

# Hash Functions



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# Outline

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- 1 Definition and Notions of Security
- 2 The Merkle-damgård Transform
- 3 MAC using Hash Functions
- 4 Cryptanalysis: Generic Attacks

# Introduction

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- Informally speaking, hash functions take a long input string and output a shorter string called a *digest*.
- They are used almost everywhere in Cryptography.
- If you *imagine* that hash functions are truly random (modelled as *random oracle model*), then proving the security of some cryptographic schemes becomes achievable (e.g. RSA-OAEP).
- A debate/controversy over the soundness of the random oracle model.
- Cryptographic hash functions are much harder to design than those used to build *hash tables* in data structures.

# Notions of Security-Collision Resistance

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- Given a hash function  $H$ , it should be infeasible for any PPT algorithm to find  $x \neq x'$  s.t.  $H(x) = H(x')$ .
- Remember that the domain of  $H$  is larger than its range, therefore collisions must exist.
- We want these collisions to be hard to find.
- Keyed hash functions take as input a key  $s$  and a string  $x$ .
- This time the key is not a secret, i.e. collision resistance should hold even when this key is in the adversary's hands.
- We denote a keyed hash function by  $H^s$  for a key  $s$ .

# Keyed Hash Functions: a Definition

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## Definition

*A keyed hash function consists of two PPT algorithms  $(\text{KeyGen}, H)$  which can be defined as follows:*

- $\text{KeyGen}(1^n)$  : it takes a security parameter  $n$  and outputs a key  $s$ .*
- $H(s, x \in \{0, 1\}^*)$  : it takes a key  $s$  and a string  $x \in \{0, 1\}^*$  and outputs a string  $H^s(x) \in \{0, 1\}^{\ell(n)}$*

# Collision Resistance

Given a keyed hash function  $H$ , an adversary  $A$ , and a security parameter  $n$ , we define the collision-finding experiment  $\text{Hash}_{A,H}^{\text{coll}}(n)$  as follows:

- A key is generated by  $\text{KeyGen}$  and is given the adversary.
- Adversary's output: two strings  $x$  and  $x'$
- Experiment's output: 1 iff  $x \neq x'$  and  $H^s(x) = H^s(x')$

## Definition

*A hash function  $H$  is collision resistant if for all PPT adversaries  $A$  we have*

$$\Pr[\text{Hash}_{A,H}^{\text{coll}}(n) = 1] \leq \text{negl}(n)$$

# Hash Functions in Practice

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- They are *unkeyed* with fixed output i.e.  $H : \{0, 1\}^* \rightarrow \{0, 1\}^\ell$ .
- Theoretically speaking, you can always find a collision using a constant-time algorithm.
- However, they are computationally hard to find.
- This shouldn't affect the security proofs as long as it shows that the adversary who can break a cryptographic primitive that uses a certain hash function can *in practice* find a collision!

## Weaker Security Notions

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- *Second-preimage* or *target-collision resistant*: Given  $s$  and a uniform  $x$ , it is hard for any PPT adversary to find  $x'$  s.t.  $x \neq x'$  and yet  $H^s(x) = H^s(x')$
- *Preimage resistance* or *one-wayness*: Given  $s$  and a uniform  $y$ , it is hard for any PPT adversary to find  $x$  s.t.  $H^s(x) = y$

Note that: collision resistance  $\Rightarrow$  second preimage resistance  $\Rightarrow$  preimage resistance. (Check them!)



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# How to Design a Hash Function?

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- First, consider a collision-resistant compression function (handling only fixed-length inputs).
- Second, apply a domain extension method to deal with arbitrary-length inputs.
- This should maintain the collision-resistance property.
- Merkle-damgård transform is a very famous approach for domain extension.
- It has been used for MD5 and the SHA family.
- Theoretical implication of Merkle-damgård: if you can compress by a single bit, then you can compress by an arbitrary amount of bits!

# The Merkle-damgård Transform

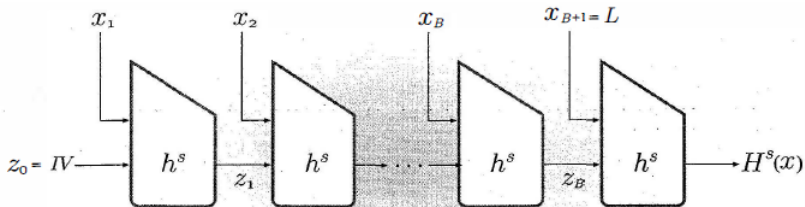
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Given a fixed-length hash function  $h$  that takes inputs  $\in \{0, 1\}^{2n}$  and outputs digests  $\in \{0, 1\}^n$ . We construct an arbitrary-length hash function as follows:

- KeyGen : No Change to it.
- $H$  : it takes a key  $s$  and a string  $x \in \{0, 1\}^*$  of length  $L < 2^n$  and does the following:
  - Set the number of blocks in  $x$  as  $B \leftarrow \left\lceil \frac{L}{n} \right\rceil$  and pad with zeros to get the following sequence of  $n$ -bit blocks, i.e.  $x_1, \dots, x_B$ . Set  $x_{B+1} \leftarrow L$ , where  $L$  is encoded as an  $n$ -bit string.
  - Set  $z_0 \leftarrow 0^n$  (also called *IV*)
  - Compute  $z_i \leftarrow h^s(z_{i-1} || x_i)$ , for  $i = 1, \dots, B + 1$ .
  - Output  $z_{B+1}$ .

# The Merkle-damgård Transform

[Katz-Lindell]



## Theorem

*If  $h$  is collision-resistant, then so is  $H$ .*

# The Merkle-damgård Transform

## Proof.

We show that a collision in  $H$  would definitely lead to a collision in  $h$ . Suppose that we have  $x \neq x'$  of length  $L$  and  $L'$  such that  $H^s(x) = H^s(x')$ . We will try to find a collision in  $h^s$ . We pad  $x$  and  $x'$  to get  $x_1, \dots, x_B$  and  $x'_1, \dots, x'_{B'}$ , and we distinguish between two cases:

- $L \neq L'$ : then  $z_B || L \neq z'_{B'} || L'$ , but since  $H^s(x) = H^s(x')$ , then  $h^s(z_B || L) = h^s(z'_{B'} || L')$  therefore a collision in  $h^s$  is found.
- $L = L'$ : in this case  $B = B'$ . One can compute both  $H^s(x)$  and  $H^s(x')$  and store all the intermediate values. Compare all the inputs to  $h^s$ , i.e.  $z_{i-1} || x_i$  and  $z'_{i-1} || x'_i$ . We know that  $x \neq x'$  but  $|x| = |x'|$  therefore there must exist an  $1 \leq j \leq B$ , for which  $x_j \neq x'_j$ . Output the pair  $z_{j-1} || x_j$  and  $z'_{j-1} || x'_j$  as a collision in  $h^s$ .



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# MAC using Hash Functions

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- A different approach to construct a MAC for arbitrary-length messages.
- The idea is simple and widely used in practice (e.g. HMAC).
- Firstly, use a collision resistant hash function  $H$  to hash an arbitrary-long message down to a fixed-length  $H^s(m)$ .
- Secondly, apply a fixed-length MAC to the digest of the hash function.

# Hash-and-MAC

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Given a message authentication code  $S_{mac} = (\text{Mac}, \text{Verify})$  for message of length  $\ell(n)$  and a hash function  $H$  with output length  $\ell(n)$ . We define a new MAC  $S'_{mac} = (\text{KeyGen}', \text{Mac}', \text{Verify}')$  for arbitrary-length messages as follows:

- $\text{KeyGen}'(1^n)$ : it takes an security parameter  $n$ , and output a uniform key  $k \in \{0, 1\}^n$  and it runs the key generator of the hash function to get  $s$ . the final key will  $(k, s)$ .
- $\text{Mac}'(k, s, m \in \{0, 1\}^*)$ : it outputs  $t \leftarrow \text{Mac}_k(H^s(m))$ .
- $\text{Verify}'(k, s, m \in \{0, 1\}^*, t)$ : it outputs 1 iff  $\text{Verify}_k(H^s(m), t) = 1$ .



# HMAC

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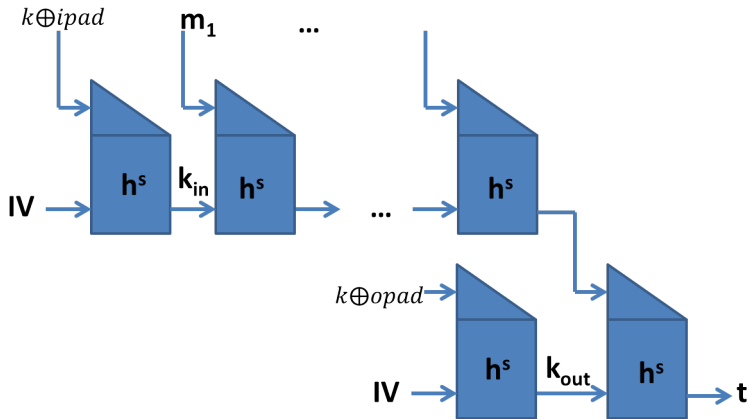
- The idea is to build a secure MAC for arbitrary-length messages **directly** from a hash function.
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# HMAC

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- The idea is to build a secure MAC for arbitrary-length messages **directly** from a hash function.
- What about defining  $\text{Mac}_k(m) = H(k||m)$ ?
- It is NOT secure, why? (exercise)
- HMAC is a secure MAC that uses two layers of hashing.

# HMAC



# HMAC

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Given a compression function  $h$  with input length  $n + n'$ . Let  $H$  be a hash function obtained from applying Merkle-Damgård transform on  $h$ . Let  $\text{opad}$  and  $\text{ipad}$  be two fixed constants of length  $n'$ . We define a MAC for arbitrary-length messages as follows:

- $\text{KeyGen}(n)$ : it runs the key generator of the hash function  $H$  to get a key  $s$ . It also chooses a uniform  $k \in \{0, 1\}^{n'}$ . It outputs  $(s, k)$
- $\text{Mac}(s, k, m \in \{0, 1\}^*)$ : it outputs

$$t \leftarrow H^s((k \oplus \text{opad}) || H^s((k \oplus \text{ipad}) || m))$$

- $\text{Verify}(s, k, m \in \{0, 1\}^*, t)$ : outputs 1 iff

$$t \stackrel{?}{=} H^s((k \oplus \text{opad}) || H^s((k \oplus \text{ipad}) || m))$$

# Analysis of HMAC

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- HMAC can be viewed as an instantiation of the hash-and-MAC technique.
- The use of keys in the inner computation allows for hash function with weaker assumptions to be used, namely hash functions that are *weakly* collision resistant (in this case, the adversary has access to a hash oracle to  $H_{k_{in}}^s()$ , where  $k_{in}$  is a secret value that replaces  $IV$ ).
- The two keys in the inner and outer computations are treated as independent and uniform keys given that  $k$  is uniform.
- For efficiency reasons, they used ipad and opad to derive two keys from  $k$ .
- HMAC is very efficient and widely used in practice.

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# Generic attacks: The Birthday Attack

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- Suppose there are  $q$  people in a room. What is the probability that two people have the same birthday?
- How many people do we need to have a probability larger than  $1/2$  ?

# Generic attacks: The Birthday Attack

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- Suppose there are  $q$  people in a room. What is the probability that two people have the same birthday?
- How many people do we need to have a probability larger than  $1/2$  ?
- Answer is **23**:

$$\Pr[\text{all distinct}] = 1 \cdot \frac{364}{365} \cdot \frac{363}{365} \cdot \dots \cdot \frac{365 - 22}{365} < \frac{1}{2}$$



# Generic attacks: The Birthday Attack

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- Suppose you choose  $q$  elements randomly in a set of  $N$  elements. What is the probability that two elements are equal?
- How large should  $q$  be with respect to  $N$  to have a probability larger than 50% ?

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- How large should  $q$  be with respect to  $N$  to have a probability larger than 50% ?
- Answer is  $q = \Theta(\sqrt{N})$ .
- Note:  $f(x) = \Theta(g(x))$  means “ $f$  grows asymptotically *as fast as*  $g$ ”.

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- Note:  $f(x) = \Theta(g(x))$  means “ $f$  grows asymptotically *as fast as*  $g$ ”.
- Let us try to solve it in a formal way...

# The Birthday Problem

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- Assume that you are throwing  $q$  balls to  $N$  bins. Let  $\text{Coll}$  denote the fact that two balls end up being in the same bin. We can show that

$$1 - e^{-q(q-1)/2N} \leq \Pr[\text{Coll}] \leq q(q-1)/2N$$

- Upper bound: Let  $\text{Coll}_i$  denote that the  $i$ -th ball falls into an already occupied bin, then  $\Pr[\text{Coll}_i] \leq (i-1)/N$  as there are at most  $i-1$  occupied bins.
- Now

$$\Pr[\text{Coll}] = \Pr\left[\bigvee_{i=1}^q \text{Coll}_i\right] \leq \sum_{i=1}^q \Pr[\text{Coll}_i] \leq 0/N + \dots + (q-1)/N = \frac{q(q-1)}{2N}$$

# The Birthday Problem

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Lower bound: Let  $\text{NoColl}_i$  denote the event of not having any collision after throwing the  $i$ -th ball. we have

$$\Pr[\text{NoColl}_i | \text{NoColl}_{i-1}] = (N - (i - 1)) / N \quad (1)$$

which is the probability of not falling in any the the previous  $i - 1$  balls with  $\Pr[\text{NoColl}_1] = 1$ .

One can write

$$\Pr[\bar{\text{Coll}}] = \Pr[\text{NoColl}_q] \quad (2)$$

But

$$\Pr[\text{NoColl}_q] = \Pr[\text{NoColl}_q | \text{NoColl}_{q-1}] \cdot \Pr[\text{NoColl}_{q-1}]$$

Eventually, we will have

$$\Pr[\text{NoColl}_q] = \prod_{i=1}^{q-1} \Pr[\text{NoColl}_{i+1} | \text{NoColl}_i] \quad (3)$$

# The Birthday Problem

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From equations (1), (2) and (3)

$$\Pr[\bar{\text{Coll}}] = \prod_{i=1}^{q-1} \left(1 - \frac{i}{N}\right) \quad (4)$$

But we have  $1 - x \leq e^{-x}$  for  $x \leq 1$ , which is the case for  $i/N$ . Thus,

$$\Pr[\bar{\text{Coll}}] \leq e^{-\sum_{i=1}^{q-1} (i/N)} = e^{-q(q-1)/2N}. \quad (5)$$

Therefore

$$\Pr[\text{Coll}] \geq 1 - e^{-q(q-1)/2N}$$

# Hash Functions: the Birthday Attack

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- How does the birthday attack apply to hash functions?
- We had a probability  $\approx 1/2$  when  $q = \Theta(N^{1/2})$ .
- If we have a hash function with output length  $\ell$ , its range will be of size  $2^\ell$ .
- Therefore, if we take  $q = \Theta(2^{\ell/2})$ , the probability of finding a collision will be  $\approx 1/2$ .
- In practice, to make finding collisions as difficult as exhaustive search over 128-bit keys, you need a hash function with output length  $\geq 256$  bits.
- This is rather a necessary but not sufficient condition!
- This attack doesn't work for preimage and second preimage resistance!

# A Better Birthday Attack

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- The original birthday attack uses lots of memory storage. It has to store  $\mathcal{O}(q) = \mathcal{O}\left(2^{\ell/2}\right)$  values.
- Managing storage for  $2^{60}$  bytes is often more difficult than executing  $2^{60}$  CPU instructions.
- Can we do better?



# A Better Birthday Attack

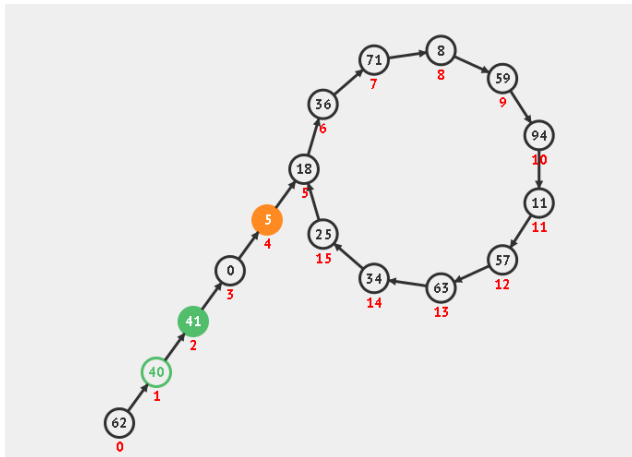
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- It is based on a cycle-finding algorithm of Floyd.
- We choose a random value  $x_0$ .
- We compute  $x_i \leftarrow H(x_{i-1})$  and  $x_{2i} \leftarrow H(H(x_{i-1}))$  for  $i = 1, 2, \dots$ , where  $x_i = H^{(i)}(x_0)$ .
- We compare  $x_i$  and  $x_{2i}$  after each iteration.
- If they are equal, then the collision happens somewhere in  $x_0, \dots, x_{2i-1}$ .
- To find the collision, we try to find the smallest value of  $j$  for which  $x_j = x_{j+i}$ . The collision will then be  $(x_{j-1}, x_{j+i-1})$ .
- The algorithm has same time complexity and success probability as the general birthday attack, but only  $\mathcal{O}(1)$  memory, namely, storage of two hashes in each iteration!

# A better Birthday Attack

Floyd's cycle finding

idea:<https://visualgo.net/bn/cyclefinding>



# A Better Birthday Attack

We describe here a small-space birthday attack. We are given a hash function  $H : \{0, 1\}^* \rightarrow \{0, 1\}^\ell$ , and we need to find  $x, x'$  s.t.  $H(x) = H(x')$ .

$x_0 \leftarrow_{\$} \{0, 1\}^{\ell+1}$

$x', x \leftarrow x_0$

**for**  $i = 1, 2, \dots$  **do**

$x \leftarrow H(x) = H^{(i)}(x_0)$

$x' \leftarrow H(H(x')) = H^{(2i)}(x_0)$

**if**  $x = x'$  **break**

$x' \leftarrow x, x \leftarrow x_0$

**for**  $j = 1 \dots i$

**if**  $H(x) = H(x')$  **return**  $x, x'$

**else**  $x \leftarrow H(x) = H^{(j)}(x_0)$

$x' \leftarrow H(x') = H^{(i+j)}(x_0)$

## Further Reading (1)

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## Further Reading (3)

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