

## 7. Consistency, Completeness and Compactness

### 7.1 Definition

Let  $\Gamma \subseteq \text{Form}(\mathcal{L}_0)$ .  $\Gamma$  is said to be **consistent** (or  $\mathcal{L}_0$ -consistent) if for *no* formula  $\alpha$  both  $\Gamma \vdash \alpha$  and  $\Gamma \vdash \neg\alpha$ .

Otherwise  $\Gamma$  is **inconsistent**.

**E.g.**  $\emptyset$  is consistent: by soundness theorem,  $\alpha$  and  $\neg\alpha$  are never simultaneously true.

### 7.2. Lemma

$\Gamma \cup \{\neg\phi\}$  is inconsistent iff  $\Gamma \vdash \phi$ .

(In part., if  $\Gamma \not\vdash \phi$  then  $\Gamma \cup \{\neg\phi\}$  is consistent).

*Proof:* ‘ $\Leftarrow$ ’:

$$\Gamma \vdash \phi \Rightarrow \left. \begin{array}{l} \Gamma \cup \{\neg\phi\} \vdash \phi \\ \Gamma \cup \{\neg\phi\} \vdash \neg\phi \end{array} \right\} \Rightarrow \Gamma \cup \{\neg\phi\} \text{ is inconsistent}$$

‘ $\Rightarrow$ ’:

$$\left. \begin{array}{l} \Gamma \cup \{\neg\phi\} \vdash \alpha \\ \Gamma \cup \{\neg\phi\} \vdash \neg\alpha \end{array} \right\} \Rightarrow_{6.11} \left. \begin{array}{l} \Gamma \cup \{\neg\phi\} \vdash_{SQ} \alpha \\ \Gamma \cup \{\neg\phi\} \vdash_{SQ} \neg\alpha \end{array} \right\}$$

$$\Rightarrow_{PC} \Gamma \vdash_{SQ} \phi \Rightarrow_{6.11} \Gamma \vdash \phi$$

□

### 7.3 Lemma

Suppose  $\Gamma$  is consistent and  $\Gamma \vdash \phi$ .  
Then  $\Gamma \cup \{\phi\}$  is consistent.

*Proof:* Suppose not, i.e. for some  $\alpha$

$$\left. \begin{array}{l} \Gamma \cup \{\phi\} \vdash \alpha \\ \Gamma \cup \{\phi\} \vdash \neg\alpha \end{array} \right\} \Rightarrow_{\text{DT}} \left. \begin{array}{l} \Gamma \vdash (\phi \rightarrow \alpha) \\ \Gamma \vdash (\phi \rightarrow \neg\alpha) \end{array} \right\} \xRightarrow{\text{MP}} \Gamma \vdash \phi$$
$$\Rightarrow \begin{array}{l} \Gamma \vdash \alpha \\ \Gamma \vdash \neg\alpha \end{array} \quad \text{\textcancel{X}}$$

□

### 7.4 Definition

$\Gamma \subseteq \text{Form}(\mathcal{L}_0)$  is **maximal consistent** if

- (i)  $\Gamma$  is consistent, and
- (ii) for every  $\phi$ , either  $\Gamma \vdash \phi$  or  $\Gamma \vdash \neg\phi$ .

**Note:** This is equivalent to saying that for every  $\phi$ , if  $\Gamma \cup \{\phi\}$  is consistent then  $\Gamma \vdash \phi$ .

*Proof:* Exercise

## 7.5 Lemma

Suppose  $\Gamma$  is maximal consistent.

Then for every  $\psi, \chi \in \text{Form}(\mathcal{L}_0)$

(a)  $\Gamma \vdash \neg\psi$  iff  $\Gamma \not\vdash \psi$

(b)  $\Gamma \vdash (\psi \rightarrow \chi)$  iff either  $\Gamma \vdash \neg\psi$  or  $\Gamma \vdash \chi$ .

*Proof:*

(a) ‘ $\Rightarrow$ ’: by consistency

‘ $\Leftarrow$ ’: by maximality

(b) ‘ $\Rightarrow$ ’: Suppose  $\Gamma \not\vdash \neg\psi$  and  $\Gamma \not\vdash \chi$

$\Rightarrow \Gamma \vdash \psi$  and  $\Gamma \vdash \neg\chi$

$\Gamma \vdash (\psi \rightarrow \chi) \Rightarrow_{\text{MP}} \Gamma \vdash \chi \ \text{\texttimes}$

‘ $\Leftarrow$ ’: Suppose  $\Gamma \vdash \neg\psi$

$\Gamma \vdash (\neg\psi \rightarrow (\psi \rightarrow \chi))$  - Problems # 2, (5)(i)

$\Rightarrow_{\text{MP}} \Gamma \vdash (\psi \rightarrow \chi)$

Suppose  $\Gamma \vdash \chi$

$\Gamma \vdash (\chi \rightarrow (\psi \rightarrow \chi))$  - Axiom A1

$\Rightarrow_{\text{MP}} \Gamma \vdash (\psi \rightarrow \chi)$

□

## 7.6 Theorem

*Suppose  $\Gamma$  is maximal consistent.  
Then  $\Gamma$  is satisfiable.*

*Proof:*

For each  $i$ ,  $\Gamma \vdash p_i$  or  $\Gamma \vdash \neg p_i$  (by maximality),  
but not both (by consistency)

Define a valuation  $v$  by

$$v(p_i) = \begin{cases} T & \text{if } \Gamma \vdash p_i \\ F & \text{if } \Gamma \vdash \neg p_i \end{cases}$$

**Claim:** for all  $\phi \in \text{Form}(\mathcal{L}_0)$ :

$$\tilde{v}(\phi) = T \text{ iff } \Gamma \vdash \phi$$

Proof by induction on the length  $n$  of  $\phi$ :

**n=1:**

Then  $\phi = p_i$  for some  $i$ , and so, by def. of  $v$ ,

$$\tilde{v}(p_i) = T \text{ iff } \Gamma \vdash p_i.$$

**IH:** Claim true for all  $i \leq n$ .

Now assume  $\text{length}(\phi) = n+1$

**Case 1:**  $\phi = \neg\psi$  ( $\Rightarrow \text{length}(\psi) = n$ )

$$\begin{aligned} \tilde{v}(\phi) = T & \text{ iff } \tilde{v}(\psi) = F & \text{tt } \neg \\ & \text{ iff } \Gamma \not\vdash \psi & \text{IH} \\ & \text{ iff } \Gamma \vdash \neg\psi & \text{7.5(a)} \\ & \text{ iff } \Gamma \vdash \phi \end{aligned}$$

**Case 2:**  $\phi = (\psi \rightarrow \chi)$

( $\Rightarrow \text{length}(\psi), \text{length}(\chi) \leq n$ )

$$\begin{aligned} \tilde{v}(\phi) = T & \text{ iff } \tilde{v}(\psi) = F \text{ or } \tilde{v}(\chi) = T & \text{tt } \rightarrow \\ & \text{ iff } \Gamma \not\vdash \psi \text{ or } \Gamma \vdash \chi & \text{IH} \\ & \text{ iff } \Gamma \vdash \neg\psi \text{ or } \Gamma \vdash \chi & \text{7.5(a)} \\ & \text{ iff } \Gamma \vdash (\psi \rightarrow \chi) & \text{7.5(b)} \\ & \text{ iff } \Gamma \vdash \phi \end{aligned}$$

So  $\tilde{v}(\phi) = T$  for all  $\phi \in \Gamma$ , i.e.  $v$  satisfies  $\Gamma$ .

□

## 7.7 Theorem

Suppose  $\Gamma$  is consistent. Then there is a maximal consistent  $\Gamma'$  such that  $\Gamma \subseteq \Gamma'$ .

*Proof:*

$\text{Form}(\mathcal{L}_0)$  is countable, say

$$\text{Form}(\mathcal{L}_0) = \{\phi_1, \phi_2, \phi_3, \dots\}.$$

Construct consistent sets

$$\Gamma_0 \subseteq \Gamma_1 \subseteq \Gamma_2 \subseteq \dots$$

as follows:  $\Gamma_0 := \Gamma$ .

Having constructed  $\Gamma_n$  consistently, let

$$\Gamma_{n+1} := \begin{cases} \Gamma_n \cup \{\phi_{n+1}\} & \text{if } \Gamma_n \vdash \phi_{n+1} \\ \Gamma_n \cup \{\neg\phi_{n+1}\} & \text{if } \Gamma_n \not\vdash \phi_{n+1} \end{cases}$$

Then  $\Gamma_{n+1}$  is consistent by 7.3 and 7.2.

Now let  $\Gamma' := \bigcup_{n=0}^{\infty} \Gamma_n$ .

*Then  $\Gamma'$  is consistent:*

Any proof of  $\Gamma' \vdash \alpha$  and  $\Gamma' \vdash \neg\alpha$  would use only finitely many formulas from  $\Gamma'$ , so for some  $n$ ,  $\Gamma_n \vdash \alpha$  and  $\Gamma_n \vdash \neg\alpha$  – contradicting the consistency of  $\Gamma_n$ .

Finally,  $\Gamma'$  is maximal (even in a stronger sense): for all  $n$ , either  $\phi_n \in \Gamma'$  or  $\neg\phi_n \in \Gamma'$ .  $\square$

Note that the proof does not make use of Zorn's Lemma.

## **7.8 Corollary**

*If  $\Gamma$  is consistent then  $\Gamma$  is satisfiable.*

*Proof:* 7.6 + 7.7  $\square$

## 7.9 The Completeness Theorem

If  $\Gamma \models \phi$  then  $\Gamma \vdash \phi$ .

*Proof:*

Suppose  $\Gamma \models \phi$ , but  $\Gamma \not\vdash \phi$ .

$\Rightarrow$  by 7.2,  $\Gamma \cup \{\neg\phi\}$  is consistent

$\Rightarrow$  by 7.8, there is some valuation  $v$  such that

$\tilde{v}(\psi) = T$  for all  $\psi \in \Gamma \cup \{\neg\phi\}$

$\Rightarrow \tilde{v}(\psi) = T$  for all  $\psi \in \Gamma$ , but  $\tilde{v}(\phi) = F$

$\Rightarrow \Gamma \not\models \phi$ : contradiction.  $\square$

## 7.10 Corollary

(7.9 Completeness + 6.5 Soundness)

$$\Gamma \models \phi \text{ iff } \Gamma \vdash \phi$$



## 7.11 The Compactness Theorem for $L_0$

$\Gamma \subseteq \text{Form}(\mathcal{L}_0)$  is satisfiable iff every finite subset of  $\Gamma$  is satisfiable.

*Proof:* ' $\Rightarrow$ ': obvious –

if  $\tilde{v}(\psi) = T$  for all  $\psi \in \Gamma$  then  $\tilde{v}(\psi) = T$  for all  $\psi \in \Gamma' \subseteq \Gamma$ .

' $\Leftarrow$ ':

Suppose every finite  $\Gamma' \subseteq \Gamma$  is satisfiable, but  $\Gamma$  is not.

Then, by 7.8,  $\Gamma$  is inconsistent, i.e.  $\Gamma \vdash \alpha$  and  $\Gamma \vdash \neg\alpha$  for some  $\alpha$ .

But then, for some *finite*  $\Gamma' \subseteq \Gamma$ :

$\Gamma' \vdash \alpha$  and  $\Gamma' \vdash \neg\alpha$

$\Rightarrow \Gamma' \models \alpha$  and  $\Gamma' \models \neg\alpha$  (by soundness)

$\Rightarrow \Gamma'$  not satisfiable: contradiction.

□