

1. For a r.v. X with state space $\mathcal{X} = \{x_1, \dots, x_7\}$ and distribution $p_i = \mathbb{P}(X = x_i)$ given by

p_1	p_2	p_3	p_4	p_5	p_6	p_7
0.49	0.26	0.12	0.04	0.04	0.03	0.02

- (a) Find a binary Huffman code for X and its expected length.
 (b) Find a ternary Huffman code for X and its expected length.
2. Prove that
- (a) the Shannon code is a prefix code and calculate bounds on its expected length. Give an example to demonstrate that it is not an optimal code.
 (b) the Elias code is a prefix code and calculate bounds on its expected length. Is it an optimal code?
3. Prove a weaker version of the Kraft–McMillan theorem (called Kraft’s theorem) using rooted trees:
- (a) Let $c : \mathcal{X} \rightarrow \{0, \dots, d-1\}^*$ be a prefix code. Consider its code-tree and argue that $\sum d^{-|c(x)|} \leq 1$. [Note that the assumption prefix code is crucial here or the code-tree cannot be defined to begin with. In the Kraft–McMillan theorem from the lecture we only require c to be uniquely decodable].
 (b) Assume $\sum_{x \in \mathcal{X}} d^{-\ell_x} \leq 1$ with $\ell_x \in \mathbb{N}$. Show there exists a prefix code with codeword lengths $(\ell_x)_{x \in \mathcal{X}}$ by constructing a rooted tree.
4. Give yet another proof for $\sum_x d^{-|c(x)|} \leq 1$ if c is a prefix code by using the “probabilistic method”: randomly generate elements of $\{0, \dots, d-1\}^*$ by sampling i.i.d. from $\{0, \dots, d-1\}$ and consider the probability of writing a codeword of c .
5. Let X be uniformly distributed over a finite set \mathcal{X} , $|\mathcal{X}| = 2^n$ for some $n \in \mathbb{N}$. Given a sequence A_1, A_2, \dots of subsets of \mathcal{X} we ask a sequence of questions of the form $X \in A_1, X \in A_2$, etc.
- (a) We can choose the sequence of subsets. How many such questions do we need to determine the value of X ? What is the most efficient way to do so?
 (b) We now randomly (i.i.d. and uniform) draw a sequence of sets A_1, A_2, \dots from the set of all subset of \mathcal{X} . Fix $x, y \in \mathcal{X}$. Conditional on $\{X = x\}$:
- What is the probability that x and y are indistinguishable after the first k random questions?
 - What is the expected number of elements in $\mathcal{X} \setminus \{x\}$ that are indistinguishable from x after the first k questions?
6. Let $|\mathcal{X}| = 100$ and p the uniform distribution on \mathcal{X} . How many codewords are there of length $l = 1, 2, \dots$ in an optimal binary code? here are 28 codewords of length 6 and 72 of length 7. To see it, you can do the Huffman procedure by hand, or notice the following: since the distribution is uniform, the leaves of the Huffman tree can only occupy at most 2 levels. Since $2^6 = 64 < 100 < 128 = 2^7$, these two levels must be 6 and 7. Call x the number of leaves at the level 6, then the other level 6 nodes (of which there are $64 - x$) have two branches and so the total number of leaves is $100 = x + 2(64 - x)$, giving $x = 28$.
7. * (Optional) Let X be a Bernoulli r.v. with $\mathbb{P}(X = 0) = 0.995$, $\mathbb{P}(X = 1) = 0.005$ and consider a sequence X_1, \dots, X_{100} consisting of i.i.d. copies of X . We study a block code of the form $c : \{0, 1\}^{100} \rightarrow \{0, 1\}^m$ for a fixed $m \in \mathbb{N}$.

- (a) What is the minimal m such that there exists c such that its restriction to sequences $\{0, 1\}^{100}$ that contain three or fewer 1's is injective?
- (b) What is the probability of observing a sequence that contains four or more 1's? Compare the bound given by the Chebyshev inequality with the actual probability of this event.
8. * **(Optional)** Let X be a $\mathcal{X} = \{1, 2, 3, 4\}$ -valued r.v. with pmf $p(1) = 0.5$, $p(2) = 0.25$, $p(3) = 0.125$, $p(4) = 0.125$ and a code $c(1) = 0$, $c(2) = 10$, $c(3) = 110$, $c(4) = 111$. For $n \in \mathbb{N}$, we generate a sequence in \mathcal{X}^n by sampling i.i.d. from p . We then pick one bit uniformly at random from the binary encoded sequence. What is the asymptotic (as $n \rightarrow \infty$) probability that this bit equals 1?