

Solution of exercise 1.2 of sheet 2*

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Lemma 1.1. *Let Z be a scheme and let (V_j) be a finite open covering of Z . Suppose that all the V_j are quasi-compact. Then Z is quasi-compact. In particular, Z is quasi-compact if and only if it has a finite open affine cover.*

Proof. Let (O_j) be an open covering of Z . For each k , there is a finite subfamily O_{j_1}, \dots, O_{j_l} such that the open sets $(O_{j_r} \cap V_k)_{r \in \{1, \dots, l\}}$ covers V_k . Since (V_k) is a finite family, the family O_{j_1}, \dots, O_{j_l} may be taken independent of k . The family O_{j_1}, \dots, O_{j_l} then covers Z . Since (O_j) was arbitrary, we have shown that Z is quasi-compact.

Thus, since affine schemes are quasi-compact, we have shown that if Z has a finite open affine cover, then Z is quasi-compact. Finally, if Z is quasi-compact, then it clearly has a finite open affine cover. \square

Lemma 1.2. *Let $f : X \rightarrow Y$ be a morphism of schemes. Suppose that there is (U_i) an open affine covering of Y such that $f^{-1}(U_i)$ is quasi-compact. Then for any open affine subscheme $U \subseteq Y$, $f^{-1}(U)$ is quasi-compact.*

Proof. Suppose first that Y is affine. There is then a finite open affine subcovering of (U_i) , since Y is quasi-compact, so we may suppose wlog that (U_i) is finite. Let (V_{i_k}) be a finite open affine covering of $f^{-1}(U_i)$ for each i . This exists by assumption. The finite family of the $V_{i_k} \cap f^{-1}(U) \simeq V_{i_k} \times_Y U$ is then a finite covering of $f^{-1}(U)$. Furthermore $V_{i_k} \times_Y U$ is affine because V_{i_k}, Y and U are all affine (look at the construction of the fibre product). Hence, by Lemma 1.1, we have proven the lemma when Y is affine.

In the general case let (S_{i_k}) be an open affine covering of $U \cap U_i$ for each i . By the special case proven in the last paragraph, $f^{-1}(S_{i_k})$ is quasi-compact, since S_{i_k} is an open affine subscheme of U_i, U_i is affine and $f^{-1}(U_i)$ is quasi-compact. Thus, since the S_{i_k} cover U and U is affine, we deduce from the same special case that $f^{-1}(U)$ is quasi-compact. \square

Lemma 1.3. *Let $f : X \rightarrow Y$ be a morphism of schemes. Suppose that there is (U_i) an open affine covering of Y such that $f^{-1}(U_i)$ is affine. Then for any open affine subscheme $U \subseteq Y$, the open subscheme $f^{-1}(U)$ is affine.*

Proof. Suppose first that Y is affine. For each U_i , let $(D_{f_{i_k}})$ be a covering of $U_i \cap U$ by basic open sets of Y . Then $f^{-1}(U)$ is covered by sets of the form $f^{-1}(D_{f_{i_k}})$. Furthermore, we have

$$f^{-1}(D_{f_{i_k}}) = f^{-1}(U_i) \times_Y D_{f_{i_k}} = f^{-1}(U)_{f^*(f_{i_k})}$$

and thus in particular $f^{-1}(D_{f_{i_k}})$ is affine. Notice also that by assumption the $f_{i_k}|_U$ generate the unit ideal of $\Gamma(U, \mathcal{O}_U)$. Hence the functions $f^*(f_{i_k})|_{f^{-1}(U)}$ generate the unit ideal of $\Gamma(f^{-1}(U), \mathcal{O}_X)$. Furthermore,

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a finite subfamily of the $f^{-1}(D_{f_{ik}})$ covers $f^{-1}(U)$ (since a finite subfamily of the $D_{f_{ik}}$ covers U , as U is quasi-compact) and for any two pairs of indices i_1k_1 and i_2k_2 , we have

$$f^{-1}(D_{f_{i_1k_1}}) \cap f^{-1}(D_{f_{i_2k_2}}) = f^{-1}(D_{f_{i_1k_1}}) \times_Y f^{-1}(D_{f_{i_2k_2}})$$

which is affine. We conclude that $f^{-1}(U)$ is affine by appealing to Corollary 2.39 (and complement 2.38).

In the general case, let as before (S_{ik}) be an open affine covering of $U \cap U_i$ for each i . By the special case proven in the last paragraph, $f^{-1}(S_{ik})$ is affine, since it is an open affine subscheme of U_i , U_i is affine and $f^{-1}(U_i)$ is affine. Thus by the same special case, $f^{-1}(U)$ is affine. \square

Lemma 1.4. *Let $f : X \rightarrow Y$ be a morphism of schemes. Suppose that there is an open affine covering (U_i) of Y and an open affine covering (V_{ik}) of X such that $f(V_{ik}) \subseteq U_i$ and $\mathcal{O}_X(V_{ik})$ is a finitely generated $\mathcal{O}_Y(U_i)$ -algebra.*

Then for any open affine subscheme $U \subseteq Y$, there is an open affine covering S_l of $f^{-1}(U)$ such that $\mathcal{O}_X(S_l)$ is a finitely generated $\mathcal{O}_Y(U)$ -algebra.

Proof. Let $D_{f_{ij}}$ be an open affine covering of $U_i \cap U$ by basic open sets of U . The schemes $D_{f_{ij}} \times_{U_i} V_{ik}$ are then affine and

$$\mathcal{O}_X(D_{f_{ij}} \times_{U_i} V_{ik}) = \mathcal{O}_Y(D_{f_{ij}}) \times_{\mathcal{O}_Y(U_i)} \mathcal{O}_X(V_{ik})$$

is then a finitely generated $\mathcal{O}_Y(D_{f_{ij}})$ -algebra. Now $\mathcal{O}_Y(D_{f_{ij}})$ is a finitely generated $\mathcal{O}_Y(U)$ -algebra and thus $\mathcal{O}_X(D_{f_{ij}} \times_{U_i} V_{ik})$ is a finitely generated $\mathcal{O}_Y(U)$ -algebra. Since the $D_{f_{ij}}$ cover U , this proves the lemma. \square