## B4.1 Functional Analysis I MT 2018: Problem Sheet 4

Except where indicated otherwise spaces may be assumed to be over  $\mathbb{C}$ .

- 1. Let  $c_0$  be the space of all sequence which converge to zero, as usual equipped with the  $\infty$ -norm.
  - (i) Prove that  $(c_0)^* = \ell_1$ .
  - (ii) Let  $S = \{s^{(n)}, n \in \mathbb{N}\}$  be given as the set of sequences defined by

$$s^{(n)} = (0, 0, \dots, 0, n, -(n+1), 0, \dots),$$

where the entry n occurs in the nth coordinate. Use (i) to determine whether  $\operatorname{span}(S)$  is dense in  $c_0$ 

- (iii) If we regard S as a subset of the space  $\ell^2$  (equipped with its usual norm), is the span(S) dense in  $\ell^2$ .
- **2.** Let Y be a finite-dimensional subspace of a normed space X. Prove that there exists a continuous linear map  $T: X \to Y$  so that Ty = y for all  $y \in Y$ . Conclude that there exists a closed linear subspace Z such that  $X = Y \oplus Z$ . Hint: It helps to introduce a basis of Y.
- **3.** In this question assume the scalar field is  $\mathbb{R}$ .
  - (i) Consider  $X = L^1[-1, 1]$  with the usual  $L^1$  norm. Define  $\varphi \colon L^1[-, 1] \to \mathbb{R}$  by

$$\varphi(f) = \int_0^1 f(t) dt - \int_{-1}^0 f(t) dt.$$

Show that  $\varphi \in X^*$ .

Show that  $C := \{ f \mid \varphi(f) = 1 \}$  is a closed convex set containing infinitely many elements of minimum norm.

(ii) Assume X is a reflexive normed space, that is, every element of  $X^{**}$  is of the form i(x) for some  $x \in X$ , where i(x)(f) = f(x) for every  $f \in X^*$ . Prove that for each  $f \in X^*$  there exists  $x \in X$  such that

$$||x|| = 1$$
 and  $f(x) = ||f||$ .

- (iii) Deduce that C[-1,1] is not reflexive.
- **4.** Let X be a normed space and  $T \in L(X)$ . Let  $T' \in L(X^*)$  be the associated dual operator. In (iii) and (iv) assume that X is a Banach space.
  - (i) Prove that for any  $T \in L(X)$

$$\ker(T) = (T'X^*)_{\circ} \text{ and } \overline{TX} = \ker(T')_{\circ}$$

- (ii) Prove that if T is invertible then T' is invertible and  $(T')^{-1} = (T^{-1})'$ .
- (iii) Now assume T' is invertible. Prove that, for all  $x \in X$ ,

$$||Tx|| \ge ||(T')^{-1}||^{-1}||x||.$$

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[Hint: You will need to make use of a consequence of HBT.] Hence prove that T is invertible.

(iv) Prove that  $\sigma(T) = \sigma(T')$ .

In the following questions you may use all properties of the spectrum encountered in the lecture/lecture notes, in particular that the spectrum is non-empty, closed and contained in the closed disc around 0 with radius  $\inf_{n\in\mathbb{N}} ||T^n||^{1/n}$ 

**5.** A linear operator  $T : \ell^1 \to \ell^1$  is defined by

$$T(x_1, x_2, x_3, \dots) = (y_1, y_2, y_3, \dots),$$
  
where  $y_k = \left(\frac{k+1}{k}\right) x_{k+1}$  for  $k \ge 1$ .

- (i) Show that T is bounded and that ||T|| = 2. Obtain an explicit formula for  $T^2x$  and, more generally, for  $T^nx$  when n is a positive integer and  $x = (x_1, x_2, x_3, \dots) \in \ell^1$ . Calculate  $||T^n||$ .
- (ii) Which complex numbers  $\lambda$  are eigenvalues of T?
- (iii) Prove that the spectrum of T is the disc  $\{\lambda \in \mathbb{C} \mid |\lambda| \leq 1\}$ .
- **6.** Let X be the space C[0,2] with the sup norm, and let

$$g(t) = \begin{cases} t & \text{if } 0 \leqslant t \leqslant 1, \\ 1 & \text{if } 1 < t \leqslant 2. \end{cases}$$

Define  $T \in L(X)$  by

$$(Tf)(t) = g(t)f(t), f \in C[0,2], t \in [0,2].$$

Find ||T||,  $\sigma_p(T)$  and  $\sigma(T)$ .

- 7. Let  $T: \ell^{\infty} \to \ell^{\infty}$  be the right-shift operator given by  $T(x_1, x_2, x_3, \ldots) = (0, x_1, x_2, x_3, \ldots)$ .
  - (i) Prove that  $\sigma_{p}(T) = \emptyset$ .
  - (ii) Let  $|\lambda| < 1$ . Prove that  $(\lambda I T)$  does not map  $\ell^{\infty}$  onto  $\ell^{\infty}$ .
  - (iii) Deduce that  $\sigma(T) = \overline{D}(0, 1)$ ,

Optional:

Part (iii) can alternatively be obtained by recognising T as the dual L' of the left-shift operator L on  $\ell^1$ , for which the spectrum is discussed in the lecture notes. Can you also prove (i) and (ii) by making use of properties of dual operators?

**8.** Consider the operator  $T: C[0,1] \to C[0,1]$  given by

$$(Tx)(t) = \int_0^t x(s) ds \quad (t \in [0, 1]).$$

from Problem sheet 2. It is true and you may use that for every  $n \in \mathbb{N}$  we have  $T^n(x)(t) = \int_0^1 k_n(s,t)x(s)ds$  where  $k_n(s,t) = \frac{(s-t)^{n-1}}{(n-1)!}$ .

- (i) Use this to show that  $\sigma(T) = \{0\}$ . [Optional: Give an alternative, more direct proof by considering convergence of the series  $\sum_{k=0}^{\infty} \lambda^{-k} T^k$ .]
- (ii) Let  $S = (\operatorname{Id} + T)^{-1}$ . Prove that  $\sigma(S) = \{1\}$ .