## C4.1 Further Functional Analysis – Problem Sheet 1

For classes in Week 2/3 of MT

- 1. (a) Let X, Y and Z be vector spaces and suppose that  $T: X \to Y$  and  $S: X \to Z$  are linear maps. Show that there exists a linear map  $\pi: Z \to Y$  such that  $T = \pi \circ S$  if and only if Ker  $S \subseteq \text{Ker } T$ .
  - (b) Hence or otherwise show that if  $n \in \mathbb{N}$  and if  $f_1, \ldots, f_n$  and f are linear functionals on a vector space X, then  $f \in \text{span}\{f_1, \ldots, f_n\}$  if and only if

$$\bigcap_{k=1}^{n} \operatorname{Ker} f_{k} \subseteq \operatorname{Ker} f.$$

What can you deduce about double annihilators of finite-dimensional subspaces of  $X^*$  when X is a normed vector space?<sup>1</sup>

2. Let X be an infinite-dimensional normed space, and suppose that  $\{x_{\alpha} : \alpha \in A\}$  is a Hamel basis for X and that  $||x_{\alpha}|| = 1$  for all  $\alpha \in A$ . Given a vector  $x \in X$  which has the expansion  $x = \sum_{\alpha \in A} \lambda_{\alpha} x_{\alpha}$  we let

$$|||x||| = \sum_{\alpha \in A} |\lambda_{\alpha}|.$$

- (a) Check that  $\| \cdot \|$  defines a norm on X.
- (b) Now let X be a Banach space. Show that  $(X, \| \cdot \|)$  is not separable.
- (c) Deduce that in the Closed Graph Theorem the assumption that the codomain be complete cannot be omitted.
- 3. Let X be a vector space on which two norms  $\|\cdot\|, \|\cdot\|$  are defined, and suppose that  $\|x\| \le C \|\|x\|\|$  for some constant C > 0 and all  $x \in X$ .
  - (a) Show that if X is complete with respect to one of the two norms then it is complete with respect to the other if and only if the two norms are equivalent.
  - (b) Give an example in which  $(X, || \cdot ||)$  is complete but  $(X, || \cdot ||)$  is not.
  - (c) Give an example in which  $(X, \|\cdot\|)$  is complete but  $(X, \|\cdot\|)$  is not.
- 4. Let X be an infinite-dimensional Banach space with norm  $\|\cdot\|$ , and let  $f: X \to \mathbb{F}$ be an unbounded linear functional. Given a vector  $x_0 \in X$  such that  $f(x_0) = 1$ , consider the linear operator  $T: X \to X$  defined by

$$Tx = x - 2f(x)x_0, \quad x \in X.$$

Show that  $T^2 = I$ . Hence show that the map  $||| \cdot ||| \colon X \to [0, \infty)$  given, for  $x \in X$ , by |||x||| = ||Tx|| defines a complete norm on X which is not equivalent to  $|| \cdot ||$ .

5. Let X be a vector space and suppose that Y is a subspace of X.

 $<sup>^{1}</sup>$ We won't have covered annihilators yet, but you won't need much more than the definitions (found just before Corollary 5.8) for this question.

- (a) Construct a linear map  $P: X \to X$  such that  $P^2 = P$  and  $\operatorname{Ran} P = Y$ .
- (b) Deduce that Y is algebraically complemented in X, which is to say that there exists a further subspace Z of X such that every  $x \in X$  can be expressed uniquely as x = y + z with  $y \in Y$  and  $z \in Z$ .
- (c) Is the subspace Z in part (b) uniquely determined by Y?
- 6. Let X be a normed vector space and let Y be a subspace of X.
  - (a) Suppose that Y is finite-dimensional. Show that Y is complemented in X, and that if Z is any closed subspace of X such that  $X = Y \oplus Z$  algebraically, then X is in fact the topological direct sum of Y and Z.
  - (b) What can you say if Y has finite codimension in X? [Recall that the codimension of Y in X is the dimension of the quotient vector space X/Y.]
- 7. Let  $Y, Z \subseteq \ell^2$  be given by

$$Y = \{ (y_n) \in \ell^2 : y_{2n} = 0 \text{ for all } n \ge 1 \},\$$
  
$$Z = \{ (z_n) \in \ell^2 : z_{2n-1} = n z_{2n} \text{ for all } n \ge 1 \}.$$

- (a) Show that Y and Z are closed subspaces of  $\ell^2$  and that  $Y \cap Z = \{0\}$ .
- (b) Letting  $X = Y \oplus Z$  denote the algebraic direct sum of Y and Z, prove that X is dense in  $\ell^2$  but that  $X \neq \ell^2$ , and deduce that X is not the topological direct sum of Y and Z.
- (c) Let  $P: X \to X$  be the linear map given by P(y+z) = y for all  $y \in Y, z \in Z$ . Show directly that P is unbounded.
- 8. Let X be a normed vector space and let Y and Z be subspaces of X such that  $X = Y \oplus Z$  algebraically. Show that if Y is closed, then X is the topological direct sum of Y and Z if and only if the restriction  $\pi|_Z \colon Z \to X/Y$  of the canonical quotient map  $\pi \colon X \to X/Y$  is an isomorphism.
- 9. Let Y and Z be closed subspaces of a Banach space X with  $Y \cap Z = \{0\}$ . Equip the algebraic direct sum  $Y \oplus Z$  with the  $\ell^1$ -norm: |||y + z||| = ||y|| + ||z||.
  - (a) Show that  $\|\|\cdot\|\|$  is complete on  $Y \oplus Z$ .
  - (b) Show that the following are equivalent:
    - i.  $\|\cdot\|$  is equivalent to the original norm on  $Y \oplus Z$  (as a subspace of X);
    - ii.  $Y \oplus Z$  is closed in X;
    - iii. Y is complemented by Z in Y + Z (so  $Y \oplus Z$  is a topological direct sum).<sup>2</sup>

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<sup>&</sup>lt;sup>2</sup>Insertion 'by Z' added 22 Oct 19; thanks to the student who pointed this out. As an extra exercise, why does this matter? Can Y be complemented by something other than Z?