

## C3.1 Algebraic Topology

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Please be aware there are likely typos in these notes: comments/corrections are welcome!

### Course Book

- **Hatcher, Algebraic Topology** – Chp. 2 & 3

This is also freely available from the author's website. You are expected to read chapters 2 & 3.

### Other references

- Ulrike Tillmann's C3.1 notes – see course page
- The discussion of orientations in my B3.2 Geometry of Surfaces notes may be helpful.

### Other books

**Massey**, A basic course in Algebraic Topology

(Not "Algebraic Topology: An Introduction" ← does not treat homology)

MORE BASIC but full of ideas:

**Fulton**, Algebraic Topology : a first course

MORE ADVANCED:

**May**, A concise course in Algebraic Topology

**Davis & Kirk**, Lecture notes in Algebraic Topology

**Bredon**, Topology and Geometry

**Bott & Tu**, Differential forms in Algebraic Topology

Classics by Spanier, Dold, also see references in May's book

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## 0. OVERVIEW OF THE COURSE

Motivation, category theory, functors  $H_*$  and  $H^*$ : some computations  
why functors are useful: Invariance of dimension, Brouwer fixed pt thm

## 1. ALGEBRAIC PRELIMINARIES: CHAIN COMPLEXES

Graded abelian groups

chain complexes, chain maps, subcomplex, quotient complex

chain map induces map on homology

exact sequence, snake lemma: SES induces LES on  $H_*$ , naturality of LES

5-Lemma, SES splits  $\Leftrightarrow$  direct sum

## 2. $\Delta$ -COMPLEXES AND SIMPLICIAL HOMOLOGY

$\Delta^n$ ,  $n$ -simplices,  $\Delta$ -complex (structure), simplicial cx, triangulation

simplicial chain complex,  $H_*^\Delta(S^n)$ ,  $H_*^\Delta(T^2)$ , remark about orientations

$H_*^\Delta(\sqcup \text{conn. comp.}) \cong \bigoplus H_*^\Delta(\text{conn. comp.})$ ,  $H_0^\Delta(X) \cong \mathbb{Z}^{\#\text{conn. comp.}}$

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homotopy equivalence induces iso on  $H_*$ ,  $H_*(\mathbb{R}^n) = H_*(\mathbb{D}^n) = H_*(pt)$

pairs of spaces, relative homology  $H_*(X, A)$ , LES in  $H_*$  for pair

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## 5. EXCISION THEOREM AND QUOTIENTS

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MV LES,  $H_*(S^n)$

wedge sum  $X \vee Y$ , cone  $CX$ , suspension  $\Sigma X$ , connected sum  $X \# Y$

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$H_*^{CW}(D^1 \times D^1)$ ,  $H_*^{CW}(\mathbb{R}P^n)$ ,  $H_*^{CW}(S^n)$ ,  $H_*^{CW}(\Sigma g)$

$\Delta\text{-cx} \Rightarrow \text{CW cx}$ ,  $H_*^{CW}(X) \cong H_*^\Delta(X) \cong H_*(X)$ , Axioms for homology

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Local orientation, orientation, fundamental class, degree, P. duality, L. duality,  
Locally finite homology  $H_*^{lf}$ , cohomology with compact supports  $H_c^*$ , Cap product and P.D.,  
Alexander duality, Knot complements, Jordan curve thm

# 0. OVERVIEW OF THE COURSE

## Motivation

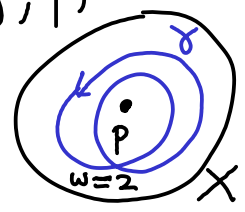
Space  $X$   $\xrightarrow{\text{associate}}$  Algebraic object  $A(X)$   
 like numbers, groups, rings, ...

Isomorphism of spaces  $X \cong Y \implies$  Isomorphism  $A(X) \cong A(Y)$

PAY-OFF Can tell spaces apart:  
 compute  $A(X), A(Y) \rightsquigarrow$  if  $A(X) \neq A(Y)$  then  $X \neq Y$

## Examples

- 1) Set  $X \longrightarrow A(X) = \#X \in \mathbb{N}$   
 (bijection  $X \rightarrow Y$ )  $\implies$  same size
  - 2) Vector space  $X \longrightarrow A(X) = \dim X \in \mathbb{N}$   
 (linear iso  $X \rightarrow Y$ )  $\implies$  same dim
  - 3) Topological Space  $X$ 
    - $\longrightarrow \# \pi_0(X) = \# \text{ path components}$
    - $\longrightarrow \# \text{ connected components}$
    - $\longrightarrow \chi(X) = \text{Euler characteristic} \in \mathbb{Z}$
- for  $X \subseteq \mathbb{R}^2$  Function  $X \times \widetilde{\mathcal{L}X} \longrightarrow \mathbb{Z}$   
 $(p, \gamma) \longmapsto w(\gamma; p)$   
 Winding number of  $\gamma$  around  $p$ .
- (Homeomorphism  $X \rightarrow Y$ )  $\longrightarrow A(X) = A(Y)$



## CONVENTION for this course:

Space = topological space  
 Map of spaces = continuous map = cts map  
 For spaces, " $\cong$ " means homeomorphism  
 "id" = identity map

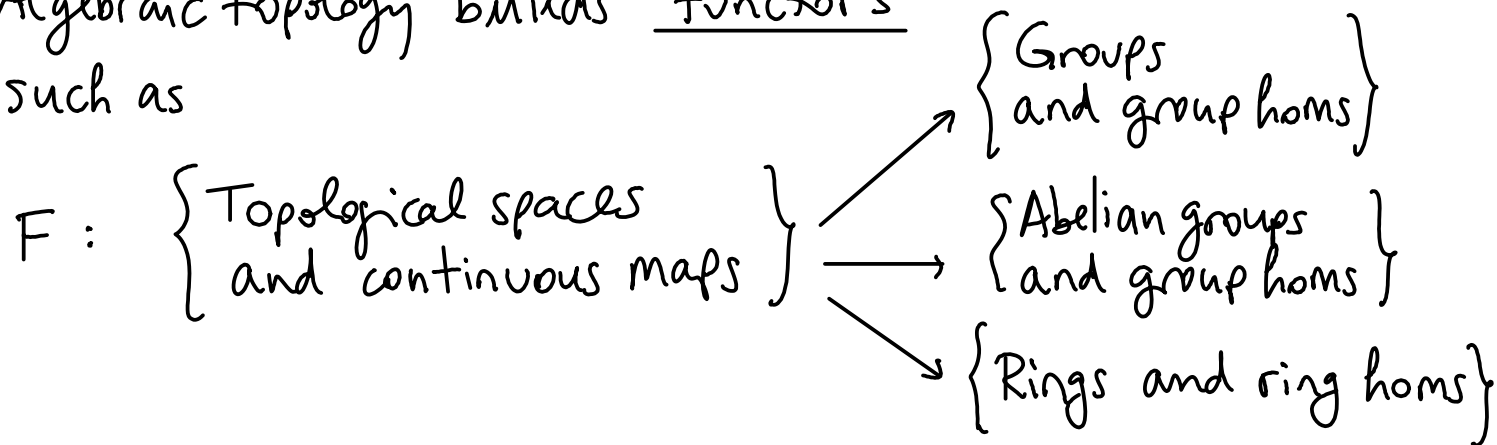
All diagrams commute unless we say otherwise, e.g.  $A \xrightarrow{\alpha} B$   
 $\begin{matrix} \delta \downarrow & & \delta \downarrow \\ C & \xrightarrow{\beta} & D \end{matrix}$  means  $\beta \circ \alpha = \delta \circ \gamma$



# Category theory is the best language to phrase all this

Algebraic topology builds functors

such as



We will not use much category theory, just basic terminology:

Def A category  $C$  consists of the data:

$Ob(C)$  = a collection of objects

$Hom(A, B)$  = a set of morphisms between any  $A, B \in Ob C$  ("arrows")

- with composition rule  $Hom(B, C) \times Hom(A, B) \xrightarrow{\circ} Hom(A, C)$  which is associative.

$$A \xrightarrow{f} B \xrightarrow{g} C$$

$$\underbrace{\hspace{10em}}_{g \circ f}$$

- with identity morphs  $id_A \in Hom(A, A)$  s.t.  $f \circ id_A = id_B \circ f = f$   
 $\forall (f: A \rightarrow B) \in Hom(A, B)$

Example Sets = { sets with all maps between sets }

Top = { topological spaces with continuous maps }

Gps = { groups with group homs }

Def A (covariant) functor  $F: C_1 \rightarrow C_2$  is the data:

- an assignment  $(A \in Ob C_1) \mapsto (F(A) \in Ob C_2)$

- an assignment  $(A \xrightarrow{f} B) \mapsto (F(A) \xrightarrow{F(f)} F(B))$   
 $Hom_{C_1}^{\uparrow}(A, B) \quad Hom_{C_2}^{\uparrow}(F(A), F(B))$

Compatible with identities and compositions.

$$F(id_A) = id_{F(A)} \quad F(g \circ f) = F(g) \circ F(f)$$

A contravariant functor is defined similarly except it reverses the

direction of arrows:  $(F(A) \xleftarrow{F(f)} F(B)) \in Hom(F(B), F(A))$

(so  $F(g \circ f) = F(f) \circ F(g)$  reverses order of compositions)

# Examples

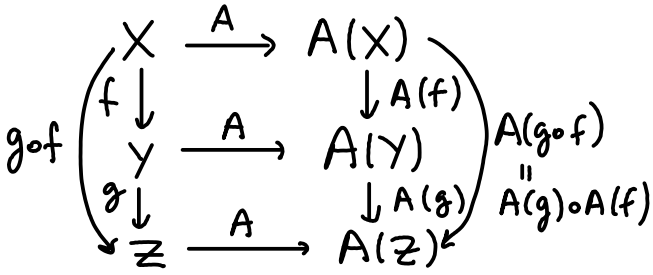
- $F: \text{Top} \rightarrow \text{Sets}, A \mapsto A, f \mapsto f$  "forget the topology and continuity"
- $F: \text{Sets} \rightarrow \text{Gps}, A \mapsto \text{free abelian group generated by } A$

$$\mathbb{Z}\langle A \rangle = \left\{ \sum_{\text{finite}} n_i \cdot a_i : a_i \in A, n_i \in \mathbb{Z} \right\}$$

$$(A \xrightarrow{f} B) \mapsto \left( F(A) : \mathbb{Z}\langle A \rangle \rightarrow \mathbb{Z}\langle B \rangle \right)$$

$$\sum n_i \cdot a_i \mapsto \sum n_i \cdot f(a_i)$$

When we say a construction is natural we mean functorial:



$A: (\text{a category of spaces}) \rightarrow (\text{a cat. of algebraic objects})$   
 The algebraic objects we assigned are assigned compatibly with maps of spaces, and the compatibility maps  $A(f)$  are also compatible w.r.t. composition.  
 So we made compatible choices in constructing  $A$ .

Not to be confused with natural transformations of functors (later) which is about relating two such constructions  $A_1, A_2$  in a compatible way

## Example of a functor in algebraic topology (see B3.5 Topology and Groups course)

$$\pi_1(X, p) = \text{Fundamental group} = \left\{ \gamma \in \mathcal{L}X \mid \gamma(1) = p \right\} / \text{Continuous deformations of loops based at } p$$

$\uparrow$  topological space  
 $\nwarrow$   $p \in X$

Group multiplication: concatenate loops  $\gamma_1 * \gamma_2$  (each travelling twice as fast)

### Examples

$\pi_1(\mathbb{R}^n) = 0$  ← deform:  $h: S^1 \times [0,1] \rightarrow \mathbb{R}^n, h(t,s) = (1-s)\gamma(t)$   
 $\pi_1(S^1) \cong \mathbb{Z}$  ← total # times wind around circle  
 $\pi_1(S^n) \cong 0 \quad n \geq 2$  (not obvious)  
 $\pi_1(\text{torus}) \cong \mathbb{Z}^2$

those loops generate  $\pi_1$

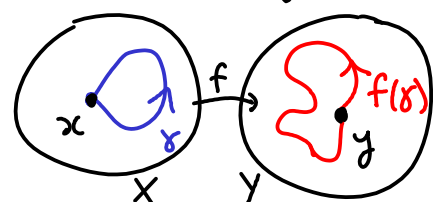
### FUNCTOR

Based Top = { Topological spaces with choice of basepoint, and continuous basepoint-preserving maps }  $\xrightarrow{\pi_1}$  Gps

$$(X, p) \mapsto \pi_1(X, p)$$

$$\left( (X, x) \xrightarrow{f} (Y, y) \right) \mapsto \left( \pi_1(X, x) \xrightarrow{f \circ \gamma} \pi_1(Y, y) \right)$$

$\gamma \mapsto f \circ \gamma$



Lemma Functors map isomorphisms to isomorphisms (iso. means  $\exists$  inverse w.r.t. composition)

Pf  $A \xrightarrow{f} B \xrightarrow{g} A \Rightarrow FA \xrightarrow{Ff} FB \xrightarrow{Fg} FA$ , similarly for  $B \xrightarrow{g} A \xrightarrow{f} B$ .  $\square$

$\xrightarrow{id} \quad \xrightarrow{F(id)=id}$

Def Natural transformation  $\alpha: F \rightarrow G$  between functors  $C_1 \xrightarrow{F} C_2$  is an association  $(A \in \text{Ob } C_1) \mapsto (\alpha_A: F(A) \rightarrow G(A))$

such that  $(A \xrightarrow{f} B) \Rightarrow \begin{array}{ccc} F(A) & \xrightarrow{\alpha_A} & G(A) \\ F(f) \downarrow & & \downarrow G(f) \\ F(B) & \xrightarrow{\alpha_B} & G(B) \end{array}$   $\in \text{Hom}_{C_2}(F(A), G(A))$  (commutes)

It is called a natural isomorphism if each  $\alpha_A$  is an isomorphism in  $C_2$

Example of a natural transformation in algebraic topology

Let  $H_1(X, p) =$  abelianisation of  $\pi_1(X, p)$  (want to identify  $ab=ba$ )  
 $\Rightarrow$  natural trans.  $(\text{Based Top} \xrightarrow{\pi_1} \text{Gps}) \xrightarrow{\alpha} (\text{Based Top} \xrightarrow{H_1} \text{Gps})$  Commutators

which associates  $(X, p) \in \text{Based Top} \mapsto (\alpha_{(X,p)}: \pi_1(X, p) \xrightarrow{\text{quotient}} H_1(X, p))$

Cultural Rmk higher homotopy groups  $\pi_n(X, p) = \left\{ \begin{array}{l} S^n \xrightarrow{\text{cts}} X \\ \text{basept} \mapsto p \end{array} \right\} / \text{cts deform}^n$

FACT abelian for  $n \geq 2$ , but hard: e.g.  $\pi_k(S^n)$  not all known.

We will not study these in this course.

We will study simpler invariants called HOMOLOGY groups  $H_n(X)$

FACT (Hurewicz)  $\exists$  natural transformation  $\pi_n \rightarrow H_n$

which will make sense at the end of course:

$f: S^n \xrightarrow{\text{cts}} X$  gives rise to a class  $f_*[S^n] \in H_n(X)$ .

Exercise to practice these notions from category theory:

Summarise your undergraduate linear algebra as follows:

1)  $\exists$  functor  $F: \underbrace{\left\{ \begin{array}{l} \mathbb{R}^n \text{ for } n \in \mathbb{N} \\ \text{Hom}(\mathbb{R}^n, \mathbb{R}^m) = \left\{ \begin{array}{l} m \times n \\ \text{matrices} \end{array} \right\} \end{array} \right\}}_{\text{Mat}} \rightarrow \underbrace{\left\{ \begin{array}{l} \text{finite dimensional real vector spaces} \\ \text{and linear maps} \end{array} \right\}}_{\text{Vect}}$

2) A choice of basis for each vector space  $V$  determines a functor  $G: \text{Vect} \rightarrow \text{Mat}$

3) Construct natural isomorphisms  $G \circ F \xrightarrow{\alpha} \text{Id}_{\text{Mat}}$ ,  $F \circ G \xrightarrow{\beta} \text{Id}_{\text{Vect}}$

When functors satisfying such natural isos exist, the categories are called equivalent (not isomorphic). So  $\text{Mat}, \text{Vect}$  are equivalent categories.

Aim of the course: build a functor

HOMOLOGY  $H_*: \text{Top} \longrightarrow \text{Graded abelian groups}$

$$\begin{array}{ccc} X & \longmapsto & H_*(X) \\ (X \rightarrow Y) & \longmapsto & (H_*(X) \rightarrow H_*(Y)) \end{array}$$

← grading  $* \in \mathbb{Z}$   
(grading preserving hom)

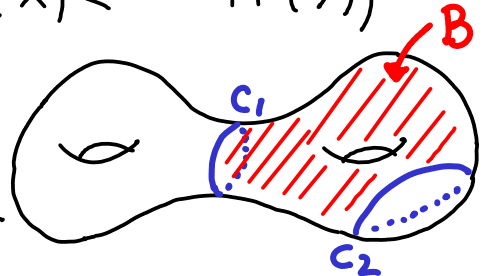
and a contravariant functor

COHOMOLOGY  $H^*: \text{Top} \longrightarrow \text{Graded rings}$

$$\begin{array}{ccc} X & \longmapsto & H^*(X) \\ (X \rightarrow Y) & \longmapsto & (H^*(X) \longleftarrow H^*(Y)) \end{array}$$

Rough idea:

$H_* X$  is generated by "nice" subspaces  $C \subseteq X$  which have no boundary:  $\partial C = \emptyset$ , modulo identify  $C_1, C_2$  if  $C_1 \cup C_2$  arises as a boundary  $\partial B$ . Call such  $C_1, C_2$  homologous.



Facts

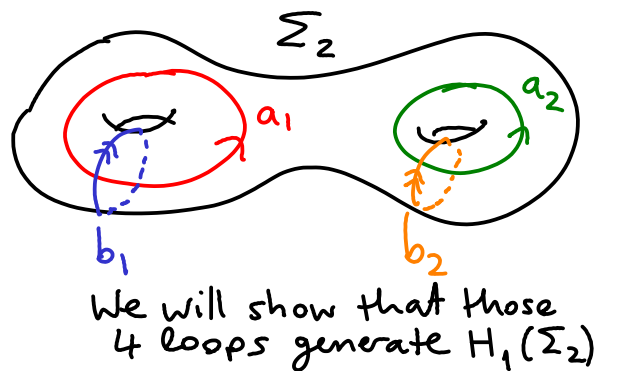
- $H_0(X) \cong \bigoplus_{\pi_0 X} \mathbb{Z}$  ←  $\pi_0 X = \{\text{path-connected components}\}$   
← generated by a point in each path-comp.
- $X = \sqcup X_i$  path-components  $\Rightarrow H_*(X) \cong \bigoplus H_*(X_i)$
- $\chi(X) = \sum_{d \geq 0} (-1)^d \text{rank } H_d(X)$   
↑ max #  $\mathbb{Z}$ -linearly independent elements

Euler characteristic

Example: compact surfaces

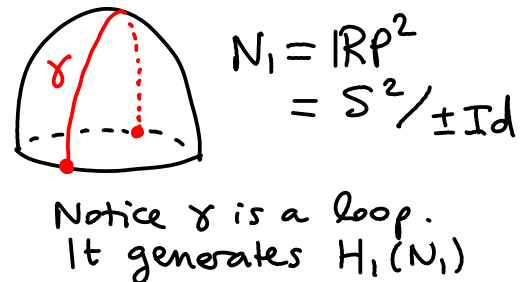
$$H_*(\Sigma_g) \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}^{2g} & * = 1 \\ \mathbb{Z} & * = 2 \\ 0 & \text{else} \end{cases}$$

↑ orientable surface  
genus  $g$   
 $\chi = 2 - 2g$



$$H_*(N_h) \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}/2 \oplus \mathbb{Z}^{h-1} & * = 1 \\ 0 & \text{else} \end{cases}$$

↑ non-orientable surface  
 $S^2$  with  $h$  Möbius bands attached  
 $\chi = 2 - h$



# Examples of homology calculations

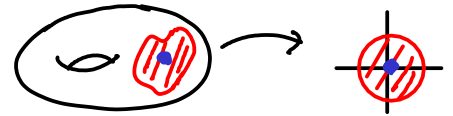
$$H_*(\mathbb{R}^n) \cong H_*(\mathbb{D}^n) \cong \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{else} \end{cases}$$

n-dimensional ball  
 $\mathbb{D}^n = \{x : \|x\| \leq 1\} \subseteq \mathbb{R}^n$

$$H_*(S^n) \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z} & * = n \\ 0 & \text{else} \end{cases}$$

$\{x \in \mathbb{R}^{n+1} : \|x\| = 1\}$  n-dim sphere

Hausdorff top. space  
 s.t. each pt has an open  
 neighbourhood homeo  
 to an open ball in  $\mathbb{R}^n$

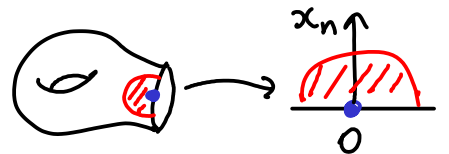


$$H_*(X) = \begin{cases} 0 & \text{for } * < 0 \\ 0 & \text{for } * > n \text{ for } \underline{n\text{-dimensional manifolds}} \\ \mathbb{Z} & \text{for } * = n \text{ for connected } \underline{\text{orientable compact manifold}} \\ 0 & \text{for } * = n \text{ for } \begin{cases} \underline{\text{non-orientable}} \\ \underline{\text{non-compact}} \\ \underline{\text{connected manifolds with boundary } \neq \emptyset} \end{cases} \end{cases}$$

boundary point has an open nbhd homeo to open  
 nbhd of  $0 \in$  half-space:  $\{x \in \mathbb{R}^n : x_n \geq 0\}$

Rmk  $M$  compact connected  
n-mfd

$$\Rightarrow H_{n-1}(M) \cong \begin{cases} \mathbb{Z}^k \text{ some } k \geq 0 & \text{if orientable} \\ \mathbb{Z}^k \oplus \mathbb{Z}/2 & \text{" " non-orientable} \end{cases}$$



$$H_*(\mathbb{R}P^n) \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}/2 & \underline{\text{odd}} * = 1, 3, 5, \dots < n \\ \mathbb{Z} & * = n \text{ if } \underline{n \text{ odd}} \\ 0 & \text{else} \end{cases}$$

$S^n / \pm \text{id}$   
real projective space

$\mathbb{R}P^n$  orientable  $\Leftrightarrow n$  odd  
 (e.g.  $\mathbb{R}P^1 \cong S^1$ )

$$H_*(\mathbb{C}P^n) \cong \begin{cases} \mathbb{Z} & \text{even } * = 0, 2, 4, \dots, 2n \\ 0 & \text{else} \end{cases}$$

e.g.  $\mathbb{C}P^1 \cong S^2$   
 stereographic projection

space of complex  
 lines through  $0 \in \mathbb{C}^{n+1}$

Complex projective space  
 $\cong (\mathbb{C}^n \setminus 0) / \mathbb{C}^* \text{-rescaling}$   
 $= \{ [z_0, z_1, \dots, z_n] : z_j \in \mathbb{C} \text{ not all } 0 \} / [z] = [\lambda z] \text{ for } \lambda \in \mathbb{C}^*$

# Examples of cohomology calculations

$$H^0(X) = \prod_{\pi_0 X} \mathbb{Z} \quad \leftarrow \text{if } \pi_0 X \text{ finite, then } \cong \bigoplus_{\pi_0 X} \mathbb{Z} \cong H_0 X$$

but if infinite then not: here allow only finite sums

$$H^*(X) \cong \prod H^*(X_i) \quad \leftarrow X_i \text{ path-components of } X$$

FACT

If  $H_n(X)$  finitely generated abelian gp, so

$$H_n(X) \cong \mathbb{Z}^{r_n} \oplus T_n \quad \leftarrow T_n = \text{torsion elements} \\ = \text{elements of finite order}$$

Then  $H^n(X) \cong \mathbb{Z}^{r_n} \oplus \underline{T_{n-1}}$  as abelian groups

$\Rightarrow H^*(\Sigma_g), H^*(\mathbb{R}^n), H^*(D^n), H^*(S^n), H^*(\mathbb{C}P^n)$  same as for  $H_*$ , but:

$H^*(N_h) = \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}^{h-1} & * = 1 \\ \mathbb{Z}/2 & * = 2 \\ 0 & \text{else} \end{cases}$	$H^*(\mathbb{R}P^2) = \begin{cases} \mathbb{Z} & * = 0 \\ 0 & * = 1 \\ \mathbb{Z}/2 & * = 2 \\ 0 & \text{else} \end{cases} \quad (h=1)$	$H_*(\mathbb{R}P^n) \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}/2 & * \text{ even} = 2, 4, \dots \leq n \\ \mathbb{Z} & * = n \text{ if } n \text{ odd} \\ 0 & \text{else} \end{cases}$
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and  $H^n(\text{non-orientable compact } n\text{-mfd}) \cong \mathbb{Z}/2$ .

$\Rightarrow$  The interesting feature is the ring structure:

$$H^*(\mathbb{C}P^n) \cong \mathbb{Z}[x]/x^{n+1}$$

$\mathbb{Z}[x]$  = polynomials in  $x$  with  $\mathbb{Z}$ -coefficients

$$H^*(S^n) \cong \mathbb{Z}[x]/x^2 \quad |x| = n$$

grading:  $|x| = 2$

$$H^*(T^n) \cong \wedge[x_1, \dots, x_n] \quad |x_i| = 1$$

exterior algebra generated by symbols  $x_i$  with  $i_1 < \dots < i_k$

product given by  $\wedge$  using relations  $x_i \wedge x_j = -x_j \wedge x_i$ .

e.g.  $n=2$  (torus)  $\begin{cases} \mathbb{Z} \cdot 1 & * = 0 \\ \mathbb{Z}x_1 \oplus \mathbb{Z}x_2 & * = 1 \\ \mathbb{Z}x_1 \wedge x_2 & * = 2 \\ 0 & \text{else} \end{cases}$

$$H^*(\mathbb{R}P^{2n}) \cong \mathbb{Z}/2[x]/x^{n+1} \quad |x| = 2$$

$$H^*(\mathbb{R}P^{2n+1}) \cong \mathbb{Z}/2[x]/x^{n+1} \oplus \mathbb{Z}[-2n-1]$$

means: a copy of  $\mathbb{Z}$  in degree  $2n+1$

$$H^*(\Sigma_g) \cong \wedge[a_1, \dots, a_g, b_1, \dots, b_g] / \langle a_i b_j \text{ for } i \neq j, a_i b_i - a_j b_j, a_i a_j, b_i b_j \rangle$$

$|a_i| = |b_i| = 1$

exterior alg. instead of poly. alg since  $a_i b_i = -b_i a_i$

## Why more information?

connected sum: remove a ball in each, glue along  $\partial$  ball

$$S^2 \times S^2 \text{ and } \mathbb{C}P^2 \# \mathbb{C}P^2 \text{ have same } H_* = \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z} \oplus \mathbb{Z} & * = 2 \\ \mathbb{Z} & * = 4 \end{cases}$$

but the rings  $H^*$  are not iso, hence  $S^2 \times S^2 \not\cong \mathbb{C}P^2 \# \mathbb{C}P^2$ .



# Example of why such functors are useful

Suppose  $\exists F_* : \text{Top} \rightarrow \text{Gps}$  functors s.t.

- ①  $F_*(S^n) \neq 0 \iff * = n$  and
- ②  $F_*(D^n) = 0$  all  $*$

Rmk We'll build such an  $F_*$ : reduced homology  $\tilde{H}_*$

s.t.  $\tilde{H}_* = H_*$  for  $* \neq 0$ , and  $\tilde{H}_0 \cong \mathbb{Z}^{\#(\text{path-components})-1}$

## Theorem Invariance of dimension

$$\begin{aligned} S^n \cong S^m &\iff n=m \\ \mathbb{R}^n \cong \mathbb{R}^m &\iff n=m \end{aligned}$$

by ①

"homeomorphisms preserve dimension"  
 Non-trivial result because there are space-filling curves. e.g. Peano (1890)  
 $\exists$  cts surjection  $[0,1] \rightarrow [0,1]^2$   
 interval  $\parallel$  square  
 The theorem implies this is not injective. (cts. bij. compact  $\rightarrow$  Hausdorff)  $\implies$  homeo

Pf Lemma  $\implies F_n(S^n \xrightarrow{\cong} S^m)$  is iso  $F_n(S^n) \xrightarrow{\cong} F_n(S^m)$  of gps.

If  $\mathbb{R}^n \xrightarrow{\cong} \mathbb{R}^m$ , then can extend  $\varphi$  to the one-point compactifications:  $S^n \cong \mathbb{R}^n \cup \{\infty\} \xrightarrow{\cong} \mathbb{R}^m \cup \{\infty\} \cong S^m$ ,  $\infty \mapsto \infty$ .  $\square$

↑ ("Alexandroff extension")  $\nearrow$  stereographic projection  $(x_0, \dots, x_n) \mapsto \frac{(x_1, \dots, x_n)}{1-x_0}$

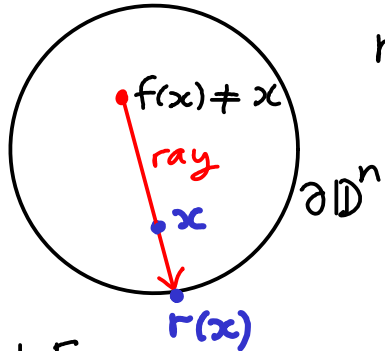
Rmk new open neighbourhoods at  $\infty$  are  $\{\infty\} \cup (\mathbb{R}^n \setminus C)$  where  $C$  is (closed & compact).

The extended map is cts since  $\varphi^{-1}(C)$  is (closed & compact) since  $\varphi^{-1}$  is homeo.

## Theorem Brower fixed point thm by ① & ②

$f : D^n \rightarrow D^n$  continuous  $\implies f$  has a fixed point ( $f(p) = p$  some  $p$ )

Proof Suppose not. Let  $r(x) = (\text{ray from } f(x) \text{ to } x) \cap \partial D^n$



notice:  $\bullet r : D^n \rightarrow \partial D^n = S^{n-1}$  continuous

$\bullet r|_{\partial D^n} = \text{id}_{S^{n-1}}$

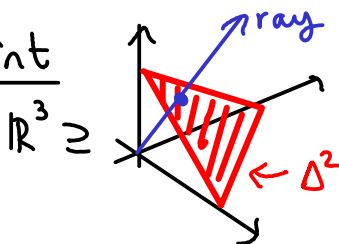
$$S^{n-1} = \partial D^n \xrightarrow{\text{inclusion } i} D^n \xrightarrow{r} S^{n-1}$$

$\underbrace{\hspace{10em}}_{r \circ i = \text{id}}$

apply  $F_{n-1} \implies F_{n-1}(r) \circ F_{n-1}(i) = \text{id} \implies F_{n-1}(i)$  injective  $F_{n-1}(S^{n-1}) \rightarrow F_{n-1}(D^n) \xrightarrow{\cong} 0 \neq 0$

Example  $A = n \times n$  matrix,  $A_{ij} > 0$  real  $\implies \exists$  evale  $\lambda > 0$  with real evector  $(v_1, \dots, v_n)$  with  $v_i \geq 0$  (Brower)

Hint



$X = \{\text{rays in "positive octant"}\} \leftarrow x \in \mathbb{R}^n : x_i > 0 \forall i$   
 notice  $AX \subseteq X$   
 notice  $X \cong \Delta^n = \{x \in \text{octant} : \sum x_i = 1\} \cong D^n$   
 $\text{ray} \mapsto \text{ray} \cap \Delta^n$



# I. ALGEBRAIC PRELIMINARIES : CHAIN COMPLEXES

## Graded abelian groups

Def A  $\mathbb{Z}$ -graded abelian group  $C$  is an abelian group together with a direct sum decomposition

$$C = \bigoplus_{n \in \mathbb{Z}} C_n \quad \leftarrow \text{abelian group}$$

Convention: always grade by  $\mathbb{Z}$  unless say otherwise.

Example  $C = \mathbb{Z}[x]$  = integer polynomials in  $x$ ,  $C_n = \mathbb{Z} \cdot x^n$  ← so grading by degree

A graded ab. gp.  $A$  is a graded subgp of  $C$  if

- subgp
- $A_n \subseteq C_n$ .

A homomorphism  $h: C \rightarrow D$  of gr. ab. gps is hom of gps s.t.

$$h(C_n) \subseteq D_n$$

A hom of degree  $k$  is hom with

$$h(C_n) \subseteq D_{n+k}$$

Shift by  $k$ :  $\mathbb{Z}$ -gr. ab. gp.  $C[k]$  with

$$C[k]_n = C_{k+n}$$

Notice:

$C[k]_0 = C_k$   
is now in degree zero, so shifted down by  $k$

⇒ Can view gr. hom of deg  $k$  as a gr. hom

$$h: C \rightarrow D[k]$$

## Abelian groups which are finitely generated

recall f.g. means  
∃ surjection  
 $\mathbb{Z}^m \rightarrow G$   
for some  $m$

FACT Finitely generated abelian groups are classified:

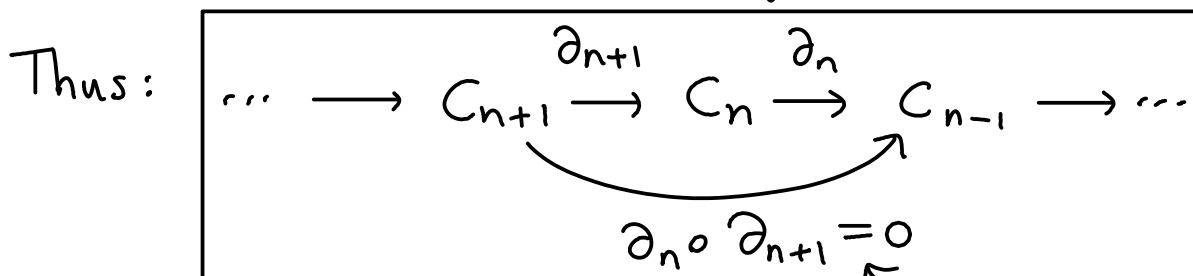
$$G \cong \underbrace{\mathbb{Z}^r}_{\text{free part}} \oplus \underbrace{\mathbb{Z}/p_1^{n_1} \oplus \dots \oplus \mathbb{Z}/p_k^{n_k}}_{\text{called rank } G} \oplus \underbrace{\dots}_{\text{torsion part}}$$

$n_i \in \mathbb{Z}$   
 $p_i$  primes (possibly not distinct)

compare finite dimensional vector spaces / field  $\mathbb{F}$ :  $V \cong \mathbb{F}^r$  ←  $r = \dim V$

# Chain complexes

Def A chain complex  $(C_*, \partial_*)$  is a gr. ab. gp.  $C$  together with a hom  $\partial$  of degree  $-1$  such that  $\partial \circ \partial = 0$ .



differential or boundary homomorph

hence  $\text{Im } \partial_{n+1} \subseteq \text{Ker } \partial_n$

n-chains = elements of  $C_n$

$B_n$

n-boundaries

$Z_n$

n-cycles

Now consider "cycles modulo boundaries":

Def The homology of  $(C_*, \partial_*)$  is the gr. ab. gp.

$$H_n(C_*, \partial_*) = \frac{\text{Ker } \partial_n}{\text{Im } \partial_{n+1}}$$

often abbreviate by  $H_*(C)$

Two cycles are called homologous if they differ by a boundary

Def A chain map  $h : (C_*, \partial_*) \rightarrow (\tilde{C}_*, \tilde{\partial}_*)$

is a graded hom such that  $h \circ \partial_* = \tilde{\partial}_* \circ h$

Example A chain subcomplex  $C_* \subseteq \tilde{C}_*$  is a graded subgp with  $\partial_* = \text{restriction of } \tilde{\partial}_* \text{ to } C_*$ .

So the inclusion  $\text{incl} : (C_*, \partial_*) \rightarrow (\tilde{C}_*, \tilde{\partial}_*)$  is a chain map.

Also get quotient complex  $\tilde{C}_*/C_*$

with  $\tilde{\partial}_* [\tilde{c}] = [\tilde{\partial}_* \tilde{c}]$  (well-defined:  $\tilde{\partial}_* C_* = \partial_* C_* \subseteq C_*$ )

Lemma A chain map induces a hom on homology

$$H_*(h) = h_* : H_*(C_*, \partial_*) \longrightarrow H_*(\tilde{C}_*, \tilde{\partial}_*)$$

$$[x] \longmapsto [h(x)]$$

Proof  $\text{Ker } \partial_n \xrightarrow{\text{hom}} \text{Ker } \tilde{\partial}_n$   
 $x \longmapsto h(x)$  since  $\tilde{\partial}(h(x)) = h(\underbrace{\partial x}_{=0}) = 0$

Need  $\text{Im } \partial_n \longrightarrow \text{Im } \tilde{\partial}_n$  to get well-defined hom

$$H_n(C) = \text{Ker } \partial_n / \text{Im } \partial_{n+1} \longrightarrow \text{Ker } \tilde{\partial}_n / \text{Im } \tilde{\partial}_{n+1} = H_n(\tilde{C})$$

Proof:  $h(b) = h(\partial c) = \tilde{\partial} h(c) \in \text{Im } (\tilde{\partial})$ .  $\square$   
 $\uparrow b = \partial c \in \text{Im } (\partial)$

The last step was a very simple example of a proof by "diagram chasing"

$$\begin{array}{ccccccc} \dots & \longrightarrow & C_{n+1} & \xrightarrow{\partial_{n+1}} & C_n & \xrightarrow{\partial_n} & C_{n-1} \longrightarrow \dots \\ & & \downarrow h_{n+1} & & \downarrow h_n & & \downarrow h_{n-1} \\ \dots & \longrightarrow & \tilde{C}_{n+1} & \xrightarrow{\tilde{\partial}_{n+1}} & \tilde{C}_n & \xrightarrow{\tilde{\partial}_n} & \tilde{C}_{n-1} \longrightarrow \dots \end{array}$$

$$\begin{array}{ccc} c & \xrightarrow{\partial} & \partial c = b \\ h \downarrow & & \downarrow h \\ hc & \xrightarrow{\tilde{\partial}} & \tilde{\partial}(hc) = h\partial c = h(b) \end{array} \quad \square$$

Def  $(C_*, \partial_*)$  is exact (or acyclic) if  $H_*(C) = 0$   
 so  $\text{Im } \partial_{n+1} = \text{Ker } \partial_n$

In general exact sequence means "Im(previous map) = Ker(next map)"

A short exact sequence (SES) is an exact sequence

$$0 \longrightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \longrightarrow 0$$

# Easy exercise

$$(0 \rightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \rightarrow 0) \text{ exact} \Leftrightarrow \begin{cases} i \text{ injective} \\ \pi \text{ surjective} \\ B/i(A) \cong C \text{ via } [b] \mapsto \pi(b) \end{cases}$$

Examples

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{\text{mod } 2} \mathbb{Z}/2 \rightarrow 0$$

$$0 \rightarrow \mathbb{Z} \xrightarrow{\text{inclusion}} \mathbb{Z} \oplus \mathbb{Z}/2 \xrightarrow{\text{project}} \mathbb{Z}/2 \rightarrow 0$$

Note A, C do not determine B.

Snake Lemma A SES of chain complexes and chain maps yields a long exact sequence (LES) on homology:

$$\boxed{\dots \rightarrow H_n(A) \xrightarrow{i_*} H_n(B) \xrightarrow{\pi_*} H_n(C) \xrightarrow{\delta} H_{n-1}(A) \xrightarrow{i_*[-1]} \dots}$$

(So exact triangle:  $H_*(A) \rightarrow H_*(B) \rightarrow H_*(C) \rightarrow H_*(A)[-1]$ )

↑ degree -1 map  
 $H_*(C) \rightarrow H_*(A)[-1]$   
 called connecting map

Pf simplify notation by identifying A with  $i(A) \subseteq B$ :  $a \in A \xrightarrow{i} B$   
 $a \equiv i(a) \in B$   
 $\partial a \equiv i \partial a = \partial i a$

$\Rightarrow$  now  $A_* \subseteq B_*$  inclusion of subcomplex:

$$0 \rightarrow (A_*, \partial_*) \xrightarrow{\text{incl}} (B_*, \partial_*) \xrightarrow{\pi} (C_*, \tilde{\partial}_*) \rightarrow 0$$

$$\begin{array}{ccccccc} 0 & \rightarrow & A_n & \rightarrow & B_n & \rightarrow & C_n \rightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & A_{n-1} & \rightarrow & B_{n-1} & \rightarrow & C_{n-1} \rightarrow 0 \end{array}$$

$$\exists b \xrightarrow{\text{surj.}} \text{cycle } c = \pi(b)$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \partial b & \rightarrow & \partial b \rightarrow \tilde{\partial} c = 0 \end{array}$$

↑ lifts to A by exactness

Define  $\delta: H_*(C) \rightarrow H_*(A)[-1]$  (typically  $b$  is not in  $A$ , so  $\partial b$  need not be a bdry in  $A$ )  
 $c \mapsto \partial b$   $\leftarrow$  where  $b \in \pi^{-1}(c)$

Well-defined?  $\cdot \pi^{-1}(c) = \{b+a: a \in A\}$  and  $\partial(b+a) = \partial b + \underbrace{\partial a}_{\text{boundary in } A}$

- cycle  $\rightarrow$  cycle:  $\partial(\partial b) = 0 \checkmark$
- boundary  $\rightarrow$  boundary:  $\exists \beta \xrightarrow{\text{surj.}} x \in C_{n+1}$   
 $\downarrow \qquad \qquad \downarrow$   
 $\partial \beta \longrightarrow \text{boundary } c = \tilde{\partial} x$   
 $\downarrow$   
 $0$

$\Rightarrow$  can pick  $b = \partial \beta$   
 $\Rightarrow \partial b = \partial \partial \beta = 0 \checkmark$

Exactness at  $H_n(C)$  (exercise: check exactness at  $H_*A, H_*B$ ):

Need  $\text{Im } \pi_* = \text{Ker } \delta$ :

$\subseteq$ :  $\delta(\pi_* b) = \partial b = 0 \checkmark$   
 $\uparrow$  cycle

$\supseteq$ :  $\exists a$   $\downarrow$   $\partial a = \delta c = \partial b$   $\rightarrow$   $\partial b \rightarrow 0$   
assumption  $\delta c = 0 \in H_*A$

$b \xrightarrow{\quad} c = \pi_* b$   $\downarrow$   $\partial b \rightarrow 0$   
 $\leftarrow$  not necessarily cycle!  $\in \text{Ker } \delta$

$\pi_*(b-a) \stackrel{\pi_* A = 0}{=} c$   
 $\partial(b-a) = \partial b - \partial a = 0$   
 thus cycle!  
 $\Rightarrow c = \pi_*(b-a) \in \text{Im } \pi_* \quad \square$

Rmk  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \rightarrow 0$  SES  $\Rightarrow$  the connecting map of LES is

$$\begin{aligned} \delta: H_*(C) &\rightarrow H_*(A)[-1] \\ c &\mapsto i^{-1}(\partial b) \end{aligned}$$

$\forall b \in B$  with  $\pi(b) = c$ .

Lemma The construction of  $\delta$  is natural (i.e. functorial)

Pf  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{\pi} C \rightarrow 0 \xrightarrow{\delta} 0$   $\xrightarrow{f} 0 \rightarrow \tilde{A} \xrightarrow{\tilde{i}} \tilde{B} \xrightarrow{\tilde{\pi}} \tilde{C} \rightarrow 0$   
all chain maps

$\begin{matrix} a \rightarrow \partial b & b \rightarrow c \\ f \downarrow & \partial \downarrow & \partial \downarrow & h \downarrow \\ fa \rightarrow g \partial b & \partial b \rightarrow hc \end{matrix} \Rightarrow \delta hc = \tilde{i}^{-1} \tilde{\partial} g b = \tilde{i}^{-1} g \partial b = fa = f \delta c \quad \square$

$\tilde{\partial} g b = \delta hc$

Exercise Deduce the LES is natural, so

$$\begin{array}{ccccccc} \dots & \rightarrow & H_* A & \xrightarrow{i_*} & H_* B & \xrightarrow{\pi_*} & H_* C & \xrightarrow{\delta} & H_{*-1}(A) & \rightarrow & \dots \\ & & f_* \downarrow & & g_* \downarrow & & h_* \downarrow & & f_* \downarrow & & \\ \dots & \rightarrow & H_* \tilde{A} & \rightarrow & H_* \tilde{B} & \xrightarrow{\tilde{\pi}_*} & H_* \tilde{C} & \xrightarrow{\delta} & H_{*-1}(\tilde{C}) & \rightarrow & \dots \end{array}$$

## 5-Lemma

$$\begin{array}{ccccccccc}
 A & \rightarrow & B & \rightarrow & C & \rightarrow & D & \rightarrow & E \\
 \cong \downarrow \alpha & & \cong \downarrow \beta & & \downarrow \gamma & & \cong \downarrow \delta & & \cong \downarrow \varepsilon \\
 A' & \rightarrow & B' & \rightarrow & C' & \rightarrow & D' & \rightarrow & E'
 \end{array}$$

exact rows  $\implies \gamma$  also iso.

Pf exercise (diagram chase)  $\square$

## Splitting Lemma

Cor  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$  SES of abelian gps

If  $B \xrightarrow{\beta} C$  s.t.  $\beta \circ \gamma = \text{id}_C$  then the SES splits:  $B \cong A \oplus C$   
(converse is obvious)

Pf

$$\begin{array}{ccccccccc}
 0 & \rightarrow & A & \rightarrow & A \oplus C & \rightarrow & C & \rightarrow & 0 \\
 \parallel & & \parallel & & \downarrow \alpha + \gamma & & \parallel & & \parallel \\
 0 & \rightarrow & A & \rightarrow & B & \rightarrow & C & \rightarrow & 0
 \end{array}$$

$\square$

Exercise If  $A \xrightarrow{\alpha} B$  s.t.  $\mu \circ \alpha = \text{id}_A$  then it splits:  $B \xrightarrow[\mu \oplus \beta]{\cong} A \oplus C$

Exercise If  $C$  is a free abelian group ( $C \cong \bigoplus_{i \in I} \mathbb{Z}$ ) then the SES splits.

Rmk A free  $\not\Rightarrow$  splits, e.g.  $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \rightarrow \mathbb{Z}/2 \rightarrow 0$

Cultural Rmk Splitting Lemma generalises the rank-nullity theorem from linear algebra:  $V \xrightarrow{\beta} W$  linear map of vector spaces  $\implies \text{Im } \beta \oplus \text{Ker } \beta \cong V$

Pf  $0 \rightarrow \text{Ker } \beta \xrightarrow{\text{incl}} V \xrightarrow{\beta} \text{Im } \beta \rightarrow 0$  is SES, and splits since  $\text{Im } \beta$  free.

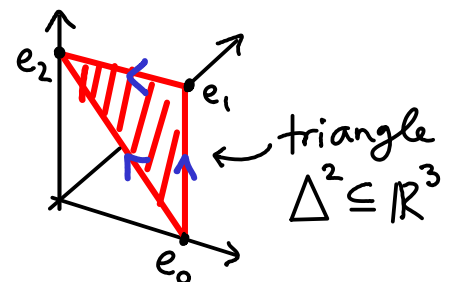
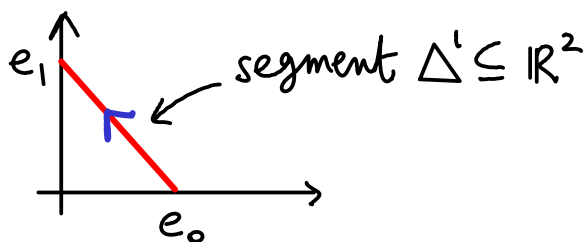
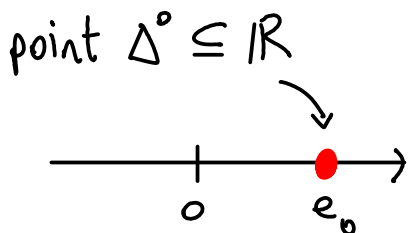
## 2. $\Delta$ -COMPLEXES AND SIMPLICIAL HOMOLOGY

Standard  
n-simplex

$$\Delta^n = \left\{ \begin{array}{l} (t_0, \dots, t_n) \in \mathbb{R}^{n+1} : t_i \geq 0 \\ \sum t_i = 1 \end{array} \right\}$$

standard basis of  $\mathbb{R}^{n+1}$   
 $e_0, \dots, e_n$  ( $e_0 = (1, 0, \dots, 0), \dots$ )

Examples



Def For  $\{v_0, \dots, v_n\} \subseteq \mathbb{R}^{n+k}$  s.t.  $\leftarrow$  any  $k \geq 0$

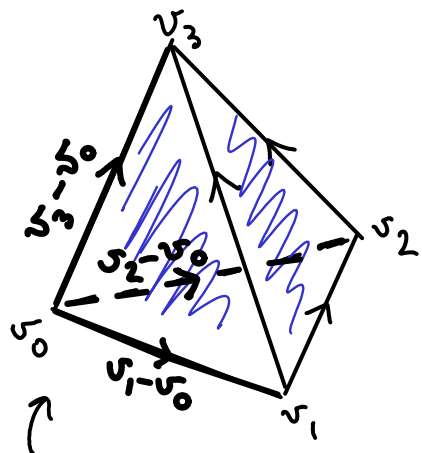
$v_1 - v_0, \dots, v_n - v_0$   $\mathbb{R}$ -linearly independent

$[v_0, \dots, v_n] = \underline{n\text{-Simplex}}$  spanned by  $v_0, \dots, v_n$

= convex hull of  $v_0, \dots, v_n$

=  $\left\{ \sum t_i v_i : t_i \geq 0 \text{ and } \sum t_i = 1 \right\}$

= Image of linear homeo  $\sigma: \Delta^n \rightarrow \mathbb{R}^{n+k}$   
 $\sigma(e_i) = v_i$   
canonical homeomorphism



(Solid prism: includes inside)

Will often blur the distinction between map  $\sigma$  and its image,

$$\sigma \equiv [\sigma e_0, \dots, \sigma e_n]$$

but the ordering of the  $v_j$  will be important (so the map  $\sigma$  is) more precise

We encode this extra data by orienting the edges  $v_i \rightarrow v_j$  if  $i < j$

Def  $d$ -dimensional faces  $[v_{i_0}, \dots, v_{i_d}]$  for  $i_0 < \dots < i_d$

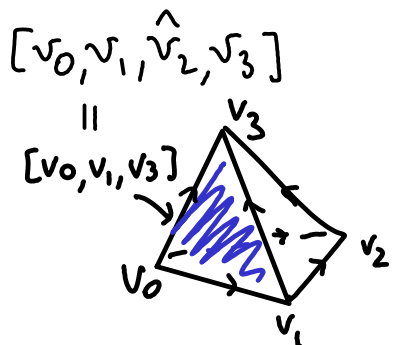
Example 0-dim faces are the vertices  $v_0, \dots, v_n$

facts =  $(n-1)$ -dimensional faces

=  $[v_0, \dots, \hat{v}_k, \dots, v_n]$  where we omit  $v_k$

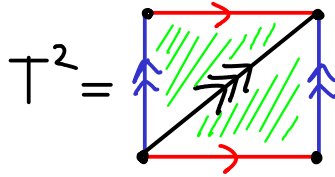
=  $\left\{ \sum t_i v_i \in [v_0, \dots, v_n] : t_k = 0 \right\}$

= Image  $\sigma|_{\Delta_k^{n-1}} : \Delta_k^{n-1} \rightarrow \mathbb{R}^{n+k}$   
 $\Delta_k^{n-1} = \{t \in \Delta^n : t_k = 0\}$





Example Can build a torus out of simplices:



1 0-simplex  $\bullet \sigma_i^0$

3 1-simplices  $\sigma_1^1 \quad \sigma_2^1 \quad \sigma_3^1$

2 2-simplices  $\sigma_1^2 \quad \sigma_2^2$

each facet is associated to another simplex, and we identify them linearly

(The simplices here are abstract simplices: don't confuse them with their images in  $T^2$ )

$$T^2 = \text{quotient space } \bigsqcup \sigma_i^n / \text{canonical homeos associated to the facets}$$

(don't confuse the abstract simplices with their images in  $T^2 = \text{quotient space}$ )

for example identify facet  $\uparrow$  of  $\sigma_1^2$  with  $\sigma_2^1$  via linear homeo (orientation-preserving)

Def  $\Delta$ -complex is determined by data

- indexing set  $I_n$ , for each  $n \in \mathbb{N}$
- choice of  $n$ -simplex  $\sigma_\alpha^n$  (not necessarily standard) for each  $\alpha \in I_n$
- gluing data: for each  $\alpha \in I_n$ ,  $0 \leq i \leq n$ , associate some  $\beta(\alpha, i) \in I_{n-1}$
- consistency condition (see later)

The  $\Delta$ -complex is the quotient space

$$X = \bigsqcup_{\substack{\alpha \in I_n \\ n \in \mathbb{N}}} \sigma_\alpha^n / \begin{array}{l} i\text{-th facet of } \sigma_\alpha^n \text{ is identified with } \sigma_{\beta(\alpha, i)}^{n-1} \\ \text{via the order-preserving canonical linear homeo} \end{array}$$

(quotient topology:  $U \subseteq X$  is open  $\Leftrightarrow U$  intersects  $\sigma_\alpha^n$  in an open set,  $\forall \alpha, n$ )

A  $\Delta$ -complex structure on a top.space  $Y$  is a homeo from a  $\Delta$ -cx  $X \cong Y$ .

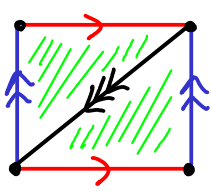
Explicit description of the facet identification

$$\begin{array}{ccc} \{\sum s_i w_i\} = [w_0, \dots, w_{n-1}] & \longrightarrow & [v_0, \dots, v_n] = \{\sum t_i v_i\} \\ \uparrow \sigma_{\beta(\alpha, i)}^{n-1} & & \uparrow \sigma_\alpha^n \\ \Delta^{n-1} & \longrightarrow & \Delta_i^{n-1} \subseteq \Delta^n \\ (s_0, \dots, s_{n-1}) & \mapsto & (s_0, \dots, s_{i-1}, 0, s_i, \dots, s_{n-1}) \end{array}$$

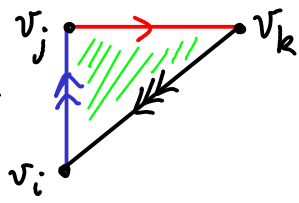
$\begin{array}{l} \cup \\ \{s_0 v_0 + \dots + s_{i-1} v_{i-1} + s_i v_{i+1} + \dots + s_{n-1} v_n\} \\ = [v_0, \dots, \hat{v}_i, \dots, v_n] \end{array}$

## Non-example

This decomposition for  $T^2$  is not a  $\Delta$ -complex.



because:



vertices are not totally ordered:

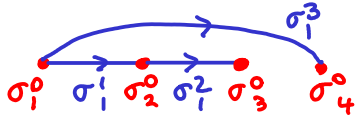
$$i < j < k < i \quad \Rightarrow$$

## Consistency condition

We want to additionally ensure that each point of  $X$  lies in the interior of exactly one  $\sigma_\alpha^n$ , because we want to avoid unexpected identifications.

Example:

$$X = \triangle$$



then glue  $\sigma_1^2 = \triangle$  via  $\sigma_1^3 \rightarrow \sigma_1^2$

notice how  $\sigma_3^0, \sigma_4^0$  get identified in the quotient, but we only notice this after gluing  $\sigma_1^2$  (If you try to run the definition of simplicial homology - defined later - you notice that the differential cannot satisfy  $\partial_1 \circ \partial_2 = 0$ )

Equivalently: the facet gluing maps are compatible under double restriction:  $\forall i < j$

$$\begin{array}{ccccccc}
 [v_0, \dots, v_n] & \xrightarrow{\text{facet}} & [v_0, \dots, \hat{v}_i, \dots, v_n] & \xrightarrow{\text{identify}} & [w_0, \dots, w_{n-1}] & \xrightarrow{\text{facet}} & [w_0, \dots, \hat{w}_{j-1}, \dots, w_{n-1}] & \xrightarrow{\text{identify}} & [x_0, \dots, x_{n-2}] \\
 & & \xrightarrow{\text{facet}} & & \xrightarrow{\text{identify}} & & \xrightarrow{\text{facet}} & & \xrightarrow{\text{identify}} \\
 & & [v_0, \dots, \hat{v}_j, \dots, v_n] & \xrightarrow{\text{identify}} & [z_0, \dots, z_{n-1}] & \xrightarrow{\text{facet}} & [z_0, \dots, \hat{z}_i, \dots, z_{n-1}] & \xrightarrow{\text{identify}} & 
 \end{array}$$

this ensures that  $[v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]$  is identified with the same  $[x_0, \dots, x_{n-2}]$  whether we first restrict to  $t_i = 0$  (omit  $v_i$ ) or first restrict to  $t_j = 0$  (omit  $v_j$ ).

Another equivalent condition: can define the  $k$ -th skeleton of  $\Delta$ -cx  $X$ ,

$X^k =$  quotient space you get by gluing all simplices of dimensions  $\leq k$ . Consistency is the condition that the boundary of each  $\sigma_\alpha^n$  should map continuously into  $X^{n-1}$

(in the above Example consider the vertex  $\triangle = \partial \sigma_1^2$ )

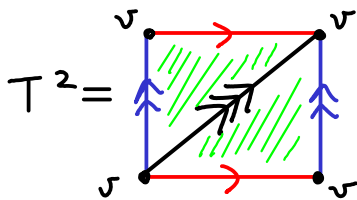
(more precisely, the "topological realisation" of a simpl. complex)

Rmk (see Part A Topology) A simplicial complex is a  $\Delta$ -complex in which

each  $d$ -dim face is uniquely determined by  $d$  distinct vertices.

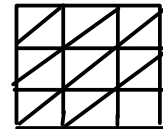
A homeo from such a complex to  $X$  is a triangulation of  $X$ .

## Non-example



both 2-simplices have vertices  $v, v, v$

whereas  $T^2 =$



is a triangulation.

## Simplicial chain complex

Def For a  $\Delta$ -complex  $X$ , let  $X_n =$  set of  $n$ -simplices of  $X$

$C_n^\Delta(X) =$  free abelian group generated by the set  $X_n$

$$= \left\{ \sum_{\alpha \in I_n} c_\alpha \cdot \sigma_\alpha^n : c_\alpha \in \mathbb{Z} \text{ and only finitely many } c_\alpha \neq 0 \right\}$$

differential:  $\partial_n \sigma_\alpha = \sum (-1)^i \cdot \sigma_{\beta(\alpha, i)}$

so:  $\partial_n [v_0, \dots, v_n] = \sum (-1)^i \cdot [v_0, \dots, \hat{v}_i, \dots, v_n]$

} and extend linearly

will show  $\partial \circ \partial = 0$ , so get simplicial homology:  $H_*^\Delta(X) = H_*(C_*^\Delta, \partial_*)$

Examples

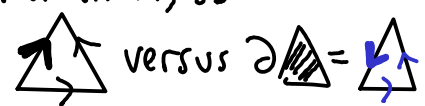
$\partial_1 (\overrightarrow{v_0 \rightarrow v_1}) = -v_0 + v_1$

$\partial_2 (\text{triangle } v_0, v_1, v_2) = + \overrightarrow{v_1 \rightarrow v_2} - \overrightarrow{v_0 \rightarrow v_2} + \overrightarrow{v_0 \rightarrow v_1}$

$\partial_2 \circ \partial_1 (\text{this}) = +(v_2 - v_1) - (v_2 - v_0) + (v_1 - v_0) = 0$

$\partial \circ \partial = 0$  fails for  $\overrightarrow{\text{triangle}}$  (not  $\Delta$ -complex), try!

Later:  
The  $(-1)^i$  signs keep track of whether the orientation agrees/disagrees with geometric boundary orientation, so



Lemma  $\partial \circ \partial = 0$

Pf  $\partial_{n-1} (\partial_n [v_0, \dots, v_n]) = \sum (-1)^i \partial_{n-1} [v_0, \dots, \hat{v}_i, \dots, v_n]$

$= \sum_{j < i} (-1)^i (-1)^j [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$  } antisymmetric if swap  $i, j$

$+ \sum_{j > i} (-1)^i (-1)^{j-1} [v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]$

$= 0 \quad \square$

Example  $S^1 = \text{circle}$   $\Delta$ -cx:  $X_0: 1$  0-simplex  $\bullet$   $e^0 = e_{\beta(1,0)} = e_{\beta(1,1)}$

$X_1: 1$  1-simplex  $\rightarrow$   $e^1$

$0 \rightarrow C_1^\Delta \rightarrow C_0^\Delta \rightarrow 0$

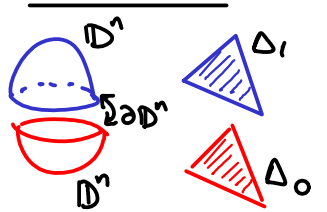
$\parallel \quad \parallel$

$\mathbb{Z}e \quad \mathbb{Z}v$

$e \mapsto v - v = 0$

$\Rightarrow H_*^\Delta(S^1) = \begin{cases} \mathbb{Z} & * = 0, 1 \\ 0 & \text{else} \end{cases}$

Example  $\Delta$ -cx structure on  $S^n$ :



$S^n = \Delta^n \cup \Delta^n / \text{glue along } \partial \Delta^n$

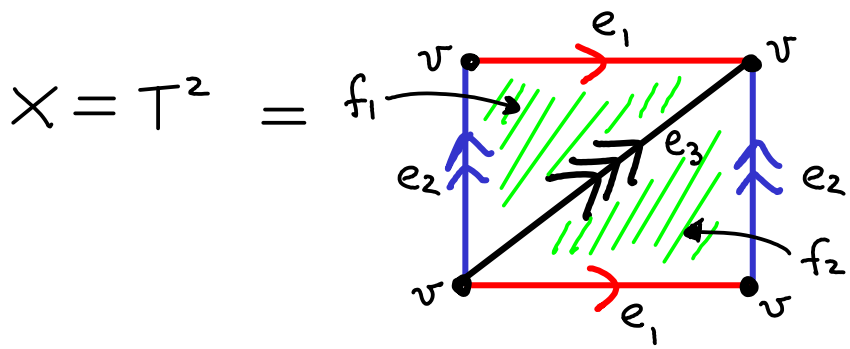
call this  $\Delta_1$   $\leftarrow$  this  $\Delta_0$

One can deduce: } but messy!

pick any vertex

$H_* S^n = \begin{cases} \mathbb{Z} \langle \text{point} \rangle \cong \mathbb{Z} & * = 0 \\ \mathbb{Z} \langle \Delta_1 - \Delta_0 \rangle \cong \mathbb{Z} & * = n \\ 0 & \text{else} \end{cases}$

Example



$$0 \rightarrow C_2^\Delta \xrightarrow{\quad} C_1^\Delta \xrightarrow{\quad} C_0^\Delta \rightarrow 0$$

$$\begin{matrix} \mathbb{Z}f_1 + \mathbb{Z}f_2 & & \mathbb{Z}e_1 + \mathbb{Z}e_2 + \mathbb{Z}e_3 & & \mathbb{Z}v \end{matrix}$$

$$\begin{matrix} f_1 \mapsto e_1 - e_3 + e_2 \\ f_2 \mapsto e_2 - e_3 + e_1 \end{matrix} \qquad e_1, e_2, e_3 \mapsto v - v = 0$$

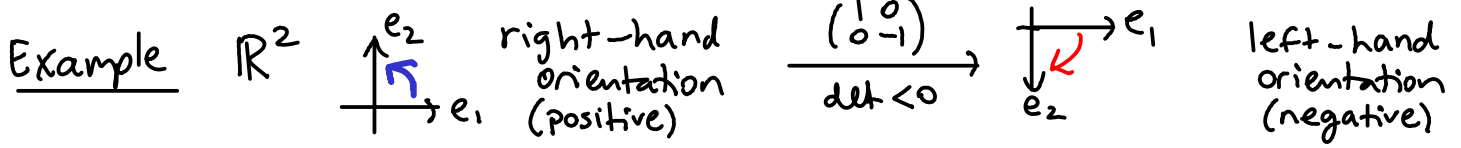
$$H_*^\Delta(T^2) = \begin{cases} \mathbb{Z}v & * = 0 \\ (\mathbb{Z}e_1 + \mathbb{Z}e_2 + \mathbb{Z}e_3) / \mathbb{Z}(e_1 - e_3 + e_2) & * = 1 \leftarrow \text{freely generated by } e_1, e_2 \\ \mathbb{Z} \cdot (f_1, -f_2) & * = 2 \\ 0 & \text{else} \end{cases}$$

$$\cong \begin{cases} \mathbb{Z} & * = 0, 2 \\ \mathbb{Z}^2 & * = 1 \\ 0 & \text{else} \end{cases}$$

(Smith normal form of  $\partial_2$ :  
 $\begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix} \xrightarrow{\text{row op.}} \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} \xrightarrow{\text{col. op.}} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$   
 so after  $\mathbb{Z}$ -isos of  $C_2, C_1$  we get  $\mathbb{Z}^2 \rightarrow \mathbb{Z}^3, (a,b) \rightarrow (a, 0, 0)$ )

Remark about orientations (see also my B3.2 Geometry of Surfaces notes)

For vector space an orientation is a choice of basis modulo linear endomorphisms of  $\det > 0$



Fact  $GL(n, \mathbb{R})$  has 2 path-components  $\begin{cases} A: \det A > 0 \\ A: \det A < 0 \end{cases}$  so can always continuously deform a basis to another within same orientation

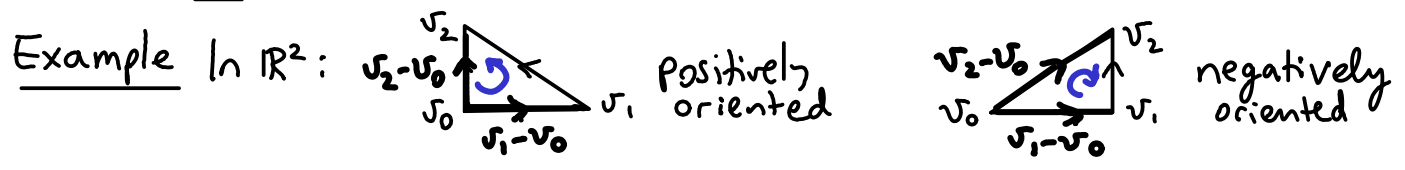
Canonical orientation on  $\mathbb{R}^n$ :  $e_1, \dots, e_n$  standard basis  $\leftarrow$  "positive orientation"

Example  $[v_0, \dots, v_n]$  simplex  $\Rightarrow v_1 - v_0, \dots, v_n - v_0$  is a basis of vector subspace

$$V = \{ \sum a_i v_i : \sum a_i = 0 \} \subseteq \mathbb{R}^{n+k}$$

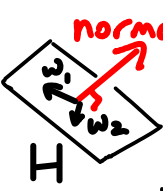
hence a choice of orientation of  $V$ , and each transposition of vertices  $v_0, \dots, v_n$  switches the orientation class.

If  $v_0, \dots, v_n \in \mathbb{R}^n$  then  $V = \mathbb{R}^n$  so simplex's orientation can be compared with  $\mathbb{R}^n$ -orient<sup>n</sup>.



- No canonical choice of orientation for abstract vector space. Need choose basis  $v_1 \rightarrow v_n$  then declare another basis positively oriented if the change of basis matrix has  $\det > 0$ .

• For hyperplane  $H \subseteq \mathbb{R}^n$  with choice of normal can declare orientation of basis  $w_1, \dots, w_{n-1}$  of  $H$  positive if normal,  $w_1, \dots, w_{n-1}$  is positive  $\mathbb{R}^n$ -basis  
convention "outward normal first"

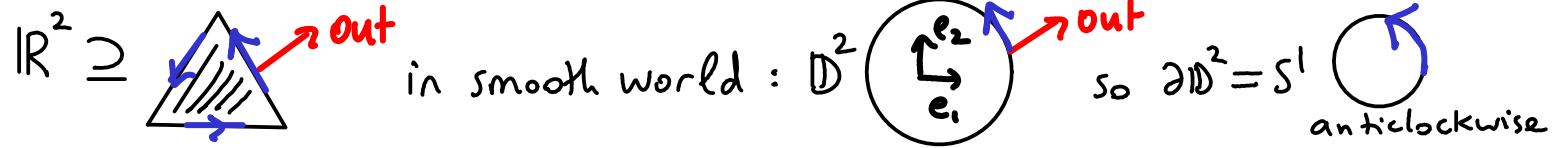


Example  $H \subseteq \mathbb{R}^2 \Rightarrow e_1$  positive basis for  $H$   
 $(\text{normal}, e_1) = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \det = +1 > 0$

Example  $\Delta^n \subseteq \mathbb{R}^{n+1}$  with normal  $(1, 1, \dots, 1)$  is positively oriented.

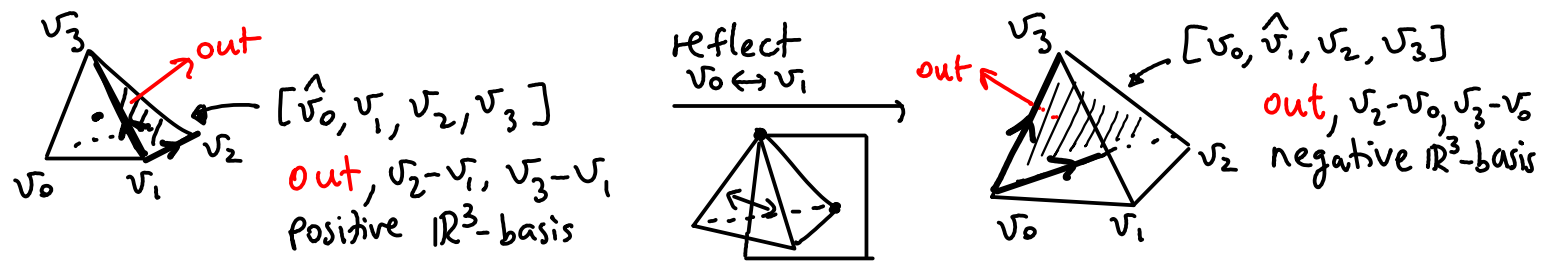
UPSHOT For an  $n$ -simplex  $[v_0, \dots, v_n]$  in  $\mathbb{R}^n$ , each facet lies in a hyperplane and have canonical choice of normal: outward normal. Hence facets are canonically oriented.

Example



Any reflection of  $\mathbb{R}^n$  will swap orientation: after  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  get clockwise

Example



UPSHOT  $(-1)^i$  in  $(-1)^i [v_0, \hat{v}_i, \dots, v_k]$  in definition of simplicial  $\partial$  is there to ensure that orientations are consistent (crucial for  $\partial \partial = 0$ )

Lemma  $H_*^\Delta(X) \cong \bigoplus H_*^\Delta(X_i)$  where  $X_i$  are the path-components of  $X$ .

Pf  $\bigoplus C_*^\Delta(X_i) \rightarrow C_*^\Delta(X)$ ,  $\bigoplus c_i \mapsto \sum c_i$

is chain isomorphism since any simplex  $\sigma: \Delta^k \rightarrow X$  has path-connected image, so  $\sigma \in X_i$  some  $i$ .  $\square$

since  $\Delta^k$  path-conn.

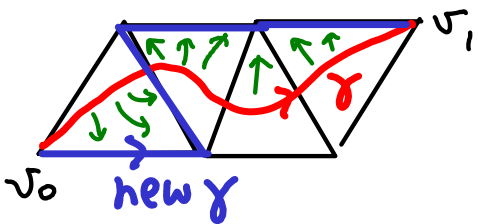
Theorem  $X$  has  $\Delta$ -cx structure  $\Rightarrow H_0^\Delta(X) \cong \bigoplus \mathbb{Z}$

path-conn. components

Pf By lemma, wlog  $X$  path-connected

• vertex  $v \Rightarrow v \in C_0(X) \xrightarrow{\partial} C_{-1}(X) \equiv 0 \Rightarrow [v] \in H_0(X)$

• vertices  $v_0, v_1 \in X \Rightarrow \exists$  path  $\gamma$  from  $v_0$  to  $v_1$   
 $\Rightarrow$  can homotope path so that go along edges (continuously deform)



$\Rightarrow \gamma$  is sum of 1-chains s.t.  $\partial \gamma = v_1 - v_0$

$\Rightarrow [v] \in H_0(X)$  independent of choice of  $v$

$\Rightarrow H_0(X) = \langle [v] \rangle$

•  $H_0(X) = \langle [v] \rangle \leftarrow \mathbb{Z}$  is injective?

$n[v] \leftarrow n$  Suppose  $n[v] = \partial c$  some  $c \in C_1(X)$

consider the augmentation hom

$$C_1(X) \xrightarrow{\partial} C_0(X) \xrightarrow{\epsilon} \mathbb{Z}$$

$$\text{0-simplices } \sum n_i \sigma_i \mapsto \sum n_i$$

notice composite is 0 since  $\partial(1\text{-simplex}) = \sigma_1 - \sigma_0 \xrightarrow{\epsilon} 1 - 1 = 0$

$\Rightarrow n = \epsilon(n[v]) = \epsilon \partial c = 0$ .  $\square$

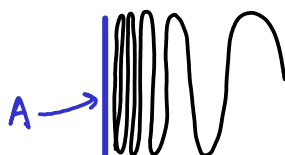
Rmk  $X$  top. space  $\Rightarrow$  path conn. component  $\subseteq$  connected component

since path-conn.  $\Rightarrow$  connected. For  $\Delta$ -cx, these are same (since connected + locally path-conn.  $\Rightarrow$  path-connected).

However for top. space they need not be the same, e.g. Topologist's sine curve

$$\{(x, \sin \frac{1}{x}) : x \in (0, 1]\} \cup \underbrace{0 \times [0, 1]}_A \subseteq \mathbb{R}^2$$

2 path-conn. components



- connected
- not path-connected
- not locally path-connected



### 3. SINGULAR HOMOLOGY

Motivation Not obvious that  $H_*^\Delta$  is functorial:  $\Delta^k \xrightarrow{\sigma} X \xrightarrow{f} Y$   
 then  $f \circ \sigma$  typically not a simplex:  $\Delta \xrightarrow{\sigma} \Delta \xrightarrow{f} \Delta$  ↑ continuous map

Solution 1: only allow simplicial maps  $f: X \rightarrow Y$  (so  $f \circ \sigma$  simplex  $\forall \sigma$ )

Solution 2: show that any cts map  $f: X \rightarrow Y$  can be approximated arbitrarily well by a simplicial map after having performed barycentric subdivision on  $X, Y$  enough times. Also any two such approximations induce the same map  $H_*^\Delta(X) \rightarrow H_*^\Delta(Y)$

Solution 3: develop new homology  $H_*(X)$  which allows any cts map  $\Delta^n \rightarrow X$  WILL DO THIS. and prove  $H_*^\Delta(X) \cong H_*(X)$  for  $\Delta$ -complexes  $X$ .

$X$  is any top-space

Def Singular  $n$ -simplex is any continuous map  $\sigma: \Delta^n \rightarrow X$

Singular  $n$ -chains  $C_n(X) =$  free abelian group generated by  $\sigma$   
 $= \left\{ \sum c_\sigma \cdot \sigma : c_\sigma \in \mathbb{Z} \right.$   
singular  $n$ -simplices  $\sigma$  only finitely many  $c_\sigma \neq 0$

$$\partial_n \sigma = \sum (-1)^i \cdot \sigma|_{\Delta_i^{n-1}} \quad (\text{and extend linearly})$$

$\swarrow$   $i$ -th facet

Rmk Here  $\Delta_i^{n-1} = [e_0, \dots, \hat{e}_i, \dots, e_n]$  is identified canonically with  $\Delta^{n-1}$  (send  $e_k \rightarrow e_k$   $k < i$ ,  $e_k \rightarrow e_{k-1}$  for  $k > i$ )

Will show  $\partial \circ \partial = 0$ , so get singular homology:  $H_*(X) = H_*(C_*, \partial_*)$

For  $\Delta$ -complex  $X$  have inclusion of subcomplex  $C_*^\Delta \rightarrow C_*$   
 $\Rightarrow$  induces  $H_*^\Delta(X) \rightarrow H_*(X)$  Fact: isomorphism (proof later, see cellular  $H_*^{CW} \cong H_*$ )

Corollary  $H_*^\Delta(X)$  is independent of choice of  $\Delta$ -cx structure on  $X$

Lemma  $\partial \circ \partial = 0$

Proof

$$\begin{aligned} \partial_{n+1}(\partial_n \sigma) &= \partial_{n+1} \left( \sum (-1)^i \sigma|_{\Delta_i^{n-1}} \right) \\ &= \sum_{j < i} (-1)^i (-1)^j \sigma|_{[e_0, \dots, \hat{e}_j, \dots, \hat{e}_i, \dots, e_n]} \\ &\quad + \sum_{j > i} (-1)^i \underline{(-1)^{j-1}} \sigma|_{[e_0, \dots, \hat{e}_i, \dots, \hat{e}_j, \dots, e_n]} \\ &= 0 \end{aligned}$$

□



Example  $X = \text{point} \Rightarrow C_n(X) = \mathbb{Z} \cdot (\sigma_n: \Delta^n \rightarrow X \text{ the constant map})$

$$\partial \sigma_n = \sum (-1)^i \sigma_n|_{\Delta_i^{n-1}} = \begin{cases} \sum (-1)^i \sigma_{n-1} & \text{if } n \text{ even} \\ 0 & \text{if } n \text{ odd} \end{cases} \Rightarrow \dots \rightarrow \mathbb{Z} \xrightarrow{\partial} \mathbb{Z} \xrightarrow{\partial} \mathbb{Z} \xrightarrow{\partial} \mathbb{Z} \rightarrow 0$$

$$\Rightarrow H_*(\text{pt}) \cong \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{else} \end{cases}$$

Lemma  $H_*(X) \cong \bigoplus H_*(X_i)$  where  $X_i$  are path-components of  $X$

Pf Image of cts map  $\Delta^n \rightarrow X$  is path conn. so lies in some  $X_i$ .  $\square$

Cor  $H_0(X) = \bigoplus_{X_i} \mathbb{Z}$  ← generators of  $C_0(X)$

Pf By Lemma, wlog  $X$  path-connected.  $\Delta^0 = \text{pt} \rightarrow X$  is cycle since  $C_{-1}(X) = \emptyset$

Given 2 points  $x, y \in X$ , a path  $\Delta^1 = [0, 1] \xrightarrow{\gamma} X$ ,  $\gamma(0) = x, \gamma(1) = y$  is also a 1-chain!

So  $x - y = \partial \gamma$ , so  $x, y$  are homologous. Finally if  $n \cdot [x] = 0 \in H_0(X)$  then  $nx = \partial c$  some  $c \in C_1(X)$  = generated by paths. Now run the augmentation hom. trick like we did for  $H_0^\Delta$ :  $n = \varepsilon(nx) = \varepsilon \partial c = 0$  as  $\varepsilon \partial = 0$ .  $\square$

### Naturality (i.e. functoriality)

Lemma  $f: X \rightarrow Y$  continuous

$\Rightarrow H_*(f) = f_*: H_*(X) \rightarrow H_*(Y)$  induced by chain map

$f_*: C_*(X) \rightarrow C_*(Y)$

$f_*(\sigma) = f \circ \sigma$  and extend linearly

$$\begin{array}{ccc} \Delta^n & \xrightarrow{\sigma} & X \\ & \searrow f_* \sigma & \downarrow f \\ & & Y \end{array}$$

Pf  $\partial_n(f_* \sigma) = \sum (-1)^i f \circ \sigma|_{\Delta_i^{n-1}} = f_* (\sum (-1)^i \sigma|_{\Delta_i^{n-1}}) = f_* (\partial_n \sigma)$   $\square$

Properties 1)  $X \xrightarrow{f} Y \xrightarrow{g} Z \Rightarrow (g \circ f)_* = g_* \circ f_*$

2)  $\text{id}_X = \text{id}$

Pf 1)  $(g \circ f)_* \sigma = g \circ f \circ \sigma = g_* (f \circ \sigma) = g_* (f_* \sigma)$   $\checkmark$

2)  $\text{id}_X \sigma = \text{id} \circ \sigma = \sigma$   $\checkmark$   $\square$

Cor  $H_*: \left\{ \begin{array}{l} \text{topological spaces} \\ \& \\ \text{cts maps} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{graded abelian groups} \\ \& \\ \text{graded homs} \end{array} \right\}$  is a functor

Cor  $X \cong Y \Rightarrow H_*(X) \cong H_*(Y)$

# 4. CHAIN HOMOTOPIES AND HOMOTOPY INVARIANCE

Algebra: chain homotopies

$f_*, g_* : (C_*, \partial_*) \rightarrow (\tilde{C}_*, \tilde{\partial}_*)$  chain maps

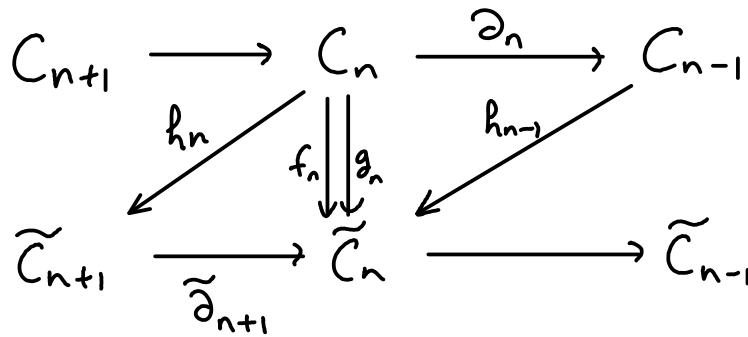
Def  $f_*, g_*$  are chain homotopic if  $\exists$  (degree +1) hom  $h : C_* \rightarrow \tilde{C}_*[1]$  s.t.

$$\tilde{\partial} \circ h + h \circ \partial = f - g$$

$h$  is called a chain homotopy

Consequence  $f_* = g_* : H_+(C_*, \partial_*) \rightarrow H_+(\tilde{C}_*, \tilde{\partial}_*)$  on homology

Pf



$c$  cycle  $\in C_n$

$$\Rightarrow f_n(c) - g_n(c) = \underbrace{\tilde{\partial}_{n+1} \circ h_n(c)}_{\text{boundary}} + h_{n-1} \circ \underbrace{\partial_n(c)}_0$$

$$\Rightarrow f_n(c) = g_n(c) \text{ in } H_*(\tilde{C}) \quad \square$$

Theorem  $i_0 : X \rightarrow X \times I, i_0(x) = (x, 0)$  where  $I = [0, 1]$

$i_1 : X \rightarrow X \times I, i_1(x) = (x, 1)$

$\Rightarrow i_{0*}, i_{1*} : C_*(X) \rightarrow C_*(X \times I)$  are chain hpic.

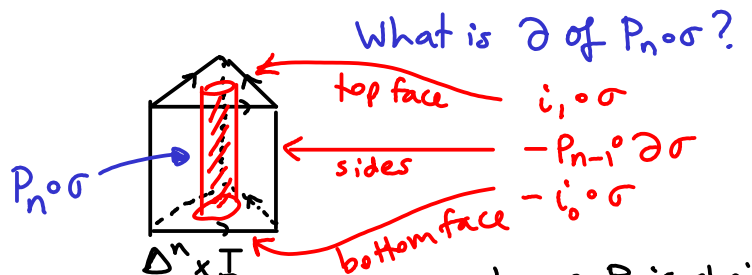
Key idea Need "prism operator" which cuts  $\Delta^n \times I$  into a sum  $\Gamma_n$  of  $(n+1)$ -simplices in  $\Delta^n \times I$ :

$$(\sigma : \Delta^n \rightarrow X) \mapsto \sigma \times \text{id} : \Delta^n \times I \rightarrow X \times I$$

$\Gamma_n = \text{combo of maps}$   $\uparrow$   $\Delta^{n+1}$

Prism operator  $P_n$

$$(\sigma \times \text{id}) \circ \Gamma_n : \Delta^{n+1} \rightarrow X \times I$$



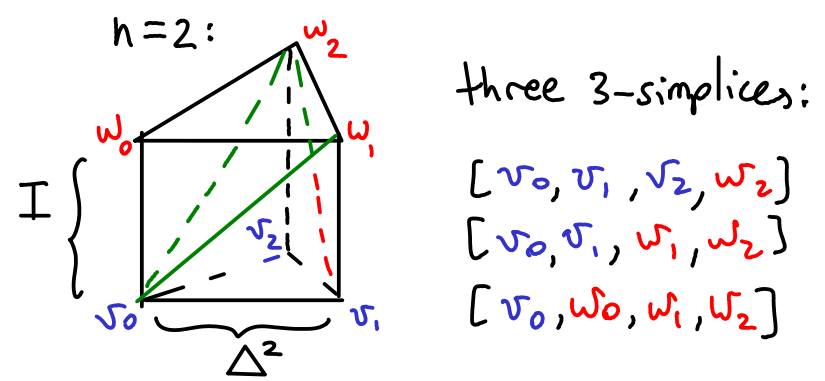
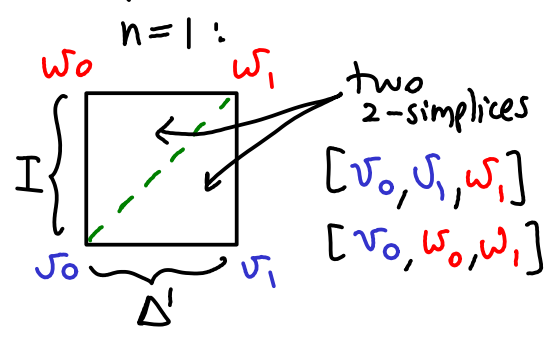
hence  $P$  is chain hpy

**Non-examinable**

Pf bottom facet  $\Delta^n \times 0 = [v_0, \dots, v_n]$   $\leftarrow v_i = e_i \times 0$   
 top facet  $\Delta^n \times 1 = [w_0, \dots, w_n]$   $\leftarrow w_i = e_i \times 1$

$\} \subseteq \Delta^n \times [0,1] \subseteq \mathbb{R}^{n+1}$

Examples



Let  $s_i = [v_0, \dots, v_i, w_i, \dots, w_n]$

Claim The  $s_i$  cover  $\Delta \times [0,1]$  and give  $\Delta$ -cx structure on  $\Delta^n \times I$

Pf  $\sum_{k \leq i} t_k v_k + \sum_{k > i} s_k w_k = (t_0, \dots, t_{i-1}, \underline{t_i + s_i}, s_{i+1}, \dots, s_n, s_i + \dots + s_n)$

So given  $(x_0, \dots, x_n, a) \in \Delta^n \times I$ , equate and solve:

$t_0 = x_0, \dots, t_{i-1} = x_{i-1}, s_{i+1} = x_{i+1}, \dots, s_n = x_n$ , and  $\begin{cases} s_i = a - x_{i+1} - \dots - x_n \\ t_i = x_i - s_i \end{cases}$

Note  $x_k \geq 0, \sum x_k = 1, a \in [0,1]$  hence  $\sum t_k + \sum s_k = 1 \checkmark$   $\begin{cases} t_k \geq 0 \text{ for } k < i \\ s_k \geq 0 \text{ for } k > i \end{cases}$

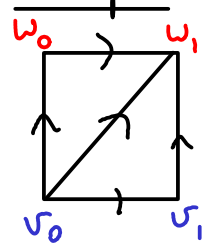
but  $\begin{cases} s_i \geq 0 \\ t_i \geq 0 \end{cases} \Leftrightarrow \begin{cases} a \geq x_{i+1} + \dots + x_n \\ x_i + x_{i+1} + \dots + x_n \geq a \end{cases}$ . Thus a solution exists if we pick  $i = \min\{k : a \geq x_{i+1} + \dots + x_n\}$

There are multiple solutions if  $x_{i+1} = x_{i+2} = \dots = x_j = 0$ , but that is as expected: those points of  $\Delta^n \times I$  belong to the faces of  $s_i, s_{i+1}, \dots, s_j$ .  $\square$

Def  
 $\Gamma_n = \sum (-1)^i s_i \in C_{n+1}(\Delta^n \times [0,1]) \leftarrow$  geometrically this "represents"  $\Delta^n \times I$  as a simplicial chain

$\Rightarrow \partial \Gamma_n = \sum_i \sum_{j \leq i} (-1)^i (-1)^j [v_0, \dots, \hat{v}_j, \dots, v_i, w_i, \dots, w_n]$   
 $+ \sum_i \sum_{j > i} (-1)^i (-1)^{j+1} [v_0, \dots, v_i, w_i, \dots, \hat{w}_j, \dots, w_n]$  } geometrically this "represents"  $\partial(\Delta^n \times I) = (\partial \Delta^n \times I) \cup (\Delta^n \times \partial I)$

Example



$\Gamma_1 = [v_0, w_0, w_1] - [v_0, v_1, w_1]$  "is the square"

$\partial \Gamma_1 = [w_0, w_1] - [v_0, w_1] + [v_0, w_0] - [v_1, w_1] + [v_0, w_1] - [v_0, v_1]$   
 "is  $\partial$  of square" "inside facets" cancel

# Prism operator

$$P : C_n(X) \longrightarrow C_{n+1}(X \times [0,1])$$

$$P(\sigma) = (\sigma \times \text{id})_* (\Gamma_n)$$

$$\sigma : \Delta^n \rightarrow X$$

$$\begin{aligned} \sigma \times \text{id} : \Delta^n \times [0,1] &\rightarrow X \times [0,1] \\ (\sigma \times \text{id})(x,t) &= (\sigma(x), t) \end{aligned}$$

this abbreviated notation means the map  
 $(t_0, \dots, t_n) \mapsto (t_0 \sigma e_0 + \dots + t_j \widehat{\sigma e_j} + t_j \sigma e_{j+1} + \dots + t_{i-1} \sigma e_i + t_i \sigma e_i + \dots + t_n \sigma e_n, t_i + \dots + t_n) \in X \times I$

$$\begin{aligned} \partial P(\sigma) &= \partial (\sigma \times \text{id})_* (\Gamma_n) \\ &= (\sigma \times \text{id})_* (\partial \Gamma_n) \\ &= \sum_i \sum_{j < i} (-1)^i (-1)^j [i_0 \sigma e_0, \dots, \widehat{i_0 \sigma e_j}, \dots, i_0 \sigma e_i, i_1 \sigma e_i, \dots, i_1 \sigma e_n] \\ &\quad + \sum_i \sum_{j > i} (-1)^i (-1)^{j+1} [i_0 \sigma e_0, \dots, i_0 \sigma e_i, i_1 \sigma e_i, \dots, \widehat{i_1 \sigma e_j}, \dots, i_1 \sigma e_n] \end{aligned}$$

⊛

$$\begin{aligned} &(\sigma \times \text{id})(v_i) \\ &= (\sigma \times \text{id})(e_i, 0) \\ &= (\sigma(e_i), 0) \\ &= i_0(\sigma)(e_i) \\ &(\sigma \times \text{id})(w_i) \\ &= (\sigma(e_i), 1) \\ &= i_1(\sigma)(e_i) \end{aligned}$$

$$= \begin{matrix} i_1 * \sigma & - & i_0 * \sigma & - & P \partial \sigma \\ \uparrow & & \uparrow & & \uparrow \\ i=j=0 & & i=j=n & & ((\partial \sigma) \times \text{id})_* \Gamma_{n-1} \\ \text{1st sum} & & \text{2nd sum} & & \end{matrix}$$

$$\begin{aligned} & \sum_i (-1)^i [v_0, \dots, v_i, w_i, \dots, w_{n-1}] \\ & \text{now use } \textcircled{\star} \text{ and} \\ & \partial \sigma = \sum (-1)^k [\sigma e_0, \dots, \widehat{\sigma e_k}, \dots, \sigma e_n]. \quad \square \end{aligned}$$

## Homotopy invariance

$$f_0, f_1 : X \rightarrow Y$$

Def  $f_0 \simeq f_1$  homotopic if  $\exists$  continuous map  $F : X \times [0,1] \rightarrow Y$   
 s.t.  $f_0 = F \circ i_0$   
 $f_1 = F \circ i_1$ .  
 ← called homotopy

Idea Think of this as a continuous family of maps  
 $f_t = F(\cdot, t) : X \rightarrow Y$  from  $f_0$  to  $f_1$ .

Exercise  $\simeq$  is an equivalence relation.

Homotopic relative to  $A \subseteq X$  if  $F(a,t) = f_0(a) = f_1(a)$  all  $a \in A$   
 all  $t$ .  
 write " $f \simeq g$  rel  $A$ "

Def  $X \simeq Y$  homotopy equivalent spaces if  $\exists$  maps

$$X \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} Y \quad \text{with} \quad \begin{array}{l} g \circ f \simeq \text{id} \\ f \circ g \simeq \text{id} \end{array}$$

Rmk homeo  $\Rightarrow$  hpy equivalent

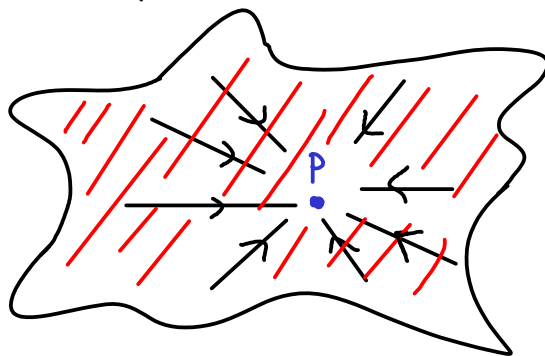
Def  $X$  contractible if  $X \simeq \text{pt}$

equivalently  $(X \xrightarrow{\text{id}} X) \simeq (X \xrightarrow{\text{const}} \text{point} \in X)$

Example  $\mathbb{R}^n \simeq \text{pt}$

$F(x, t) = tx$  then  $f_0 \equiv 0, f_1 = \text{id}$ .

• (star-shaped subsets of  $\mathbb{R}^n$ )  $\simeq \text{pt}$



contains line segments to a specific point  $p$

WLOG  $p=0$  & use same  $F$   
 $\uparrow$   
 translate

(examples:  $\mathbb{D}^n$ , convex sets, ...)

Theorem  $f_0 \simeq f_1 \Rightarrow f_{0*} = f_{1*} : H_* X \rightarrow H_* Y$

Pf  $f_{1*} - f_{0*} = F_* i_{1*} - F_* i_{0*}$  (where  $F = \text{homotopy}$ ,  
 $i_0, i_1$  as in previous Thm)

$= F_* (i_{1*} - i_{0*})$

$= F_* (\partial P + P\partial)$

$= \partial \circ (F_* P) + (F_* P) \circ \partial$

previous Thm  $\rightarrow$   
 $F_*$  chain map  $\rightarrow$   
 $\Rightarrow F_* P$  is chain hpy from  $f_{0*}$  to  $f_{1*}$   $\square$

Cor  $X \simeq Y \Rightarrow H_* X \cong H_* Y$

Pf  $f_* g_* = \text{id}_*$ ,  $g_* f_* = \text{id}_*$   $\square$

Example  $X$  contractible  $\Rightarrow H_* X \cong H_*(\text{pt}) \cong \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{else} \end{cases}$

Cultural Rmk (Whitehead's theorem) For nice topological spaces  $\leftarrow$  (CW complexes - see later in course)  
 if  $X, Y$  are simply connected and  $\exists f: X \rightarrow Y$  inducing isomorphisms on  $H_*$   
 then  $X \simeq Y$  are homotopy equivalent.

# Relative homology

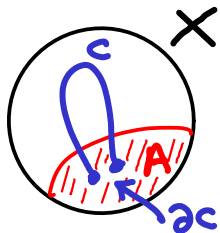
Def  $(X, A)$  pair of spaces if  $A \subseteq X$  topological subspace  
 $\Rightarrow i = \text{incl}: A \hookrightarrow X$  induces a subcx  $i_*: C_* A \rightarrow C_* X$   
 $\Rightarrow C_* X / C_* A$  quotient chain cx (recall  $\partial[x] = [\partial x]$ )

$$H_*(X, A) = H_*(C_* X / C_* A)$$

Idea: relative cycles:

$$c \in C_* X$$

$$\text{s.t. } \partial c \in C_* A$$

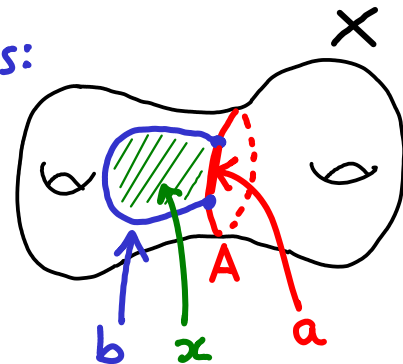


relative boundaries:

$$b \in C_* X$$

$$\text{s.t. } \exists x \in C_{*+1} X$$

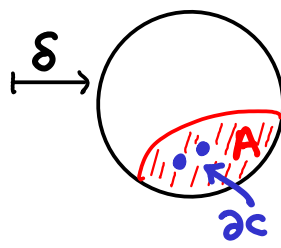
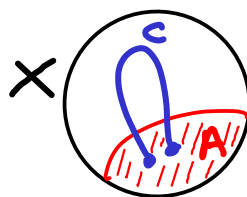
$$\partial x = b + a \in C_* A$$



$$\Rightarrow 0 \rightarrow C_* A \rightarrow C_* X \rightarrow C_* X / C_* A \rightarrow 0 \text{ SES}$$

$$\text{Cor } \dots \rightarrow H_n(A) \xrightarrow{i_*} H_n(X) \rightarrow H_n(X, A) \xrightarrow{\delta} H_{n-1}(A) \xrightarrow{i_*} \dots$$

LES for the pair



$\delta c = \partial c$   
 $\uparrow$   
 $C_* A$   
 Need not be  $\partial a$   
 some  $a \in C_* A$

## Reduced homology

$\tilde{H}_* X = H_*$  of augmented chain complex

$$\dots \rightarrow C_n(X) \rightarrow \dots \rightarrow C_0(X) \xrightarrow{\epsilon} \mathbb{Z} \rightarrow 0$$

augmentation  $\epsilon(\sum n_i \cdot p_i) = \sum n_i$   
 $\uparrow$   $\uparrow$   
 $\in \mathbb{Z}$   $\text{points } \in X$

can view  $C_{-1}(X) = \mathbb{Z} \cdot (\text{map } \emptyset \rightarrow X)$  where allow the empty simplex  $\emptyset$

For  $X \neq \emptyset$ ,  $\tilde{H}_* X = \ker H_* X \rightarrow H_*(pt)$   
 $\uparrow$   
 induced by  $X \rightarrow pt$

Example  $\tilde{H}_*(\mathbb{R}^n) \cong \tilde{H}_*(D^n) \cong \tilde{H}_*(pt) = 0$

Check  $H_* X = \tilde{H}_* X \oplus \mathbb{Z}$   $\neq 0$ , and  $H_0 X \cong \tilde{H}_0 X \oplus \mathbb{Z}$  for  $X \neq \emptyset$

$f: X \rightarrow Y \Rightarrow f_*: \tilde{H}_* X \rightarrow \tilde{H}_* Y$

if  $A = \emptyset$  we end with  $\tilde{H}_{-1} A = \mathbb{Z}$

Lemma  $(X, A)$  pair  $\Rightarrow \exists$  LES

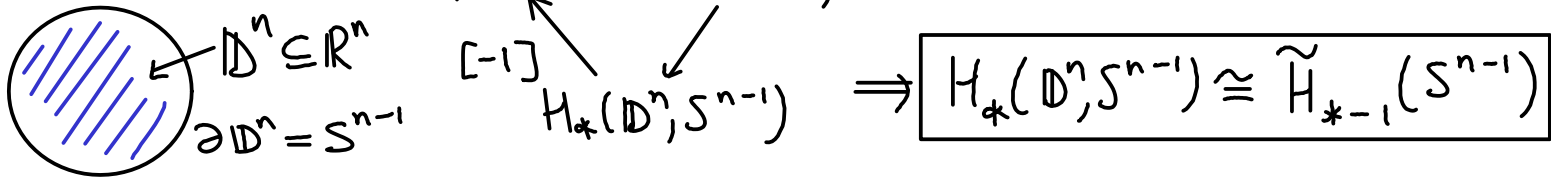
$$\dots \rightarrow \tilde{H}_n(A) \xrightarrow{i_*} \tilde{H}_n(X) \rightarrow H_n(X, A) \rightarrow \tilde{H}_{n-1}(A) \xrightarrow{i_*} \dots \rightarrow H_0(X, A) \rightarrow 0$$

Pf we augmented ch. cx. and  $\mathbb{Z}/\mathbb{Z} = 0 \square$

Cor  $H_*(X, pt) \cong \tilde{H}_*(X)$

Pf  $\tilde{H}_*(pt) = 0$ .  $\square$

Example LES:  $\tilde{H}_*(S^{n-1}) \rightarrow \tilde{H}_*(D^n) = 0$



### Naturality of the LES for pairs

Def A map of pairs of spaces  $(X, A) \xrightarrow{f} (Y, B)$

means  $f: X \rightarrow Y$  and  $f(A) \subseteq B$ .

Lemma

$$\begin{array}{ccccccc}
 \dots & \rightarrow & H_* A & \rightarrow & H_* X & \rightarrow & H_*(X, A) \rightarrow H_{*-1} A \rightarrow \dots \\
 & & f_* \downarrow & & f_* \downarrow & & \downarrow & & f_* \downarrow \\
 \dots & \rightarrow & H_* B & \rightarrow & H_* Y & \rightarrow & H_*(Y, B) \rightarrow H_{*-1} B \rightarrow \dots
 \end{array}$$

and similarly for  $\tilde{H}_*$ .

Pf  $0 \rightarrow C_* A \rightarrow C_* X \rightarrow C_* X / C_* A \rightarrow 0 \Rightarrow$  claim follows by naturality of LES induced by SESs of chain complexes.  $\square$

$$\begin{array}{ccccccc}
 0 & \rightarrow & C_* A & \rightarrow & C_* X & \rightarrow & C_* X / C_* A \rightarrow 0 \\
 & & f_* \downarrow & & f_* \downarrow & & f_* \downarrow \\
 0 & \rightarrow & C_* B & \rightarrow & C_* Y & \rightarrow & C_* Y / C_* B \rightarrow 0
 \end{array}$$

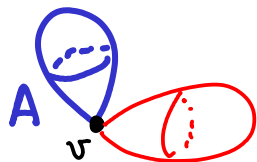
## 5. EXCISION THEOREM AND QUOTIENTS

$(X, A)$  pair

$\left( \begin{array}{l} \text{equivalently} \\ r^2 = r \\ \text{then define} \\ A = \text{im}(r) \end{array} \right)$

Def  $r: X \rightarrow X$  retraction onto  $A$  if  $\begin{cases} r(X) = A \\ r|_A = \text{id}_A \end{cases}$

Example



$X = \underbrace{S^2}_A \vee S^2 =$  two spheres glued at one point  $v$  (wedge sum)

$r: X \rightarrow A$  map second sphere to  $v$

Example In Pf of Brouwer fixed pt thm we built a retraction  $r$  by contradiction

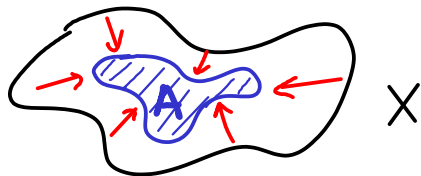
Cor  $r$  retraction  $\Rightarrow r_*: H_* X \rightarrow H_* A$  surjective  
 $\text{incl}_*: H_* A \rightarrow H_* X$  injective

Pf  $A \xrightarrow{\text{incl}} X \xrightarrow{r} A$  now use  $H_*$  functorial  $\square$

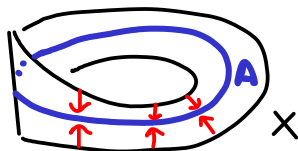
$\underbrace{\hspace{10em}}_{\text{id}_A}$



Def  $r: X \rightarrow X$  deformation retraction onto  $A$  if  $\begin{cases} r \text{ retraction} \\ r \simeq id_X \text{ rel } A \end{cases}$



Example  $X = \text{Möbius strip}$   
 $A = \text{equator}$



Lemma  $r$  def. retr.  $\Rightarrow \cdot A \xrightarrow[\simeq]{\text{incl}} X$  is a homotopy equivalence.

$\cdot \text{incl}_*$  and  $r_*$  are isos on  $H_*$ , so  $H_* A \cong H_* X$

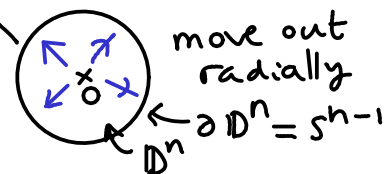
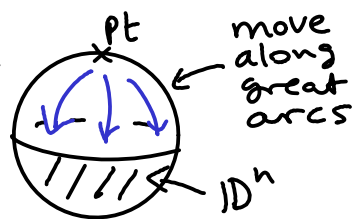
Pf  $A \xrightarrow[\text{r}]{\text{incl}} X$   $\text{incl} \circ r = r \simeq id_X$ ,  $r \circ \text{incl} = r|_A = id_A$   $\square$

Example  $S^n \setminus \text{pt}$  def. retracts to  $D^n \cong$  lower hemisphere:

$\Rightarrow S^n \setminus \text{pt} \cong D^n$

$\Rightarrow S^n \setminus \{2 \text{ points}\} \cong D^n \setminus \text{pt} \cong D^n \setminus 0 \cong S^{n-1}$

$\Rightarrow S^n \setminus \{3 \text{ points}\} \xrightarrow[\text{def. retr.}]{\simeq} S^{n-1} \vee S^{n-1}$



### Excision theorem

$E \subseteq A \subseteq X$  subspaces  $\Rightarrow (X \setminus E, A \setminus E) \rightarrow (X, A)$  induces iso with  $\overline{E} \subseteq A^\circ$

$$H_*(X \setminus E, A \setminus E) \cong H_*(X, A)$$

Proof Later.

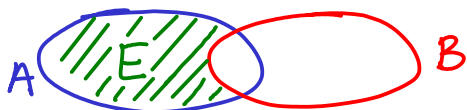
Example  $X = S^1 \vee S^1 =$   $\supseteq A =$   $\supseteq E =$   $\cong S^1$

$\Rightarrow H_*(X, A) \xrightarrow[\text{exc. thm.}]{\cong} H_*(\text{red circle}, \text{red circle}) \xrightarrow[\text{hpy invce}]{\cong} H_*(D^1, \partial D^1) \cong \widetilde{H}_0(S^0) \cong \mathbb{Z}$  (2 points)

### Rephrasing of Excision Thm

$X = A^\circ \cup B^\circ \Rightarrow H_*(X, A) \cong H_*(B, A \cap B)$   
 $(A, B \subseteq X \text{ subspaces})$

induced by inclusion  $(X, A) \leftarrow (B, A \cap B)$



Pf Take  $E = X \setminus B$  so  $X \setminus E = B$  and  $A \cap B = A \setminus E$ .  $\square$

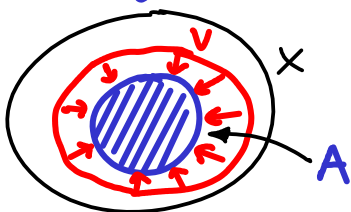
Idea why excision holds:  $C_*(A) + C_*(B) \rightarrow C_*(X)$  is a homotopy equivalence and  $C_*(A) \cap C_*(B) = C_*(A \cap B)$ . Idea  $\uparrow$  can subdivide chains in  $X$  many times, and small enough chains lie either in  $A$  or in  $B$  (or in both).

# Good pairs and quotients

$(X, A)$  pair

• Quotient  $X/A = X/\sim \leftarrow$  equiv. relation  $x \sim y \Leftrightarrow \begin{matrix} x=y \\ \text{or} \\ x, y \in A \end{matrix}$

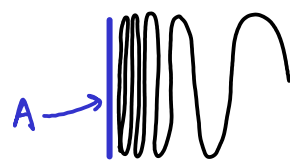
•  $(X, A)$  good pair if  $\begin{cases} A \neq \emptyset \\ A \text{ closed} \\ A \text{ deformation retract of nbhd } V \text{ of } A \end{cases}$



Example  $X = S^1 \vee S^1 = \bigcirc \cup \bigcirc \supseteq V = \text{red } \bigcirc \cup \bigcirc \supseteq A = \bigcirc \cong S^1$   
 $X/A \cong \bigcirc \leftarrow$  all points of  $A$  are identified with the node

Non-example Topologist's sine curve

$$\{(x, \sin \frac{1}{x}) : x \in (0, 1]\} \cup \underbrace{0 \times [0, 1]}_A \subseteq \mathbb{R}^2$$



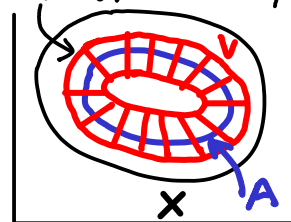
(connected  
not path-connected  
not locally connected  
not locally path-connected)

Cultural Rmk

Smooth submanifold  $\subseteq$  Smooth manifold is a good pair (tubular neighbourhood theorem)

Cor  $(X, A)$  good  $\Rightarrow (X, A) \rightarrow (X/A, \text{pt})$  induces iso

$$H_*(X, A) \rightarrow H_*(X/A, \text{pt}) = \tilde{H}_*(X/A)$$



Pf good  $\Rightarrow \exists$  nbhd  $V$  of  $A$ , and  $A \xrightarrow[\text{incl}]{\cong} V$ .

LES for pairs & 5-Lemma since  $A \cong V$   $A/A \cong V/A$

$$\begin{array}{ccccc} H_n(X, A) & \xrightarrow{\cong} & H_n(X, V) & \xleftarrow{\cong} & H_n(X \setminus A, V \setminus A) \\ \text{quot.} \downarrow & & \text{quot.} \downarrow & & \downarrow \text{id}_* = \text{identity} \\ H_n(X/A, A/A) & \xrightarrow{\cong} & H_n(X/A, V/A) & \xleftarrow{\cong} & H_n(X/A \setminus p, V/A \setminus p) \end{array}$$

$\sim$  call this point  $p$

Hence all arrows are isos.  $\square$

Example  $\mathbb{D}^n \supseteq S^{n-1}$  good:  $\xrightarrow{\text{quotient}}$  points of  $A = S^{n-1}$  identified

$$\Rightarrow H_*(\mathbb{D}^n, S^{n-1}) \underset{\text{Cor}}{\cong} \tilde{H}_*(\mathbb{D}^n/S^{n-1}) \cong \tilde{H}_*(S^n) \quad \mathbb{D}^n/S^{n-1} \cong S^n$$

Recall we proved  $\tilde{H}_*(\mathbb{D}^n, S^{n-1}) \cong \tilde{H}_{*-1}(S^{n-1})$  (from LES &  $\tilde{H}_*(\mathbb{D}^n) = 0$ )

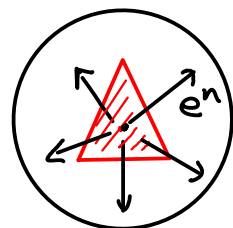
$\Rightarrow$  inductively, using Example  $\tilde{H}_k(S^n) \cong \tilde{H}_{k-1}(S^{n-1}) \cong \dots \cong \tilde{H}_{k-n}(S^0) = \begin{cases} \mathbb{Z} & n=k \\ 0 & \text{else} \end{cases}$

$H_0(2 \text{ pts}) = \mathbb{Z} \oplus \mathbb{Z}$

Generator of  $H_n(S^n) \cong \tilde{H}_n(\mathbb{D}^n/S^{n-1}) \cong H_n(\mathbb{D}^n, S^{n-1}) \cong \mathbb{Z}$

Observe  $\exists$  homeo  $e^n: \Delta^n \cong \mathbb{D}^n$  (homework)

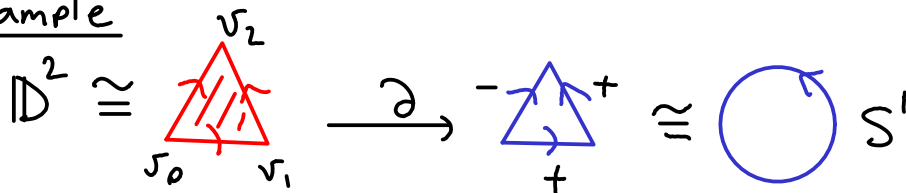
inducing  $\Delta$ -cx structure on  $S^{n-1}$ :



stretch ctly outwards from barycentre ( $\Delta^n$ )

$$\partial \Delta^n \cong \partial \mathbb{D}^n = S^{n-1}$$

Example



Upshot ( $n \geq 2$ )

$$\begin{aligned} H_n(\mathbb{D}^n, S^{n-1}) &= \mathbb{Z} \cdot e^n \\ H_{n-1}(S^{n-1}) &= \mathbb{Z} \cdot \partial e^n \\ \tilde{H}_n(\mathbb{D}^n/S^{n-1}) &= \mathbb{Z} \cdot [e^n] \end{aligned}$$

LES for  $n-1 \geq 1$ , so  $n \geq 2$   
by Cor  $[e^n]$  really lives in  $H_n(\mathbb{D}^n, S^{n-1}) \cong H_n(\mathbb{D}^n/S^{n-1}, S^{n-1}/S^{n-1})$

Exercise Recall another  $\Delta$ -cx structure on  $S^n$ :



$$S^n = \Delta^n \cup \Delta^n / \text{glue along } \partial \Delta^n$$

call this  $\Delta_1$  this  $\Delta_0$

then  $H_n S^n = \mathbb{Z} \cdot (\Delta_1 - \Delta_0)$

and  $H_n(S^n, \Delta_0) \cong H_n(\Delta_1, \partial \Delta_1)$   
 $\Delta_1 - \Delta_0 \rightarrow \Delta_1$

$H_n(\mathbb{D}^n, \partial \mathbb{D}^n)$   
|||

Another remark about orientations

Fact  $\{\text{homeos } \Delta^n \rightarrow \mathbb{D}^n\}$  has 2 path-components

Above we chose a path-component by constructing  $e^n$ .

If  $r$  is any reflection in  $\mathbb{R}^{n+1}$  then  $e^n \circ r$  is in the other path-component

$$H_n(S^n) \cong H_n(\mathbb{D}^n, S^{n-1}) \cong \mathbb{Z}$$

$e^n \mapsto +1$   
 $e^n \circ r \mapsto -1$

e.g. swap 2 coordinates in  $\Delta^n$

We will see later in the course that this corresponds to a choice of orientation of  $D^n$  and  $S^n$ .

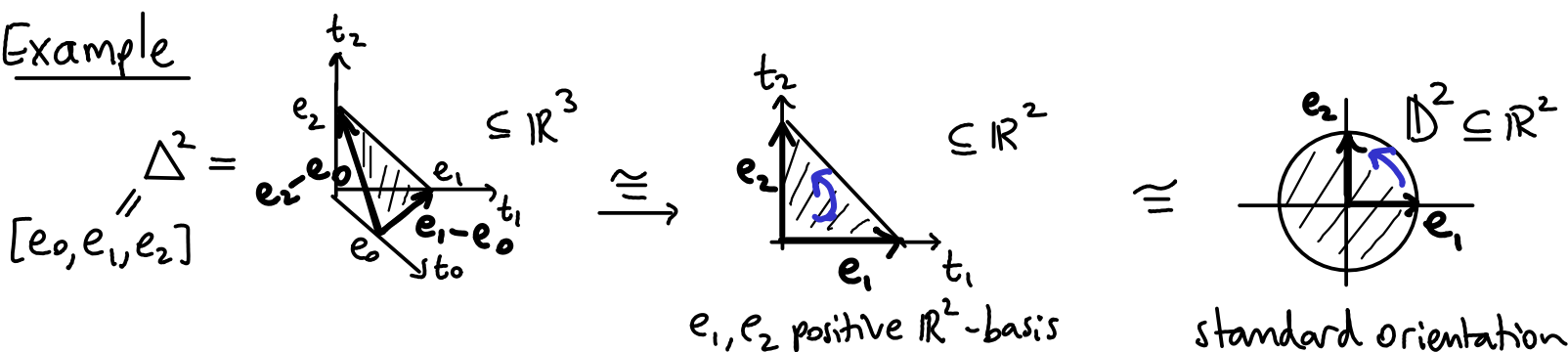
Our choice is consistent with the inclusion  $D^n \subseteq \mathbb{R}^n$  (with the positive (canonical) orientation of  $\mathbb{R}^n$ ) and the inclusion

$$(\Delta^n \subseteq \mathbb{R}^{n+1}) \xrightarrow{\cong} \{(t_1, \dots, t_n) \in \mathbb{R}^n : t_i \geq 0, \sum_{i=1}^n t_i \leq 1\}$$

$$(\underline{t_0}, \dots, \underline{t_n}) \mapsto (\underline{t_1}, \dots, \underline{t_n})$$

$$t_i \geq 0, \sum t_i = 1$$

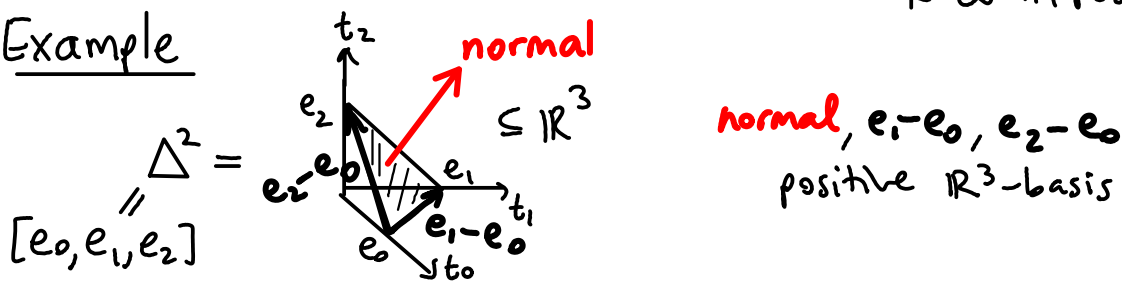
Example



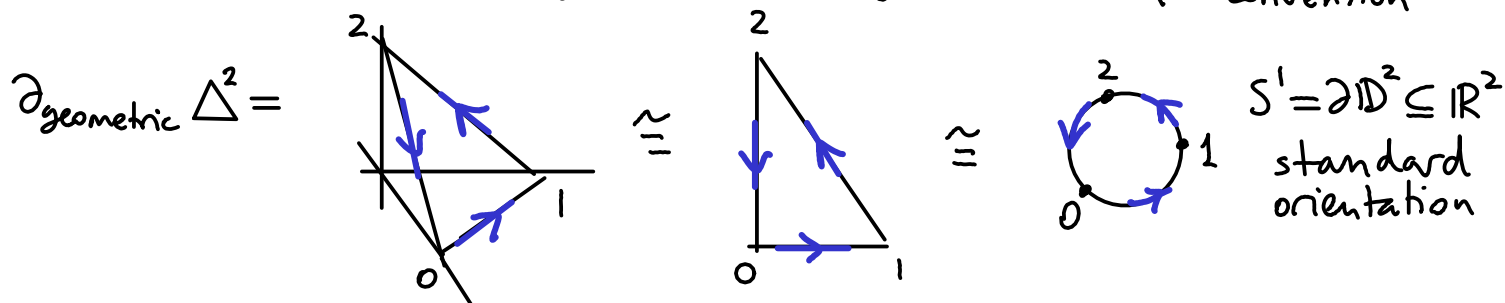
Our choice is also consistent with the "normal first" convention for orienting hyperplanes with a given choice of normal:

$\Delta^n \subseteq$  hyperplane  $\{(t_0, \dots, t_n) : \sum t_j = 1\} \subseteq \mathbb{R}^{n+1}$  normal  $(1, 1, \dots, 1)$  (so pointing to  $\infty$  in positive quadrant)

Example



Consistent also with the geometric boundary orientation (outward normal first) convention



Compare  $\partial \Delta = +[\hat{e}_0, e_1, e_2] - [e_0, \hat{e}_1, e_2] + [e_0, e_1, \hat{e}_2]$

This  $-[e_0, e_2]$  is not equal to singular chain  $[e_2, e_0]$  since they are different maps and we take free abelian group generated by maps. But  $[e_0, e_2] + [e_2, e_0]$  is homologous to 0 (Homework).

# Locality (or "small simplices theorem")

$\mathcal{U} = \{ \text{subspaces } U_i \subseteq X \}$  whose interiors cover  $X$ :  
 $X = \bigcup U_i^\circ$

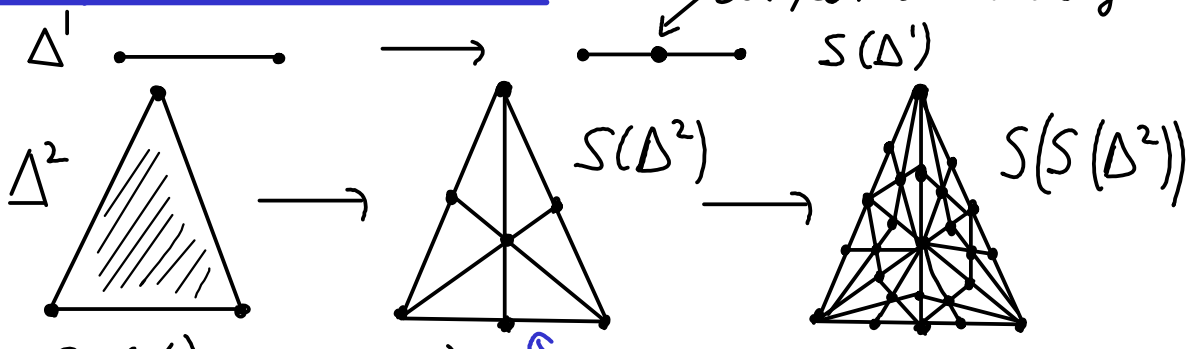
Def  $C_*^{\mathcal{U}}(X) \subseteq C_*(X)$  subcx generated by  $n$ -simplices  $\sigma$  with  $\sigma(\Delta^n) \subseteq U_i$  some  $i$

Theorem  $H_* (C_*^{\mathcal{U}}(X)) \cong H_* (C_*(X)) = H_* X$

barycentre of  $[v_0, \dots, v_n]$   
 is  $\frac{1}{n+1}(v_0 + \dots + v_n)$   
 ↓  
 barycentre divides edge in 2

## Sketch Pf ① Barycentric subdivision

↑  
 Non-examinable



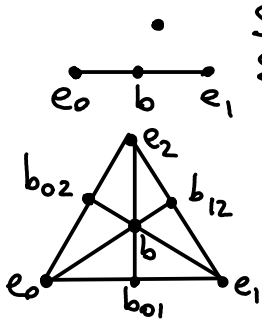
⇒ chain map  $S: C_*(X) \rightarrow C_*(X)$   
 $\sigma \mapsto \sigma \circ S$

and  $S(C_*^{\mathcal{U}}) \subseteq C_*^{\mathcal{U}}$

subdivide the boundary (inductively by dimension) then draw the new faces obtained by convex combinations involving the new vertices and the barycentre

Construction of " $\sigma \circ S$ " is inductive:

On linear simplices (then for maps  $\sigma$  you restrict  $\sigma|_{\dots}$ )



geometrically  $e_0 \xrightarrow{-} b \xrightarrow{+} e_1$   
 (= " $[b, S\partial[e_0, e_1]]$ ")

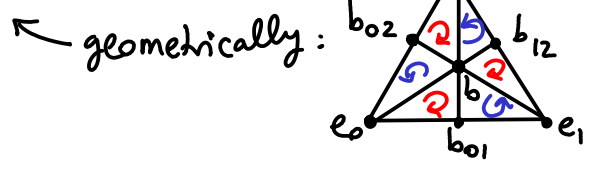
$$S[e_0] = [e_0]$$

$$S[e_0, e_1] = [b, e_1] - [b, e_0]$$

$$S[e_0, e_1, e_2] = "[b, S\partial[e_0, e_1, e_2]]"$$

$$= "[b, S[e_1, e_2]] - [b, S[e_0, e_2]] + [b, S[e_0, e_1]]"$$

$$= ([b, b_{12}, e_2] - [b, b_{12}, e_1]) - ([b, b_{02}, e_2] - [b, b_{02}, e_0]) + ([b, b_{01}, e_1] - [b, b_{01}, e_0])$$



so for  $\sigma: \Delta^2 \rightarrow X$  you take  $S(\sigma) = \sigma|_{[b, b_{12}, e_2]} - \sigma|_{[b, b_{12}, e_1]} - \dots$

## ② S chain hpic to id:

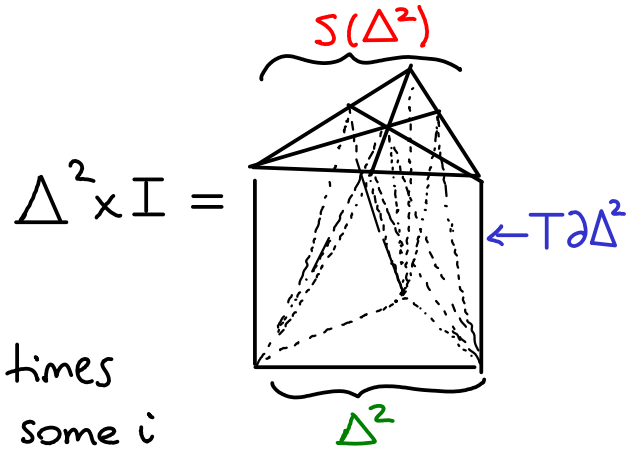
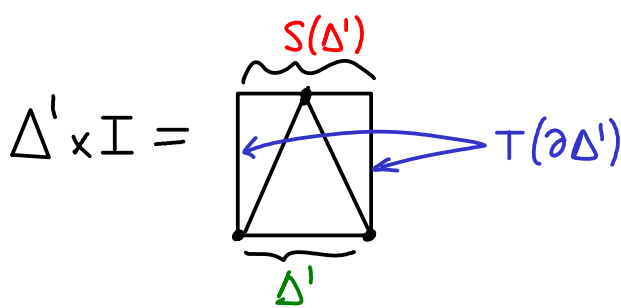
$$T: C_n(X) \rightarrow C_{n+1}(X)$$

$$T(\sigma): \Delta^n \times I \xrightarrow{\text{project}} \Delta^n \xrightarrow{\sigma} X$$

exercise:  $\partial T + T\partial = S - id$

$$\Rightarrow S_*: H_*(X) \xrightarrow{id} H_*(X)$$

Idea:



③  $\forall n$ -simplex  $\sigma: \Delta^n \rightarrow X$ , apply  $S(\cdot)$  enough times until  $\sigma(\text{each } n\text{-simplex of subdivision}) \subseteq U_i$  some  $i$

$\forall$  cycle  $c, \exists n$  s.t.  $S^n(c) \in C_*^U(X)$  cycle  
 $\Rightarrow H_*^U(c) \rightarrow H_*(X)$  surjective

$[S^n(c)] \mapsto S_*^n[c] = [c]$  by ②

$\forall$  bdry  $c = \partial b, \exists n$  s.t.  $S^n(b) \in C_*^U(X)$

claim:  $H_*^U(c) \rightarrow H_*(X)$  injective

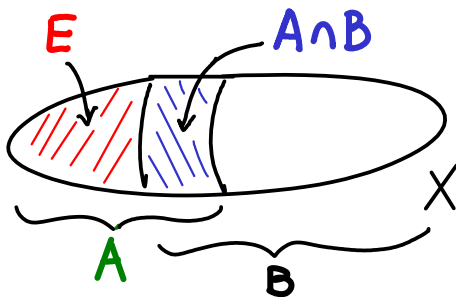
suppose  $[c] \mapsto 0$  then  $c = \partial b$  for  $b \in C_*(X)$

now  $S^n c, S^n b \in C_*^U(X)$  for large  $n$

$\Rightarrow \partial S^n b = S^n \partial b = S^n c$  in  $C_*^U(X)$

$\Rightarrow [c] \stackrel{\text{②}}{=} S_*^n[c] = [S^n c] = [\partial S^n b] = 0$  in  $H_*^U(X) \checkmark \square$

### Proof of excision theorem



Let  $B = X \setminus E$

use  $\mathcal{U} = \{A, B\}$

so  $C_*^U(X) = C_*(A) + C_*(B) \subseteq C_*(X)$

$$\Rightarrow \frac{C_*(X \setminus E)}{C_*(A \setminus E)} = \frac{C_*(B)}{C_*(A \cap B)} \cong \frac{C_*(B)}{C_*(A) \cap C_*(B)} \cong \frac{C_*^U(X)}{C_*(A)}$$

$\Rightarrow$  Compare LES's:

$H_*(X \setminus E, A \setminus E)$

$\cong \leftarrow$  by above isos

$\uparrow$  2nd isomorphism theorem for groups

$$H_*(A) \rightarrow H_*(C_*^U X) \rightarrow H_*(C_*^U X / C_* A) \rightarrow H_{*-1}(A) \rightarrow H_{*-1}(C_*^U X)$$

$\parallel$  locality  $\downarrow \cong$

$\downarrow$  iso by 5-lemma  $\parallel$

locality  $\downarrow \cong$

$$H_*(A) \rightarrow H_*(X) \rightarrow H_*(C_* X / C_* A) \rightarrow H_{*-1}(A) \rightarrow H_{*-1}(X)$$

$\parallel$   
 $H_*(X, A)$

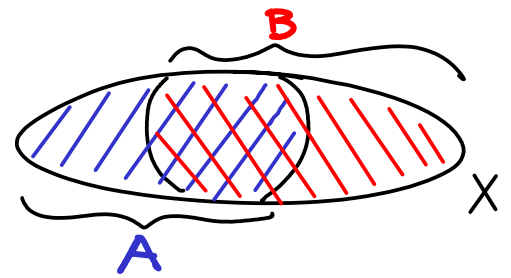
(we are using naturality of LES's induced by SES's)

$\square$

# 6. MAYER-VIETORIS SEQUENCE ← Key computational tool

$$X = A \cup B \text{ s.t. } X = A^\circ \cup B^\circ$$

↙ ↘  
any subspaces



MV Theorem  $\exists$  LES :

$$\boxed{\dots \rightarrow H_*(A \cap B) \xrightarrow{i_*} H_*(A) \oplus H_*(B) \rightarrow H_*(X) \rightarrow H_{*-1}(A \cap B) \xrightarrow{i_*[-1]} \dots}$$

& same holds for  $\tilde{H}_*$  provided  $A \cap B \neq \emptyset$ .

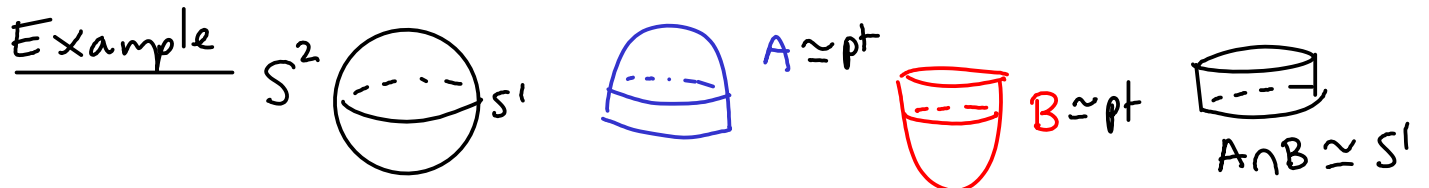
Pf SES  $0 \rightarrow C_*(A \cap B) \rightarrow C_*(A) \oplus C_*(B) \rightarrow C_*^U(X) \rightarrow 0$

$\sigma \mapsto (\sigma, -\sigma)$   
 $(\alpha, \beta) \mapsto \alpha + \beta$

$\Rightarrow$  induces the LES (using locality  $H_*^U X \cong H_* X$ ).  $\square$

Exercise connecting map is  $\delta: H_*(X) \rightarrow H_{*-1}(A \cap B)$

$$[\alpha + \beta] \mapsto [2\alpha] = -[2\beta]$$

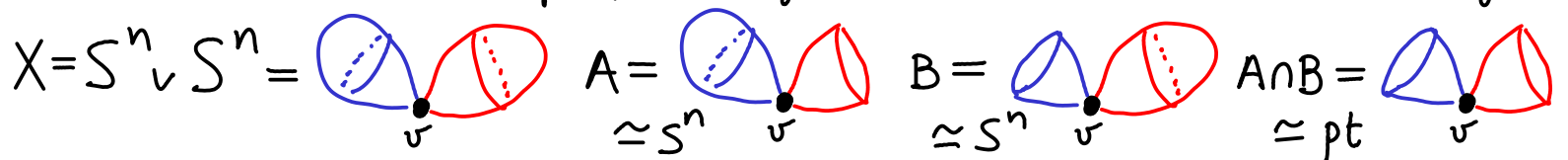


$$\dots \rightarrow H_2(pt) \oplus H_2(pt) \rightarrow H_2 S^2 \rightarrow H_1(S^1) \rightarrow H_1(pt) \oplus H_1(pt) \rightarrow \dots$$

$\begin{matrix} \parallel \\ 0 \end{matrix}$   $\begin{matrix} \uparrow \\ \text{hence } \mathbb{Z} \end{matrix}$   $\begin{matrix} \parallel \\ \mathbb{Z} \end{matrix}$   $\begin{matrix} \parallel \\ 0 \end{matrix}$

Exercise Compute  $H_* S^n = \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z} & * = n \\ 0 & \text{else} \end{cases}$  using MV

Example wedge sum of  $X, Y$  with basepoints  $x \in X, y \in Y$

$$X \vee Y = \frac{X \times Y}{x \sim y}$$


$$0 \rightarrow \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\cong} H_n(X) \rightarrow 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_0(X) \rightarrow 0$$

$\parallel$   $\mapsto (1, -1)$   $\cong \mathbb{Z}$

Similarly  $\boxed{H_*(X \vee Y) \cong H_*(X) \oplus H_*(Y)}$  for  $* \neq 0$  if  $\exists$  contractible nbhds of  $x \in X$ , of  $y \in Y$ .



# Cones and suspensions

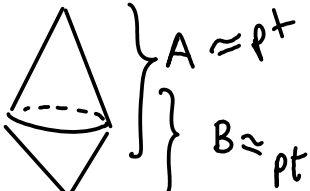
$$\text{Cone}_X = (X \times [0,1]) / (x,s) \sim (y,t) \text{ iff equal or } s=t=1$$

$$\Sigma X = (X \times [0,1]) / (x,s) \sim (y,t) \text{ iff equal}$$

Example  $CS^n \cong \mathbb{D}^{n+1}$ ,  $\Sigma S^n \cong S^{n+1}$ .

or  $s=t=0$   
or  $s=t=1$

Lemma  $\tilde{H}_*(\Sigma X) \cong \tilde{H}_{*-1}(X)$

Pf   $A \cong pt$ ,  $B \cong pt$ ,  $A \cap B \cong X$  now apply MV.  $\square$

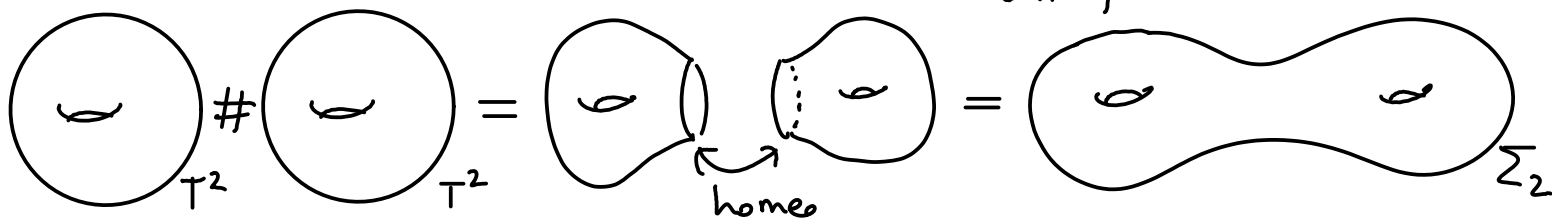
Rmk  $\phi \neq A \subseteq X \Rightarrow \tilde{H}_*(X \cup_A CA) \stackrel{LES}{\cong} H_*(X \cup_A CA, CA) \stackrel{exc.}{\cong} H_*(X, A)$

## Connected sum

identify  $a \in A \subseteq X$  with  $(a,0) \in CA$

$M, N$  connected  $n$ -manifolds  $\Rightarrow M \# N = (M \setminus \text{open } n\text{-ball}) \cup (N \setminus \text{open } n\text{-ball})$

identify  $\partial$  balls via a homeo



Fact compact connected orientable surfaces are homeo to  $S^2$  or  $T^2 \# \dots \# T^2$   
and " " non-orientable ones:  $\mathbb{R}P^2 \# \dots \# \mathbb{R}P^2$ .

$\uparrow$  genus  $g=0$   
 $\uparrow$   $g = \# \text{ copies}$   
called  $\Sigma_g$

Exercise (Homework) For  $M, N$  compact connected

By MV,  $H_*(M \# N) \cong H_*(M) \oplus H_*(N)$  for  $1 \leq * \leq n-2$

If  $M$  or  $N$  orientable:  $* = n-1$  also works

If both non-orientable:  $* = n-1$  one of  $\mathbb{Z}/2$  summands becomes  $\mathbb{Z}$

Cor 1)  $\chi(M \# N) = \chi(M) + \chi(N) - \begin{cases} 2 & \text{for } n \text{ even} \\ 0 & \text{" " odd} \end{cases}$   
2)  $H_*(\Sigma_g) \leftarrow \text{genus } g \cong \begin{cases} \mathbb{Z} & * = 0 \\ \mathbb{Z}^{2g} & * = 1 \\ \mathbb{Z} & * = 2 \end{cases}$   $\chi(S^n)$

$H_0(M \# N) \cong \mathbb{Z}$   
since connected  
fact:  
 $H_n(M \# N)$  is  
 $\mathbb{Z}$  or  $0$   
 $\uparrow$  else  
if  $M, N$  both  
orientable  
(see later in  
course)

# 7. DEGREE OF MAPS OF SPHERES

$$f: S^n \rightarrow S^n \implies f_n: H_n S^n \rightarrow H_n S^n$$

$$\begin{matrix} \mathbb{Z} & \xrightarrow{112} & \mathbb{Z} \\ & & \uparrow 112 \\ & & \mathbb{Z} \end{matrix}$$

$$\implies f_*: \tilde{H}_* S^n \rightarrow \tilde{H}_* S^n \text{ is } \deg(f) \cdot \text{id}$$

$$1 \longmapsto \underline{\deg(f)} \in \mathbb{Z}$$

## Properties

1)  $\deg(\text{id}) = 1$

2)  $\deg(f \circ g) = \deg f \cdot \deg g$

3)  $f \simeq g \implies \deg f = \deg g$

4)  $f \simeq \text{const} \implies \deg f = 0$

5)  $f \text{ homeomorphism} \implies \deg f = \pm 1$

sign depends on whether  $f$  is orientation-preserving or reversing

## Pf

$\text{id}_* = \text{id}$ ,  $(f \circ g)_* = f_* \circ g_*$ ,  $f \simeq g \implies f_* = g_*$ ,  $\text{const}_* = 0$ ,  $f \text{ homeo} \implies f_n \text{ iso. } \square$

## Examples

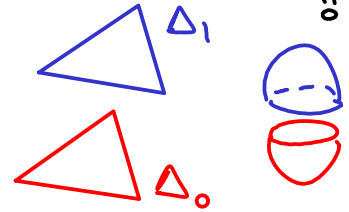
1)  $S^n = \Delta^n \times 1 \cup \Delta^n \times 0 \leftarrow \text{call this } \Delta_0$   
 call this  $\Delta_1$   $(b, 1) \sim (b, 0) \text{ if } b \in \partial \Delta$

recall  $H_n S^n = \mathbb{Z} \cdot (\Delta_1 - \Delta_0)$

reflection:  $r: S^n \rightarrow S^n$ ,  $r(x, t) = (x, 1-t)$

so  $\Delta_0 \leftrightarrow \Delta_1$  swapped by  $r$ , so  $r_*(\Delta_1 - \Delta_0) = -(\Delta_1 - \Delta_0)$

$\implies \deg(r) = -1$



2) antipodal map  $-id: S^n \rightarrow S^n$  viewing  $S^n \subseteq \mathbb{R}^{n+1}$

$\implies \deg(-id) = (-1)^{n+1}$

Pf  $-id = \begin{pmatrix} -1 & & \\ & \ddots & \\ & & -1 \end{pmatrix} \circ \begin{pmatrix} 1 & & \\ & -1 & \\ & & \ddots \end{pmatrix} \circ \dots \circ \begin{pmatrix} 1 & & \\ & \ddots & \\ & & -1 \end{pmatrix}$  composition of  $n+1$  reflections each homotopic to  $r$ .  $\square$

3)  $A \in O(n) \implies A: S^{n-1} \rightarrow S^{n-1} \implies \deg A = \det A \in \{\pm 1\}$

Pf fact  $SO(n)$  is path-connected so  $A \in SO(n)$  is  $\simeq \text{id}$  so  $\deg A = \det A = +1$

The other path-component of  $O(n)$  is  $r \circ O(n)$  where  $r$  is any reflection.  $\square$

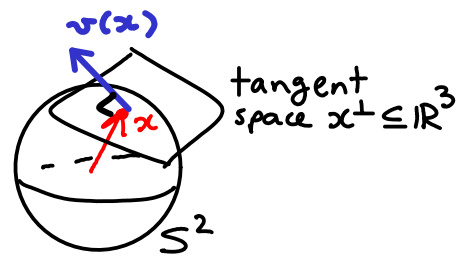
4)  $f \text{ not surjective} \implies \deg f = 0$

Pf If  $y \notin \text{Im} f \implies H_n(S^n) \xrightarrow{f_*} H_n(S^n)$   
 $f_* \searrow \quad \nearrow f_*$   
 $H_n(S^n \setminus y) \cong H_n(\mathbb{R}^n) = 0$

$\square$

# Application to vector fields on $S^n$

$v: S^n \rightarrow \mathbb{R}^{n+1}$  tangent vector field on  $S^n$   
 so  $v(x) \perp x$



Cor Hairy ball theorem  $\exists$  nowhere zero v.f. on  $S^n \iff n$  odd

(case  $n=2$ : "you cannot comb a ball of hair without creating a tuft")

Pf Suppose  $v(x) \neq 0 \quad \forall x$

$\Rightarrow$  hpy  $F: S^n \times [0,1] \rightarrow S^n$

$$F(x,t) = \cos(\pi t)x + \sin(\pi t) \frac{v(x)}{\|v(x)\|}$$

$\Rightarrow F_0 = \text{id}, F_1 = -\text{id}$

$\Rightarrow 1 = \text{deg } F_0 = \text{deg } F_1 = (-1)^{n+1}$

$\Rightarrow n$  odd

For  $n$  odd  $\exists v(x) = (-x_2, x_1, \dots, -x_{2k}, x_{2k-1}) \quad \square$

Cultural Remark Adams in 1962 proved using alg. topology:

(max # pointwise linearly independent vector fields on  $S^n$ ) =  $2^b + 8a - 1$   
 where  $n+1 = 2^{4a+b}$ . (odd number),  $0 \leq b \leq 3, a, b \in \mathbb{N}, n \geq 1$ .

$\swarrow$  get 0 if  $n$  even  
 $\Rightarrow$  Cor  $\checkmark$

Local degree  $f: S^n \rightarrow S^n$   
 $x \rightarrow y = f(x)$

$\star$  Suppose points  $\neq x$  near  $x$  do not map to  $y$ :

$\exists$  nbhds  $x \in U, y \in V$  s.t.  $(U, U \setminus x) \xrightarrow{f} (V, V \setminus y)$

$\Rightarrow (f|_x)_* : H_n(U, U \setminus x) \xrightarrow{f_*} H_n(V, V \setminus y)$

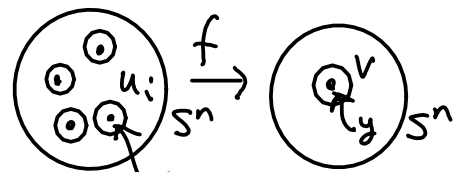
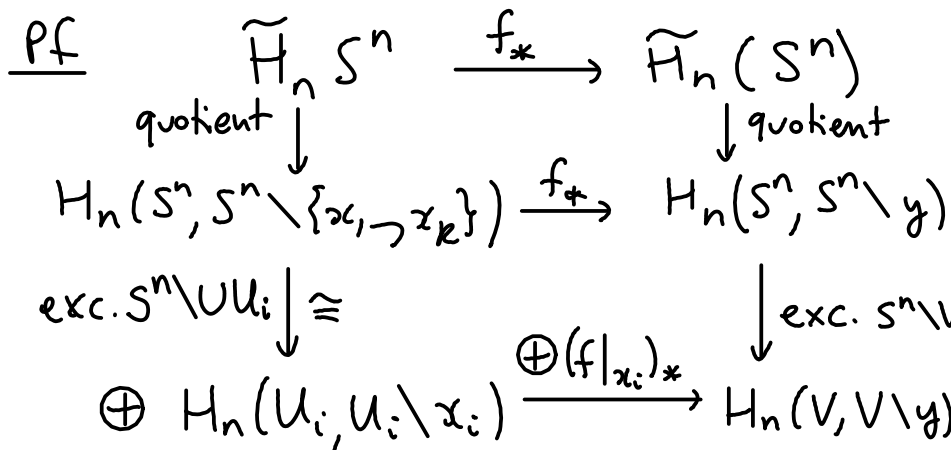
excise  $S^n \setminus U$

$$\begin{array}{ccc} H_n(S^n, S^n \setminus x) & \xrightarrow{f_*} & H_n(V, V \setminus y) \\ \uparrow \cong & & \uparrow \cong \\ H_n(S^n, \text{pt}) & \xrightarrow{f_*} & \mathbb{Z} \\ \uparrow \cong & & \uparrow \cong \\ \mathbb{Z} & \xrightarrow{f_*} & \mathbb{Z} \\ \uparrow \cong & & \uparrow \cong \\ 1 & \xrightarrow{f_*} & \text{deg}_x f \end{array}$$

$\swarrow$  call this  $f|_x$   
local map at  $x$

Lemma  $f: S^n \rightarrow S^n, f^{-1}(y) = \{x_1, \dots, x_k\}$

$$\Rightarrow \boxed{\deg f = \sum \deg_{x_i} f}$$



Rmk  
 can use same  $V$  for all  $i$  by taking  $\tilde{V} = \cap U_i$   
 $\tilde{U}_i = f^{-1}(V) \cap U_i$

(the 2 squares commute:  
 1st: quotient is natural  
 2nd: excision is natural)

map to each summand is exc. of  $S^n \setminus U_i$  so iso.

$$\begin{array}{ccc} \text{is: } 1 \in \mathbb{Z} & \xrightarrow{\deg f} & \mathbb{Z} \\ \downarrow & & \downarrow \\ (1, \dots, 1) \in \bigoplus_i \mathbb{Z} & \xrightarrow{\bigoplus \deg f_{x_i}} & \mathbb{Z} \quad \square \end{array}$$

Example  $p: \mathbb{C} \rightarrow \mathbb{C}$  polynomial  $a_n z^n + a_{n-1} z^{n-1} + \dots + a_0, a_i \in \mathbb{C}$   
 $\Rightarrow f: S^2 = \mathbb{CP}^1 \rightarrow \mathbb{CP}^1 = S^2$  (where view  $\mathbb{CP}^1 = \mathbb{C} \cup \infty \cong S^2$ )  
 $\begin{array}{ccc} z & \mapsto & p(z) \\ \infty & \mapsto & \infty \end{array}$  stereographic projection

$\Rightarrow$  hpy  $F(z, t) = a_n z^n + t(a_{n-1} z^{n-1} + \dots + a_0)$   
 $F_0 = a_n z^n$  and  $F_1 = f$   
 hpy is continuous at  $\infty$  since  $a_n z^n$  dominates other terms:  $F^{-1}(\mathbb{CP}^1 \setminus K) = \mathbb{CP}^1 \setminus (\text{some compact set}) \forall$  compact  $K$ .  
 this would fail if you tried to homotope  $t(a_n z^n) + a_{n-1} z^{n-1} + \dots$

$$\Rightarrow \deg f = \deg(a_n z^n) \stackrel{\text{Lemma}}{=} \sum_{k=0}^{n-1} \deg_{w_k} a_n z^n \leftarrow w_k = e^{\frac{2\pi i k}{n}}$$

= degree of the poly  $p$ . = 1 orient<sup>n</sup> preserving homeo near  $w_k$

Cor (Fundamental Thm of Algebra)  $n \geq 1 \Rightarrow p$  has a root

Pf  $p^{-1}(0) = \emptyset \Rightarrow f^{-1}(0) = \emptyset \Rightarrow \deg f = 0 \geq 1 \quad \square$

holomorphic maps are always orientation preserving

Cultural Rmk For smooth  $f: S^n \rightarrow S^n$

$\deg f =$  (the number of preimages) of a generic point.

(i.e. almost any point works)

Example  $S^2 \rightarrow S^2$  rotate by  $\frac{2\pi}{d}$  about vertical axis

$\Rightarrow \deg = d = \#$  preimages of a point except if pick North/South pole

# 8. CELLULAR HOMOLOGY

Def CW complex  $X$  is sequence  $\emptyset = X^{-1} \subseteq X^0 \subseteq X^1 \subseteq X^2 \subseteq \dots$   
 s.t.  $X^0$  is any set

n-skeleton

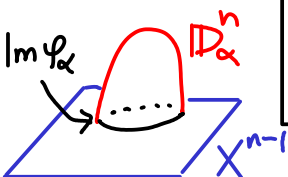
$$X^n = X^{n-1} \sqcup \bigsqcup_{\alpha \in I_n} D_\alpha^n$$

$x \sim \varphi_\alpha(x)$

n-discs labelled by some index set  $I_n$

$\varphi_\alpha: \partial D^n \rightarrow X^{n-1}$   
attaching map

(any continuous map, often not injective)



$\Rightarrow X = \bigcup_{n \geq 0} X^n$  top-space with weak topology:

$$U \subseteq X \text{ open} \iff U \cap X^n \subseteq X^n \text{ open } \forall n.$$

$$(\iff U \cap D_\alpha^n \subseteq D_\alpha^n \text{ open } \forall n, \alpha)$$

Call  $X$  n-dimensional if  $X = X^n$  and this is the least such  $n$ .

Example  $S^n = (D^0 \sqcup D^n) / (D^0 \sim \partial D^n)$

Example  $X = \mathbb{R}P^2 =$

$$X^0 = \bullet = D^0$$

$$X^1 = \bullet \cup \text{loop} = S^1 = (D^0 \sqcup D^1) / (\bullet \sim \varphi_1(x)), \partial D^1 = S^0 = \{0, 1\} \xrightarrow{\varphi_1} X^0 = \bullet$$

$$X^2 = (\bullet \cup \text{loop} \sqcup \text{disk}) / (\text{wrap } \partial \text{ of disk twice around loop})$$

$$= (X^1 \sqcup D^2) / \left( \begin{matrix} \partial D^2 = S^1 \\ z \sim z^2 \in X^1 = S^1 \end{matrix} \right) \quad \partial D^2 = S^1 \xrightarrow{\varphi_2} S^1, \varphi_2(z) = z^2$$

Fact If we homotope  $\varphi_\alpha$ , we get a homotopy equivalent space

Example If we use another degree 2 map  $\varphi_2$  above, get  $X \simeq \mathbb{R}P^2$ .

$X$  is partitioned as a set by interiors of n-cells  $e_\alpha^n = \text{Image}(D_\alpha^n \rightarrow X)$

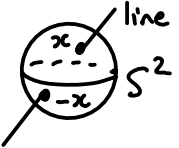
$$X^n = X^{n-1} \sqcup \bigsqcup_{\alpha \in I_n} e_\alpha^n$$

$$= \left( \bigsqcup_{\alpha \in I_0} e_\alpha^0 \right) \cup \left( \bigsqcup_{\alpha \in I_1} e_\alpha^1 \right) \cup \left( \bigsqcup_{\alpha \in I_2} e_\alpha^2 \right) \cup \dots$$

Rmk  
 interior  $D^0 = D^0$   
 so  $e_\alpha^0 = e_\alpha^0$

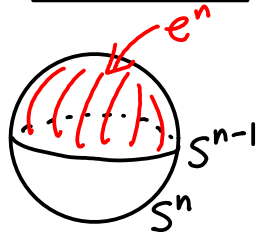
Examples

real projective space  $\mathbb{R}P^n = S^n / (\mathbb{Z}/2\text{-action by } \pm \text{id})$



$X^k = \mathbb{R}P^k$  inductively

$X^n = X^{n-1} \cup e^n$  with  $\varphi: S^{n-1} \rightarrow X^{n-1} = \mathbb{R}P^{n-1} = S^{n-1} / \pm \text{id}$   
 $x \mapsto [x] = [-x]$



complex projective space

$\mathbb{C}P^n = (S^{2n+1} \subseteq \mathbb{C}^n) / (S^1\text{-action by } \lambda \cdot \text{Id})$   $x \sim \lambda x$  for  $\lambda \in S^1 \subseteq \mathbb{C}^*$

$X^0 = X^1 = \text{pt} = \mathbb{C}P^0$

$X^2 = X^3 = X^0 \cup e^2 = \mathbb{C}P^1$ ,  $\varphi: S^1 \rightarrow \text{pt}$   $\mathbb{C}P^1 \cong S^2$

$X^4 = X^5 = X^2 \cup e^4 = \mathbb{C}P^2$ ,  $\varphi: S^3 \rightarrow \mathbb{C}P^1 = S^3 / (S^1\text{-action})$   
 $x \mapsto [x] = [\lambda x], \forall \lambda \in S^1$

$X^{2n} = X^{2n-2} \cup e^{2n} = \mathbb{C}P^n$ ,  $\varphi: S^{2n-1} \rightarrow X^{2n-2} = \mathbb{C}P^{n-1}$   
 $x \mapsto [x]$

In coordinates:  $\mathbb{C}P^n = \{ [z_0 : \dots : z_n] : \text{not all } z_i \in \mathbb{C} \text{ are } 0 \}$  and  $[z] \sim [\lambda z], \forall \lambda \in \mathbb{C}^*$   
 Can rescale so that  $\sum |z_i|^2 = 1$  so  $z \in S^{2n-1}$  and left with rescaling by  $\lambda \in S^1 \subseteq \mathbb{C}^*$ .

$\mathbb{C}P^{n-1} \cong X^{n-2} = \{ [z_0 : \dots : z_{n-1} : 0] \} \subseteq \mathbb{C}P^n = X^n$  and  
 $e^{2n}: \mathbb{D}^{2n} = \{ (w_0, \dots, w_{n-1}) : \sum |w_j|^2 \leq 1 \} \rightarrow X^n$  via  $[w_0 : \dots : w_{n-1} : \sqrt{1 - \sum |w_j|^2}]$  notice this = 0 if  $w \in S^{2n-1} \cong \partial \mathbb{D}^{2n}$

Observe: For  $X$  CW complex, for  $n \geq 1$ :  $(X^n, X^{n-1}) = (X^n, \phi)$   
 $X^n / X^{n-1} = X^n$

$(X^n, X^{n-1})$  is a good pair  $\leftarrow$  (since  $\exists$  nbhd of  $\partial \mathbb{D}^n$  that deformation retracts to  $\partial \mathbb{D}^n$ )

$X^n / X^{n-1} \cong \bigvee_{\alpha \in I_n} S^n$   $\leftarrow$   $S^n = \mathbb{D}^n / \partial \mathbb{D}^n$   
 $X^{n-1}$  identified to a point

Def Cellular complex for  $X$  a CW cx,

$$C_n^{CW}(X) = H_n(X^n, X^{n-1}) \cong H_n(X^n / X^{n-1})$$

= free abelian gp gen. by the  $n$ -cells  $e_\alpha^n$

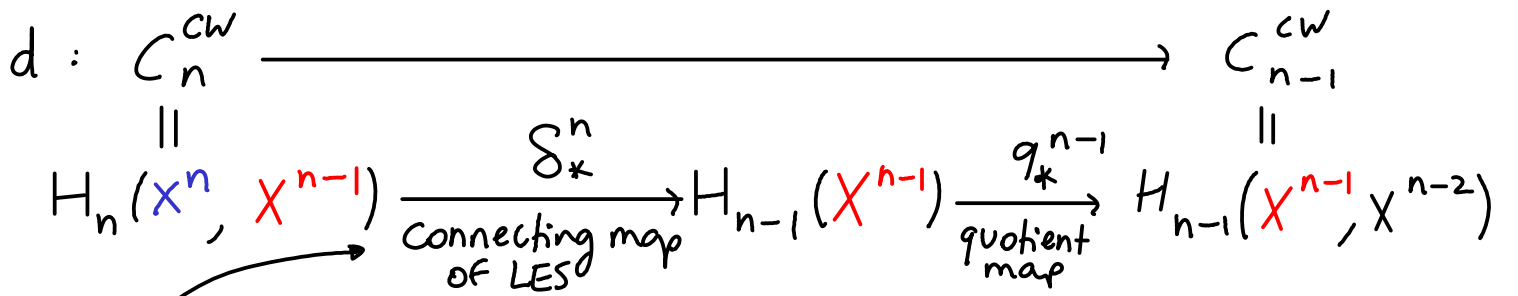
since  $\Delta^n \cong \mathbb{D}^n \rightarrow (e^n \in X^n) \rightarrow \mathbb{D}_\alpha^n / \partial \mathbb{D}_\alpha^n = S_\alpha^n$  generate

Will build cellular differential  $d$ , prove  $d \circ d = 0$ ,

$\Rightarrow$  get  $H_*^{CW}(X) = H_*(C_*^{CW}(X), d)$

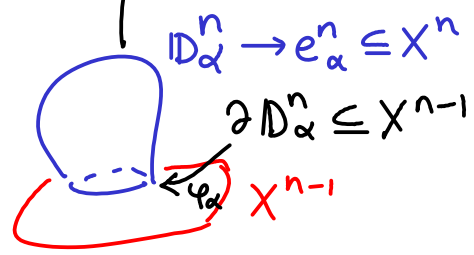
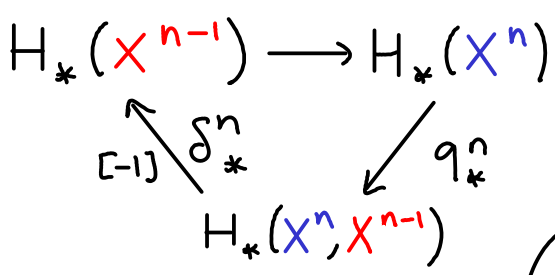
$\leftarrow$  as usual we use the standard orientations of  $\Delta^n, \mathbb{D}^n, S^n$ .

$d e_\alpha^n = \sum_{\beta \in I_{n-1}} d_{\alpha\beta}^n \cdot e_\beta^{n-1}$  now describe the coefficients  $d_{\alpha\beta}^n \in \mathbb{Z}$  and why that is a finite sum.



$e_\alpha^n \longmapsto (\partial D_\alpha^n \rightarrow \varphi_\alpha(S^{n-1})) \longmapsto \sum d_{\alpha\beta}^n e_\beta^{n-1}$

Recall LES



here it is important that we chose identifications  $\Delta^n \cong D^n, S^n \cong D^n / \partial D^n$  compatibly with orientations. Quotient by  $\bigvee_{I_{n-1} \setminus \beta} S^{n-1}$

Therefore:

$d_{\alpha\beta}^n = \deg(S^{n-1} \xrightarrow{\varphi_\alpha} X^{n-1} \xrightarrow{q} X^{n-1} / X^{n-2} \cong \bigvee_{I_{n-1}} S^{n-1} \xrightarrow{\downarrow} S^{n-1})$

$\parallel \partial D_\alpha^n \quad \parallel D_\beta^{n-1} / \partial D_\beta^{n-1}$

Rmk Only finitely many  $d_{\alpha\beta}^n \neq 0$  (for fixed  $\alpha$ ) because  $\varphi_\alpha, q$  are continuous and  $S^{n-1}$  compact, so get a compact image in  $\bigvee_\beta S^{n-1}$ , therefore cannot surject onto  $\infty$  many  $S_\beta^{n-1}$ .  
 (recall if don't surject then deg=0)

Lemma  $d \circ d = 0$

Pf  $d_n = q_{n-1}^{n-1} \circ \delta_n^n$

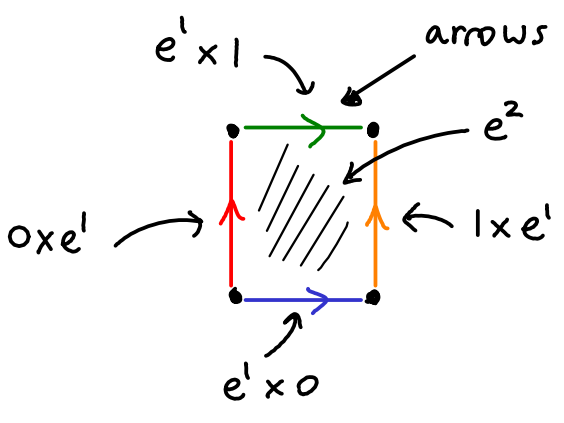
$d_{n-1} \circ d_n = q_{n-2}^{n-2} \circ \underbrace{\delta_{n-1}^{n-1} \circ q_{n-1}^{n-1}}_{=0 \text{ by LES}} \circ \delta_n^n \quad \square$

Cor  $\text{rank } H_n^{CW}(X) \leq \# n\text{-cells}$

Pf  $\# n\text{-cells} = \text{rank } C_n^{CW}(X) \geq \text{rank } \text{Ker } d_n^{CW} \geq \text{rank } H_n^{CW}(X) \quad \square$

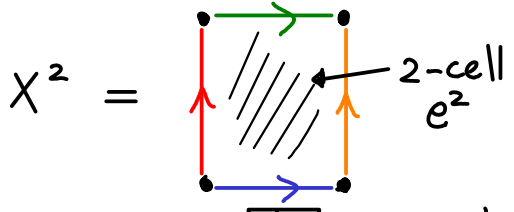


Example  $I \times I$   $I = [0,1]$   $D^1 = [-1,1]$



arrows here tell us how we map  $[-1,1] \rightarrow$  edge (so orientation)

$X^0 = \dots = 4$  0-cells  
 $X^1 =$  4 1-cells  $\begin{matrix} e^1 x 0 \\ I x e^1 \\ e^1 x 1 \\ 0 x e^1 \end{matrix}$



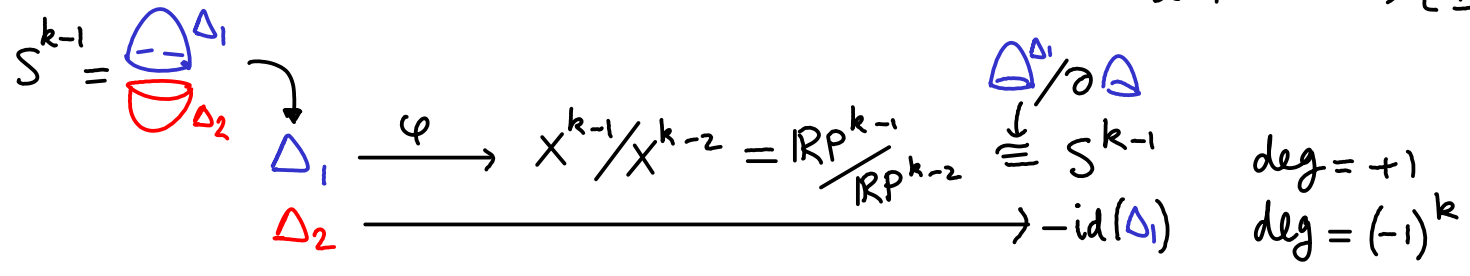
$X^1/X^0 =$  orientations of cells tell us how to orient the circles

$e^2 : D^2 \cong \square \rightarrow X^1$

$\partial e^2 : S^1 \cong \square \rightarrow X^1/X^0 =$  degree -1 because top edge of  $\square \rightarrow$  maps to  $\circlearrowleft$  by an orientation-reversing homeomorphism.

$\Rightarrow \partial e^2 = +e^1 x 0 + I x e^1 - e^1 x 1 - 0 x e^1$   
 (=  $(\partial e^1) \times e^1 - e^1 \times (\partial e^1)$  ← we come back to this later)

Example  $\mathbb{R}P^n$  recall: 1 cell in each dim,  $\varphi : S^k \rightarrow X^k = \mathbb{R}P^k$   
 $x \mapsto [\pm x]$



$\Rightarrow d_{\alpha\beta}^k = \begin{cases} 2 & k \text{ even} \\ 0 & k \text{ odd} \end{cases}$

$C_*^{CW}(\mathbb{R}P^n) : 0 \rightarrow \mathbb{Z} \xrightarrow{k=n} \mathbb{Z} \rightarrow \dots \rightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \rightarrow 0$   
 (where  $k=1$  and  $0$  are at the bottom of the last two  $\mathbb{Z}$  terms)

$H_*^{CW}(\mathbb{R}P^n) \cong \begin{cases} \mathbb{Z} & * = 0, \text{ also } * = n \text{ if odd} \\ \mathbb{Z}/2 & * = 1, 3, 5, \dots < n \\ 0 & \text{else} \end{cases}$

Example  $S^n$ :  $C_*^{CW}(S^n): n > 2: 0 \rightarrow \mathbb{Z} \cdot D^n \xrightarrow{0} 0 \rightarrow \dots \rightarrow 0 \rightarrow \mathbb{Z} \cdot D^0 \rightarrow 0$

$n=1: 0 \rightarrow \mathbb{Z} \cdot D^1 \xrightarrow{0} \mathbb{Z} \cdot D^0 \rightarrow 0$

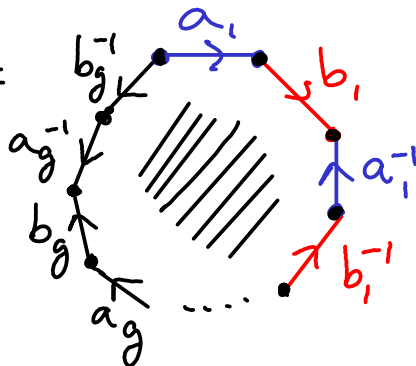


$\deg \gamma = 0$

$$\Rightarrow H_*^{CW}(S^n) \cong \begin{cases} \mathbb{Z} & * = 0, n \\ 0 & \text{else} \end{cases}$$

$H_1(S^1, pt) \xrightarrow{\delta} H_0(pt) \xrightarrow{q} H_0(pt, \emptyset)$   
 $(\Delta^1 \cong [0,1] \rightarrow S^1) \xrightarrow{\sigma} \partial \sigma$   
 $\sigma = \text{quotient on } \mathbb{R} \rightarrow \partial \sigma = pt - pt = 0$   
 if you work with degrees, need to remember orientations:  
 $\partial D^1 \cong \partial [0,1] = [1] - [0] \rightarrow \text{point}$   
 so degree =  $+1 - 1 = 0$

Example  $\Sigma_g =$   
 genus  $g$  surface



boundary identifications  
 $a_1, b_1, a_1^{-1}, b_1^{-1}, \dots, a_g, b_g, a_g^{-1}, b_g^{-1}$

Notice all vertices are identified, call vertex  $v$

$$\begin{aligned} \partial a_i &= v - v = 0 \\ \partial b_i &= v - v = 0 \end{aligned}$$

$$0 \rightarrow \mathbb{Z} \xrightarrow{\partial} \mathbb{Z}^{2g} \xrightarrow{\partial=0} \mathbb{Z} \rightarrow 0$$

$\mathbb{Z} \cdot D \quad \mathbb{Z} \langle a_i, b_i, a_i^{-1}, b_i^{-1} \rangle \quad \mathbb{Z} \cdot v$

$$D \mapsto -a_1 - b_1 + a_1 + b_1 + \dots - a_g - b_g + a_g + b_g = 0$$

$$H_*(\Sigma_g) \cong \begin{cases} \mathbb{Z} & * = 0, 2 \\ \mathbb{Z}^{2g} & * = 1 \\ 0 & \text{else} \end{cases}$$

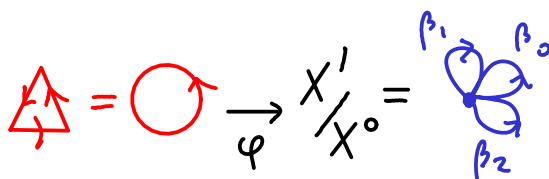
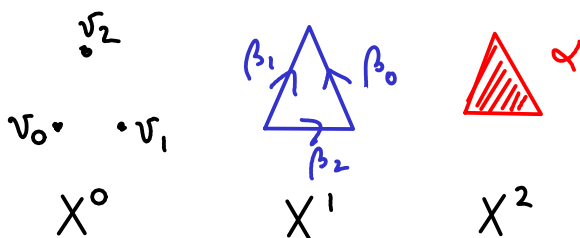
signs: compare edge orientation with anticlockwise orientation of  $\partial D$

Lemma  $X$   $\Delta$ -cx structure  $\Rightarrow$  induces CW-cx structure on  $X$  and  
 $(C_*^{CW}(X), d^{CW}) \cong (C_*^\Delta(X), d^\Delta)$

$$\Rightarrow \boxed{H_*^{CW}(X) \cong H_*^\Delta(X)}$$

Pf  $X^n = \cup_n \text{-simplices of } X$  and degrees are  $\pm 1$  depending on orient<sup>n</sup>  
 so can identify  $d^{CW}$  and  $d^\Delta$ .  $\square$

Example  $X = \text{triangle} = \Delta^2$



$$d_\alpha \beta_2 = d_\alpha \beta_0 = +1, \quad d_\alpha \beta_1 = -1$$

$$\Rightarrow d^\Delta \alpha = \beta_0 - \beta_1 + \beta_2$$

$$\Rightarrow d^{CW} \alpha = d^\Delta \alpha \quad \checkmark \quad \square$$

Theorem  $X$  CW cx (or  $\Delta$ -cx)  $\implies$   $H_*^{CW}(X) \cong H_*(X)$

$\implies H_*^{\Delta}, H_*^{CW}$  independent of choice of CW-cx/ $\Delta$ -cx structure.

Pf ①  $H_*(X^n, X^{n-1}) \cong \tilde{H}_*(X^n/X^{n-1}) \cong \tilde{H}_*(\bigvee_{\alpha} S^n) \cong \bigoplus_{\alpha} \tilde{H}_* S^n$   
 $= 0 \iff * \neq n$  lives in degree  $n$

LES for  $(X^n, X^{n-1}) \implies H_*(X^{n-1}) \rightarrow H_*(X^n)$  iso for  $* < n-1$   
 $* > n$

② for  $* < n$ :  $H_*(X^n) \cong H_*(X^{n+1}) \cong H_*(X^{n+2}) \cong \dots \cong H_*(X)$   
by ① by compactness each sing. chain lands in  $X^N$  some  $N$

③ for  $* > n$ :  $H_*(X^n) \cong H_*(X^{n-1}) \cong H_*(X^{n-2}) \cong \dots \cong H_*(X^{-1}) = 0$

④ LES:  $\dots \rightarrow H_n(X^{n-1}) \rightarrow H_n(X^n) \xrightarrow{q_n} H_n(X^n, X^{n-1}) \rightarrow \dots$   
 $\parallel$   
0 by ③  $\parallel$   
 $q_n$   
 $\implies q_n$  injective  $\forall n$

⑤ LES:  $\dots \xrightarrow{q_{n+1}^{n+1}} H_{n+1}(X^{n+1}, X^n) \xrightarrow{\delta_{n+1}^{n+1}} H_n(X^n) \rightarrow H_n(X^{n+1}) \rightarrow 0$  ①

UPSHOT  $H_n(X) \stackrel{\textcircled{2}}{\cong} H_n(X^{n+1}) \stackrel{\textcircled{5}}{\cong} H_n(X^n) / \text{im } \delta_{n+1}^{n+1} \stackrel{\textcircled{4}}{\cong} (q_n^n H_n(X^n)) / \text{im } q_n^n \circ \delta_{n+1}^{n+1} \cong H_n^{CW}(X)$   
 $\parallel$   
im  $q_n^n$   $\parallel$   
 $d_{n+1}^{CW}$   
exactness LES  $\rightarrow \parallel$  ④  $\text{Ker } \delta_n^n = \text{Ker } q_{n-1}^{n-1} \circ \delta_n^n$   $\parallel$   
 $d_n^{CW}$   $\cong$

Rmk by ①  $H_k$  not affected if attach  $(k+2)$ -cells or higher

by ② Inclusion  $X^n \rightarrow X$  induces iso  $H_*(X^n) \rightarrow H_*(X)$  for  $* < n$

Cor  $X$   $n$ -dimensional cell cx  $\implies H_*(X) = 0$  for  $* > n$

# Axioms for homology: Eilenberg-Steenrod axioms

It was no accident that  $H_*^\Delta, H_*^{CW}, H_*^*$  all agreed.

## Def A generalised homology theory (GHT)

is a functor  $F: \text{TopPairs} = \left( \begin{array}{l} \text{Category of pairs} \\ \text{of spaces, and} \\ \text{maps of pairs} \end{array} \right) \rightarrow \text{Graded Abelian Gps}$

with a natural transformation  $\delta: F_*(X, A) \rightarrow F_{*-1}(X, \emptyset)$  satisfying:

1) homotopy invariance:  $f \simeq g \Rightarrow F(f) = F(g)$  ← abbreviated:  $F_{*-1}(X)$

2) exactness:  $\exists$  LES  $\boxed{\dots \rightarrow F_*(A) \xrightarrow{F(\text{incl}: A \rightarrow X)} F_*(X) \xrightarrow{F(\text{incl}: (X, \emptyset) \rightarrow (X, A))} F_*(X, A) \xrightarrow{\delta} F_{*-1}(A) \rightarrow \dots}$

3) additivity:  $(X, A) = \sqcup (X_i, A_i)$ ,  $\text{incl}_i: (X_i, A_i) \rightarrow (X, A)$

then  $\boxed{\sum F(\text{incl}_i): \bigoplus F(X_i, A_i) \xrightarrow{\cong} F(X, A)}$

4) excision:  $\boxed{\bar{E} \subseteq A^\circ \subseteq X \Rightarrow F(X \setminus E, A \setminus E) \xrightarrow[\uparrow F(\text{incl})]{\cong} F(X, A)}$

Remark (4)  $\iff X = A^\circ \cup B^\circ$ ,  $\text{incl}: (B, A \cap B) \rightarrow (X, A)$   
then  $\boxed{F(\text{incl}): F(B, A \cap B) \xrightarrow{\cong} F(X, A)}$

Pf  $B = X \setminus E$ ,  $E = X \setminus B$  noticing that  $(X \setminus E)^\circ \cup A^\circ = X$

$E = A \setminus B$  noticing that  $\bar{E} \subseteq \bar{A} \setminus B^\circ \subseteq A^\circ \setminus B^\circ \subseteq A^\circ$ .  $X = A^\circ \cup B^\circ$   
so  $\partial B \subseteq A^\circ$

Rmk In (3), the topology on the disjoint union  $\sqcup (X_i, A_i)$  is defined by:  $U \subseteq \sqcup (X_i, A_i)$  open  $\iff U \cap X_i \subseteq X_i$  open  $\forall i$

## FACT Theorem

a)  $(F, \delta_F), (G, \delta_G)$  GHTs,  $\alpha: F \rightarrow G$  a natural transformation commuting with  $\delta_F, \delta_G$  such that  $\alpha_{\text{point}}: F(\text{point}) \rightarrow G(\text{point})$  is an iso, then  $\alpha$  is an iso.

b) If  $(F, \delta_F)$  GHT satisfies (5) dimension:  $\boxed{F_*(\text{point}) = \begin{cases} \mathbb{Z} & * = 0 \\ 0 & \text{else} \end{cases}}$

Then  $\exists$  natural iso  $F \cong H_*$  (such an  $F$  is called a homology theory)

Rmk In (b) if require  $F_0(\text{point}) = \mathbf{G}$  an abelian group (instead of  $\mathbb{Z}$ )  
 $\implies F(X, A) \cong H_*(X, A; \mathbf{G}) = (\text{homology with coefficients in } \mathbf{G}) \leftarrow \text{later in course}$

# 9. COHOMOLOGY

$(C_*, \partial_*)$  chain cx s.t.  $C_*$  free  $\mathbb{Z}$ -module

$$C_* \cong \bigoplus_{\alpha} \mathbb{Z}$$

Def  $n$ -cochains

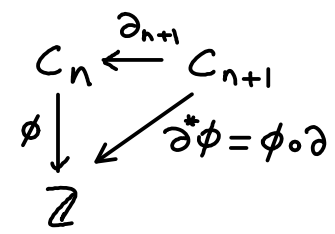
$$C^n = \text{Hom}(C_n, \mathbb{Z})$$

coboundary map

(this is the dual of  $\partial$ )

$$\partial^n : C^n \rightarrow C^{n+1}$$

$$\partial^n(\phi) = \phi \circ \partial_{n+1}$$



Notice  $\partial^*$  is degree +1 map (not -1)

$$H^m(C_*, \partial_*) = \frac{\text{Ker } \partial^m \leftarrow \text{cocycles}}{\text{Im } \partial^{m-1} \leftarrow \text{coboundaries}}$$

(Note  $\partial^* \circ \partial^* = 0$ :  
 $\partial^* \partial^* \phi = \phi \circ \partial \circ \partial = 0$ )

Rmk If use negative grading,  $(C^{-*}, \partial^{-*})$  is a chain complex with homology so many results from  $H_*$  carry over to  $H^*$ . So abusively write

$$H^*(C_*, \partial_*) = H_*(\text{Hom}(C_*, \mathbb{Z}), \partial^*)$$

Remarks about dualisation

$\text{Hom}(\mathbb{Z}^n, \mathbb{Z}) \cong \mathbb{Z}^n$  generated by projection maps  
 $\pi_i(x_1, \dots, x_n) = x_i$

this is the dual of the standard basis:  
 $\pi_i = e_i^* : e_i \rightarrow 1$   
 $e_k \rightarrow 0, k \neq i$

$$\begin{array}{ccc} \alpha : \mathbb{Z}^n \rightarrow \mathbb{Z}^m & \Rightarrow & \text{Hom}(\mathbb{Z}^n, \mathbb{Z}) \xleftarrow[\alpha^*]{\text{dual}} \text{Hom}(\mathbb{Z}^m, \mathbb{Z}) \\ x \mapsto A \cdot x & & \\ \uparrow & & \uparrow \\ \text{mxn matrix} & & \mathbb{Z}^n \xleftarrow[\text{transpose}(A)]{\quad} \mathbb{Z}^m \end{array} \quad \alpha^* \phi = \phi \circ \alpha$$

Def  $X$  space  $\Rightarrow$  singular cohomology

$$H^*(X) = H^*(C^*(X), \partial^*)$$

similarly define  $H_{\Delta}^*, H_{CW}^*$

dualise  $C_* = C_*(X)$

Example  $\mathbb{R}P^3 : C_{CW}^*(\mathbb{R}P^3) : 0 \rightarrow \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{2} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \rightarrow 0$

dualise :  $C_{CW}^*(\mathbb{R}P^3) : 0 \leftarrow \mathbb{Z} \xleftarrow{0} \mathbb{Z} \xleftarrow{2} \mathbb{Z} \xleftarrow{0} \mathbb{Z} \rightarrow 0$

$$H^*(\mathbb{R}P^3) \cong H_{CW}^*(\mathbb{R}P^3) = \begin{cases} \mathbb{Z} & * = 0, 3 \\ \mathbb{Z}/2 & * = 2 \\ 0 & \text{else} \end{cases} \quad \leftarrow \text{notice } H_1(\mathbb{R}P^3) \cong \mathbb{Z}/2 \text{ has moved to grading 2.}$$

## Functoriality

$$f: X \rightarrow Y \Rightarrow f_*: C_* X \rightarrow C_* Y$$

← called **pull-back**

$$\Rightarrow C^* X \xleftarrow{f^*} C^* Y \text{ is dual: } \boxed{f^* \phi = \phi \circ f_*}$$

Lemma  $f^*$  is a **cochain map** (meaning  $\partial^* \circ f^* = f^* \circ \partial^*$ )

$$\Rightarrow \boxed{f^*: H^* Y \rightarrow H^* X}$$

Pf  $(\partial^* \circ f^*)(\phi) = \partial^*(\phi \circ f_*)$

$$= (\phi \circ f_*) \circ \partial$$

$$= (\phi \circ \partial) \circ f_* \quad \text{as } f_* \text{ chain map}$$

$$= f^*(\phi \circ \partial)$$

$$= f^*(\partial^* \phi)$$

$$= (f^* \circ \partial^*)(\phi)$$

Properties •  $\text{id}^* = \text{id}$

•  $(f \circ g)^* = g^* \circ f^*$  notice order!

$$\Rightarrow \boxed{H^*: \text{Top} \rightarrow \text{Graded Ab Grps}} \quad \text{contravariant functor}$$

Exercise  $H^0(X) = \prod_{\pi_0 X} \mathbb{Z}$  where  $\pi_0 X = \{\text{path-components of } X\}$

## Homotopy invariance

Lemma  $f_*, g_*: C_* \xrightarrow{\text{free}} \tilde{C}_*$  chain hpic  $\Rightarrow f^* = g^*: H^* \tilde{C} \rightarrow H^* C$

Pf  $f_* - g_* = \tilde{\partial} \circ h + h \circ \partial$

$$f^* - g^* = h^* \circ \tilde{\partial}^* + \partial^* \circ h^*$$

some  $h: C_* \rightarrow \tilde{C}_*[1]$

for dual  $h^*: \tilde{C}^* \rightarrow C^*[-1]$ .

(notice degree -1, not +1)  $\square$

Def  $h^*$  called **cochain homotopy**

Cor  $f \simeq g: X \rightarrow Y \Rightarrow f^* = g^*: H^* Y \rightarrow H^* X \quad \square$

# Algebra: dual of SES

Lemma  $0 \rightarrow A \xrightarrow{i} B \xrightarrow{j} C \rightarrow 0$  exact,  $A, B, C$  free

$\Rightarrow 0 \leftarrow A^* \xleftarrow{i^*} B^* \xleftarrow{j^*} C^* \leftarrow 0$  exact

Pf  $C$  free  $\Rightarrow \exists$  splitting  $B \xrightleftharpoons[s]{j} C$   $j \circ s = \text{id}$

pick preimages  $b_i$  for basis  $e_i$  of  $C$ , then  $s(e_i) = b_i$

$\Rightarrow A \oplus C \xrightarrow{i \oplus s} B$

dual

$\Rightarrow A^* \oplus C^* \xleftarrow{i^* \oplus s^*} B^*$  and  $s^* \circ j^* = \text{id}$

$\Rightarrow 0 \leftarrow A^* \xleftarrow{i^*} B^* \xleftarrow{j^*} C^* \leftarrow 0$

where  $0 = (j \circ i)^* = i^* \circ j^*$  so  $\text{Im } j^* \subseteq \text{Ker } i^*$

prove  $\supseteq$ :  $i^* b = 0 \Rightarrow b - j^* s^* b \in \text{Ker } i^* \cap \text{Ker } s^* = \{0\}$

$\Rightarrow b = j^* s^* b \in \text{Im } j^*$

$\Rightarrow \text{Ker } i^* = \text{Im } j^* \quad \square$

since  $i^* \oplus s^*$  is iso.  
since  $s^* j^* = \text{id}$

Cultural Remark  
 $(\bigoplus_{n \in \mathbb{N}} \mathbb{Z})^* = \prod_{n \in \mathbb{N}} \mathbb{Z}^*$   
is not free.  
(Baer 1937)  
so  $A^*, B^*, C^*$  are not free unless  $A, B, C$  have finite ranks

Rmk inverse is  
 $B \cong A \oplus C$   
 $b \mapsto i^{-1}(b - s(b)) \oplus j(b)$

## Excision, LES, Mayer-Vietoris

By previous Lemma get dual results:

Excision  $\bar{E} \subseteq A^0 \subseteq X \Rightarrow H^*(X \setminus E, A \setminus E) \xleftarrow{i^*} H^*(X, A)$

LES for pair  $(X, A) \quad \dots \xleftarrow{q^* [+1]} H^{*+1}(X, A) \xleftarrow{\delta} H^*(A) \xleftarrow{i^*} H^*(X) \xleftarrow{q^*} H^*(X, A) \leftarrow \dots$

M.V.  $X = A^0 \cup B^0 \Rightarrow \dots \xleftarrow{i_A^* \oplus -i_B^*} H^{*+1}(X) \xleftarrow{i_A^* \oplus -i_B^*} H^*(A \cap B) \xleftarrow{i_A^* \oplus -i_B^*} H^*(A) \oplus H^*(B) \xleftarrow{j_A^* \oplus j_B^*} H^*(X) \leftarrow \dots$

where  $A \cap B \xrightarrow{i_A} A \xrightarrow{j_A} X$   
 $\quad \quad \quad \downarrow \quad \quad \downarrow$   
 $\quad \quad \quad i_B \quad \quad j_B$   
are the obvious maps

## Axioms for cohomology

These are analogous to the axioms for homology except we reverse all arrows, and we change axiom (3):  $\prod$  instead of  $\oplus$

additivity:  $(X, A) = \sqcup (X_i, A_i)$ ,  $\text{incl}_i: (X_i, A_i) \rightarrow (X, A)$

then  $\prod F(\text{incl}_i): \prod F(X_i, A_i) \xleftarrow{\cong} F(X, A)$



# 10. CUP PRODUCT

Theorem  $H^*(X)$  is <sup>space</sup> unital graded-commutative ring via  $\cup : H^k(X) \times H^l(X) \rightarrow H^{k+l}(X)$  determined by

$$\cup : C^k(X) \times C^l(X) \rightarrow C^{k+l}(X)$$

$$(\phi \cup \psi)(\sigma) = \phi(\sigma|_{\underline{[e_0, \dots, e_k]}}) \cdot \psi(\sigma|_{\underline{[e_k, \dots, e_{k+l}]}})$$

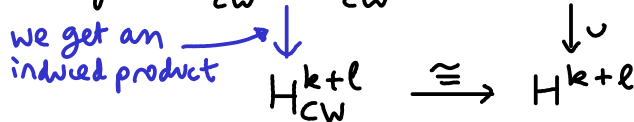
①  $1 \in C^0(X)$  constant function  $\Rightarrow 1 \cup \phi = \phi \cup 1 = \phi$

②  $\phi \cup \psi = (-1)^{\deg \phi \cdot \deg \psi} \psi \cup \phi$

## Useful trick

If  $X$  is CW-cx, then  $C_*^{CW}(X) \xrightarrow[\cong]{\text{inclusion}} C_*(X)$ , so  $C_{CW}^*(X) \xleftarrow[\cong]{\text{restriction}} C^*(X)$ . So to define/determine a class in  $H^*(X)$  it is enough to define its values on CW chains (provided it is a CW-cycle). So doing:  $H_{CW}^k \times H_{CW}^l \xrightarrow{\cong} H^k \times H^l$

## Proof of Theorem



$\phi \text{ incl} \longleftarrow 1 \phi$

$$\begin{aligned} \partial^*(\phi \cup \psi)(\sigma) &= (\phi \cup \psi)(\partial\sigma) \\ &= (\phi \cup \psi) \sum (-1)^i \sigma|_{[e_0, \dots, \hat{e}_i, \dots, e_n]} \quad n=k+l \\ &= \sum_{i \leq k} (-1)^i \phi(\sigma|_{[e_0, \dots, \hat{e}_i, \dots, e_{k+1}]}) \cdot \psi(\sigma|_{[e_{k+1}, \dots, e_n]}) \\ &\quad + \sum_{i > k} (-1)^i \phi(\sigma|_{[e_0, \dots, e_k]}) \cdot \psi(\sigma|_{[e_k, \dots, \hat{e}_i, \dots, e_n]}) \cdot \underbrace{(-1)^{i-k} (-1)^{k-i}}_1 \\ &= ((\partial^*\phi) \cup \psi(\sigma)) + (-1)^k \phi \cup \partial^*\psi \end{aligned}$$

induces  $[\phi] \cup [\psi] = [\phi \cup \psi]$ :

well-defined: • cycles  $\rightarrow$  cycle:  $\partial(\phi \cup \psi) = \overbrace{(\partial\phi) \cup \psi}^{\cong=0} \pm \phi \cup \overbrace{(\partial\psi)}^{\cong=0} = 0$

•  $[\phi] = [\phi + \partial\alpha]$  so need  $[(\partial\alpha) \cup \psi] = 0$

$$(\partial\alpha) \cup \psi \stackrel{\cong=0}{=} \partial(\alpha \cup \psi) \quad \checkmark$$

$\uparrow$   
 $\partial\psi=0$

• Similarly  $[\phi] \cup [\partial\beta] = 0$

bilinear, associative, distributive: true at chain level

unital:  $(\partial 1)(\sigma) = 1(\sigma|_{[e_0]}) - 1(\sigma|_{[e_0]}) = 1 - 1 = 0$

$(1 \cup \psi)(\sigma) = 1(\sigma|_{[e_0]}) \cdot \psi(\sigma|_{[e_0, \dots, e_n]}) = \psi(\sigma)$  ( $\phi \cup 1 = \phi$  similar)

graded-comm. sketch proof: ← **non-examinable**

Let  $r : C_n(X) \rightarrow C_n(X)$ ,  $r(\sigma) = \epsilon_n \bar{\sigma}$  where:  $\epsilon_n = (-1)^{\frac{n(n+1)}{2}}$

and  $\bar{\sigma}|_{[v_0, \dots, v_n]} = \sigma|_{[v_n, \dots, v_0]}$  ← reverse order of vertices:  
 is product of  $n + (n-1) + \dots + 1$  transpositions  
 $\frac{n(n+1)}{2}$

(idea: each transposition is a reflection in hyperplane, so reverses orientation of simplex, so insert  $\epsilon_n$  to compensate)

one checks: •  $r$  chain map

•  $\underline{r^* \psi \cup r^* \psi} = \underline{r^*(\psi \cup \psi)}$

$\epsilon_k \epsilon_l$  ← differ by  $(-1)^{kl}$  →  $\epsilon_{k+l}$

•  $r \simeq id$  so can drop  $r^* = id$  on cohomology

$(r - id = P\partial + \partial P$  with  $v_i, w_i$  like for prism operator)  
 $P\sigma = \sum (-1)^i \epsilon_{n-i} (\sigma \circ \pi)|_{[v_0, \dots, v_i, \underline{w_n, \dots, w_i}]}$  □  
 projection  $\Delta^n \times I \xrightarrow{\pi} \Delta^n$

Naturality of cup product

Lemma  $f : X \rightarrow Y \implies f^* : H^* Y \rightarrow H^* X$  hom of unital rings

Pf  $f^*(\psi \cup \psi)(\sigma) = (\psi \cup \psi)(f_* \sigma)$   
 $= \psi(f_* \sigma|_{[e_0, \dots, e_k]}) \cdot \psi(f_* \sigma|_{[e_k, \dots, e_n]})$   
 $= ((\psi \circ f_*) \cup (\psi \circ f_*))(\sigma)$   
 $= (f^* \psi \cup f^* \psi)(\sigma)$

unital:  $f^*(1) = 1 \circ f_* = 1$  □

UPSHOT  $H^* : \text{Top} \rightarrow \left\{ \begin{array}{l} \text{Graded-commutative unital rings} \\ \text{with graded unital ring homs} \end{array} \right\}$   
 contravariant functor.

Warning An (iso)morphism  $H^*(Y) \rightarrow H^*(X)$  of groups will also preserve the ring structure if  $f^*$  is induced by a map of spaces  $X \rightarrow Y$  (by above Lemma).

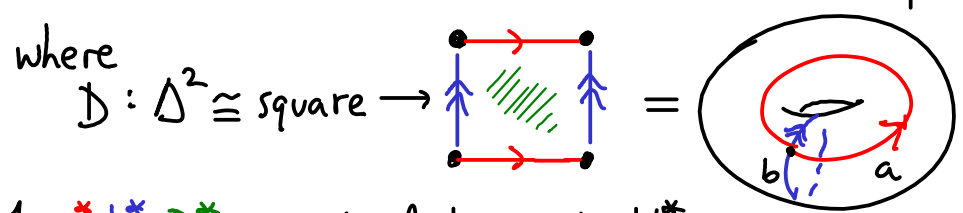
$\implies$  Cor The excision theorem iso on cohomology is an iso of rings.

However the connecting hom in M.V. or LES cannot possibly be a ring hom since it drops gradings by 1 ( $\implies \delta(a \cup b)$  and  $\delta(a) \cup \delta(b)$  have different grading!)

Example  $H^1(T^2) \times H^1(T^2) \xrightarrow{\cup} H^2(T^2)$  bilinear form  $\mathbb{Z}^2 \times \mathbb{Z}^2 \rightarrow \mathbb{Z}$  with matrix  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

Pf recall:

$*$		$H_*(T^2)$	$H^*(T^2)$
0	$\mathbb{Z}$	$\mathbb{Z} \cdot \text{pt}$	$\mathbb{Z} \cdot 1$
1	$\mathbb{Z}^2$	$\mathbb{Z}a \oplus \mathbb{Z}b$	$\mathbb{Z}a^* + \mathbb{Z}b^*$
2	$\mathbb{Z}$	$\mathbb{Z} \cdot D$	$\mathbb{Z} \cdot D^*$



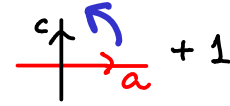
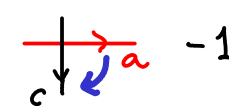
$1, a^*, b^*, D^*$  are dual basis in  $H^*$

Identify  $H^*(T^2) \cong H_\Delta^*(T^2)$  so at chain level:

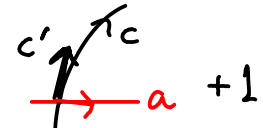
$a^*: C_1^{CW}(X) \rightarrow \mathbb{Z}$   $b^*: C_1^{CW}(X) \rightarrow \mathbb{Z}$   $D^*: C_2^{CW}(X) \rightarrow \mathbb{Z}$

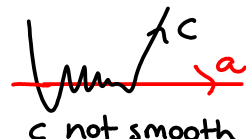
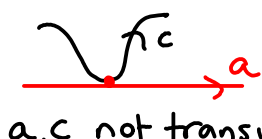
$a \mapsto 1$   $b \mapsto 0$   $a \mapsto 0$   $b \mapsto 1$   $D \mapsto 1$

$\Rightarrow b^*(c) = \# \underset{C_1^{CW}}{a \text{ intersects } c \text{ counted with orientation signs}}$   $a^*(c) = - \# \underset{C_1^{CW}}{b \text{ intersects } c \text{ counted with signs.}}$

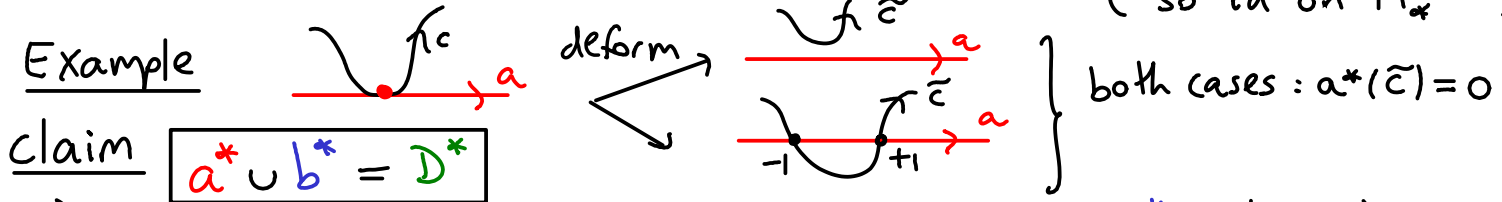
  $+1$    $-1$

Fact Same holds for smooth singular 1-chains  $C: \Delta^1 \cong I \rightarrow T^2$

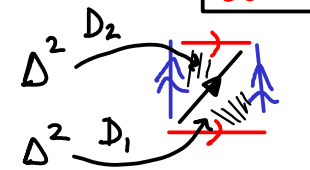
which intersect transversely: velocity vectors  $a', c'$  span  $\mathbb{R}^2$    $+1$

Otherwise ill-defined:   $c$  not smooth and   $a, c$  not transverse (tangency) are bad.

trick need first pick homologous representative which is smooth & transverse, by continuously deforming the chain (continuous map  $\cong \text{id}$  so  $\text{id}$  on  $H_*$ )



claim  $a^* \cup b^* = D^*$


$\Delta^2 \xrightarrow{D_2} \Delta^2 \xrightarrow{D_1}$  

$(a^* \cup b^*)(D_1 + D_2) = a^*(D_1 | [e_0, e_1]) \cdot b^*(D_1 | [e_1, e_2]) + \text{same for } D_2$

homologous to  $D$   $\Rightarrow$   $= a^*(a) b^*(b) + a^*(b) b^*(a) = 1$

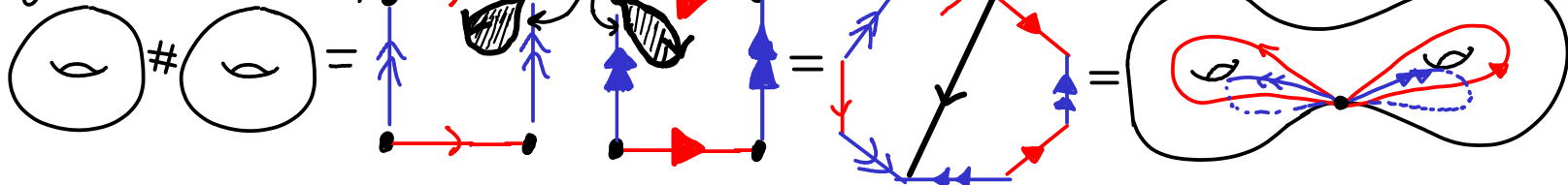
Notice we are using the "Useful Trick" (start of Sec. 10) We view  $D$  as the singular cycle  $D_1 + D_2$ .

Graded-comm.  $\Rightarrow b^* \cup a^* = -D^*$ ,  $a^* \cup a^* = (-1)^{|a|^2} a^* \cup a^* = 0$ , similarly  $b^* \cup b^* = 0$ .  $\square$

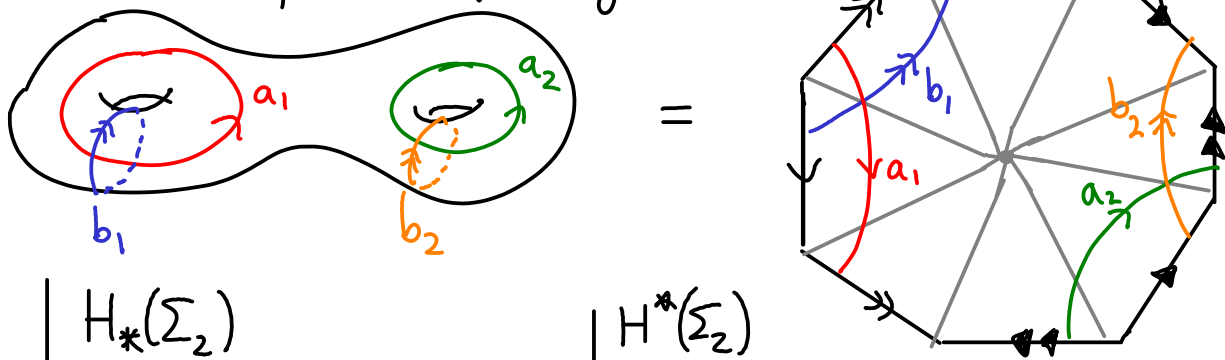
Idea  $\cup$  just counts (signed) geometric intersection # of corresponding curves. Why " $a \cap a = 0$ "? Can deform  $a$  to make it disjoint from  $a$ : 

# Exercise $\Sigma_2$

(genus 2 surface)



Make life simpler: deform generators:



		$H_*(\Sigma_2)$	$H^*(\Sigma_2)$
0	$\mathbb{Z}$	$\mathbb{Z} \cdot pt$	$\mathbb{Z} \cdot 1$
1	$\mathbb{Z}^4$	$\mathbb{Z}a_1 + \mathbb{Z}b_1 + \mathbb{Z}a_2 + \mathbb{Z}b_2$	$\mathbb{Z} \langle a_1^*, b_1^*, a_2^*, b_2^* \rangle \leftarrow$ dual basis
2	$\mathbb{Z}$	$\mathbb{Z} \cdot D$	$\mathbb{Z} \cdot D^*$

Notice on  $C_1^{CW}(\Sigma_2)$ :  
 $a_i^*(c) = - \#(b_i \text{ intersects } c)$  (signed count)  
 $b_i^*(c) = \#(a_i \text{ intersects } c)$

Exercise  $a_i^* \cup b_j^* = \delta_{ij} \cdot D^* = - b_j^* \cup a_i^*$

hint: D is homologous to the sum of  $\pm$  triangles in last picture (orientation signs)

$a_i^* \cup a_i^* = b_i^* \cup b_i^* = 0$

so same as geometric intersection numbers of corresponding curves.

## Cultural Rmk on general theory (Intersection Theory/Differential Topology)

$M^m$  oriented  $m$ -mfd  $\Rightarrow H_n(N) \xrightarrow{incl_*} H_n(M)$  (see later in course)  
 $N^n \subseteq M^m$  oriented compact  $n$ -dim submfd  $\Rightarrow \exists [N] \mapsto [M]$

$N, M$  also smooth (see Differential Geometry course)  $\Rightarrow \omega_N \in H^{m-n}(M)$  counts  $\#$  intersecns with  $N$  (with signs)

$N_1^{n_1}, N_2^{n_2} \subseteq M$  compact oriented smooth submfds  $\Rightarrow \omega_{N_1} \cup \omega_{N_2} = \#(N_1 \cap N_2) \cdot [M]^*$   
 $n_1 + n_2 = m$  (so complementary dimensions) may require deforming unless  $N_1, N_2$  are already transverse

### Fact (Thom 1954)

Not all  $a \in H^j(M)$  arise as  $\omega_N$  for connected compact oriented codim= $j$  smooth submfd  $N$   
 But  $\exists N \in \mathcal{N}$  s.t.  $N \cdot a$  does arise. They do arise for  $H^*(M; \mathbb{Q}), H^*(M, \mathbb{R}), H^*(M; \mathbb{Z}/2)$

# 11. KÜNNETH FORMULA AND PRODUCT SPACES

## Algebra : tensor products

$R$  ring (comm. with 1)

e.g. abelian groups =  $\mathbb{Z}$ -mods  
vector spaces/ $\mathbb{F}$  =  $\mathbb{F}$ -mods

Def  $A, B$   $R$ -modules  $\Rightarrow$  Tensor product is  $R$ -module

$$A \otimes_R B = \langle (a, b) : a \in A, b \in B \rangle / \text{relations of bilinearity \& rescaling}$$

(or  $A \otimes B$ )  $R$ -mod generated write  $a \otimes b$  for its class

bilinearity:  $(a_1 + a_2) \otimes b = a_1 \otimes b + a_2 \otimes b$

$a \otimes (b_1 + b_2) = a \otimes b_1 + a \otimes b_2$

rescaling:  $r \cdot (a \otimes b) = (ra) \otimes b = a \otimes (rb) \quad \forall r \in R$

"can move  $r \in R$  across the  $\otimes$  symbol"

- So general element looks like  $\sum a_k \otimes b_k$  (finite sum) ← NOT UNIQUELY!
- Don't confuse with  $A \times B$ : e.g.  $0 \otimes b = 0 \quad \forall b$

Rmk Can define  $A \otimes_R B$  also by a universal property: for all  $R$ -mods  $C$ ,

$$\text{Hom}_R(A \otimes_R B, C) \xrightarrow[\text{natural}]{\cong} \{R\text{-bilinear maps } A \times B \rightarrow C\}$$

Using above description of  $A \otimes B$ :  $\varphi \mapsto (A \times B \rightarrow C, (a, b) \mapsto \varphi(a \otimes b))$

Example ( $R = \mathbb{F}$ )  $V, W$  v.s. /  $\mathbb{F}$   $\Rightarrow V \otimes W$  v.s. /  $\mathbb{F}$  basis  $v_i \otimes w_j$   
basis  $v_i$  basis  $w_j$   $\dim_{\mathbb{F}} V \otimes W = \dim V \cdot \dim W$

Exercise  $V, W$  finite dim /  $\mathbb{F} \Rightarrow V^* \otimes W \cong \text{Hom}_{\mathbb{F}}(V, W)$

Hint  $f: V \rightarrow \mathbb{F}, w \in W, f \otimes w \mapsto (V \rightarrow W, v \mapsto f(v) \cdot w)$

### Examples

- ( $R = \mathbb{Z}$ )
- $\mathbb{Z}^n \otimes \mathbb{Z}^m \cong \mathbb{Z}^{n \cdot m}$
  - $\mathbb{Z}/n \otimes \mathbb{Z} \cong \mathbb{Z}/n \leftarrow \sum a_i \otimes b_i = (\sum a_i b_i) \otimes 1$
  - $\mathbb{Z}/2 \otimes \mathbb{Z}/3 = 0 \leftarrow 1 \otimes x = 3 \otimes x = 1 \otimes 3x = 0$
  - $\mathbb{Z}/2 \otimes \mathbb{Z}/4 \cong \mathbb{Z}/2 \leftarrow \begin{cases} 1 \otimes 1 = 3 \otimes 1 = 1 \otimes 3 \\ 1 \otimes 2 = 2 \otimes 1 = 0 \end{cases}$
- e.g.  $(\mathbb{R}^n)^* \otimes \mathbb{R}^m \cong \text{Mat}_{m \times n}(\mathbb{R}) \cong \text{Hom}(\mathbb{R}^n, \mathbb{R}^m)$   
 $e_i^* \otimes e_j \longleftrightarrow$  matrix  $A$  with  $A_{ji} = 1, 0$  else.

### Examples

- $A \otimes B \cong B \otimes A$
  - $(\bigoplus_i A_i) \otimes (\bigoplus_j B_j) \cong \bigoplus_i \bigoplus_j (A_i \otimes B_j)$
  - $A \otimes R \cong A$  (so " $\cdot \otimes_R$  does nothing")
  - $A \otimes R/d \cong A/d \cdot A$
- hence now know  $A \otimes B$  for any f.g.  $R$ -mods  $A, B$ .

for example  $\mathbb{Z}/2 \otimes \mathbb{Z}/4 \cong (\mathbb{Z}/4)/2 \cdot \mathbb{Z}/4 \cong \mathbb{Z}/2 \leftarrow \left( \begin{array}{l} \text{Rmk } (\mathbb{Z}/n)/m \cdot \mathbb{Z}/n \\ \cong \mathbb{Z}/\text{gcd}(m, n) \end{array} \right)$

More generally:  $\begin{cases} R/I \otimes_R R/J \cong R/(I+J) \text{ for ideals } I, J \subseteq R. \\ A \otimes_R R/J \cong A/J \cdot A \end{cases}$

Warning  $\otimes$  often not an exact functor, i.e. does not preserve exact sequences  
indeed it can ruin injectivity:  $0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z}$  now take  $\cdot \otimes \mathbb{Z}/2$  get  $0 \rightarrow \mathbb{Z}/2 \xrightarrow{0} \mathbb{Z}/2$ .

Fact  $\cdot \otimes_{\mathbb{Z}} \mathbb{Q}$  and  $\cdot \otimes_{\mathbb{Z}} \mathbb{R}$  are exact functors on  $\mathbb{Z}$ -mods

More generally  $\cdot \otimes_{\mathbb{Z}} \text{Frac}(R)$  is exact on  $R$ -mods where  $\text{Frac } R$  is fraction field, and  $R$  is an integral domain "localisation is an exact functor"

example A f.g.  $\mathbb{Z}$ -mod  $\Rightarrow A \cong \mathbb{Z}^r \oplus \mathbb{Z}/d_1 \oplus \dots \oplus \mathbb{Z}/d_k$  some  $d_i \neq 0$   
 $\Rightarrow A \otimes \mathbb{Q} \cong \mathbb{Q}^r \Rightarrow r = \text{rank } A = \dim_{\mathbb{Q}}(A \otimes \mathbb{Q})$

Tensor product of chain cxes

$C_*, \tilde{C}_*$  chain cxes of  $R$ -mods  
 $(C_* \otimes \tilde{C}_*)_n = \bigoplus_{i+j=n} C_i \otimes \tilde{C}_j$

$\partial(x \otimes y) = (\partial x) \otimes y + (-1)^{\text{deg } x} x \otimes \tilde{\partial} y$  "Leibniz rule"

Think of  $\partial$  as an operator of  $\text{deg} = -1$  acting from left  
since  $\partial$  "jumps over  $x$ " get  $(-1)^{\text{deg } \partial} \cdot \text{deg } x$

Exercise  $\partial \circ \partial = 0$  ← would fail without sign ↑ recall  $Z_* = \ker \partial = \text{cycles}$ ,  $B_* = \text{im } \partial = \text{boundaries}$

$Z_i \otimes \tilde{Z}_j \subseteq Z_{i+j}(C_* \otimes \tilde{C}_*)$  and  $\left. \begin{matrix} Z_i \otimes \tilde{B}_j \\ B_i \otimes \tilde{Z}_j \end{matrix} \right\} \subseteq B_{i+j}(C_* \otimes \tilde{C}_*)$

Cor  $\exists$  natural maps

$H_i(C_*) \otimes H_j(\tilde{C}_*) \rightarrow H_{i+j}(C_* \otimes \tilde{C}_*)$   
 $\sum [c_k] \otimes [\tilde{c}_k] \mapsto \sum [c_k \otimes \tilde{c}_k]$

FACT:  
Algebraic Künneth Thm

$C_*, H_*(C_*)$  f.g. free  $R$ -mods (no assumption on  $\tilde{C}_*$ )  
 $\leftarrow$  PID (principal ideal domain)

$\Rightarrow \bigoplus_{i+j=n} H_i(C_*) \otimes H_j(\tilde{C}_*) \cong H_n(C_* \otimes \tilde{C}_*)$  via

Algebra: Euler characteristic

$C$  finitely generated graded abelian gp (so  $\mathbb{Z}$ -mod)  
(more generally:  $R$ -mod for PID  $R$ )

Def Euler characteristic  $\chi(C) = \sum (-1)^i \text{rank } C_i$

Example/Motivation  $X$  finite CW-cx then take  $C = C_*^{\text{CW}}(X)$  to get

$\chi(X) = \#(0\text{-cells}) - \#(1\text{-cells}) + \#(2\text{-cells}) - \dots$

Lemma If  $C_*$  f.g. chain cx  $\Rightarrow \chi(C_*) = \chi(H_*(C_*)) (= \sum (-1)^i \text{rank } H_i(C_*))$



Pf Observation:  $\text{rank } C_i = \dim_{\mathbb{Q}}(C_i \otimes_{\mathbb{Z}} \mathbb{Q})$  ← for  $R$ -mods, do  $\dim_{\mathbb{F}}(C_i \otimes_{\mathbb{R}} \mathbb{F})$  with  $\mathbb{F} = \text{Frac}(R)$

⇒ WLOG assume  $C_i$  are vector spaces/field  $\mathbb{F}$ .

Abbreviate  $|C_i| = \dim_{\mathbb{F}} C_i$ . Rank-nullity thm

$$\begin{aligned} 0 \rightarrow Z_i \rightarrow C_i \xrightarrow{\partial} B_{i+1} \rightarrow 0 &\Rightarrow |C_i| = |Z_i| + |B_{i+1}| \\ 0 \rightarrow B_i \rightarrow Z_i \rightarrow H_i \rightarrow 0 &\Rightarrow |H_i| = |Z_i| - |B_i| \end{aligned}$$

$$\Rightarrow \chi(C_*) - \chi(H_*) = \sum (-1)^i |B_{i+1}| - \sum (-1)^i |B_i| = \sum (-1)^i (-|B_i| + |B_i|) = 0. \square$$

Cor  $X$  space ⇒  $\chi(X) = \sum (-1)^i \text{rank } H_i(X)$  ← if finite rank  $H_*(X)$   
 $= \sum (-1)^i \text{rank } C_i(X)$  ← if finite rank  $C_*(X)$

So  $\chi(X)$  is invariant up to hpy equivalence! Example  $\chi(\text{platonic solid}) = \chi(S^2) = 2$

Product spaces

$X, Y$  CW-cxes ⇒  $X \times Y$  CW-cx with cells  $e_\alpha \times e_\beta$  attaching maps  $\partial(D_\alpha^i \times D_\beta^j) = (\partial D_\alpha^i) \times D_\beta^j \cup D_\alpha^i \times (\partial D_\beta^j)$

Cor  $\chi(X \times Y) = \chi(X) \cdot \chi(Y)$   
 $\forall$  finite CW-cxes  $X, Y$

Pf  $\sum (-1)^k \text{rank } H_k^{CW}(X \times Y) = \sum (-1)^k \text{rank } C_k^{CW}(X \times Y) = \sum_k \sum_{i+j=k} (-1)^{i+j} \text{rank } C_i^{CW}(X) \cdot \text{rank } C_j^{CW}(Y)$

Lemma  $d(e_\alpha^i \times e_\beta^j) = (d e_\alpha^i) \times e_\beta^j + (-1)^i e_\alpha^i \times (d e_\beta^j)$

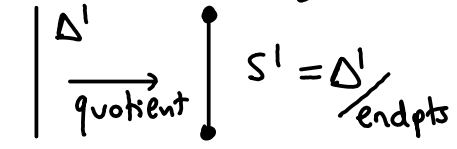
hence  $C_*^{CW}(X \times Y) \cong C_*^{CW}(X) \otimes C_*^{CW}(Y)$

Hence if  $H_*(Y)$  free then by Künneth  $H_*(X \times Y) \cong H_*(X) \otimes H_*(Y)$ .

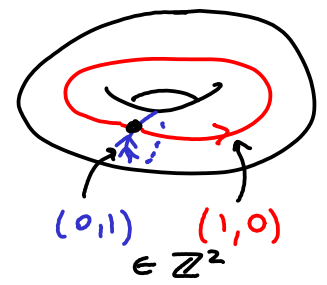
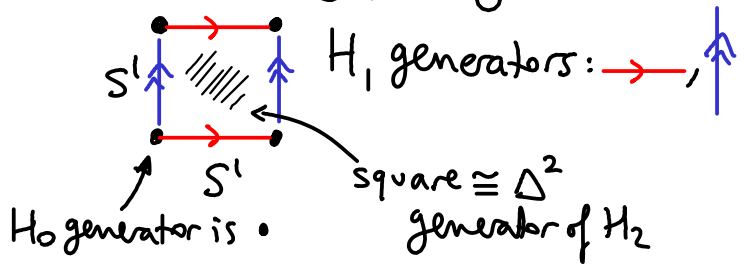
Example

$*$	$H_*(S^1)$	$*$	$H_*(S^1) \otimes H_*(S^1) \cong H_*(S^1 \times S^1)$ ← tors
0	$A \cong \mathbb{Z}$	0	$A \otimes A \cong \mathbb{Z}$
1	$B \cong \mathbb{Z}$	1	$(A \otimes B) \oplus (B \otimes A) \cong \mathbb{Z}^2$
2	0	2	$B \otimes B \cong \mathbb{Z}$
		3	0

$B$  generated by



$A$  generated by  $\Delta^0 \rightarrow \bullet$





Pf  $(\partial D_\alpha^i) \times D_\gamma^j \xrightarrow{\varphi_\alpha \times \text{id}} X^{i-1} \times Y^j \xrightarrow{\quad} X^{i-1} \times Y^j$

$(X \times Y)^{i+j-2} \cap (X^{i-1} \times Y^j)$

$\star := \underbrace{\quad}_{\leftarrow \text{easy check}}$

$X^{i-2} \times Y^j \cup X^{i-1} \times Y^{j-1}$

This proof is Non-examinable

$X^{i-1} = X^{i-2} \cup (D_\beta^{i-1} \cup \dots) / \sim$

$Y^j = Y^{j-1} \cup (D_\gamma^j \cup \dots) / \sim$

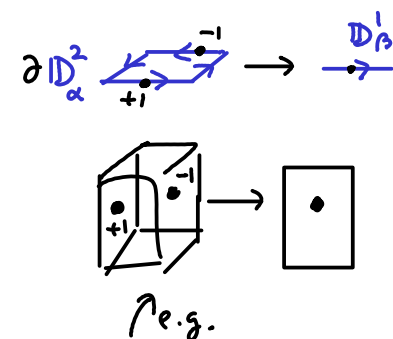
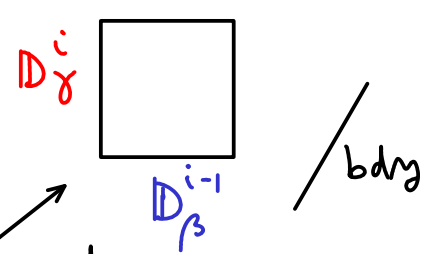
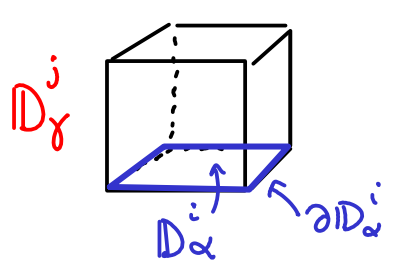
get  $\sim$  from attaching maps

$X^{i-1} \times Y^j = X^{i-2} \times Y^j \cup X^{i-1} \times Y^{j-1} \cup (D_\beta^{i-1} \times D_\gamma^j \cup \dots)$

$\Rightarrow \star = (D_\beta^{i-1} \times D_\gamma^j \cup \dots) / \text{boundaries}$

$= \frac{D_\beta^{i-1} \times D_\gamma^j}{\partial(D_\beta^{i-1} \times D_\gamma^j)} \vee \dots$

$(\partial D_\alpha^i) \times D_\gamma^j \xrightarrow{\varphi_\alpha \times \text{id}} \frac{D_\beta^{i-1} \times D_\gamma^j}{\text{bdry}} \vee \dots$



$(\partial D_\alpha^i) \times D_\gamma^j \xrightarrow{\varphi_\alpha \times \text{id}}$

By considering local degrees now we see we get degree =  $d_{\alpha\beta}$  for this.

$\Rightarrow$  get contribution  $(de_\alpha^i) \times e_\beta^j \checkmark$

similarly

$D_\alpha^i \times \partial D_\gamma^j \xrightarrow{\text{id} \times \varphi_\gamma} \frac{D_\alpha^i \times D_\delta^{j-1}}{\text{bdry}} \Rightarrow \text{degree } (-1)^i d_{\delta\alpha}$

so get  $(-1)^i e_\alpha^i \times de_\beta^j$

$(-1)^i$  caused by orientations:

could reorder factors:  $D_\alpha^i \times D_\gamma^j \cong D_\gamma^j \times D_\alpha^i$  by  $\begin{pmatrix} 0 & \text{Id}_j \\ \text{Id}_i & 0 \end{pmatrix}$

whose  $\det = (-1)^{ij}$ . Then  $\partial D_\gamma^j \times D_\alpha^i \rightarrow D_\delta^{j-1} \times D_\alpha^i / \text{bdry}$  gives degree  $d_{\delta\alpha}$ .

Swap factors  $D_\delta^{j-1} \times D_\alpha^i / \text{bdry}$  by  $\begin{pmatrix} 0 & \text{Id}_i \\ \text{Id}_{j-1} & 0 \end{pmatrix}$ ,  $\det = (-1)^{i(j-1)}$ . Total sign =  $(-1)^i$ .

Example Recall after definition of  $H_*^{CW}$  we had example  $I \times I$ :

arrows here tell us how we map  $[-1,1] \rightarrow$  edge (so orientation)

$$\partial e^2 = +e^1 \times 0 + 1 \times e^1 - e^1 \times 1 - 0 \times e^1$$

$$= (\partial e^1) \times e^1 - e^1 \times (\partial e^1)$$

$(-1)^{\dim e^1}$  ✓

A further comment on orientation sign  $(-1)^i$

$$\mathbb{D}^i \times \mathbb{D}^j \cong \underbrace{\Delta^i}_{[v_0, \dots, v_i]} \times \underbrace{\Delta^j}_{[w_0, \dots, w_j]}$$

← viewed in  $\mathbb{R}^i, \mathbb{R}^j$   
Project  $\mathbb{R}^{i+1} \rightarrow \mathbb{R}^i$   
( $t_0, \dots, t_i$ )  $\mapsto$  ( $\underline{t_1, \dots, t_i}$ )

$$\partial(\mathbb{D}^i \times \mathbb{D}^j) \cong \underbrace{\partial \Delta^i}_{\parallel} \times \Delta^j \cup \Delta^i \times \underbrace{\partial \Delta^j}_{\parallel}$$

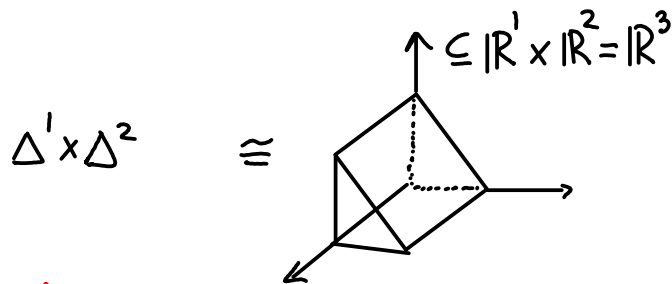
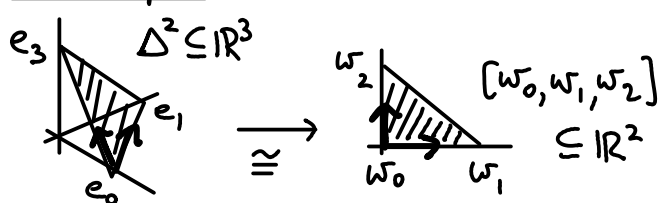
$$\sum_k (-1)^k [v_0, \dots, \hat{v}_k, \dots, v_i] \quad \sum_k (-1)^k [w_0, \dots, \hat{w}_k, \dots, w_j]$$

would be correct orientation sign for basis  $w_1 - w_0, \dots, w_k - w_0, \dots, w_j - w_0$  but actually we have  $[v_0, \dots, v_i] \times [w_0, \dots, w_j] \subseteq \mathbb{R}^i \times \mathbb{R}^j$  and  $(-1)^{i+k}$  is the orientation sign for the basis  $v_1 - v_0, \dots, v_i - v_0, w_1 - w_0, \dots, w_k - w_0, \dots, w_j - w_0$  for the hyperplane in  $\mathbb{R}^{i+j+1}$  containing

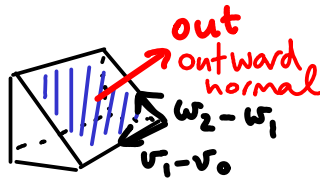
$\Rightarrow$  need  $(-1)^i$  to fix orientation sign.

Example

$$\Delta^1 \times \Delta^2$$



$$[v_0, v_1] \times [\hat{w}_0, w_1, w_2]$$



out,  $v_1 - v_0, w_2 - w_1$  is negative  $\mathbb{R}^3$ -basis



out,  $w_2 - w_1$  is positive  $\mathbb{R}^2$ -basis

← differ due to  $(-1)^i, i=1$ .



FACT:

Künneth Theorem

If  $H_n(Y)$  finitely generated, free  $\forall n$

no conditions on  $X$

automatic if use field coefficients

$H_n(X \times Y) \cong \bigoplus_{i+j=n} H_i(X) \otimes H_j(Y)$	$H^n(X \times Y) \cong \bigoplus_{i+j=n} H^i(X) \otimes H^j(Y)$
	$p_x^* a \cup p_y^* b \longleftarrow a \otimes b \quad (\star)$

Recall for cellular homology this on generators is:

$$e_\alpha^i \times e_\beta^j \longleftarrow e_\alpha^i \otimes e_\beta^j$$

This is hom of rings if use following product

$$(a \otimes b) \cdot (\tilde{a} \otimes \tilde{b}) = (-1)^{|b||\tilde{a}|} (a \cup \tilde{a}) \otimes (b \cup \tilde{b})$$

↑ gradings

think of it as "exchanging order of  $b, \tilde{a}$ "

Rmk

An indirect proof the Thm is to write down two generalised cohomology theories  $F(X,A) = H^*(X,A) \otimes H^*(Y)$  and  $G(X,A) = H^*(X \times Y, A \times Y)$ , and consider the natural transformation  $\alpha: F \rightarrow G$  given by  $(\star)$ , notice for  $X = pt, A = \emptyset$  both  $F, G$  give  $H^*(Y)$ .

Example  $X = S^n, Y = S^m, n \neq m$

$$H_* (S^n \times S^m) \cong \begin{cases} \mathbb{Z} & * = 0, n, m, n+m \\ 0 & \text{else} \end{cases} \cong H^*(S^n \times S^m) \quad \leftarrow \text{where } a_i = \text{dual}(e^i)$$

$a_n \cup a_m = a_{n+m}$

$$H_* (S^n \times S^n) \cong \begin{cases} \mathbb{Z} & * = 0, 2n \\ \mathbb{Z}^2 & * = n \\ 0 & \text{else} \end{cases} \cong H^*(S^n \times S^n)$$

$a_n \cup a_n = a_{2n}$

$n$ -torus  $S^1 \times \dots \times S^1$

Cor  $H^k(T^n) \cong \wedge^k [x_1, \dots, x_n] \leftarrow \text{exterior algebra}$

where  $x_i = p_i^*$  (gen. of  $H^1(S^1)$ )

$\{x_{i_1} \wedge \dots \wedge x_{i_k} : i_1 < \dots < i_k\}$

$p_i: T^n \rightarrow S^1$  projections to factors.

so rank =  $\binom{n}{k}$

Pf idea Künneth & induction ( $T^n = T^{n-1} \times S^1$ )  $\square$

FACT cup product equals composition

$$\cup: H^i(X) \otimes H^j(X) \rightarrow H^{i+j}(X \times X) \xrightarrow{\Delta^*} H^{i+j}(X)$$

$$(\Delta_{\sigma_1}^i \rightarrow X) \otimes (\Delta_{\sigma_2}^j \rightarrow X) \mapsto (\Delta_{\sigma_1 \times \sigma_2}^i \times \Delta_{\sigma_1 \times \sigma_2}^j \rightarrow X \times X)$$

$\Delta_{\sigma_1 \times \sigma_2}^{i+j}$

$\Delta = \text{diagonal map}$   
 $X \rightarrow X \times X$   
 $x \mapsto (x, x)$

# 12. UNIVERSAL COEFFICIENTS THEOREM

This proof is **Non-examinable**

$(C_*, \partial_*)$  chain  $C_*$

$$\Rightarrow 0 \rightarrow Z_* = \text{Ker } \partial_* \xrightarrow{\text{incl}} C_* \xrightarrow{\partial_*} B_{*+1} = \text{Im } \partial_{*+1} \rightarrow 0 \text{ is SES}$$

$\uparrow \partial=0$   $\partial=0 \uparrow$

**FACT:** Submodules of a free  $\mathbb{Z}$ -module are free  
**Rmk** The same holds for  $R$ -mods if  $R$  is PID

$\mathbb{Z}$ -module  $\equiv$  abelian gp  
free means:  $\bigoplus_{\text{indexing set}} \mathbb{Z}$   
**(PID)** = principal ideal domain  
 = integral domain  $R$  s.t. every ideal =  $R \cdot a$  some  $a$

Assume  $C_*$  free  $\mathbb{Z}$ -mod

**FACT**  $\Rightarrow Z_*, B_*$  free (as  $\text{Ker } \partial^*, \text{Im } \partial^*$  are submods of  $C_*$ )

$\Rightarrow$  SES splits, choose splitting  $C_* \xrightleftharpoons[S]{\partial^*} B_{*+1}$  so  $\partial_* \circ S = \text{id}$

dual SES  $\Rightarrow$

$$\begin{array}{ccccccc} 0 & \leftarrow & Z^* & \xleftarrow{\text{incl}^*} & C^* & \xleftarrow{\partial^*} & B^{*+1} & \leftarrow & 0 \\ 0 & \leftarrow & Z^n & \leftarrow & C^n & \xleftarrow{\partial^*} & B^{n+1} & \leftarrow & 0 \\ & & \uparrow \partial=0 & & \uparrow \partial & & \uparrow \partial=0 & & \\ 0 & \leftarrow & Z^{n-1} & \leftarrow & C^{n-1} & \xleftarrow{\partial^*} & B^{n-2} & \leftarrow & 0 \end{array}$$

note:  $\text{incl}^* = \text{restrict to } Z_*$   
 since  $\text{incl}^* \circ \phi: Z_* \xrightarrow{\text{incl}} B_* \xrightarrow{\phi} \mathbb{Z}$   
**(Rmk)** Although  $\partial^* = 0: B^* \rightarrow B^{*+1}$   
 the map  $\partial^*: B^{n+1} \rightarrow C^n$  need not = 0  
 $\psi: B_{n+1} \rightarrow \mathbb{Z}$   
 $\Rightarrow \partial^* \psi = \psi \circ \partial: C_n \xrightarrow{\partial} B_{n-1} \xrightarrow{\psi} \mathbb{Z}$

Connecting map

$$\begin{array}{ccc} \delta: Z^{n-1} \rightarrow B^{n-1} & \begin{array}{c} \uparrow \\ \phi|_{Z_*} = \phi \\ \downarrow \end{array} & \begin{array}{c} \partial^* \psi \leftarrow \partial^* \psi|_{B_*} = \phi|_{B_*} \\ \uparrow \\ \exists \psi \end{array} \\ \text{of LES:} & & \end{array} \Rightarrow \delta(\phi) = \phi|_{B_*}$$

$B_* \subseteq Z_*$

LES  $\Rightarrow$

$$\dots \leftarrow Z^n \leftarrow H^n C \xleftarrow{\partial^*} B^{n-1} \xleftarrow{\delta^{n-1}} Z^{n-1}$$

$\phi|_{B_{n-1}} \leftarrow \phi$

$(H^* B = B^*, H^* C = C^* \text{ since } \partial^* = 0)$

$$\Rightarrow 0 \leftarrow \text{Ker } \delta^n \leftarrow H^n C \leftarrow B^{n-1} / \text{Im } \delta^{n-1} \leftarrow 0$$

$$\text{Ker } \delta^n = \{ \phi \in Z^n : \phi(B_n) = 0 \} \Rightarrow \text{so: } \phi: Z_n \rightarrow \mathbb{Z}$$

$Z_n / B_n = H_n(C_*)$

★ Universal Coefficients Thm:

$$0 \rightarrow B^{n-1} / \text{Im } \delta^{n-1} \rightarrow H^n(C) \rightarrow \text{Hom}(H_n(C_*), \mathbb{Z}) \rightarrow 0 \text{ is SES}$$

$[\psi] \mapsto (\psi: H_n(C_*) \rightarrow \mathbb{Z})$

see next lemma  $\rightarrow \text{Ext}^1(H_{n-1}(C); \mathbb{Z})$

and SES splits (but not naturally):  $B^{n-1} / \text{Im } \delta^{n-1} \xrightleftharpoons[S^*]{\partial^*} H^n(C)$

$\Rightarrow H^n(C) \cong \text{Hom}(H_n(C), \mathbb{Z}) \oplus \text{Ext}^1(H_{n-1}(C), \mathbb{Z})$

$S^* \circ \partial^* = \text{id}$   
 (since  $\partial \circ S = \text{id}$   
 $\Rightarrow \text{id} = (\partial \circ S)^* = S^* \circ \partial^*$ )

Lemma  $\text{Ext}^1(H_{n-1}(C); \mathbb{Z}) \cong B^{n-1}/\text{Im } \delta^{n-1}$  canonically

Algebra background on Extension groups  $\text{Ext}^i(M; \mathbb{Z})$

general case

$M$   $R$ -module,  $R$  ring (comm. with 1)

$\Rightarrow \exists$  free resolution:

$$\dots \rightarrow P_2 \xrightarrow{\varphi_2} P_1 \xrightarrow{\varphi_1} P_0 \xrightarrow{\varphi_0} M \rightarrow 0 \text{ exact, } P_i \text{ free } R\text{-mods}$$

(pick gens  $x_\alpha$  for  $M \Rightarrow P_0 = \bigoplus_\alpha R \xrightarrow{\varphi_0} M, e_\alpha \mapsto x_\alpha$   
 " "  $y_\beta$  for  $\text{Ker } \varphi_0 \Rightarrow P_1 = \bigoplus_\beta R \xrightarrow{\varphi_1} \text{Ker } \varphi_0, e_\beta \mapsto y_\beta$   
 continue inductively)

our case

$H_{n-1}(C_*)$   $\mathbb{Z}$ -mod

$$\begin{array}{ccccccc} 0 & \rightarrow & B_{n-1} & \hookrightarrow & \mathbb{Z}^{n-1} & \rightarrow & H_{n-1}(C) \rightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ & & P_1 & & P_0 & & M \end{array}$$

Take  $\text{Hom}(\cdot; \mathbb{Z})$  and drop  $\text{Hom}(M; \mathbb{Z})$

$$0 \rightarrow \text{Hom}(P_0; \mathbb{Z}) \xrightarrow{\varphi_1^*} \text{Hom}(P_1; \mathbb{Z}) \xrightarrow{\varphi_2^*} \dots$$

Is cochain complex but not exact

$\Rightarrow$  take cohomology groups:

Def  $\text{Ext}^0(M; \mathbb{Z}) = \text{Ker } \varphi_1^*$   
 $\text{Ext}^1(M; \mathbb{Z}) = \text{Ker } \varphi_2^* / \text{Im } \varphi_1^*$   
 ...

Fact  
 independent of choices  $P_i, \varphi_i$

Example 1  $\text{Ext}^0(M; \mathbb{Z}) \cong \text{Hom}(M, \mathbb{Z})$

$$\begin{array}{ccc} P_1 & \xrightarrow{\varphi_1} & P_0 \xrightarrow{\varphi_0} M \\ & \searrow & \downarrow \phi \\ 0 & & \mathbb{Z} \end{array}$$

descends:  $m \mapsto \phi(\varphi_0^{-1}m)$   
 well defined since  $\phi(\text{Ker } \varphi_0) = 0$   
 $\parallel \varphi_1$

Example 2  $\text{Ext}^1(M; \mathbb{Z}) =$

$$\left\{ \begin{array}{ccc} \phi : P_2 \rightarrow P_1 \rightarrow P_0 \\ \searrow \downarrow \phi \\ 0 \rightarrow \mathbb{Z} \end{array} \right\} / \left\{ \begin{array}{ccc} \phi = \varphi_0 \varphi_1 : P_1 \xrightarrow{\varphi_1} P_0 \\ \searrow \downarrow \varphi \\ \mathbb{Z} \end{array} \right\}$$

$$0 \rightarrow B^{n-1} \rightarrow \mathbb{Z}^{n-1} \rightarrow 0$$

Proof of Lemma

By Example 2,

$$\text{Ext}^1(H_{n-1}(C_*); \mathbb{Z}) =$$

$$= \left\{ \begin{array}{ccc} 0 \rightarrow B_{n-1} \rightarrow \mathbb{Z}^{n-1} \\ \phi \downarrow \\ \mathbb{Z} \end{array} \right\} \text{ modulo}$$

those arising from restriction

$$\left\{ \begin{array}{ccc} 0 \rightarrow B_{n-1} \rightarrow \mathbb{Z}^{n-1} \\ \phi \downarrow \searrow \downarrow \phi \\ \mathbb{Z} \end{array} \right\}$$

Thus  $B^{n-1}/\text{Im } \delta^{n-1}$ .  $\square$

Rmk If  $R$  PID, then  $\text{Ker}(P_0 \rightarrow M)$  is free (since submod of free mod  $P_0$ )  
 $\Rightarrow$  can pick  $P_1 = \text{Ker}(P_0 \rightarrow M)$ ,  $P_k = 0$  for  $k \geq 2 \Rightarrow \text{Ext}^k(M; \mathbb{Z}) = 0$   $k \geq 2$

# (Co)homology with coefficients in a ring/field/module

## Motivation

So far we had  $(C_*, \partial_*)$  chain cx of abelian groups } in graded sense  
 $\Rightarrow H_*(C_*) = \text{Ker } \partial_* / \text{Im } \partial_*$  abelian group (since  $\text{Ker } \partial, \text{Im } \partial$  are)

We cannot use a chain cx of (non-abelian) groups, because  $\text{Im } \partial_*$  need not be a normal subgroup of  $\text{Ker } \partial_*$ .

However, abelian groups can be thought of as  $\mathbb{Z}$ -modules, then given any **abelian group  $G$** , define **homology with coeffs in  $G$**

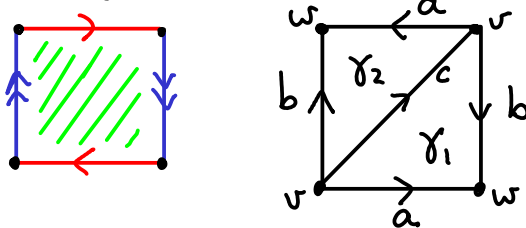
$$H_*(C_*; G) = H_*(C_* \otimes_{\mathbb{Z}} G) \leftarrow \begin{array}{l} \text{with differential} \\ \partial_* \otimes \text{id} \end{array}$$

Def  $X$  space  $\Rightarrow H_*(X; G) = H_*(C_*(X) \otimes_{\mathbb{Z}} G)$

Explanation:

$C_k(X)$  free  $\mathbb{Z}$ -mod  $\cong \bigoplus_{\mathbb{I}_k} \mathbb{Z} \Rightarrow C_k(X) \otimes_{\mathbb{Z}} G \cong \bigoplus_{\mathbb{I}_k} G$ : just replace  $\mathbb{Z}$  by  $G$  (as  $\mathbb{Z} \otimes \cdot \cong \cdot$ )

Why care? We hope to get more/new invariants of spaces

Example  $X = \mathbb{RP}^2 =$  

$*$	$C_*^\Delta(\mathbb{RP}^2; G)$
0	$G \vee \oplus G \vee w$
1	$G a \oplus G b \oplus G c$
2	$G \gamma_1 \oplus G \gamma_2$

for  $G = \mathbb{Z}/2$ :  $0 \rightarrow \mathbb{Z}/2 \oplus \mathbb{Z}/2 \xrightarrow{\partial_2} \mathbb{Z}/2 \oplus \mathbb{Z}/2 \oplus \mathbb{Z}/2 \xrightarrow{\partial_1} \mathbb{Z}/2 \oplus \mathbb{Z}/2 \rightarrow 0$

$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{pmatrix} \quad \begin{pmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \end{pmatrix}$$

$\Rightarrow H_*(\mathbb{RP}^2; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & *=0 \\ \mathbb{Z}/2 & *=1 \\ \mathbb{Z}/2 & *=2 \\ 0 & \text{else} \end{cases}$  compare:  $H_*(\mathbb{RP}^2) \cong \begin{cases} \mathbb{Z} & *=0 \\ \mathbb{Z}/2 & *=1 \\ 0 & \text{else} \end{cases}$  ( $G = \mathbb{Z}$  case)

Form cochain complex using  $\text{Hom}_{\mathbb{Z}}(\cdot, G)$  (= group homs to  $G$ ) in place of  $\text{Hom}(\cdot, \mathbb{Z})$

$$H^*(C_*; G) = H^*(\text{Hom}_{\mathbb{Z}}(C_*, G)) \leftarrow \begin{array}{l} \text{with differential } \partial^*: \\ \partial^* \phi = \phi \circ \partial_* \end{array}$$

$X$  space  $\rightarrow H^*(X; G) = H^*(\text{Hom}_{\mathbb{Z}}(C_*(X), G)) \leftarrow \text{so: } H^*(C_*(X); G)$

Universal coefficients thm (same proof using  $\text{Hom}_{\mathbb{Z}}(\cdot, G)$ )

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}^1(H_{n-1}(C_*); G) \rightarrow H^n(C_*; G) \rightarrow \text{Hom}_{\mathbb{Z}}(H_n(C_*), G) \rightarrow 0$$

$$[\varphi] \mapsto (\varphi: H_n(C_*) \rightarrow G)$$

Example  $X = \mathbb{R}P^2$ ,  $G = \mathbb{Z}/2$ , apply  $\text{Hom}_{\mathbb{Z}}(\cdot, G)$  to the complex in previous example

$$0 \leftarrow \mathbb{Z}/2 \oplus \mathbb{Z}/2 \xleftarrow{\partial^1} \mathbb{Z}/2 \oplus \mathbb{Z}/2 \oplus \mathbb{Z}/2 \xleftarrow{\partial^0} \mathbb{Z}/2 \oplus \mathbb{Z}/2 \leftarrow 0$$

$$\begin{pmatrix} | & | & | \\ | & | & | \\ | & | & | \\ | & | & | \end{pmatrix} \quad \begin{pmatrix} | & | \\ | & | \\ 0 & 0 \end{pmatrix}$$

$$\Rightarrow H^*(\mathbb{R}P^2; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & *=0 \\ \mathbb{Z}/2 & *=1 \\ \mathbb{Z}/2 & *=2 \\ 0 & \text{else} \end{cases}$$

compare:  $H^*(\mathbb{R}P^2) \cong \begin{cases} \mathbb{Z} & *=0 \\ 0 & *=1 \\ \mathbb{Z}/2 & *=2 \\ 0 & \text{else} \end{cases}$   
( $G = \mathbb{Z}$  case)

Can generalise further:

$C_*$ = chain cx of ...	coefficients in:	
abelian gps ( $\mathbb{Z}$ -mods)	abelian gp $G$ ( $\mathbb{Z}$ -mod)	$H_*(C_*; G) = H_*(C_* \otimes_{\mathbb{Z}} G)$
$R$ -modules ↑ ring (comm. with 1)	$R$ -module $M$	$H_*(C_*; M) = H_*(C_* \otimes_R M)$

Rmk  $H_*(C_*; M)$  will be an  $R$ -module since  $\ker \partial, \text{Im } \partial$  are ( $\partial_*$  is  $R$ -linear hom by assumption)

$X$  space  $\Rightarrow C_{\mathbb{R}}(X; R) = C_{\mathbb{R}}(X) \otimes_{\mathbb{Z}} R \cong \bigoplus_{\mathbb{I}_k} R$ : just replace  $\mathbb{Z}$  by  $R$  (as  $\mathbb{Z} \otimes_{\mathbb{Z}} \cdot \cong \cdot$ )

$$\Rightarrow H_*(X; M) = H_*(C_*(X; R); M) = H_*(C_*(X; R) \otimes_R M)$$

so just replace each  $\mathbb{Z}$  by  $M$  in  $C_*(X)$

Form cochain complex using  $\text{Hom}_R(\cdot, M)$  ( $= R$ -linear homs to  $M$ ) in place of  $\text{Hom}(\cdot, \mathbb{Z})$

$$H^*(C_*; M) = H_*(\text{Hom}_R(C_*, M))$$

with differential  $\partial^*$ :  
 $\partial^* \phi = \phi \circ \partial_*$

$$X \text{ space } \rightarrow H^*(X; M) = H^*(\text{Hom}_R(C_*(X; R), M)) \xleftarrow{\text{so:}} H^*(C_*(X; R); M)$$

Rmk These are  $R$ -mods. If we use  $M = R$ , then they are also rings via cup product

Universal Coefficients Thm For  $R$  any PID,  $C_*$  chain cx of  $R$ -mods,

$$0 \rightarrow \text{Ext}_R^1(H_{n-1}(C_*); M) \rightarrow H^n(C_*; M) \rightarrow \text{Hom}_R(H_n(C_*), M) \rightarrow 0$$

is SES

$B^{n-1} / \text{im } \delta^{n-1}$  working over  $R$  using homs to  $M$        $[\varphi] \mapsto (\varphi: H_n(C_*) \rightarrow M)$

and the SES splits but the splitting is not natural.      Same proof using  $\text{Hom}_R(\cdot, M)$

Example  $R = \mathbb{F}$  field  $\Rightarrow C_*, H_*, H^*$  are vector spaces /  $\mathbb{F}$ .

Rmk all  $\mathbb{F}$ -mods (i.e. vector spaces /  $\mathbb{F}$ ) are free  $\mathbb{F}$ -mods  $\cong \bigoplus \mathbb{F} b_i$   
up to iso they are determined by  $\dim_{\mathbb{F}} =$  cardinality of basis.      basis  $b_i$



Cor  $C_* =$  chain cx of  $\mathbb{F}$ -vector spaces  $\Rightarrow H^n(C_*; \mathbb{F}) \cong (H_n(C_*))^*$  ← dual v.s.:  $\text{Hom}_{\mathbb{F}}(H_n(C_*); \mathbb{F})$

Pf Pick any basis  $v_i$  for  $\mathbb{F}$ -v.s.  $B_{n-1}$ , extend it to a basis  $v_i, w_j$  of  $Z_{n-1}$  (also works in  $\infty$  dim case).

$\Rightarrow$  can extend any  $\mathbb{F}$ -linear map  $\psi: B_{n-1} \rightarrow \mathbb{F}$  to  $\phi: Z_{n-1} \rightarrow \mathbb{F}$  just pick any values  $\phi(w_j) \in \mathbb{F}$  e.g.  $\phi(w_j) = 0$ .

$\Rightarrow B^{n-1}/\text{img } \delta^{n-1} = 0$  so  $H^n(C_*; \mathbb{F}) \rightarrow \text{Hom}(H_n(C_*); \mathbb{F})$  iso  $\square$

Cor  $H^n(X; \mathbb{F}) \cong H_n(X; \mathbb{F})^*$  ← dual v.s. for any field  $\mathbb{F}$ .

Cor  $H^n(X; \mathbb{M}) \cong H_{CW}^n(X; \mathbb{M}) \cong H_{\Delta}^n(X; \mathbb{M})$   
 if  $X \cong CW\text{-cx}$  if  $X \cong \Delta\text{-cx}$

Pf Cor holds for homology and the isos are natural. ← i.e. functorial w.r.t. maps

The universal coeff. thm SES is natural. So result holds by 5-Lemma.  $\square$

Algebra: structure thm for f.g. abelian groups and R-mods

Fact 1 A f.g. abelian gp  $\Rightarrow A \cong \underbrace{\mathbb{Z}^r}_{\text{free part } F} \oplus \underbrace{\mathbb{Z}/p_1^{n_1} \oplus \dots \oplus \mathbb{Z}/p_k^{n_k}}_{\text{torsion part } T}$

where  $p_i \in \mathbb{Z}$  prime (need not be distinct)  
 Also  $r, k, p_i, n_i$  are unique (up to reordering)

Example  $\mathbb{Z}/4 = \mathbb{Z}/2^2 \not\cong \mathbb{Z}/2 \oplus \mathbb{Z}/2$   
 $\mathbb{Z}/6 \cong \mathbb{Z}/2 \oplus \mathbb{Z}/3$  (Chinese Remainder Thm)

Fact 2  $T \cong \mathbb{Z}/d_1 \oplus \dots \oplus \mathbb{Z}/d_k$  with  $d_1 | d_2 | \dots | d_k$  ( $d_i \in \mathbb{N}$  unique)

Example  $\mathbb{Z}/2 \oplus \mathbb{Z}/2^2 \oplus \mathbb{Z}/3 \cong \mathbb{Z}/2 \oplus \mathbb{Z}/3 \cdot 2^2$   $d_1=2, d_2=12$

Fact 3  $M$  f.g.  $R$ -mod,  $R$  PID, then:

$M \cong F \oplus T$   
 $F \cong R^r$   
 $T \cong R/d_1 \oplus R/d_2 \oplus \dots \oplus R/d_k$

$r \in \mathbb{N}$  unique, called rank of  $M$   
 $d_1 | \dots | d_k$  non-zero, not invertible  
 $d_i$  called invariant factors  
 unique up to mult<sup>n</sup> by invertible elements  
 e.g.  $\pm 1$  if  $R = \mathbb{Z}$

Rmk  $T = \{m \in M : rm = 0 \text{ some } r \neq 0 \in R\} =$  torsion elements  
 $F \cong M/T$

# Torsion shift

Easy Exercise

$$\text{Ext}_R^*(\bigoplus_i M_i; \prod_j N_j) \cong \prod_i \prod_j \text{Ext}_R^*(M_i; N_j) \leftarrow \text{any } R\text{-mods } M_i, N_j$$

Upshot

To compute  $\text{Ext}_R^1(M; R)$  for  $M = R^r \oplus R/d \oplus \dots$  just need:

$$\begin{aligned} \text{Ext}_R^1(R; R) &= 0 \\ \text{Ext}_R^1(R/d; R) &\cong R/d \end{aligned}$$

since  $0 \rightarrow R \xrightarrow{\text{id}} R \rightarrow 0$   
 $\begin{matrix} \parallel & \parallel \\ P_1 & P_0 \end{matrix}$

since  $0 \rightarrow R \xrightarrow{d} R \xrightarrow{1} R/d \rightarrow 0$   
 $\downarrow \phi$   
 $R$  so choice of  $\phi(1) \in R$   
 modulo  $\phi$  coming from  
 $\phi \downarrow \begin{matrix} R & \xrightarrow{d} & R \\ & \swarrow \varphi & \\ & R & \end{matrix}$  so  $\phi(1) = d \cdot \varphi(1) \in d \cdot R$

$$\Rightarrow \text{Ext}_R^1(M; R) \cong \text{Torsion}(M)$$

## Exercises

- $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n; \mathbb{Z}/m) \cong \mathbb{Z}/\text{gcd}(m, n)$
- Abelian gp  $\Rightarrow \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}; G) = 0$  and  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/d; G) \cong G/d \cdot G$   $\leftarrow d \neq 0$
- $R$  any ring (comm. with 1)  
 $x \in R$  not zero divisor  $\Rightarrow \text{Ext}_R^*(R/(x); N) \cong \begin{cases} \{n \in \mathbb{N} : x \cdot n = 0\} \neq 0 \\ N/x \cdot N & * = 1 \\ 0 & \text{else} \end{cases}$

Cor If  $H_n(X; R)$  f.g.  $R$ -mod  $\forall n$ ,  $R$  PID,  
 $\Rightarrow H_n(X; R) = R^{r_n} \oplus T_n$  (free & torsion parts)

$$\Rightarrow H^n(X; R) \cong R^{r_n} \oplus T_{n-1}$$

↑  
not natural

← torsion moves up!

Pf  $0 \rightarrow T_{n-1} \rightarrow H^n(X; R) \rightarrow \text{Hom}(R^{r_n} \oplus T_{n-1}; R) \rightarrow 0$   
 $\text{Hom}(R^{r_n} \oplus T_{n-1}; R) \cong (\text{Hom}(R; R))^{r_n} \oplus \text{Hom}(T_{n-1}; R)$

$R \rightarrow R \xrightarrow{1 \mapsto x} \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \dots \oplus \mathbb{Z} \cong R^{r_n}$   
 $x$  determines the hom

$0$  since  $T_{n-1} \rightarrow R, 1 \mapsto 0$   
 $(R$  is integral domain,  $\uparrow$   
 so no torsion elts  $\neq 0)$

$\Rightarrow 0 \rightarrow T_{n-1} \rightarrow H^n(X; R) \rightarrow R^{r_n} \rightarrow 0$   
 free, so can split the SES (pick lifts of basis).  $\square$   $\leftarrow$  so not canonical

## Example

$*$	$H_*(\mathbb{R}P^3)$	$H^*(\mathbb{R}P^3)$
0	$\mathbb{Z}$	$\mathbb{Z}$
1	$\mathbb{Z}/2$	0
2	0	$\mathbb{Z}/2$
3	$\mathbb{Z}$	$\mathbb{Z}$

torsion moves up

# Universal coefficients Theorem in homology

FACT Theorem  $C_*$  chain cx of free  $R$ -mods,  $M$   $R$ -module

$$\Rightarrow \text{SES } 0 \rightarrow H_*(C_*) \otimes_R M \rightarrow H_*(C_* \otimes_R M) \rightarrow \text{Tor}_1^R(C_{*-1}, M) \rightarrow 0$$

$[C] \otimes m \mapsto [C \otimes m]$

↑ defined below.

The SES splits, but the splitting is not natural.

Torsion groups:  $A, B$   $R$ -mods ( $R$  comm. ring with 1)

pick  $\dots \rightarrow P_2 \xrightarrow{\varphi_2} P_1 \xrightarrow{\varphi_1} P_0 \xrightarrow{\varphi_0} A \rightarrow 0$  free resolution

$\Rightarrow \dots \rightarrow P_2 \otimes B \xrightarrow{\varphi_2 \otimes \text{id}} P_1 \otimes B \xrightarrow{\varphi_1 \otimes \text{id}} P_0 \otimes B \rightarrow 0$  not exact but is chain cx

take  $\otimes B$  omit  $A \otimes B$   $\text{Tor}_k^R(A, B) = H_k(\text{this complex}) \leftarrow$  fact independent of choices of  $P_i, \varphi_i$

Rmk  $R$  PID  $\Rightarrow \text{Ker } \varphi_0$  free  $\Rightarrow$  can pick  $P_1 = \text{Ker } \varphi_0, P_k = 0$  for  $k \geq 2$   
 $\Rightarrow$  only  $\text{Tor}_0^R, \text{Tor}_1^R$  can be non-zero

Example  $\text{Tor}_*^{\mathbb{Z}}(\mathbb{Z}/a, \mathbb{Z}/b) = ?$

take  $\otimes \mathbb{Z}/b$  drop  $\mathbb{Z}/a \otimes \mathbb{Z}/b$   $\Rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{\cdot a} \mathbb{Z} \xrightarrow{\text{quotient}} \mathbb{Z}/a \rightarrow 0$  free resolution  
 (since  $\mathbb{Z} \otimes_{\mathbb{Z}} G \cong G$  any  $G$ )

$$\text{Tor}_0^{\mathbb{Z}}(\mathbb{Z}/a, \mathbb{Z}/b) = (\mathbb{Z}/b) / a \cdot \mathbb{Z}/b \cong \mathbb{Z} / \text{gcd}(a, b) \cong \mathbb{Z}/a \otimes \mathbb{Z}/b$$

$$\text{Tor}_1^{\mathbb{Z}}(\mathbb{Z}/a, \mathbb{Z}/b) = \{x \in \mathbb{Z}/b : a \cdot x = 0\} \cong \mathbb{Z} / \text{gcd}(a, b)$$

Facts  $\text{Tor}_0^R(A, B) = P_0 \otimes B / \text{Im}(\varphi_0 \otimes \text{id}) \cong A \otimes B$

$$\text{Tor}_*^R(A, B) \cong \text{Tor}_*^R(B, A)$$

Exercise  $\text{Tor}_*^R(\oplus A_i, \oplus B_j) \cong \oplus \oplus \text{Tor}_*^R(A_i, B_j)$

$\text{Tor}_*^R(A, B) = 0$  for  $* \geq 1$  if  $A$  or  $B$  is free (use  $M \otimes_R R \cong M$ )

$\Downarrow$  deduce  $\text{Tor}_i^R(A, M)$  for f.g.  $R$ -mods  $A$   $\leftarrow$  PID

$$\text{Tor}_*^R(R/u; M) \cong \begin{cases} M/u \cdot M & *=0 \\ \text{u-torsion}(M) = \{x \in M : u \cdot x = 0\} & *=1 \\ 0 & \text{else} \end{cases}$$

Example  $H_*(\mathbb{R}P^2; \mathbb{Z}/2) \cong \begin{cases} \mathbb{Z}/2 & *=0 \\ \mathbb{Z}/2 & *=1 \\ \mathbb{Z}/2 & *=2 \end{cases}$   $H_*(\mathbb{R}P^2) \otimes \mathbb{Z}/2 \cong \begin{cases} \mathbb{Z} \otimes \mathbb{Z}/2 \\ \mathbb{Z}/2 \otimes \mathbb{Z}/2 \\ 0 \end{cases} \cong \begin{cases} \mathbb{Z}/2 \\ \mathbb{Z}/2 \\ 0 \end{cases}$

↑ caused by  $\text{Tor}_1^{\mathbb{Z}}(H_1(\mathbb{R}P^2), \mathbb{Z}/2) = \text{Tor}_1(\mathbb{Z}/2, \mathbb{Z}/2) \cong \mathbb{Z}/2$

## Künneth Thm

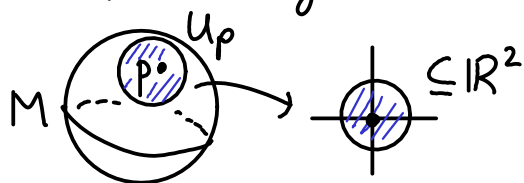
$R$  PID  $\Rightarrow$  natural SES:  $0 \rightarrow \bigoplus_{i+j=n} H_i(C_*) \otimes H_j(D_*) \rightarrow H_n(C_* \otimes D_*) \rightarrow \bigoplus_{i+j=n-1} \text{Tor}_1^R(H_i(C), H_j(D)) \rightarrow 0$

$(C_*$  free ch. cx.  $R$ -mods)  
 $(D_*$  any ch. cx.  $R$ -mods)

and the SES splits but the splitting is not natural. Example  $R = \text{field}$ , then this = 0.

# 13. MANIFOLDS : POINCARÉ-LEFSCHETZ DUALITY

- $M$   $n$ -mfd is Hausdorff topological space s.t.  $\forall p \in M$   
 $\exists$  open neighbourhood  $U_p \subseteq M$  homeomorphic to  $\mathbb{R}^n$



(equivalently: to an open ball, or any open set in  $\mathbb{R}^n$ )

One also requires  $M$  second countable i.e.  $\exists$  countable basis of open sets

$\iff M$  is covered by countably many such  $U_p$ :

← exercise

A submanifold  $N \subseteq M$  is a mfd s.t. inclusion  $N \rightarrow M$  is an embedding (i.e. a homeomorphism onto its image)

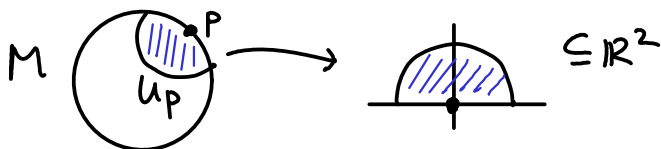
$$\{x \in \mathbb{R}^n : x_n \geq 0\}$$

$$\mathbb{R}^{n-1} \times \mathbb{R}_{\geq 0}$$

- $M$   $n$ -mfd with boundary if also allow  $U_p \cong$  upper half space  $\mathbb{H}^n$   
 such  $p$  are called boundary points they form the boundary  $\partial M$  which is an  $(n-1)$ -mfd without boundary.

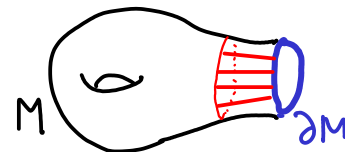
$$p \mapsto 0$$

equivalently: any open nbhd of  $0 \in \mathbb{H}^n$



FACT (collar nbhd thm)  $\partial M \subseteq M$  has an open neighbourhood  $\cong \partial M \times (0, 1]$   
 $\partial M \rightarrow \partial M \times 1$

$M$  is closed if compact without boundary.

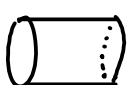
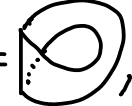



## Examples

### $n$ -torus

closed mfd:  $S^n, \mathbb{R}P^n, T^n = S^1 \times \dots \times S^1, \mathbb{C}P^n, O(n), SU(n)$

non-compact mfd:  $\mathbb{R}^n, \text{Mat}_{m \times n} \cong \mathbb{R}^{mn}, GL(n, \mathbb{R})$

mfd with bdry:  $\mathbb{D}^n, \mathbb{D}^1 \times S^1 =$  , Möbius band = ,  $T^2 \setminus \text{open disc} =$  

FACT (Milnor 1959) Any mfd is homotopy equivalent to a CW-cx

fact If  $M$  is a compact manifold then  $H_*(M)$  are finitely generated

Rmk  $M$  triangulable if  $M \cong$  simplicial cx.

Not all mfd are triangulable, but most of those we encounter are.

# Compact manifolds have f.g. homology ← Non-examinable proof

- ①  $X$  space is a Euclidean neighbourhood retract if  
 $\exists$  embedding  $j: X \rightarrow \mathbb{R}^N$  some  $N$ , s.t.  $i(X)$  is a retract of a nbhd  $V \subseteq \mathbb{R}^N$   
 ↑ (homeo onto image)
- ②  $X$  is weakly locally contractible if  $\forall$  nbhd  $x \in U \subseteq X$ ,  $\exists$  nbhd  $x \in V \subseteq U$   
 s.t.  $V$  is contractible inside  $U$ .

FACT compact  $X \subseteq \mathbb{R}^n$  is ①  $\iff$   $X$  is ②

Rmk If we find nbhd  $V$  as in ① with retraction  $V \xrightarrow{f} X$  then any smaller nbhd  $V'$  also retracts using  $f|_{V'}: V' \rightarrow X$ . Similarly in ②  $V' \subseteq V$  is contractible: restrict the hom.

Lemma A  $X$  compact & ①  $\implies X$  is the retract of a finite simplicial cx

pf  $i(X) \subseteq \mathbb{R}^n$  compact  $\implies$  lies inside some large  $n$ -simplex  $\Delta^n \rightarrow \mathbb{R}^n$   
 Apply barycentric subdivision until simplices have diameter  $< \text{dist}(X, \partial V)$ .  
 Simpl. cx. =  $\cup \{ \text{subsimpl. which intersect } X \}$  using the restriction of retraction  $V \rightarrow X$ .  $\square$

Rmk Also deduce  $X$  has f.g. homology since retractions are surjective on  $H_*$ .  
 $(\oplus \mathbb{Z} \rightarrow H_*(\text{finite simpl. cx}) \xrightarrow{\text{retract}} H_*(X)$  so get surjection from free  $\mathbb{Z}$ -mod, so f.g.)

Lemma B  $M$  compact mfd  $\implies M$  embeds into  $\mathbb{R}^N$ , some  $N$ .

pf "Just do it proof":  
 $\forall p \in M, \exists$  homeo  $\mathbb{D}^n \xrightarrow{\psi_p} \text{nbhd}(p \in M)$   
 Pick finite subcover of  $\psi_p$ : of  $M = \cup_{p \in M} \psi_p(\mathbb{D}^n)$ . Say  $i=1, \dots, k$   
 $\psi_{p_i}: M \xrightarrow{\psi_{p_i}^{-1}} \mathbb{D}^n \rightarrow \mathbb{D}^n / \partial \mathbb{D}^n \cong S^n \subseteq \mathbb{R}^{n+1}$  define embedding  $(\psi_{p_1}, \dots, \psi_{p_k}): M \rightarrow \mathbb{R}^{k \cdot (n+1)}$

Finally use: a continuous bijection from a compact space to a Hausdorff space is  $\cong \square$

Rmk Same works if  $M$  has boundary, just consider its double  $M \cup M$  and apply the Lemma to the double.  
 identify along  $\partial M$

Cor  $M$  compact mfd (possibly with bdry)  $\implies M$  has f.g. homology

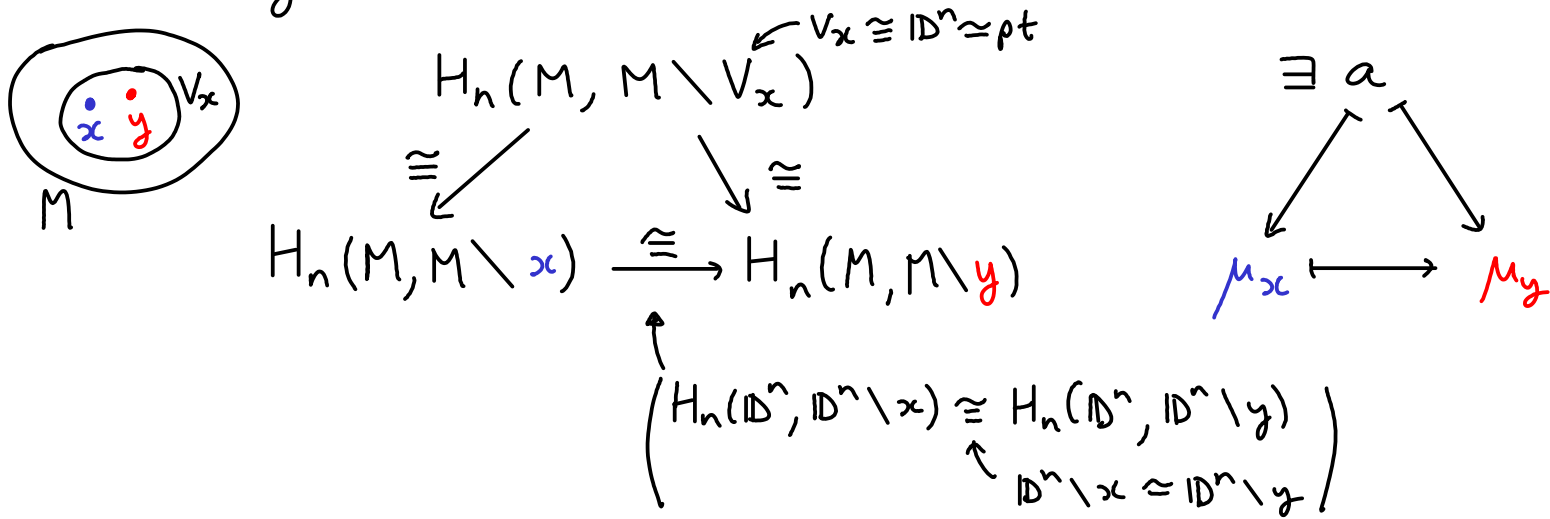
pf Mfds satisfy ② since locally ball  $\simeq$  pt.  $M$  embeds in  $\mathbb{R}^N$  by Lemma B.  
 ① holds by FACT. Done by Lemma A.  $\square$

Def A local orientation of  $M$  at  $x \in M$  is a choice of generator

$$\mu_x \in H_n(M, M \setminus x) \cong H_n(\mathbb{D}^n, \mathbb{D}^n \setminus 0) \cong \tilde{H}_n(S^n) \cong \mathbb{Z}$$

excise complement of nbhd  $V_x \cong \mathbb{D}^n$  choice of homeo is not canonical!

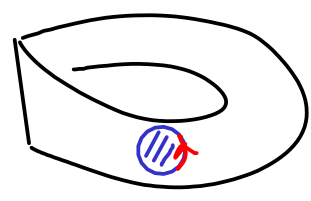
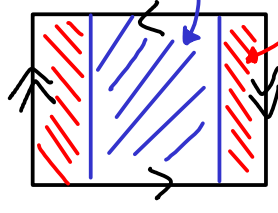
Def An orientation of  $M$  is a locally consistent choice  $x \mapsto \mu_x$   
 meaning:



Def  $M$  orientable if  $\exists$  orientation on  $M$   
oriented if we chose an orientation

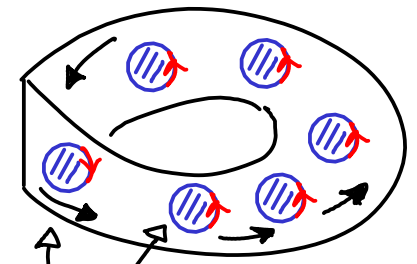
Examples  $S^n, \mathbb{R}^n, \mathbb{C}P^n$ , orientable surfaces  $\Sigma_g$ ,  $\mathbb{R}P^n \leftarrow$  odd  $n$

Non-example  $\mathbb{R}P^2 = \text{Möbius band} \cup \mathbb{D}^2$



choice of  $\mu_x$  is choice of orientation of boundary circle of small disc containing  $x$

by local consistency can move disc continuously and preserves orientation



discs are differently oriented  $\Rightarrow$  contradicts local consistency.

$\Rightarrow \mathbb{R}P^2$  not orientable

# The fundamental class [M]

FACT  
Theorem For  $M$  closed  $n$ -mfd:

$$M \text{ orientable connected} \Rightarrow H_n(M) \cong_{\text{natural}} H_n(M, M \setminus x) \cong_{\text{choice}} \mathbb{Z}$$

$$\begin{aligned} &\Rightarrow \exists [M] \longleftarrow \mu_x \\ &\quad \uparrow \text{once we choose an orientation } (\mu_x)_{x \in M} \\ &\quad \quad \quad \uparrow \text{called } \underline{\text{fundamental class}} \end{aligned}$$

(if swap orientation: for  $-\mu_x$  get  $-[M]$ )

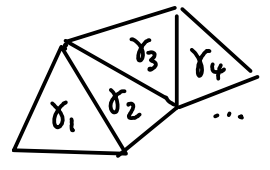
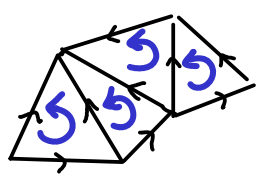
$$\begin{aligned} M \text{ not orientable connected} &\Rightarrow H_n(M) = 0 \\ &H_n(M; \mathbb{F}_2) = \mathbb{F}_2 \cdot [M] \cong \mathbb{F}_2 \end{aligned}$$

← (or any field of characteristic 2)

## Construction of [M] if M has $\Delta$ -complex structure

$M$  compact  $\Rightarrow$  finite #  $n$ -simplices  $\gamma_1, \dots, \gamma_N$

$M$  oriented  $\Rightarrow$  pick orientations of  $\gamma_1, \dots, \gamma_N$  to agree with given orientation of  $M$ :  $\swarrow$  for  $x \in \text{Int}(\gamma_i)$



$$\mathbb{Z} \cdot \mu_x = H_n(M, M \setminus x) \xrightarrow[\text{exc.}]{\cong} H_n(\gamma_i, \gamma_i \setminus x) = \mathbb{Z} \cdot \gamma_i$$

$$\mu_x \longmapsto \gamma_i$$

$$\Rightarrow \boxed{[M] := \sum \gamma_i} \text{ satisfies } \partial [M] = 0 \checkmark$$

$$\begin{array}{ccc} H_n(M) & \longrightarrow & H_n(M, M \setminus x) \xrightarrow{\cong} H_n(\gamma_i, \gamma_i \setminus x) \\ [M] & \xrightarrow{\mu_x} & \gamma_i \end{array}$$

Not difficult to see that  $H_n^{CW}(x) = \mathbb{Z} \cdot [M]$ , so  $\uparrow \Rightarrow H_n(M) \cong H_n(M, M \setminus x)$   
 $[M] \longmapsto \mu_x$

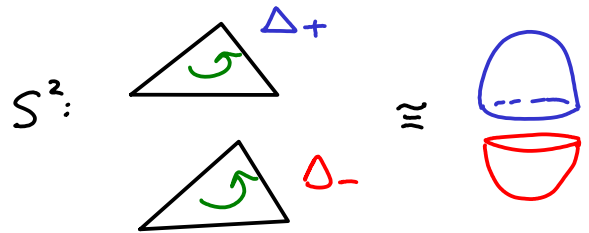
Also  $\mathbb{Z} \xrightarrow{\cong} \mathbb{Z} \cdot [M]$  since  $C_{n+1}(M) = 0$  ( $\nexists$   $(n+1)$ -simplices since  $\dim M = n$ )

$M$  non-orientable  $\Rightarrow$  each facet of  $\gamma_i$  appears twice in  $\partial \sum \gamma_i$   
 $\Rightarrow \partial \sum \gamma_i = 0$  over  $\mathbb{F}_2$  independently of choices of orientations of  $\gamma_i$ .  $\checkmark$



# Examples

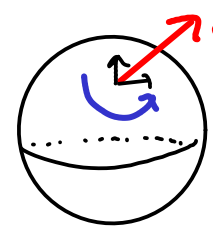
1)  $S^n = \frac{\Delta_+^n \cup \Delta_-^n}{\text{glue bdris}}$



$[S^n] = \Delta_+ - \Delta_-$  if use canonical orientation we discussed

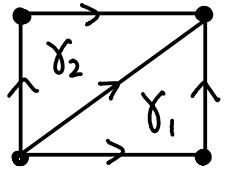
hence  $\partial[S^n] = \partial\Delta - \partial\Delta = 0$

$\mathbb{D}^n \subseteq \mathbb{R}^n$  canonical orientation  $\Rightarrow S^{n-1} = \partial\mathbb{D}^n$  " using outward normal first rule

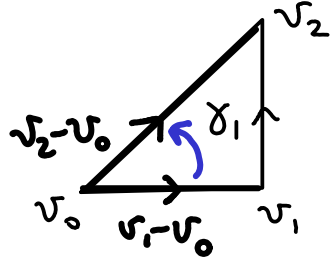


2)  $T^2 =$

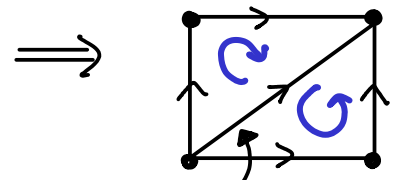
$\Delta$ -complex structure (compatibly with side identifications!)



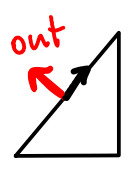
Want orientation induced by square  $\in \mathbb{R}^2$



$v_1 - v_0, v_2 - v_0$  positive  $\mathbb{R}^2$ -basis  $\Rightarrow \gamma_1$  agrees with orientation

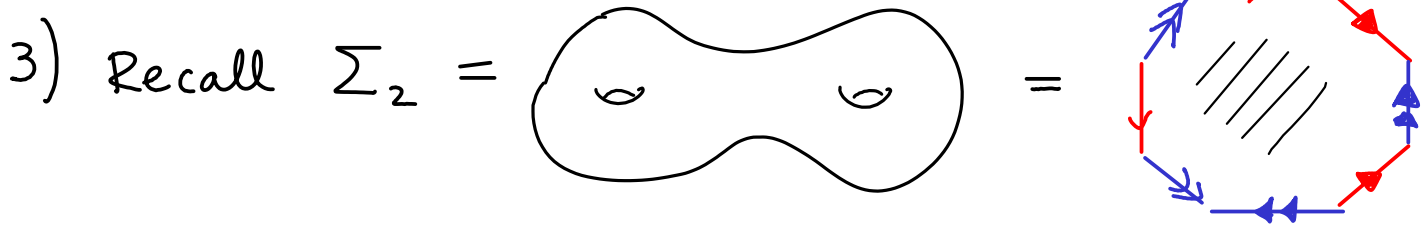


$[T^2] = +\gamma_1 - \gamma_2$   
 $\uparrow$   $\gamma_2$  orientation disagrees

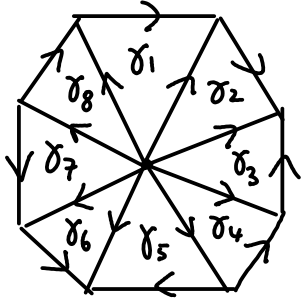


Rmk general feature: if two simplices are identified along a facet then the 2 respective outward normals are related by reflection.

So consistency  $\Rightarrow$   $\left\{ \begin{array}{l} \text{either simplices are compatibly oriented and the two} \\ \text{induced orientations on facet are } \underline{\text{opposite}} \\ \text{or not compatibly oriented but facet orient}^n \text{ is } \underline{\text{same}}, \\ \text{then } \underline{\text{need sign like in example when build } [T^2]} \end{array} \right.$

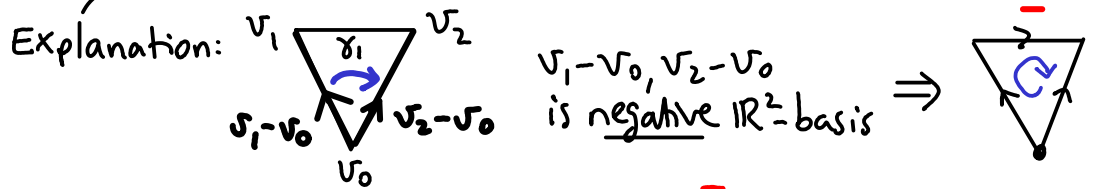


$\Delta$ -cx structure (compatible with side identifications!):



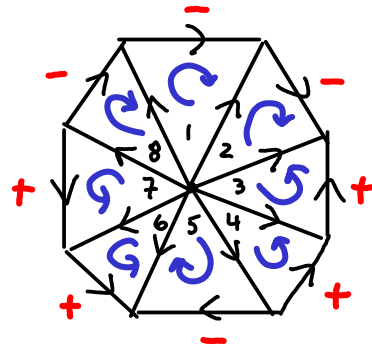
Use the orientation induced by polygon  $\subseteq \mathbb{R}^2$

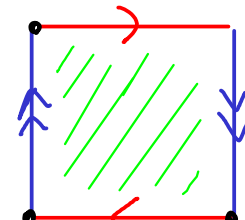
$$\Rightarrow [\Sigma_2] = -\delta_1 - \delta_2 + \delta_3 + \delta_4 - \delta_5 + \delta_6 + \delta_7 - \delta_8$$

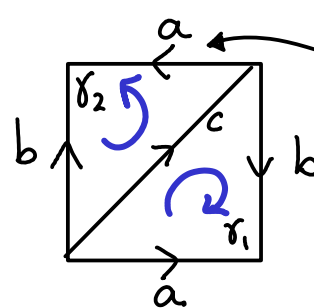



All simplices  $\delta_i$  have  $v_0 =$  centre of polygon

$\Rightarrow$  sign  $\begin{cases} - & \text{if outer edge clockwise} \\ + & \text{anti} \end{cases}$



3)  $\mathbb{RP}^2 =$    
(non-orientable) example



won't get  $\Delta$ -cx structure if you try  since get issue here

Use the orientation induced by square  $\subseteq \mathbb{R}^2$

$$\Rightarrow [\mathbb{RP}^2] = -\delta_1 + \delta_2$$

$$\partial [\mathbb{RP}^2] = -(b - a + c) + (a - b + c)$$

$$= -2b + 2a$$

$$\neq 0 \quad \text{so not cycle in } C_*^{CW}(\mathbb{RP}^2)$$

However, working modulo 2:

$$\partial [\mathbb{RP}^2] = 0 \in C_*^{CW}(\mathbb{RP}^2; \mathbb{F}_2) \text{ since } 2=0 \text{ in } \mathbb{F}_2$$

$$\Rightarrow [\mathbb{RP}^2] \in H^2(\mathbb{RP}^2; \mathbb{F}_2)$$

# Degree

Def  $M, N$  oriented closed connected  $n$ -mfds,  $f: M \rightarrow N$   
 $f_*: H_n(M) \rightarrow H_n(N)$   
 $[M] \mapsto \underline{\deg(f)} \cdot [N]$   
 $\in \mathbb{Z}$

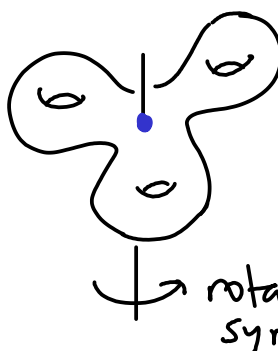
Lemma If  $f^{-1}(y)$  finite, then  $\deg(f) = \sum_{x \in f^{-1}(y)} \deg(f_x)_*$   
local degree  
local map like in chapter 7

pf

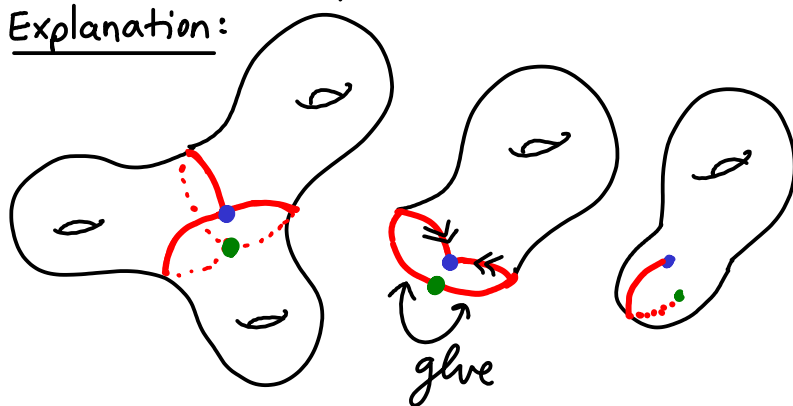
$$\begin{array}{ccc}
 [M] & H_n(M) & \xrightarrow{f_*} & H_n(N) & [N] \\
 \downarrow & \cong & & \cong & \uparrow \\
 & \bigoplus_{x \in f^{-1}(y)} H_n(M, M \setminus x) & \xrightarrow{(f_x)_*} & H_n(N, N \setminus y) & M_y^N \\
 & \downarrow & & & \\
 \sum \mu_x^M & \xrightarrow{\quad} & & (\sum \deg(f_x)_*) \cdot M_y^N & \square
 \end{array}$$

## Examples

1)  $S^1 \rightarrow S^1, z \mapsto z^n, [S^1] \mapsto n \cdot [S^1]$  so  $\deg = n$

2)  $\Sigma_3 \xrightarrow{q} \Sigma_3 / \mathbb{Z}_3 \text{-rotation action} = \Sigma_1 = \text{torus}$   
  
 rotation symmetry

Easy check:  $\deg(q) = 3$   
 (e.g. use local degrees)

Explanation:  
  
 glue

## Cultural Rmk

For  $M, N, f$  smooth, the  $\deg f = \#(\text{preimages of a generic point of } N)$   
 Idea:  $\deg f$  tells you how many times you cover  $N$ . (almost all points work)

# Poincaré duality

FACT Theorem For  $M$  closed  $n$ -mfd

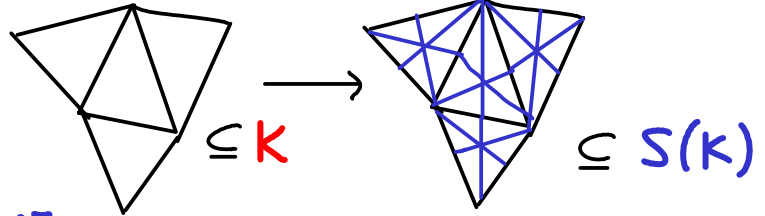
$$M \text{ oriented} \Rightarrow H^k(M) \cong H_{n-k}(M)$$

s.t.  $1 \leftrightarrow [M]$   
 $\hat{H}^0(M) \cong \hat{H}_n(M)$

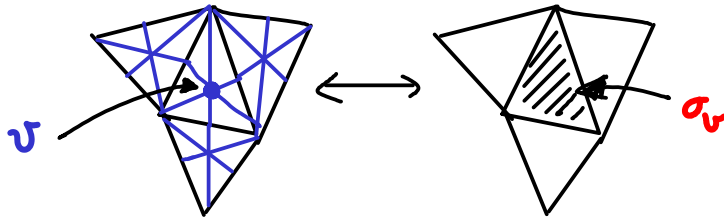
$M$  non-oriented  $\Rightarrow$  same holds with  $\mathbb{F}_2$  coefficients

Sketch proof when  $M$  is a simplicial complex  $K$  (Non-examinable)

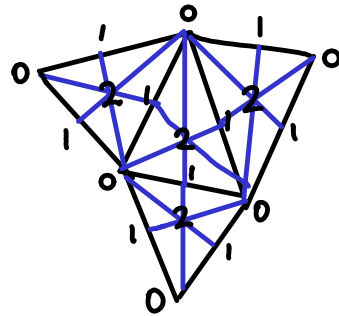
$S(K)$  = barycentric subdivision



1) simplex  $\sigma = \sigma_v$  of  $K$  with barycentre  $v \leftrightarrow v = v_\sigma$  vertex of  $S(K)$



2)  $ht(v) = (\text{height of } v) = \dim \sigma_v$

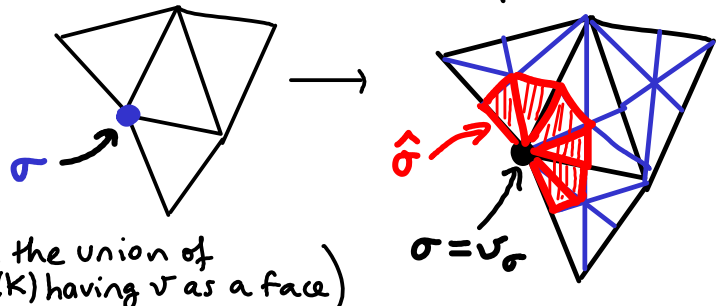
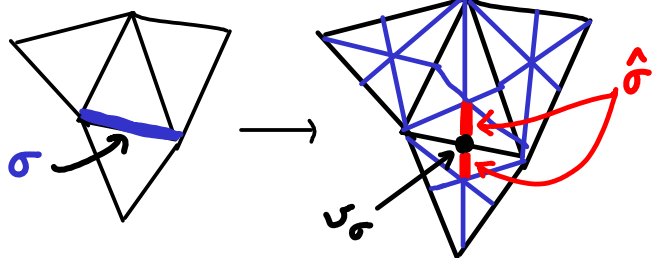
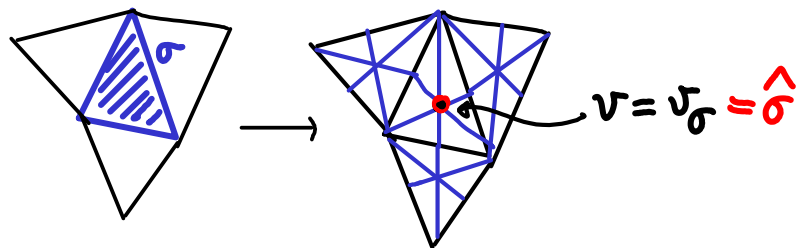


3)  $\sigma$   $k$ -simplex of  $K$

dual simplex

$$\hat{\sigma} = \bigcup_{\tau \in S(K)} \tau$$

$ht(v_\sigma)$  is min of heights of vertices of  $\tau$



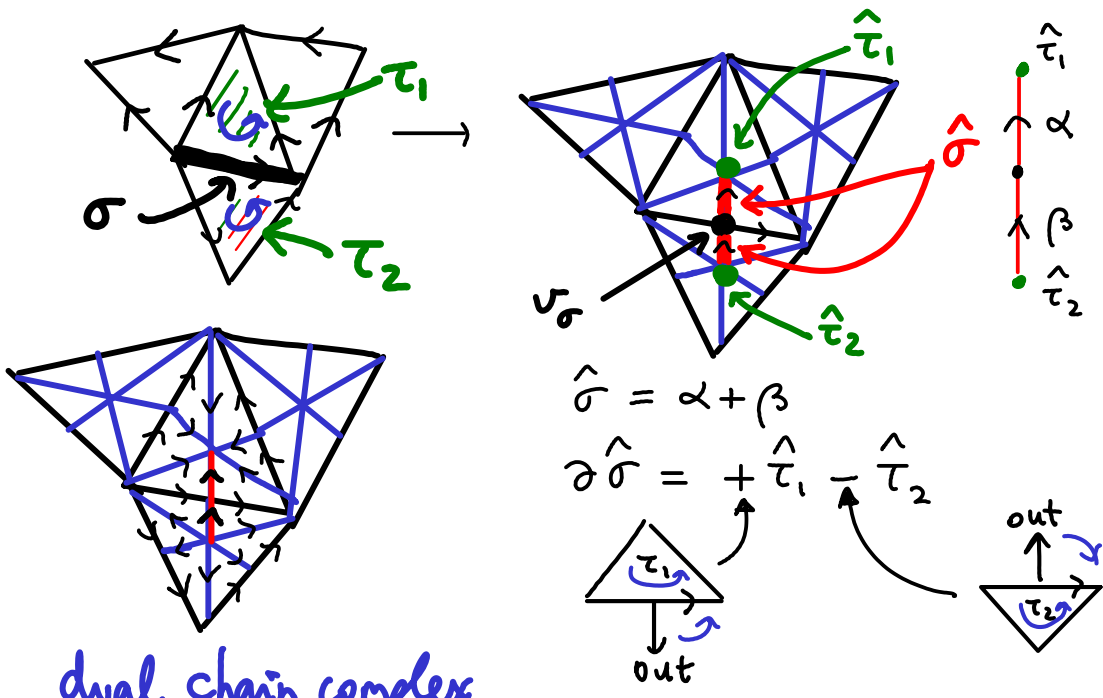
Rmk:  $\bigcup \tau$  with  $ht(v_\sigma)$  max will give back  $\sigma$ . Thus  $\hat{\sigma}, \sigma$  intersect transversely at  $v_\sigma$ . One can also describe  $\hat{\sigma}$  as

$$\hat{\sigma} = \bigcap_{\text{vertices } v \in \sigma} \text{Star}_{S(K)}(v)$$

(closed star is the union of simplices of  $S(K)$  having  $v$  as a face)

FACTS •  $\dim \hat{\sigma} = n - \dim \sigma$  ("polygonal" complex rather than  $\Delta$ -cx)  
 • dual cells  $\hat{\sigma}$  give a cell decomposition of  $M$

⊛ •  $\partial \hat{\sigma} = \sum_{\substack{\text{facet} \\ \sigma \subsetneq \tau \\ \tau \in K}} \pm \hat{\tau}$  need compare orientations of  $\sigma, \tau$  (+ if  $\sigma$  as a facet of  $\tau$  has boundary orientation)



$\hat{\sigma} = \alpha + \beta$   
 $\partial \hat{\sigma} = +\hat{\tau}_1 - \hat{\tau}_2$

4) dual chain complex

$D_{n-k}$  = free abelian group on dual chains  $\hat{\sigma}$   
 $H_*(M) \cong H_*(D_*, \partial_*)$  (since  $\hat{\sigma}$  give a cell decomp. of  $M$ )

5)  $\varphi: D_{n-k} \rightarrow C^k(M)$   
 $\hat{\sigma} \mapsto \sigma^*$

where  $\sigma^*(\alpha) = \begin{cases} 0 & \text{for } k\text{-cells } \alpha \neq \sigma \\ 1 & \text{for } \alpha = \sigma \end{cases}$

- $\varphi$  linear bijection ✓
- chain map:

$\varphi(\partial \hat{\sigma}) = \varphi \sum \pm \hat{\tau} = \sum \pm \tau^*$  (see ⊛)  
 $\partial^* \varphi(\hat{\sigma}) = \partial^* \sigma^* = (\sigma^* \circ \partial : \tau \mapsto \sum \pm \sigma_i \mapsto \begin{cases} \pm 1 & \text{if one of } \sigma_i = \sigma \\ 0 & \text{else} \end{cases})$   
 $= \sum \pm \tau^* = \varphi(\partial \hat{\sigma})$  ✓

UPSHOT  $\varphi$  is chain iso so get iso:

$H_*(M) \cong H_*(D_*, \partial_*) \xrightarrow[\varphi]{\cong} H^{n-*}(M)$

Cor  $\chi$  (odd dimensional closed orientable mfd) = 0

Pf  $b_i = \text{rank } H_i(M)$  (Betti numbers)

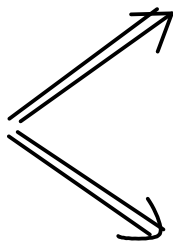
$$\chi(M) = b_0 - b_1 + \dots + b_{\dim M - 1} - b_{\dim M}$$

equal by Poincaré duality  $\square$

(Poincaré-)Lefschetz duality

Theorem

$M$  compact oriented  $n$ -mfd  
 $n$ -mfd with boundary



$$H^k(M) \cong H_{n-k}(M, \partial M)$$

$$1 \in H^0(M) \leftarrow [M, \partial M] \in H_n(M, \partial M)$$

relative fundamental class

$$H_k(M) \cong H^{n-k}(M, \partial M)$$

Non-oriented  $\Rightarrow$  same holds with  $\mathbb{F}_2$  coefficients.

Pf basically same as Poincaré duality.  $\square$

Cor  $M$  compact, connected,  $\partial M \neq \emptyset \Rightarrow H^n(M) = H_0(M, \partial M) = 0$

Examples

1)  $D^n$   $\partial D^n = S^{n-1}$

$$\mathbb{Z} \cong H^0 D^n \cong H_n(D^n, S^{n-1})$$

2)  $A = \text{annulus} \subseteq \mathbb{R}^2 \cong S^1$

$$\mathbb{Z} \cong H^0 A \cong H_2(A, \partial A)$$

$$\mathbb{Z} \cong H^1 A \cong H_1(A, \partial A)$$

$$0 \cong H^2 A \cong H_0(A, \partial A)$$

3)  $M = T^2 \setminus \text{open ball} =$   $\cong S^1 \vee S^1$

$\cong$  def. retract  $\cong$

$$\Rightarrow H_*(M, \partial M) \cong H^{2-*}(S^1 \vee S^1) = \begin{cases} \mathbb{Z}^2 & * = 1 \leftarrow \text{gen. by 2 loops} \\ \mathbb{Z} & * = 2 \leftarrow \text{gen. by } [M, \partial M] \\ 0 & \text{else} \end{cases}$$

What happens in the non-compact case?

## Locally finite homology (Borel-Moore)

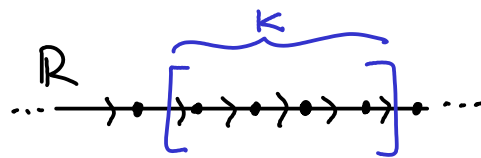
$C_*^{lf}(X)$  allow infinite sums  $\sum n_i \sigma_i$   $\leftarrow$  generators of  $C_*(X)$

s.t. given any compact subset  $K \subseteq X$ ,

$$\#\{n_i \neq 0 : K \cap \text{Im } \sigma_i \neq \emptyset\} < \infty.$$

### Examples

•  $C_1^{lf}(\mathbb{R}) \ni \sum_{m \in \mathbb{Z}} \sigma_m$



$\Rightarrow$  get cycle  $[\mathbb{R}] \in H_1^{lf}(\mathbb{R})$

$\sigma_m : I \cong [m, m+1] \subseteq \mathbb{R}$

•  $C_0^{lf}(\mathbb{R}) \ni [\text{point}] \ni \partial(\sigma_1 + \sigma_2 + \dots)$  is a boundary:

exercise  $H_*^{lf}(\mathbb{R}) = \begin{cases} \mathbb{Z} & * = 1 \\ 0 & \text{else} \end{cases} (\cong H^{1-*}(\mathbb{R}))$

FACT Theorem  $M$  orientable  $n$ -mfd  $\Rightarrow$  (possibly not compact)

$$H^*(M) \cong H_{n-*}^{lf}(M)$$

## cohomology with compact supports $H_c^*(X)$

$C_c^*(X)$ : only allow cochains  $\phi : C_* X \rightarrow \mathbb{Z}$  s.t.  $\exists$  compact  $K \subseteq X$  with  $\phi(C_*(X \setminus K)) = 0$  (vanish on chains in  $X \setminus K$ )  $\leftarrow$  depends on  $\phi$

Example  $c \in C_*(X) \Rightarrow \phi(\alpha) =$  signed # intersections of  $c$  with  $\alpha$  (geometric intersection #)

$\Rightarrow \phi \in C_c^*(X)$  since  $\phi(\alpha) = 0$  if  $\alpha \subseteq X \setminus \text{Im}(c)$

Thm  $M$  orientable  $n$ -mfd (possibly not compact)  $\Rightarrow$

$$H_*(M) \cong H_c^{n-*}(M)$$

Warning  $H_*^{lf}, H_c^*$  are not homotopy invariant (indeed non-trivial for  $\mathbb{R}^n$ )

Caused because they are not functorial. They are however functorial for proper maps

Mayer-Vietoris holds for  $H_c^*$  but not for  $H_*^{lf}$ .

(preimages of compact sets are compact)

Fact  $H_c^*(X) \cong \varinjlim H^*(X, X \setminus K)$  where compacts  $K_1 \subseteq K_2$  give  $H^*(M, M \setminus K_1) \rightarrow H^*(M, M \setminus K_2)$

Direct limit  $\varinjlim G_i$  via maps  $G_i \rightarrow G_j$  means  $\sqcup G_i /$  identify  $g \in G_i$  with its images under those maps

(The indices are partially ordered & directed:  $\forall i, j, \exists k \succ i, j$  so can compare  $G_i, G_j$  inside  $G_k$ )

Fact  $\varinjlim$  is an exact functor.

(via  $G_i \rightarrow G_k, G_j \rightarrow G_k$ )



# Cap product and Poincaré duality revisited

$X$  space,  $k \geq l$

$$\cap: C_k(X) \times C^l(X) \rightarrow C_{k-l}(X) \quad \text{cap product}$$

$$(\sigma: \Delta^k \rightarrow X) \cap (\phi: C^l(X) \rightarrow \mathbb{Z}) = \underbrace{\phi(\sigma|_{[e_0, \dots, e_l]})}_{\in \mathbb{Z}} \cdot \underbrace{\sigma|_{[e_l, \dots, e_k]}}_{\substack{\text{"top face"} \cong \Delta^{k-l} \\ \in C_{k-l}(X)}}$$

(easy) Properties

- $\cap$  bilinear
- $\partial(\sigma \cap \phi) = (-1)^l (\partial\sigma \cap \phi - \sigma \cap \partial^*\phi)$
- cycle  $\cap$  cycle is cycle
- boundary  $\cap$  cycle are boundaries  
cycle  $\cap$  boundary are boundaries

$$\Rightarrow \boxed{\cap: H_k(X) \times H^l(X) \rightarrow H_{k-l}(X)} \quad \text{bilinear}$$

Theorem (Poincaré duality) The map  $\phi \mapsto [M] \cap \phi$  gives following isos

① For  $M$  closed oriented  $n$ -mfd

$$[M] \cap \cdot : H^*(M) \xrightarrow{\cong} H_{n-*}(M)$$

② For  $M$  non-compact oriented  $n$ -mfd,

$$[M] \cap \cdot : H_c^*(M) \xrightarrow{\cong} H_{n-*}(M) \quad \star$$

$$[M] \cap \cdot : H^*(M) \xrightarrow{\cong} H_{n-*}^{lf}(M)$$

Sketch Pf of ① for smooth mfd (Non-examinable)

If  $M$  smooth  $\Rightarrow \exists$  "good cover"  $U_i$  of  $M$  meaning open cover s.t.

FACT from Riemannian geometry ("convex neighbourhoods")

$$U_i \cong \mathbb{R}^n$$

$$U_i \cap \dots \cap U_{i_k} \cong \mathbb{R}^n \text{ or } \emptyset$$

Then compute  $H_c^*(\mathbb{R}^n) \cong \begin{cases} \mathbb{Z} & * = n \\ 0 & \text{else} \end{cases}$  and  $\star$  holds for  $\mathbb{R}^n$ .

$\Rightarrow \star$  holds  $\forall U_i$

$\Rightarrow$  by naturality of  $\star$  and of Mayer-Vietoris get  $\star$  for  $\cup U_i$  finite

$\Rightarrow \star$  for  $M$ , which is ①.  $\square$

$\nwarrow$  use 5-lemma

# General Pf of Poincaré duality ← Non-examinable

Step 1 : holds for  $\mathbb{R}^n$

$$\text{Pf } H_c^k(\mathbb{R}^n) \cong H^k(D^n, S^{n-1}) \cong \begin{cases} 0 & k \neq n \\ \mathbb{Z} & k = n \end{cases} \cong H_{n-k}(\mathbb{R}^n)$$

can make  $K$  larger by picking  $K = \text{large ball}$   
 then  $H^k(\mathbb{R}^n, \mathbb{R}^n \setminus K) \cong H^k(K, \partial K)$

Pick  $\Delta$ -cx structure for  $\mathbb{R}^n$ . So  $[\mathbb{R}^n] = \sum \pm \sigma_i \leftarrow \text{sum over } n\text{-simplices.}$

Say  $\exists$  simplex  $\sigma_0: \Delta^n \rightarrow \mathbb{R}^n$ . Define  $\phi: C_c^{CW}(\mathbb{R}^n) \rightarrow \mathbb{Z}$ ,  $\phi(\sigma_0) = \pm 1$   $\star$

$\Rightarrow \delta\phi = 0$  for dim reasons

$$\phi(\text{other simplices}) = 0$$

$$[\mathbb{R}^n] \cap \phi = \sum \phi(\pm \sigma_i) = \phi(\pm \sigma_0) = 1 \quad (\text{pick sign in } \star)$$

Step 2 holds for  $A, B, A \cap C \Rightarrow$  holds for  $A \cup B$

Pf Mayer-Vietoris for  $H_c^*$ , naturality, 5-lemma  $\checkmark$

Step 3 holds for  $A_i$ , and  $A_1 \subseteq A_2 \subseteq A_3 \subseteq \dots \Rightarrow$  holds for  $\cup A_i$

Pf By applying  $\varinjlim$  : both sides of P.D. iso commute with limits  $\checkmark$

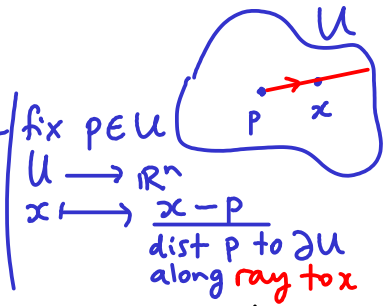
Step 4 holds for open subsets in  $\mathbb{R}^n$

Pf Every such set is a union of convex open sets (e.g. balls)

By Step 3 enough to consider case of finite union.

By induction on # convex open sets:

1 convex set  $U \cong \mathbb{R}^n$  via a proper homeomorphism,  
 now use Step 1  $\checkmark$



2 convex sets : KEY TRICK convex set  $\cap$  convex set is convex in  $\mathbb{R}^n$ !  
 $\Rightarrow$  use Step 2 & previous case

$k+1$  convex sets:  $A = \cup \{\text{first } k \text{ convex sets}\}$ ,  $B = \text{last convex set}$   $\Rightarrow$  use Step 2 & Inductive hypothesis  
 $\Rightarrow A \cap B \subseteq B$  is a union of  $k$  convex sets

Step 5 holds for mfd  $M$

Consider open sets in  $M$  for which it holds.

By a Zorn's Lemma argument we get a maximal open subset  $U$  where holds.

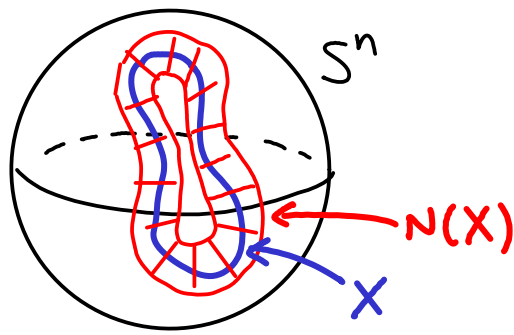
If  $U \neq M$  pick  $p \in M \setminus U$  and nbhd  $V \cong \mathbb{R}^n$  of  $p$ . Then holds for  $U, V, U \cup V$

(note  $U \cup V \subseteq V \cong \mathbb{R}^n$  open, so Step 4 applies) so by Step 2 holds for  $U \cup V$

Contradicts maximality.  $\checkmark \square$

# Alexander duality

(in fact, enough to assume)  
 $X$  is locally contractible



$\emptyset \neq X \subsetneq S^n$  compact subset s.t.

$\exists$  open neighbourhood  $N(X)$  which deformation retracts to  $X$  such that  $\overline{N(X)} \subseteq S^n$  is an  $n$ -mfd with boundary.

Theorem  
Pf later

$$\tilde{H}_*(X) \cong \tilde{H}^{n-* - 1}(S^n \setminus X)$$

Example  $X \subseteq S^3$  knot (i.e.  $X = \text{image}(S^1 \xrightarrow{\text{homeomorphism}} S^3)$ )  
 onto the image

$\Rightarrow N(X) \cong \text{solid torus} \cong S^1$

$\Rightarrow$

$$\begin{aligned} \tilde{H}_0(X) &= 0 &= \tilde{H}^2(S^3 \setminus X) \\ \tilde{H}_1(X) &= \mathbb{Z} &= \tilde{H}^1 \quad " \\ \tilde{H}_2(X) &= 0 &= \tilde{H}^0 \quad " \end{aligned}$$

$\uparrow$  embedding

so the homology of a knot complement does not tell knots apart (always same)

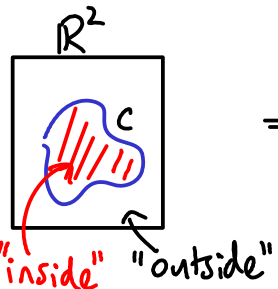
## Theorem (Jordan curve theorem)

e.g. by stereographic projection  $S^2 \cong \mathbb{C} \cup \infty$

$C \cong S^1$  closed curve in  $\mathbb{R}^2 \subseteq S^2$

$\Rightarrow \mathbb{R}^2 \setminus C$  has 2 path-components (= connected components)

Similarly for  $C \cong S^n \subseteq \mathbb{R}^{n+1} \subseteq S^{n+1}$ .



Pf  $C \cong S^n \subseteq \mathbb{R}^{n+1} \subseteq S^{n+1} \Rightarrow \tilde{H}_n(S^n) \cong \mathbb{Z} \cong \tilde{H}^0(S^{n+1} \setminus C)$   
 $\Rightarrow H^0(S^{n+1} \setminus C) \cong \mathbb{Z}^2$   
 $\Rightarrow S^{n+1} \setminus C$  has 2 path components.  $\square$

# Proof Alexander duality

$$Y := S^n \setminus N(X) \quad (\cong S^n \setminus X)$$

for  $* < n-1$

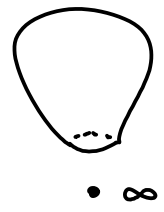
$$\begin{aligned} \tilde{H}^{n-*} (Y) &= H^{n-*} (Y) \\ &\cong_{\text{Lefschetz}} H_{*+1} (Y, \partial Y) \\ &\cong_{\text{exc.}} H_{*+1} (S^n, \overline{N(X)}) \\ &\cong_{\text{LES using } * < n-1} \tilde{H}_* (\underbrace{\overline{N(X)}}_{\cong X}) \end{aligned}$$

for  $* = n-1$

$$\begin{aligned} \tilde{H}^0(Y) \oplus \mathbb{Z} &\cong H^0(Y) \\ &\cong_{\text{Lef.}} H_n(Y, \partial Y) \\ &\cong_{\text{exc.}} H_n(S^n, \overline{N(X)}) \\ &\cong \tilde{H}_{n-1}(\underbrace{\overline{N(X)}}_{\cong X}) \oplus \mathbb{Z} \end{aligned}$$

$$\begin{array}{ccccccc} 0 & \longrightarrow & \tilde{H}_n(S^n) & \longrightarrow & H_n(S^n, \overline{N(X)}) & \longrightarrow & \tilde{H}_{n-1}(\overline{N(X)}) \longrightarrow 0 \\ & & \searrow \cong & & \downarrow & & \\ & & & & H_n(S^n, S^n \setminus \infty) \cong \mathbb{Z} & & \end{array}$$

$\uparrow$   
 $S^n = \mathbb{R}^n \cup \infty$



for  $* = n$

$$H^{n-*} (Y) = H^{-1}(Y) = 0$$

$$H_n(X) \cong H_n(N(X)) \cong_{\text{Lef.}} 0 \quad n\text{-mfd with bdr} \neq \emptyset. \quad \square$$