow$  conformally compactified Minkowski

(note that it has non-vanishing curvature)

$$dX^2 + \sin^2 X d\Omega^2$$

round metric of  $S^3$   
parametrized in polar  
coordinate  $(X, \theta, \phi)$

(this has constant  $\pm$  scalar curvature)

$\rightarrow$  2-spheres of constant  $X \neq 0$

have radius  $|\sin X|$

$\rightarrow X = 0, \pi$  poles of  $S^3$

$(\tilde{M}, \tilde{g}) \rightarrow$  finite portion (as  $-\pi \leq T \leq \pi$ ) of  
Einstein's static universe metric

$\rightarrow$  topology  $\mathbb{R} \times S^3$

(static universe with spherical spatial slices  
i.e.  $T = \text{constant}$  hypersurfaces)



# "Infinities"

9

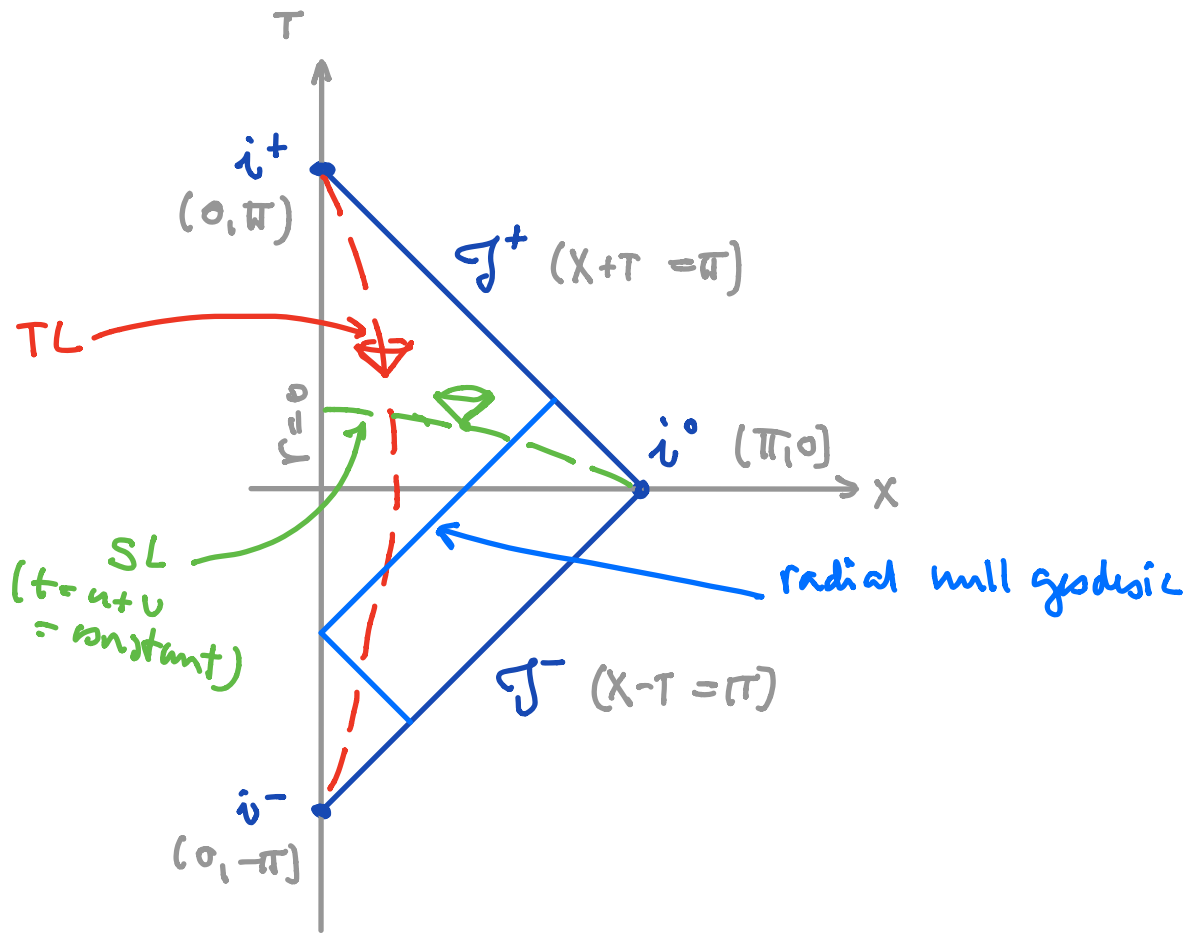
|       |   |                               |   |                       |
|-------|---|-------------------------------|---|-----------------------|
| $i^0$ | SL-infinity<br>$r \rightarrow \infty, t$ finite | $u = -\infty$<br>$v = \infty$ | $\tilde{u} = -\pi/2$<br>$\tilde{v} = \pi/2$ | $T = 0$<br>$X = +\pi$ |
|-------|---|-------------------------------|---|-----------------------|

|         |  |  |  |                          |
|---------|--|--|--|--------------------------|
| $i^\pm$ | Future (Past)<br>TL infinity<br>$t \rightarrow \pm \infty$<br>$r$ finite | $u \rightarrow \pm \infty$<br>$v \rightarrow \pm \infty$ | $\tilde{u} = \pm \pi/2$<br>$\tilde{v} = \pm \pi/2$ | $T = \pm \pi$<br>$X = 0$ |
|---------|--|--|--|--------------------------|

|                 |  |                                       |   |             |
|-----------------|--|---------------------------------------|---|-------------|
| $\mathcal{J}^+$ | Future Null<br>infinity<br>$t+r \rightarrow +\infty$<br>$t-r \rightarrow$ finite | $v \rightarrow +\infty$<br>$u$ finite | $\tilde{v} = \pi/2$<br>$-\frac{\pi}{2} < \tilde{u} < \frac{\pi}{2}$ | $T+X = \pi$ |
|-----------------|--|---------------------------------------|---|-------------|

|                 |  |                                       |  |              |
|-----------------|--|---------------------------------------|--|--------------|
| $\mathcal{J}^-$ | Past Null<br>infinity<br>$t-r \rightarrow -\infty$<br>$t+r \rightarrow$ finite | $u \rightarrow -\infty$<br>$v$ finite | $\tilde{u} = -\pi/2$<br>$-\frac{\pi}{2} < \tilde{v} < \frac{\pi}{2}$ | $T-X = -\pi$ |
|-----------------|--|---------------------------------------|--|--------------|

Penrose diagram: space-time diagram for conformally compactified Minkowski spacetime (bounded by  $r=0$ ,  $X+T = \pi$ ,  $X-T = \pi$ )



(11)

- $T = \text{constant}$  slices  
are  $S^3$  with coordinates  $(\chi, \theta, \phi)$   
and each point on the diagram  
is a  $S^2$  except at

$i^0 \rightsquigarrow$  N pole of  $S^3$  } points!  
 $i^\pm \rightsquigarrow$  S " "  $S^3$  }  
 $r=0 \rightsquigarrow$  polar coordinates are  
singular there

- $\mathcal{G}^\pm$  topology  $\mathbb{R} \times S^2$
- radial null geodesics  $\rightarrow$  lines at  $45^\circ$
- $i^0$  endpoint of SL geodesics
- $i^\pm$  Future (past) TL curves end up  
at  $i^\pm$  for large  $\pm$ ve values  
of their affine parameters

lecture #15

Example: Penrose diagram for  
Kruskal-Szekeres spacetime

(12)

Recall

$$ds^2 = -32M^2 \frac{1}{r} e^{-r/2M} du d\tilde{v} + r^2 d\Omega^2$$

$$u\tilde{v} = \left(1 - \frac{r}{2M}\right) e^{r/2M} \quad u^{-1}\tilde{v} = -e^{t/2M}$$

New coordinates:

$$\begin{aligned} u &= \tan \tilde{u} & -\frac{\pi}{2} < \tilde{u}, \tilde{v} < \frac{\pi}{2} \\ \tilde{v} &= \tan \tilde{v} \end{aligned}$$

Then:

$$\begin{aligned} ds^2 &= -32M \frac{1}{r} e^{-r/2M} \frac{d\tilde{u} d\tilde{v}}{\cos^2 \tilde{u} \cos^2 \tilde{v}} + r^2 d\Omega^2 \\ &= \frac{1}{(2 \cos \tilde{u} \cos \tilde{v})^2} \left( -4 \cdot 32M \frac{1}{r} e^{-r/2M} \right. \\ &\quad \left. + 4r^2 \cos^2 \tilde{u} \cos^2 \tilde{v} d\Omega^2 \right) \end{aligned}$$

diverges as  $\tilde{u}, \tilde{v} \rightarrow \pm \frac{\pi}{2}$   
(ie  $u, \tilde{v} \rightarrow \pm \infty$ )

13

Let

$$d\tilde{s}^2 = -4.32 M \frac{1}{r} e^{-r/2a} + 4 r^2 \omega^2 \hat{u} \omega^2 \tilde{v} d\Omega^2$$

regular at  $\hat{u}, \tilde{v} \rightarrow \pm \frac{\pi}{2}$   
 (ie  $u, v \rightarrow \pm \infty$ )

Now add the points at infinity.

$$-\frac{\pi}{2} \leq \hat{u}, \tilde{v} \leq \frac{\pi}{2}$$

(but be careful with curvature singularity at  $r=0$  which cannot be added to spacetime)

Curvature singularity  $r=0$

$$u v = 1 \text{ if } \tan \hat{u} \tan \tilde{v} = 1$$

$$\text{if } \sin \hat{u} \sin \tilde{v} = \cos \hat{u} \cos \tilde{v}$$

$$\text{if } \cos(\hat{u} + \tilde{v}) = 0$$

Then

$$\hat{u} + \tilde{v} = \pm \frac{\pi}{2}$$

$$T = \hat{u} + \tilde{v}$$

$$X = \tilde{v} - \hat{u}$$

$$\text{or } T = \pm \frac{\pi}{2}, -\frac{\pi}{2} \leq X \leq \frac{\pi}{2}$$

(these points cannot be added)

## Construct Kruskal-Szekeres Penrose diagram

Boundaries:  $X = \tilde{U} - \tilde{V}$ ,  $T = \tilde{U} + \tilde{V}$

(I)  $\tilde{U} \leq 0, \tilde{V} \geq 0$  ( $r > 2M$ )

so  $0 \leq X \leq \pi$

boundaries:  $\tilde{U}^-$  axis,  $\tilde{V}^+$  axis,

$T = \pi + X, T = -\pi - X$

event  
horizon  
 $r = 2M$

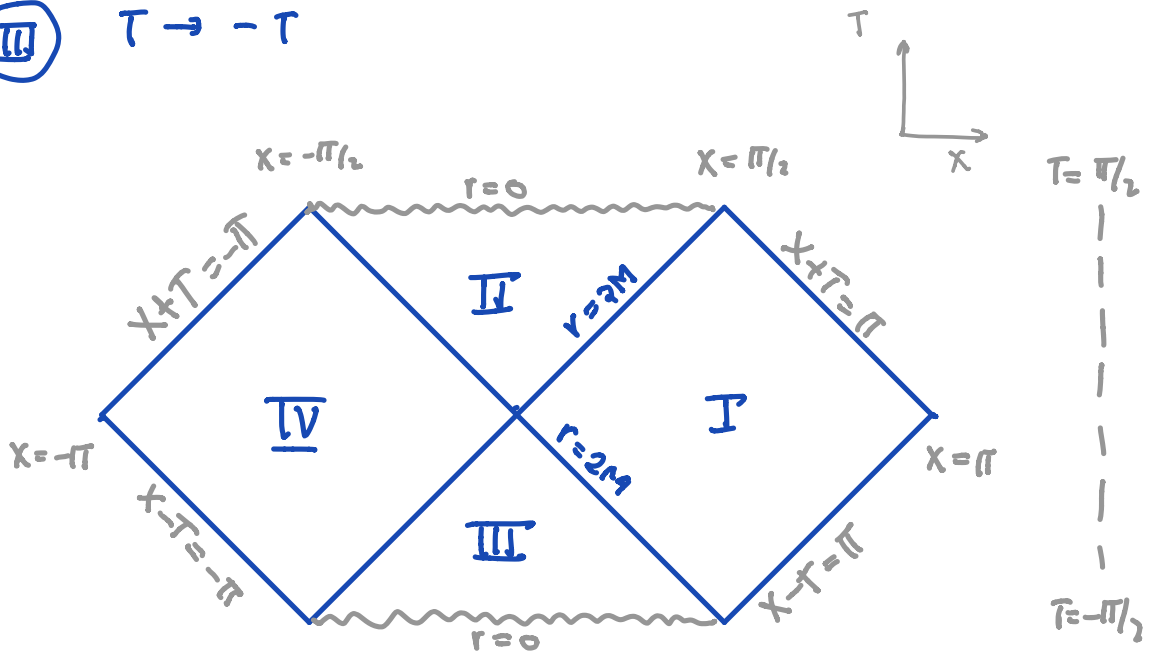
(IV)  $(\tilde{U}, \tilde{V}) \rightarrow (-\tilde{U}, \tilde{V})$

(II)  $\tilde{U} \geq 0, \tilde{V} \geq 0$  ( $0 < r \leq 2M$ )

so  $-\frac{\pi}{2} \leq X \leq \frac{\pi}{2}, 0 \leq T < \pi/2$

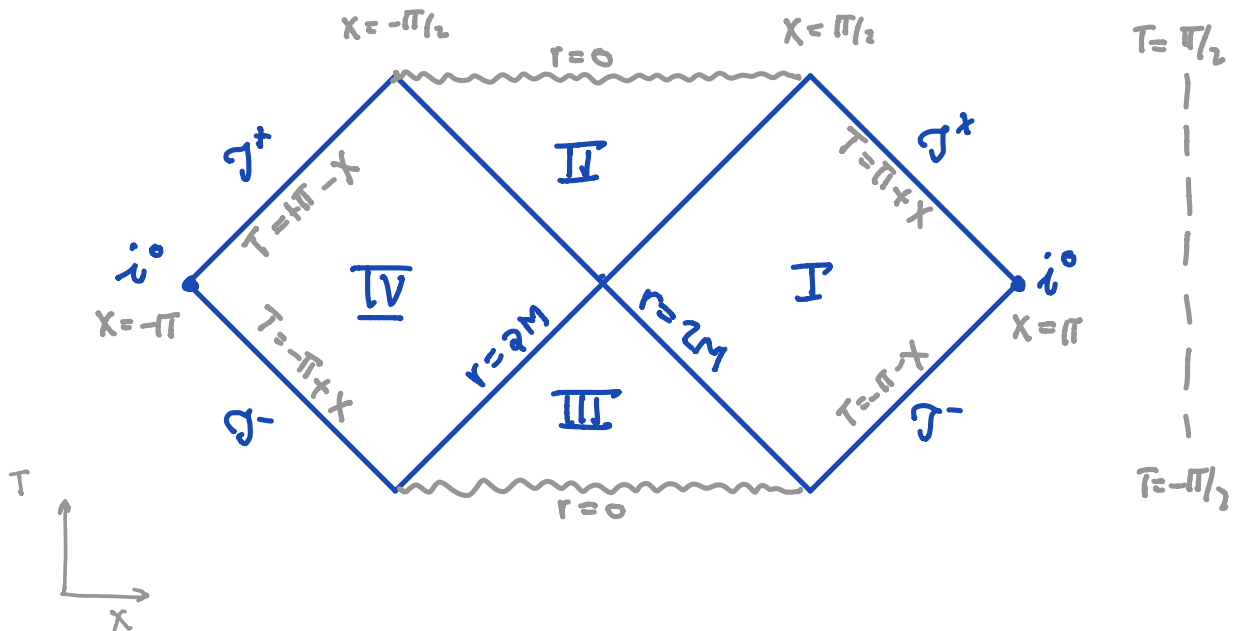
boundaries:  $\tilde{U}^+$ -axis,  $\tilde{V}^+$ -axis and  $r=0$

(III)  $T \rightarrow -T$



The KS spacetime is asymptotically flat. Hence  $(\tilde{M}, \tilde{g})$  must approach the conformally compactified Minkowski spacetime as  $r \rightarrow \infty$  (for any  $t$ ) in regions I and IV (15)

So we can add the points  $i^0$  &  $\mathcal{I}^\pm$  in both regions



What happens to  $i^\pm$  ?

(16)

$$t \rightarrow \pm \infty \quad \text{so} \quad \tan \hat{u} \rightarrow 0$$

$$\quad \quad \quad \text{so} \quad \hat{u} \rightarrow 0$$

$$i^+ \quad \chi = \frac{\pi}{2} = T \quad \text{in } \textcircled{\text{I}}$$

$$i^- \quad \chi = \frac{\pi}{2} = -T$$

$$\text{In } \textcircled{\text{IV}} \quad \chi = -\frac{\pi}{2} \quad i^\pm = \pm \frac{\pi}{2}$$

$i^\pm$  cannot be added as they meet the singularity  $r=0$

