

## C2.6 Introduction to Schemes

Feedback and corrections are welcome!

### Main Reference

2019 Lecture Notes by Prof. Damian Rössler

### References

Ravi Vakil, The Rising Sea, Foundations of Algebraic Geometry ← online

http://stacks.math.columbia.edu ← search defns, theorems, proof in algebra & alg-geometry

Eisenbud & Harris, The Geometry of Schemes, Springer GTM 197

George R. Kempf, Algebraic Varieties, LMS Lecture notes 172

Classic books by: Mumford (Red Book of Varieties & Schemes)

Hartshorne (Algebraic Geometry)

Shafarevich (Basic Algebraic Geometry 2)

or my vebis

My C3.4 Algebraic geometry notes (see C2.1 course webpage) try + fill the gap between classical algebraic geometry (C3.4) and C2.

### Prerequisites

Commutative algebra (e.g. Atiyah - MacDonald, Introduction to Comm. Al.)

Category theory — or willingness to read things up as necessary

Homological algebra — or willingness to read things up as necessary

### Expectations

That you read the notes and the main reference regularly after each class.

Not everything can be covered in detail in class, so you need to be willing to look things up as necessary.

### Conventions

Diagrams commute unless we say otherwise

Ring means commutative ring with unit 1.

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## 0.1 Classical Algebraic Geometry: Affine varieties

$R = k[x_1, \dots, x_n]$  polynomial ring over algebraically closed field  $k$   
 $I \subseteq R$  ideal

$X = \mathbb{V}(I) = \{a \in k^n : f(a) = 0 \forall f \in I\}$  affine variety

### The topological space

Affine space:  $\mathbb{A}^n = k^n$  with Zariski topology:  $\left\{ \begin{array}{l} \text{closed sets: } \mathbb{V}(I) \\ \text{open sets: } U_I = \mathbb{A}^n \setminus \mathbb{V}(I) \end{array} \right.$   
 $X \subseteq \mathbb{A}^n$  subspace topology:  $X \cap U_I$

### The functions on it

$R \cong \text{Hom}(\mathbb{A}^n, \mathbb{A}^1)$ ,  $f \mapsto (a \xrightarrow{\text{ev}_a} f(a))$

$\mathbb{I}(X) = \{f \in R : f(X) = 0\}$

Remark  $\mathbb{V}(\mathbb{I}(X)) = X$  for affine varieties  $X$

Coordinate ring:  $k[X] = R/\mathbb{I}(X)$

Key facts: 1) Hilbert's basis theorem:  $R$  Noetherian, so  $k[X]$  Noetherian

2) Hilbert's weak nullstellensatz: Maximal ideals of  $R$  (and of  $k[X]$ ) are

$m_a = \mathbb{I}(\{a\}) = \langle x_1 - a_1, \dots, x_n - a_n \rangle$ , so correspond to points:  $\{a\} = \mathbb{V}(m_a)$

3) Hilbert's Nullstellensatz:  $\mathbb{I}(\mathbb{V}(I)) = \sqrt{I}$  (radical of  $I$ )  
 Hence:  $\mathbb{I}(\mathbb{V}(\mathbb{I}(X))) = \mathbb{I}(X)$  if  $I$  is radical

Lemma There are enough functions to separate points

Pf  $a \neq b \in X \subseteq \mathbb{A}^n \Rightarrow$  some coordinate  $a_i \neq b_i \Rightarrow x_i \in k[X]$  separates  $a, b$

### Morphisms between affine varieties

$\text{Hom}(\mathbb{A}^n, \mathbb{A}^m) \cong R^m \leftarrow$  polynomial maps  $a \mapsto (f_1(a), \dots, f_m(a))$

$\text{Hom}(X, Y) =$  restriction of a polynomial map  $\mathbb{A}^n \rightarrow \mathbb{A}^m$  s.t.  $X \rightarrow Y$

Facts: 1)  $k[X] \cong \text{Hom}(X, \mathbb{A}^1) \leftarrow$  "values of functions are enough to determine the abstract function"

2)  $\text{Hom}(X, Y) \cong \text{Hom}_{k\text{-alg}}(k[Y], k[X])$

$(F: X \rightarrow Y) \mapsto (F^*: \text{Hom}(Y, \mathbb{A}^1) \rightarrow \text{Hom}(X, \mathbb{A}^1)) \leftarrow$  "pullback"  
 $f \mapsto F^*f = f \circ F$

### Equivalence of categories

$\{\text{affine varieties}\} \longleftrightarrow \{\text{finitely generated reduced } k\text{-algebras} \& \text{ homs of } k\text{-algs.}\}$

$X \longmapsto k[X]$

$(F: X \rightarrow Y) \longmapsto F^*$

Recall:

$R/J$  reduced  $\Leftrightarrow J$  radical

no nilpotents  $\Leftrightarrow f$  nilpotent  $\Leftrightarrow f^N = 0$  some  $N$

Note:  $\mathbb{I}(X)$  is radical

Remark The "same" (up to isomorphism)  $X$  can be embedded in various  $\mathbb{A}^n$ .

E.g. cuspidal cubic  $\mathbb{V}(y^2 - x^3) = \mathbb{A}^2_{x,y} \subseteq \mathbb{A}^3_{x,y,z}$  is  $\cong \mathbb{V}(y^2 - x^3, z - x) \subseteq \mathbb{A}^3_{x,y,z}$

## 0.2 Why schemes?

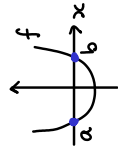
Some reasons:

1) Why always have spaces embedded in  $\mathbb{A}^n$ ? (extrinsic)

Can you make sense of  $X$  without reference to  $\mathbb{A}^n$ ? (intrinsic)

2) Why not let  $R$  be any ring?

3) When you deform varieties, nilpotents arise naturally and should not be ignored



$$f = (x-a) \cdot (x-b)$$

$$X = \mathbb{V}(f) = \{a, b\} \subseteq \mathbb{A}^1 \quad \leftarrow \text{two points}$$

$$k[X] \cong k[x]/(x-a) \oplus k[x]/(x-b) \quad \leftarrow \text{each point}$$

Deform:  $a, b$  become 0:

$$f = (x-0) \cdot (x-0) = x^2$$

$$X = \mathbb{V}(f) = \{0\} \subseteq \mathbb{A}^1$$

$$k[X] \cong k[x]/\sqrt{(x^2)} = k[x]/(x) \cong k$$

We lost information: classically you cannot tell  $x=0$  apart from  $x^2=0$

In the theory of schemes, the key role is not played by the topological space

The key role is played by the ring of functions, or rather, the sheaf of functions

on each open set  $U \subseteq X$  get a ring of functions  $\mathcal{O}(U)$ .

Example above:  $\mathcal{O}(X) = k[x]/(x^2) \leftarrow$  we do not reduce the ring of function.

At what cost? Values of functions need not determine the abstract function:

$$\mathcal{O}(X) \ni \alpha + \beta x \mapsto (\alpha + \beta x : X = \{0\}) \rightarrow \mathbb{A}^1 \in \text{Hom}(X, \mathbb{A}^1)$$

do not recover  $\beta$ .

Idea: the abstract " $\beta$ " remembers that  $X$  arose from the collision of

$$\text{two points, so } \beta \text{ records tangential information: } \frac{\partial}{\partial x} \Big|_{x=0} \quad (\alpha + \beta x) = \beta$$

## 0.3 What is a point?

(and irreducible if not)

$X$  topological space is reducible if  $X = X_1 \cup X_2$  for proper closed  $X_i \subseteq X$ .

Euclidean world (more generally if  $X$  Hausdorff):  $Y \subseteq X$  irreducible  $\Leftrightarrow Y = \text{point}$  or  $Y = \emptyset$

Classical Alg. Geom.  $\leftarrow$  closed  $\emptyset \neq Y \subseteq X$  irreducible  $\Leftrightarrow \Pi(Y) \subseteq k[X]$  prime ideal

$R$  ring  $\Rightarrow$  "points" of  $R$  are  $\text{Spec}(R) = \{\text{prime ideals of } R\}$  not just maximal

Categorically a good choice since functorial:

$$\varphi: R \rightarrow S \text{ hom of rings } \Rightarrow \varphi^{-1}(\text{prime ideal}) = \text{prime ideal}$$

$$\Rightarrow \text{Spec } S \xrightarrow{\varphi^{-1}} \text{Spec } R$$

fails for max ideals

e.g.  $\mathbb{Z} \xrightarrow{\varphi} \mathbb{Q}, \varphi^{-1}(0) = \{0\}$

We were just lucky that  $\text{hom } k[X] \rightarrow k[X]$  send max ideal  $\rightarrow$  max ideal.

## 1. DEFINITION OF SCHEMES

### 1.1 Examples of affine schemes

$\text{Spec}(R)$  some ring  $R$  (always: comm. ring with 1)

- As a set:  $\text{Spec}(R) = \{\text{prime ideals of } R\}$
- Zariski topology: closed sets:  $\mathbb{V}(I) = \{\text{prime ideals containing } I\} \subseteq \text{Spec } R$

which we construct later.  $\leftarrow$  spaces of functions

The global functions are:  $\mathcal{O}_{\text{Spec}}(\text{Spec } R) = R$ .

so spaces of fns can recover the top space!

$$\mathbb{V}(I) \cup \mathbb{V}(J) = \mathbb{V}(I \cdot J) = \mathbb{V}(I \cap J)$$

$$\cap \mathbb{V}(I_i) = \mathbb{V}(\sum I_i)$$

Key:  $\mathbb{V}(I) = \emptyset \Leftrightarrow I = R \Leftrightarrow 1 \in I$ , since any proper ideal  $\subseteq$  some maximal

open sets:  $U_I = \text{Spec } R \setminus \mathbb{V}(I) = \bigcup_{f \in I} D_f$

basis of open sets:  $D_f = \{p \in \text{Spec } R : f \notin p\}$

"value of  $f \in R$  at  $p$ ":  $R/p \hookrightarrow K(p) = \text{Frac}(R/p) \xrightarrow{f} f(p)$

localisation of  $R$  at  $p$

target field depends on  $p$ .

$$f(p) = 0 \Leftrightarrow f \in p$$

Examples 1)  $R = k[X] \leftarrow$  affine variety  $X \subseteq \mathbb{A}^n$

$\text{Spec } R \xrightarrow{\text{bijection}} \text{Spec } R \xrightarrow{\text{II}} X$

$\text{Spec } R \xrightarrow{\text{II}} X$  irreducible subvarieties  $Y \subseteq X$

$\text{Spec } R \xrightarrow{\text{II}} X$  and Zariski topologies agree

Value of  $f \in R$  at  $m_a$ :  $m_a \rightarrow R/m_a \cong k \xrightarrow{f} f(a)$

$(m_a = \langle x_1 - a_1, \dots, x_n - a_n \rangle)$

2)  $\text{Spec } \mathbb{Z} = \{0\} \cup \{p : p \in \mathbb{N} \text{ prime}\}$

value of  $f \in \mathbb{Z}$  at  $(0)$ :  $\mathbb{Z} \rightarrow \text{Frac}(\mathbb{Z}/0) = \mathbb{Q} \xrightarrow{f} f$

so lost no information.

$\mathbb{V}(\{0\}) = \{\text{prime ideals containing } (0)\} = \text{Spec } \mathbb{Z}$  so the point  $(0)$  is dense!

$\mathbb{V}(\{p\}) = \{p\}$  are "closed points". Value of  $f \in \mathbb{Z}$ :  $f(p) = (f \in \mathbb{Z}/p) = (f \text{ mod } p)$

Prime ideals  $p$  with  $\mathbb{V}(\{p\}) = \text{Spec } R$  are called generic points

Prime ideals  $p$  with  $\mathbb{V}(\{p\}) = \{p\}$  are called closed points

Exercise  $\{\text{closed points}\} = \{\text{max ideals of } R\}$

Motivation:  $M$   $n \times n$  matrix over  $\mathbb{C}$   
Then  $\mathbb{C}[X] \rightarrow \mathbb{C}[M], x \mapsto M$  has  $\ker = \langle m \rangle$   
so  $\mathbb{C}[M] \cong \mathbb{C}[X]/\langle m \rangle \cong \mathbb{C}[X]/(x-\lambda)$   
Spec  $\mathbb{C}[M] = \{(\lambda, \lambda) : \lambda \text{ eigenvalue of } M\}$

(prime) Spectrum  $\mathbb{V}(I) = \{p \in \text{Spec } R : I \subseteq p\}$

e.g.  $\mathbb{V}(R) = \emptyset$   
 $\mathbb{V}(0) = \text{Spec } R$

so spaces of fns can recover the top space!

so  $\mathbb{V}(I \cdot J) = \mathbb{V}(I \cap J)$   
but  $\mathbb{V}(I \cdot J) = \mathbb{V}(I \cup J)$

localisation of  $R$  at  $p$

target field depends on  $p$ .

Remark:  $p$  prime  $\Leftrightarrow R/p$  is integral domain

Remark:  $D_{f^n} = D_f$  for  $n > 1$ , since  $f^n \in p \Leftrightarrow f \in p$

Remark:  $D_f \cap D_g = D_{fg}$

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Exercises · a prime ideal  $\Rightarrow$  a radical  $(a = \sqrt{a})$   
 · For  $a, b$  radical,  $a \subseteq b \Leftrightarrow V(a) \supseteq V(b)$

Cor  $V(I) \subseteq V(J) \Leftrightarrow \sqrt{I} \supseteq \sqrt{J}$

Pf  $V(I) = V(\sqrt{I})$ , so:  $\Leftrightarrow V(\sqrt{I}) \subseteq V(\sqrt{J}) \Leftrightarrow \sqrt{I} \supseteq \sqrt{J}$  by exercise. □

Cor  $V(a) = V(b) \Leftrightarrow \sqrt{a} = \sqrt{b}$

$\Rightarrow$  {closed sets of  $\text{Spec } R$ }  $\xleftrightarrow{1:1}$  {radical ideals of  $R$ }

Proposition  $f \in R$  vanishes at all  $p \in \text{Spec } R \Leftrightarrow f$  nilpotent

Covering Trick  $\text{Spec } R = \cup D_{f_i} \Leftrightarrow 1 \in \langle \text{all } f_i \rangle \Leftrightarrow \langle \text{all } f_i \rangle = R$

Pf  $\text{Spec } R \setminus \cup D_{f_i} = \cap V(f_i) = V(\langle \text{all } f_i \rangle)$ , now use previous key.

Theorem  $\text{Spec } R$  is quasi-compact  $\leftarrow$  (quasi-compact = compact = open covers has finite subcover)

Pf  $\text{Spec } R = \cup_i U_i$ . As  $U_i = \cup_j D_{f_{ij}}$ , wlog  $U_i = D_{f_i}$ .

Trick  $\Rightarrow 1 = \sum_{\text{finite}} r_i f_i \leftarrow$  so finitely many  $f_i$  generate  $R$ , so those  $D_{f_i}$  cover!

Basic Exercises

1)  $\varphi: R \rightarrow S$  ring hom  $\Rightarrow \alpha: \text{Spec } S \rightarrow \text{Spec } R, p \mapsto \varphi^{-1}(p)$  is continuous

indeed  $\alpha^{-1}(D_f) = D_{\varphi(f)}$   $\leftarrow$  (Hint:  $f \in p \Leftrightarrow \varphi(f) \in \varphi(p)$ )

2) Show that  $\text{Spec}(R/I)$  "is" the subspace  $V(I) \subseteq \text{Spec } R$  and the quotient map  $\pi: R \rightarrow R/I$  induces via (1) the inclusion map on Specs.

Example  $\text{Spec}(R/(f)) = \{\text{prime ideals of } R \text{ not containing } f\}$   
 $= \{\text{the points of } \text{Spec } R \text{ where } f \text{ vanishes}\}$   
 $= V(f)$

3) Show that  $\text{Spec}(S^{-1}R)$  "is" a subspace of  $\text{Spec } R$ , where  $S^{-1}R$  is localisation of  $R$  at a multiplicative set  $S \subseteq R$ , and  $R \rightarrow S^{-1}R, r \mapsto \frac{r}{1}$  induces via (1) the inclusion

Example  $S = \{1, f, f^2, \dots\}$ , so  $S^{-1}R = R_f$ , then:

$\text{Spec } R_f = \{\text{prime ideals of } R \text{ not containing } f\}$   
 $= \{\text{the points of } \text{Spec } R \text{ where } f \text{ does not vanish}\}$   
 $= D_f$

4)  $D_f \cap D_g = D_{fg}$ , so  $\text{Spec } R_f \cap \text{Spec } R_g = \text{Spec } R_{fg}$

5)  $D_f \subseteq D_g \Leftrightarrow V(f) \supseteq V(g) \Leftrightarrow \forall f \in \mathfrak{p} \Leftrightarrow f \in (g)$  some  $n \Leftrightarrow g \in R_f$  invertible

6)  $p \subseteq R$  prime ideal  $\Rightarrow R_p = S^{-1}R$  for  $S = R \setminus p$ , then  $\exists!$  closed point  $m_p = p \cdot R_p \in \text{Spec } R_p$

so local ring:  $\exists!$  max ideal  $m$  ( $\Leftrightarrow$  sits outside  $m$  are invertible)  
 Also:  $m_p \in U \subseteq \text{Spec } R_p$  open  $\Rightarrow U = \text{Spec } R_p$ .

1.2 Definition of a scheme

Def A ringed space is

- a topological space  $X$
- with a sheaf of rings  $\mathcal{O}_X$  on  $X$

Locally ringed space if also:

- all stalks  $\mathcal{O}_{X,x}$  are local rings (so  $\exists$  unique maximal ideal  $\mathfrak{m}_{X,x} \subseteq \mathcal{O}_{X,x}$  and  $\exists$  residue field at  $x: k(x) = \mathcal{O}_{X,x} / \mathfrak{m}_{X,x}$ )

IDEA

- $\leftarrow$  the points
- $\leftarrow$  the functions
- $\leftarrow$  the germs of functions near point  $x$
- $\leftarrow$  the "value" of a function at  $x$  lives here

Def An affine scheme is a locally ringed space for some ring  $R$ . isomorphic to  $(\text{Spec } R, \mathcal{O}_{\text{Spec } R})$

Def A scheme is a locally ringed space which is locally isomorphic to an affine scheme.

means:

$\forall x \in X \exists$  some open neighbourhood  $x \in U \subseteq X$  s.t.  $(U, \mathcal{O}_X|_U) \cong (\text{Spec } R, \mathcal{O}_{\text{Spec } R})$

1.3 Pre-sheaves

$\text{Ab}$  = category of abelian groups and group homs

$X$  = any topological space

$\text{Top } X$  = category with objects: open sets  $U \subseteq X$  morphs: inclusion maps

Def A presheaf (of abelian groups) on  $X$  is a contravariant functor  $F: \text{Top } X \rightarrow \text{Ab}$

So:  $\forall$  open  $U \subseteq X$  have an abelian group  $F(U)$   $\leftarrow$  elements called sections (over  $U$ )

$\forall$  inclusion  $U \rightarrow V$  have a "restriction" group hom  $F(V) \rightarrow F(U)$

$F(\text{id}: U \rightarrow U): F(U) \xrightarrow{\text{id}} F(U)$  so  $s|_U = s$  for  $s \in F(U)$ .

$U \subseteq V \subseteq W \Rightarrow F(W) \rightarrow F(U) \rightarrow F(U)$  so:  $(s|_V)|_U = s|_U$  for  $s \in F(W)$ .

Example  $X$  topological space,  $F(U) = \{\text{continuous functions } U \rightarrow \mathbb{R}\}$  with obvious restrictions

Morphism of pre-sheaves = natural transformation of such functors:  $\varphi: F \rightarrow G$

So:  $\forall$  open  $U \subseteq X$  have  $\varphi_U: F(U) \rightarrow G(U)$  group hom

$\forall$  inclusion  $U \rightarrow V$  have  $F(U) \xrightarrow{\varphi_U} G(U)$   $\uparrow$   $\leftarrow$  restriction homs  $F(V) \xrightarrow{\varphi_V} G(V)$

Sub pre-sheaf  $F \subseteq G$  means  $F(U) \subseteq G(U)$  subgp, compatibly with restrictions

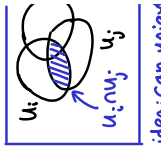
if use category  $\mathcal{C}$  get (pre)sheaves with values in  $\mathcal{C}$  e.g.  $\mathcal{C} = \text{Rings}$  get presheaf of rings

$(\text{Mor}(U, V) = \emptyset \text{ if } U \not\subseteq V)$   $\leftarrow$  (finclay if  $U \subseteq V$ )

so the homs are compatible with restrictions! i.e. this diagram with  $\varphi_U = \text{inclusion}$

### 1.4 Sheaves

Def Pre-sheaf  $F$  is a sheaf on  $X$  if it satisfies the local-to-global condition:



If  $U_i$  open,  $s_i \in F(U_i)$  agreeing on overlaps:

$$s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \in F(U_i \cap U_j)$$

Then  $\exists$  unique  $s \in F(\cup U_i)$  with  $s|_{U_i} = s_i$ .

#### Consequences

- two sections  $s, t \in F(U)$  equal  $\Leftrightarrow$  they equal locally:  $s|_{U_i} = t|_{U_i}$ ,  $U = \cup U_i$
- you can build sections by defining local sections, compatibly on overlaps.
- exact sequence:  $0 \rightarrow F(U) \xrightarrow{s} \prod_i F(U_i) \xrightarrow{\text{res}} \prod_i F(U_i \cap U_j) \rightarrow \dots$
- $F(\emptyset) = 0$  (Hint: consider empty covering of  $\emptyset$ )

#### Examples

- Sheaf of continuous real functions:  $F(U) = \{ \text{continuous maps } U \rightarrow \mathbb{R} \}$
- Skyscraper sheaf at  $p$  for group  $R$ :  $F(U) = \begin{cases} R & \text{if } p \in U \\ 0 & \text{if } p \notin U \end{cases}$
- Presheaf of constant functions for group  $R$ :  $F(U) = \begin{cases} R & \text{if } U \neq \emptyset \\ 0 & \text{if } U = \emptyset \end{cases}$

- Sheaf of locally constant functions for group  $R$ :  $F(U) = \prod_{i \in I_U} R$  where  $I_U = \{ \text{connected components of } U \}$  (i.e. constant on connected components)
- Exercise (3) is not a sheaf if  $X = 2$  points with discrete topology,  $R \neq 0$ .

Write  $\text{Ab}(X) = \text{category of sheaves on } X \text{ and morphisms of sheaves}$

1.5 Stalks  $\leftarrow$  work with category of sets instead of  $\text{Ab}$  (morphisms of presheaves)

Def stalk at  $x$  of presheaf  $F$  is the abelian group

$$F_x = \varinjlim_{x \in U} F(U)$$

Explicitly: An element of  $F_x$  is determined by  $s \in F(U)$  some  $U \ni x$  open, identify  $s \sim t$  for  $t \in F(V) \Leftrightarrow s|_W = t|_W$  some  $U \cap V \ni x$  open, direct limit over restriction maps induced by inclusions

Rmk: natural map  $F(U) \rightarrow F_x$ ,  $s \mapsto s_x = \text{equivalence class of } s$ . (for  $x \in U$  or write:  $s|_x$ )

- morph  $\varphi: F \rightarrow G$  then get  $\varphi_x: F_x \rightarrow G_x$  (or write:  $\varphi|_x$ )

Exercise  $\varphi, \psi: F \rightarrow G$  morphs of sheaves, if all  $\varphi_x = \psi_x: F_x \rightarrow G_x$  then  $\varphi = \psi$ .

Facts For sheaves  $F, G$  in category  $\text{Ab}(X)$

- $F \rightarrow G$  monomorphism  $\Leftrightarrow F_x \rightarrow G_x$  injective  $\forall x$
- $F \rightarrow G$  epimorphism  $\Leftrightarrow F_x \rightarrow G_x$  surjective  $\forall x$
- $F \rightarrow G$  isomorphism  $\Leftrightarrow F_x \rightarrow G_x$  iso  $\forall x$

Warning mono  $\Leftrightarrow F(U) \rightarrow G(U)$  inj.  $\forall U$ , but fails for epi:  $F(U) \rightarrow G(U)$  need not be surj.

### 1.6 Sheafification

$F$  pre-sheaf  $\Rightarrow F^+$  sheaf (ification):  $\forall x \in U, \exists \forall x, t \in F(U)$  so  $s(x) = t_x \in F_x \forall s \in F(U)$

$$F^+(U) = \left\{ s: U \rightarrow \coprod_x F_x : \text{locally } s \text{ is a section of } F \right\}$$

comes with natural morph  $F \rightarrow F^+ \leftarrow (s \in F(U) \mapsto (x \mapsto s_x) \in F^+(U))$

Exercise:  $F^+$  is a sheaf,  $F_x^+ = F_x$  and it satisfies:



Hint: In our construction:

$F_x^+ = F_x \rightarrow G_x$  so we know locally how sections map but we need to globalize...

Trick:  $F \rightarrow F^+ \rightarrow G$  finally  $G$  is sheaf so  $G = G^+$  (natural iso, using  $G_x = G_x^+$  and Facts)

Example (pre-sheaf of constant functions) $^+ =$  (sheaf of locally constant functions)

Exercise 1)  $F \subseteq G$  sub pre-sheaf,  $G$  sheaf  $\Rightarrow \exists$  smallest subsheaf  $H \subseteq G$  s.t.  $F \subseteq H$ . Moreover,  $H_x = F_x$ .

2)  $(DF)(U) = \prod_{x \in U} F_x$  with obvious restriction maps is a sheaf ("sheaf of discontinuous sections")

3)  $i: F \rightarrow DF$  obvious morph, let  $F^b = \text{presheaf image so } F^b(U) = i(U)$  then  $F^b \subseteq DF$  is a sub pre-sheaf and construction (1) gives  $H = F^+$ .

### 1.7 Kernels, Cokernels

$\varphi: F \rightarrow G$  morph of sh.

- $(\text{Ker } \varphi)(U) = \text{Ker } \varphi_U$  is sheaf
- $\text{Coker } \varphi = (\text{pre-Coker } \varphi)^+$  where  $(\text{pre-Coker } \varphi)(U) = \text{Coker } \varphi_U$
- $\text{Im } \varphi = (\text{pre-Im } \varphi)^+$  where  $(\text{pre-Im } \varphi)(U) = \text{Im } \varphi_U$

Hint:

$$\varphi_U(s)|_W = \varphi_U(s)|_W$$

$$\varphi_U(s|_W) = \varphi_U(s)|_W$$

Then use local-to-global recall from category theory mono:  $H \rightarrow F \rightarrow G \Rightarrow H \cong F$  composites equal epi:  $F \rightarrow G \Rightarrow H \cong G$

**Fact**  $Ab(X)$  is an abelian category  
 idea it "behaves like" category of abelian grps

**Rmk** in additive cat:  
 $mono \Leftrightarrow H \rightarrow F \rightarrow G$  then  $H \rightarrow F$   
 $epi \Leftrightarrow F \rightarrow G \rightarrow H$  then  $G \rightarrow H$

**Def** abelian category = additive category such that morphisms have ker, Coker and i)  $\varphi: F \rightarrow G$  monomorph is the ker of its Coker  
 ii)  $\varphi: F \rightarrow G$  epi morph  $\ll$  Coker  $\ll$  ker

**Def** additive category means  $Mor(A, B)$  abelian gr (so often write  $Hom(A, B)$ ):  
 • Composition of morphisms distributes over addition  
 •  $\exists$  products  $A \times B$  ( $\forall obj. X, (\exists! morph. O \rightarrow X)$  ( $\exists!$  morph  $X \rightarrow C$ )  
 •  $\exists$  zero object  $O$  (an object that is both initial & terminal)

Functor  $F$  of additive/abelian cats is additive if  $Hom(A, B) \rightarrow Hom(FA, FB)$  is gp. hom

For  $\varphi: A \rightarrow B$ :  
 $ker \varphi$  is a morph  $ker \varphi \rightarrow A$   
 $\forall C \exists! \uparrow$   
 $ker \varphi$  is an epimorph.  
 $\forall C \exists! \uparrow$   
 $Coker \varphi$  is a morph  $A \rightarrow Coker \varphi$   
 $\forall C \exists! \downarrow$   
 $Coker \varphi$  is a monomorph.

$Im \varphi = \underline{ker}(Coker \varphi)$   
 which is a morph  $Im \varphi \rightarrow B$   
**Facts**  $\exists!$  factorization of  $A \rightarrow Im \varphi \rightarrow B$   
 Abelian cat  $\Leftrightarrow A \rightarrow Im \varphi$  epi and  $= Coker(ker \varphi)$

**Example** For abelian grps, (ii) says:  $A \xrightarrow{\varphi} B \xrightarrow{\pi} B/A$  as expected!  
 $ker \pi \uparrow$  is  $ker \varphi$  is  $Coker \varphi = Coker ker \pi$  **Freyd-Mitchell III**

**I will now stop underlining ker, Coker, Im.**  
**Rmk** These categorical definitions can be cumbersome to work with. It turns out:  
 $\forall$  small abelian category  $\mathcal{A}$ ,  $\exists$  a possibly non-commutative ring  $R$  with 1 and full faithful exact functor  $\mathcal{A} \rightarrow \{left R\text{-modules}\}$  (in particular preserves  $(obj(\mathcal{A}) \text{ and } Hom_{\mathcal{A}})$   $\Rightarrow$  can "pretend" you work with modules.  
 (example you just apply the theorem to the small abelian subcategory involved in your diagram/sequence of maps - don't need to use the whole category)

**1-8 Exactness**  
 A (cochain) complex  $F^{\bullet} = (\dots \rightarrow F^{i-1} \xrightarrow{d^{i-1}} F^i \xrightarrow{d^i} F^{i+1} \rightarrow \dots)$  in an abelian ca means composite of two consecutive morphs is zero:  $d^{i+1} \circ d^i = 0$ .  
 ( $\exists$  mono  $Im d^i \hookrightarrow Ker d^{i+1}$  and  $H^i$  is its Coker)

(Co)homology  $H^i(F^{\bullet}) = Ker d^{i+1} / Im d^i$

$F^{\bullet}$  exact means  $Im d^i = Ker d^{i+1}$  ( $\Leftrightarrow$  complex with zero homology  $H^i = 0$ )  
**Proposition** complex  $F^{\bullet}$  in  $Ab(X)$  exact  $\Leftrightarrow F^{\bullet}$  is exact sequence of abelian gr  $\forall X \in X$   
 (mediate by **Facts** on previous page)

**Rmk** For SES (short exact sequences)  $0 \rightarrow F \xrightarrow{\alpha} G \xrightarrow{\beta} H \rightarrow 0$  of sheaves you usually check exactness at level of stalks, but can equivalently check:

- i)  $0 \rightarrow F(U) \rightarrow G(U) \rightarrow H(U)$  exact  $\forall$  open  $U$
- ii)  $H$  is smallest subsheaf containing  $pre-Im \beta$ , meaning every section of  $H$  can be obtained by gluing local sections of type  $\beta(\beta_{loc}^{-1}(s))$

A functor of abelian cats is left exact if:  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  exact  $\Rightarrow 0 \rightarrow FA \rightarrow FB \rightarrow FC$  exact  
right exact if  $\Rightarrow FA \rightarrow FB \rightarrow FC \rightarrow 0$  exact

**Example**  $Hom_{\mathbb{R}}(M, \cdot)$  is left exact,  $\otimes_{\mathbb{R}} M$  is right exact, as functors on  $R$ -mods (any  $R$ -mod  $M$ )

**1.9 Push-forward (direct image) and inverse image**

$f: X \rightarrow Y$  continuous  
 $\Rightarrow$  additive functor  $f_*: Ab X \rightarrow Ab Y$   
**Def**  $F \in Ab(X)$  gives  $f_* F \in Ab(Y)$ :  
 $(f_* F)(V) = F(f^{-1}(V))$   
**Exercise**  $(g \circ f)_* F = g_*(f_* F)$  for  $X \xrightarrow{f} Y \xrightarrow{g} Z$ .

$\Rightarrow$  additive functor  $f^{-1}: Ab Y \rightarrow Ab X$   
**Def**  $F \in Ab(Y)$  gives  $f^{-1}F \in Ab(X)$  is  $(pre-f^{-1}F)^+$  where  $(pre-f^{-1}F)(U) = \varinjlim_{V \supseteq f(U)} F(V)$   
**Exercise**  $(f^{-1}F)_x = F_{fix}$  and  $(g \circ f)^{-1} \cong_{canon} f^{-1} \circ g^{-1}$

**Examples** 1)  $i: S \rightarrow X$  inclusion of an open subset:  
 $F \in Ab(S)$   $i_* F: V \mapsto F(V \cap S)$   
 $F \in Ab(X)$   $i^{-1}F: U \mapsto F(U)$   $\leftarrow$  denoted  $F|_S$  called restriction of F

2)  $i_x: point \rightarrow X$ ,  $i_x(point) = x$   
 $F \in Ab(X)$   $i_x^{-1}F = F_x$  (more precisely  $(i_x^{-1}F)(U) = \begin{cases} F_x & \text{if } U = \{point\} \\ 0 & \text{if } U = \emptyset \end{cases}$ )  
 will not make such remarks again.

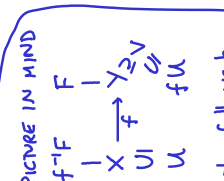
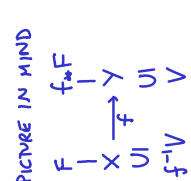
3)  $\pi: X \rightarrow point$   
 $F \in Ab(X)$   $\pi_* F = \Gamma(X, F) = F(X) \leftarrow$  global sections functor

**Proposition** 1)  $f_*$  is left exact  $\leftarrow$  in particular  $\Gamma(X, \cdot)$  is left exact  
 2)  $f^{-1}$  is exact

**For**  $f_*$ : exercise  
**Proof** for  $f^{-1}$ :  $0 \rightarrow (f^{-1}A)_x \rightarrow (f^{-1}B)_x \rightarrow (f^{-1}C)_x \rightarrow 0$   
 $0 \rightarrow A_{fx} \rightarrow B_{fx} \rightarrow C_{fx} \rightarrow 0 \parallel$  which by assumption is exact

**Rmk**  $f_*$  left exact } would follow by category theory from next proposition  
 $f^{-1}$  right exact

(Exact  $\Leftrightarrow F$  both left & right exact)



also follows by uniqueness of adjoint functors, see next page.

**Proposition**  $f^{-1}$  is the left adjoint functor of  $f_*$ , meaning  $\exists$  natural iso  $\text{Mor}(f^{-1}F, G) \cong \text{Mor}(F, f_*G)$  which is natural in  $F$  and  $G$

**Sketch pf**  
 $\text{In} \rightarrow \text{direction:}$   $\lim_{W \supseteq U} F(W) \xrightarrow{\text{since } W \supseteq U \text{ is allowed}} \lim_{W \supseteq U} G(W)$   
 $\parallel \leftarrow \text{pick } U = f^{-1}V$   
 $G(f^{-1}V) = f_*G(V)$

$\text{In} \leftarrow \text{direction:}$   $F(V) \xrightarrow{\text{given}} G(f^{-1}V)$   
 $\downarrow$  assume  $V \supseteq U$   
 $\lim_{V \supseteq U} F(V) \longrightarrow \lim_{V \supseteq U} G(f^{-1}V)$   
 $\downarrow$  take  $\lim$  over such  $V$   
 $\lim_{V \supseteq U} F(V) \xrightarrow{\text{restriction } f^{-1}V \supseteq U} \lim_{V \supseteq U} G(f^{-1}V)$

Now check these two are natural transformations, inverse to each other, and natural in  $F, G$ .  
**Rmk** Another example of adjoint functors, for  $R$ -modules, are  $\text{Hom}(M, -)$  and  $\otimes M$ :  
 $\text{Hom}(F \otimes M, G) \cong \text{Hom}(F, \text{Hom}(M, G))$  for  $R$ -mods  $F, G$

**1.10 Morphisms of ringed spaces**

**Def**  $(f, \varphi): (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  morph of ringed spaces means  
 $X \xrightarrow{f} Y$  continuous map of topological spaces  
 $f_* \mathcal{O}_X \xrightarrow{\varphi} \mathcal{O}_Y$  morph of sheaves of rings (on  $Y$ )  
*often write  $\varphi = f^\#$*

(So:  $\mathcal{O}_X(f^{-1}V) \xrightarrow{\varphi_V} \mathcal{O}_Y(V)$  for  $V \subseteq Y$ , compatibly with restrictions)  
 For a morphism of locally ringed spaces want in addition:  
 $\mathcal{O}_{X,x} \xleftarrow{\varphi_x} \mathcal{O}_{Y,f(x)}$  is local ring hom

**Rmk** Can compose:  $(X, \mathcal{O}_X) \xrightarrow{f} (Y, \mathcal{O}_Y) \xrightarrow{g} (Z, \mathcal{O}_Z)$   
 $(g \circ f)_* \mathcal{O}_X = g_* f_* \mathcal{O}_X \xleftarrow{g_*(f^\#)} g_* \mathcal{O}_Y \xleftarrow{g^\#} \mathcal{O}_Z$   
 Notice in the definition we cannot just talk about a morphism  $\mathcal{O}_X \leftarrow \mathcal{O}_Y$  because the sheaves are not defined over the same topological space.  
 $\Rightarrow$  either need a morph  $f_* \mathcal{O}_X \leftarrow \mathcal{O}_Y$  of sheaves on  $Y$  or a morph  $\mathcal{O}_X \leftarrow f^{-1} \mathcal{O}_Y$  of sheaves on  $X$

By the proposition, this is the same information since  $\text{Mor}(f^{-1} \mathcal{O}_Y, \mathcal{O}_X) \cong \text{Mor}(\mathcal{O}_Y, f_* \mathcal{O}_X)$   
 (Notice also the map on stalks  $\mathcal{O}_{X,x} = (\mathcal{O}_X)_x \xleftarrow{\varphi_x} (f^{-1} \mathcal{O}_Y)_x = \mathcal{O}_{Y,f(x)}$  is the  $\varphi_x$  above)

**1.11 A sheaf defined on a topological basis**

$X$  top. space with a basis  $B$  of open subsets  $\leftarrow$  means: basic sets cover  $X$ , and:  
 $\left( \begin{array}{l} \forall \text{ basic } B_1, B_2, x \in B_1 \cap B_2 \\ \exists \text{ basic } B \text{ with } x \in B \subseteq B_1 \cap B_2 \end{array} \right)$

**Def**  $B$ -sheaf  $F$  means  
 $F(U) \in \text{Ab}, \forall \text{ basic } U$  with homs  $F(U) \rightarrow F(V), s \mapsto s|_V \quad \forall \text{ basic } V \subseteq U$   
 and as usual:  $F(U) \xrightarrow{s} F(V)$  and  $F(U) \rightarrow F(W) \rightarrow F(V)$  for  $W \subseteq V \subseteq U$

$\bullet$  local-to-global condition:  
 $\forall \text{ basic } U$  with  $U = \cup U_i \xleftarrow{\text{basic}}$   
 $\forall s_i \in F(U_i)$  "agreeing locally on overlaps":  
 $\forall x \in U_i \cap U_j \exists \text{ basic } x \in U_k \subseteq U_i \cap U_j$  with

$s_i|_{U_k} = s_j|_{U_k} \in F(U_k)$   
 $\Rightarrow \exists$  unique  $s \in F(U)$  with  $s|_{U_i} = s_i$ .  
**Rmk** stalk  $F_x = \varinjlim_{U \in \text{basic}(U)} F(U)$

**Theorem** 1)  $B$ -sheaf  $F$  extends uniquely (up to unique iso) to a sheaf  $F$  on  $X$ .  
 2)  $B$ -sheaves  $F, G$  then morph  $F \rightarrow G$  on the extended sheaves is uniquely defined by data:  
 homs  $F(U) \rightarrow G(U)$  for basic  $U$ , commuting with restrictions (for basic opens)

any  $U$  open  $\Rightarrow s \in F(U)$  uniquely determined by  $s|_V =: s_V \quad \forall \text{ basic } V \subseteq U$   
 (since  $U$  can be covered by basic sets)

Conversely, given  $s_V \in F(V)$  the usual local-to-global condition is equivalent to  $\otimes$  above, by sheaf property for  $F$ .  
 $s_V|_{V \cap V'} = s_{V' \cap V} \in F(V \cap V') \quad \forall \text{ basic } V, V' \subseteq U$

**Existence**  
 $F(U) = \varprojlim_{(V \subseteq U) \in \text{basic}(U)} F(V)$   
 $= \left\{ (s_V) \in \prod_{(V \subseteq U) \in \text{basic}(U)} F(V) : s_V|_W = s_W \quad \forall W \subseteq V \subseteq U \right\}$   
 "compatible families of local sections on basic opens"  
 $\leftarrow$  inverse limit over restrictions for basics



Notice:  $F(\text{basic } U)$  has not changed up to canonical identification:

$$F(U) \cong \lim_{(\text{basic } V) \subseteq U} F(V) \xrightarrow{s} (S|_U) \text{ which includes } s|_U = s.$$

and for stalks:

$$\lim_{x \in (\text{basic } V)} F(V) \cong \lim_{x \in U} F(U) \leftarrow \begin{array}{l} \text{easy check} \\ \text{if sections} \\ \text{agree on } x \\ \text{then agree} \\ x \in V \subseteq U \\ \text{some basic} \end{array}$$

Proof (2): by functoriality of  $\lim$ :

$$\lim_{(\text{basic } V) \subseteq U} F(V) \longrightarrow \lim_{(\text{basic } V) \subseteq U} G(V) \quad \square$$

Rmk Equivalently, it is enough to remember germs around each point:

$$F(U) = \left( \lim_{(\text{basic } V) \subseteq U} F(V) \right) \cong \left\{ s: U \rightarrow \coprod_{x \in X} F_x : s(x) \in F_x \text{ which } \right. \\ \left. \begin{array}{l} \text{are "locally compatible":} \\ \forall x \in U, \exists x \in (\text{basic } V) \subseteq U \\ \exists t \in F(V) \\ \exists \text{ open } x \in W \subseteq V, t|_x = s|_x \forall y \in W \end{array} \right\}$$

with obvious restriction maps for these (just restrict the map  $U \rightarrow \prod F_x$ ).

Rmk Can simplify: WLOG  $W$  also basic (just pick  $x \in \text{basic } W$ ) so:  $\forall x \in U \exists x \in (\text{basic } V) \subseteq W$  replace  $V$  by  $W$ , so  $V = W$  basic.

Inverse: have cover  $U = \cup (\text{basic } x \in V^*)$  and  $t \in F(V^*)$  s.t.  $t$  agree locally (since germs agree) so  $\star$  holds so can extend to unique global section  $t_y = s(y) \forall y \in U$

Motivation:  $\frac{1}{g}$  should be an acceptable function on  $D_f$  provided we don't divide by zero!

### 1.12 Construction of $\mathcal{O}_{\text{Spec } R}$

$X = \text{Spec } R$ , we define  $\mathcal{O}_X$  first on basic open sets:

$$\mathcal{O}_X(D_f) = R \text{ localised at multiplicative set } \{g : g \text{ does not vanish on } D_f\} \\ \cong R_f \leftarrow \begin{array}{l} \text{Recall (exercise):} \\ V(g) \subseteq V(f) \Leftrightarrow D_f \subseteq D_g \\ \Leftrightarrow f^m \in (g) \Leftrightarrow g \in R_f \text{ invertible} \end{array}$$

For  $D_f \subseteq D_g$  define natural restriction homs: (which are compatible under composition)

$$\mathcal{O}_X(D_g) \longrightarrow \mathcal{O}_X(D_f) \longleftarrow \text{"localise further"} \\ \cong R_g \xrightarrow{\cong} R_f \longleftarrow \text{explicitly: } f^m = rg \text{ so } \frac{x}{f^m} \longmapsto \frac{xr^m}{(rg)^m} = \frac{xr^m}{f^m}$$

Lemma 1 This is a  $B$ -sheaf on  $X$  for  $B = \{ \text{basic open sets } D_f, f \in R \}$

Pf Uniqueness:  $\alpha, \beta \in R_f = \mathcal{O}_X(D_f)$  and  $D_f = \cup D_{f_i}$

(in  $\star$ ) if  $\alpha|_{D_{f_i}} = \beta|_{D_{f_i}} \forall i$  then  $\alpha = \beta$

Proof By redefining  $X, R$  by  $D_f, R_f$  we can assume  $f=1, R_f=R, D_f=X$ .

$\alpha - \beta = 0 \in R_f \Rightarrow f_i \cdot (\alpha - \beta) = 0$  some  $N \in \mathbb{N} \leftarrow N$  may depend on  $i$ , but WLOG finite subcover  $D_{f_i}$   $\Rightarrow$   $\langle \text{all } f_i^N \rangle \cdot (\alpha - \beta) = 0$  (quasi-compactness) so pick maximal  $N$

"Covering Trick"  $\rightarrow \cong R$  since  $X = D_{f_1} \cup \dots \cup D_{f_n} = D_{f_1^N} \cup \dots \cup D_{f_n^N} \leftarrow (\text{recall } D_f = D_{f^N})$   $\Rightarrow 1 \cdot (\alpha - \beta) = 0$  so  $\alpha = \beta$   $\square$

Existence in  $\star$ : as before WLOG  $U = D_f, R_f$  become  $X, R$ .

Uniqueness  $\Rightarrow$  in  $\star$  can assume sections  $s_i \in \mathcal{O}_X(D_{f_i})$  agree on overlaps  $D_{f_i} \cap D_{f_j} = D_{f_i f_j}$

(apply Uniqueness to  $D_{f_i f_j}$ )  $s_i|_{D_{f_i f_j}} = s_j|_{D_{f_i f_j}} \in R_{f_i f_j}$

WLOG  $X = D_{f_1} \cup \dots \cup D_{f_n}$  finite cover,  $s_i = \frac{g_i}{f_i^{n_i}}$  since  $D_{f_i} = D_{f_i^{n_i}}$  WLOG  $n_i=1$ , so  $s_i = \frac{g_i}{f_i}$

$s_i = s_j$  on  $D_{f_i f_j} \Rightarrow (f_i f_j)^N (f_j g_i - f_i g_j) = 0 \in R \leftarrow N$  depends on  $i, j$  but can pick largest  $N$  over finitely many  $i, j$  so  $N$  works  $\forall i, j$

rewrite:  $(f_j^N) \cdot (f_i^N g_j) - (f_i^N) \cdot (f_j^N g_i) = 0$  notice  $s_i = \frac{g_i}{f_i}, D_{f_i} = D_{f_i^N}$  so WLOG  $N=0!$  so  $f_j g_i = f_i g_j$

"Covering Trick":  $X = D_{f_1} \cup \dots \cup D_{f_n}$  so  $1 = \sum r_i f_i \leftarrow (\text{"partition of unity" trick})$

$$1 \cdot g_j = \left( \sum_i r_i f_i \right) g_j = \sum_i r_i (f_i g_j) = \sum_i r_i (f_j g_i) = f_j \left( \sum_i r_i g_i \right)$$

$\Rightarrow s_j = \frac{g_j}{f_j} = \frac{\sum_i r_i g_i}{1} \in R_f \forall j$  so we globalised the  $s_j \in \mathcal{O}_X(D_{f_i})$  to  $\sum_i r_i g_i \in \mathcal{O}_X(X) = R$   $\square$

Corollary  $\mathcal{O}_X$  extends uniquely to a sheaf on  $X = \text{Spec } R$  called structure sheaf (or sheaf of regular functions)

Stalk  $\mathcal{O}_{X, P} := \lim_{D_f \ni P} \mathcal{O}_X(D_f) \leftarrow$  Messy unpacking of definitions: we identify  $\frac{f_m}{f_n} \in R_f \cong \mathcal{O}_X(D_f)$  and  $\frac{s}{g_n} \in R_g \cong \mathcal{O}_X(D_g)$  iff  $\frac{f_m}{f_n} = \frac{s}{g_n} \in R_h$  some  $h \in R$  with  $P \in D_h \subseteq D_f \cap D_g$  (iff  $R^N (r g^n - s f^m) = 0 \in R$  some  $N$ )  $\leftarrow$  Recall in  $R_P$  you invert all elements  $f \notin P$

rest.  $\uparrow$  localise  $\mathcal{O}_{X, P} \cong R_P$

Lemma 2  $\mathcal{O}_X(X) \cong R$

Pf  $\lim_{D_f \ni P} \mathcal{O}_X(D_f) \cong \lim_{f \notin P} R_f \cong R_P \cong R$   $\square$

$\Rightarrow \theta_X(U) = \{s: U \rightarrow \sqcup_{p \in X} R_p : s(p) \in R_p \text{ which are locally compatible}\}$

$\forall p \in U, \exists$  open nbhd  $p \in D \subseteq U$  with  $s(x) = t_x$   
 $\forall t \in \theta_X(D_f) \xrightarrow{f} \text{some } f \in R_f$   
 $\cong \bigcup_{f \in R_f} \theta_{X_f}$   
 with the obvious restriction maps.

Rmk - could assume  $t = \frac{f}{g}$  since can replace  $D_f$  with  $D_{f/g}$  ( $= D_f$ ).  
 • could just ask  $s(x) = t_x$  on a smaller open  $p \in V \subseteq D_f$ .

Comparison with classical algebraic geometry

- $X$  affine variety,  $p \in U \subseteq X$  open nbhd  
 $f: U \rightarrow k$  is regular at  $p$  if  $\exists$  open nbhd  $p \in W \subseteq U$  with

$f = \frac{g}{h}$  on  $W, g, h \in k[X], h(w) \neq 0 \forall w \in W$   
Rmk In fact can assume  $W = D_h$  basic open (if  $f = \frac{g}{h}$ , replace  $D_h$  by  $D_{h \cdot g}$ )

$\theta_X(U) = k$ -algebra of functions  $U \rightarrow k$  regular at all  $p \in U$   
 $\theta_{X,p} = k$ -algebra of germs of functions near  $p$ , regular at  $p$   
 (so pairs  $(U, f)$  with  $p \in U \subseteq X$  open,  $f: U \rightarrow k$  regular at  $p$   
 (and identity  $(U, f) \sim (V, g) \Leftrightarrow f|_W = g|_W$  on some open  $p \in W \subseteq U \cap V$ )

Theorem  $\theta_X(X) \cong k[X] \leftarrow \text{Rmk}$  This theorem is not obvious in C3.4 course.  
 $X = \text{Spec } k[X]$  so by Lemma 1 get  $\theta_X(X) = k[X]$

- $X \subseteq \mathbb{A}^n$  affine variety  
 $f \in R = k[x_1, \dots, x_n]$  polynomial  
 $V(f) = \{f=0\} \subseteq X$  hypersurface  
 $D_f = \{f \neq 0\} \subseteq X$  open, but identifiable  
 with affine variety  $Y = V(zf-1) \subseteq \mathbb{A}^{n+1} (D_f \rightarrow Y, a \mapsto (a, \frac{1}{a}))$   
 and  $k[Y] = k[X] / (zf-1) \cong k[X]_f$

fact  $\theta_X(D_f) \cong k[X]_f$   
 $\theta_{X,p} \cong k[X]_{m_p}$  ← where  $m_p = \mathbb{I}(p) = \{f \in k[X] : f(p) = 0\}$   
 is max ideal corresponding to  $p$ .  
 $m_{X,p} = m_p \cdot k[X]_{m_p} =$  germs of functions near  $p$  vanishing at  $p$   
 $k(p) = \theta_{X,p} / m_{X,p} \cong k, \frac{g}{h} \mapsto \frac{g(p)}{h(p)}$

1.13 Morphisms between Specs

$\varphi: R \rightarrow S$  hom of rings  $\Rightarrow \text{Spec } \varphi: \text{Spec } S \rightarrow \text{Spec } R$   
 $p \mapsto \varphi^{-1}(p)$

Example  $\varphi: R \rightarrow R_f, r \mapsto \frac{r}{f}$  localisation  
 $\text{Spec } R \leftarrow \text{Spec } R_f$  is an inclusion with image  $= D_f$ .

$\alpha = \text{Spec } \varphi: Y \rightarrow X, p \mapsto \varphi^{-1}(p)$

Lemma  $\alpha^{-1}(D_f) = D_{\varphi(f)}$   
 $\text{Pf } \alpha^{-1}\{q \in X : f \notin q\} = \{p \in Y : \varphi^{-1}(p) = q \text{ some } q \in X, f \notin \varphi^{-1}(p)\}$   
 $= \{p \in Y : \varphi(f) \notin p\}. \square$

Claim  $\exists \varphi^\#: \theta_X \rightarrow \alpha_* \theta_Y$  such that  $\varphi^\#: \theta_X(X) = R \xrightarrow{\varphi} S = \alpha_* \theta_Y(X)$

Pf Enough to build  $\varphi^\#$  on basic opens, compatibly with restrictions

$\varphi^\#: \theta_X(D_f) \rightarrow \alpha_* \theta_Y(D_f) = \theta_Y(\alpha^{-1}D_f) = \theta_Y(D_{\varphi(f)})$   
 $R_f \xrightarrow{\text{natural hom}} S_{\varphi(f)}$   
 $\xrightarrow{\frac{f}{f^n}} \xrightarrow{\frac{\varphi(f)}{\varphi(f)^n}} \xrightarrow{\frac{\varphi(f)}{\varphi(f)^n}}$

Claim  $\theta_{X,p}$  is local and  $\varphi^\#$  is local

Pf Lemma 2:  $\theta_{X,p} \cong R_p$  so local with max ideal  $m_p = p \cdot R_p$ .

For  $p \in Y, \varphi^\# : \theta_{X,p} \rightarrow \theta_{Y,p} \rightarrow S_p$ .  
 $R_{\varphi^{-1}p} \rightarrow S_p$ .  
 $\square$   $t \notin \varphi^{-1}p$  so  $\varphi(t) \notin p$   
 hence: natural map:  $\frac{f}{t} \mapsto \frac{\varphi(f)}{\varphi(t)}$

Theorem (ring  $R$ )  $\rightarrow$  locally ringed space  $(\text{Spec } R, \theta_{\text{Spec } R})$   
 (ring hom  $R \xrightarrow{\varphi} S$ )  $\rightarrow$   $(\text{Spec } \varphi, \varphi^\#): (\text{Spec } S, \theta_{\text{Spec } S}) \rightarrow (\text{Spec } R, \theta_{\text{Spec } R})$   
 Contravariant functor  $\text{Spec}: \text{Rings} \rightarrow \text{Locally Ringed Spaces}$  (easy to check)

Claim The functor is fully faithful ← i.e. surj & inj. (so iso on morphism spaces)  
Pf Given a hom of loc. ringed spaces  $(f, f^\#): (Y, \theta_Y) \rightarrow (X, \theta_X)$   
 $X = \text{Spec } R, Y = \text{Spec } S$

Let  $\varphi := f^\# : R \cong \theta_X(X) \xrightarrow{f^\#} \theta_Y(X) = \theta_Y(Y) \cong S$  ring hom.  
 $R_{f,p} \cong \theta_{X,p} \xrightarrow{f^\#} \theta_{Y,p} \cong S_p \supseteq m_p = p \cdot S_p$   
 $\Rightarrow \varphi^{-1}(p) = \varphi^{-1}(p_p^{-1}(m_p)) = \underbrace{p_p^{-1}(f^\#^{-1}(m_p))}_{m_{f,p}} = f(p)$   
 diagram  $m_{f,p}$  since  $f^\#$  local ring hom.



## 2. GLOBAL SECTIONS AND THE FUNCTOR OF POINTS

### 2.0 Points of SpecR (not necessarily closed)

$$R \xrightarrow{\text{loc}} R_p \xrightarrow{\text{quotient}} K(p) = R_p / \mathfrak{m}_p \hookrightarrow \text{Spec } K(p) \hookrightarrow \text{Spec } R \hookrightarrow \text{Spec } R$$

$$\text{loc}^{-1}(\mathfrak{m}_p) = \mathfrak{p} \leftarrow \text{p.R.p} = \mathfrak{m}_p \leftarrow (0) \quad \{0\} \quad (0) \hookrightarrow \mathfrak{m}_p \hookrightarrow \text{p} \hookrightarrow \text{Spec } R$$

So points of SpecR correspond to the max ideals in the local rings.

### 2.1 Global sections and basic open sets for locally ringed spaces

$(X, \mathcal{O}_X)$  locally ringed space  $\Gamma(\cdot, \mathcal{O}_X) : \text{Top}(X)^{\text{op}} \rightarrow \text{Rings}$ ,  $U \xrightarrow{\Gamma} \mathcal{O}_X(U)$   
 sections functor  $\downarrow \text{restrict}$   
 $V \xrightarrow{\Gamma} \mathcal{O}_X(V)$

global sections functor: Locally Ringed Spaces  $\text{op} \rightarrow \text{Rings}$ ,  $(X, \mathcal{O}_X) \mapsto \Gamma(X, \mathcal{O}_X) = \mathcal{O}_X(X)$   
 $\exists$  canonical map  $X \rightarrow \text{Spec } \mathcal{O}_X(X)$ ,  $x \mapsto \text{res}_x^{-1}(\mathfrak{m}_{x,x})$  where  $\text{res}_x: \mathcal{O}_X(x) \rightarrow \mathcal{O}_{x,x}$  restricts.

**Trick**  $f \in \mathcal{O}_X(X)$  then  $f_x \in \mathcal{O}_{X,x}$  invertible  $\Leftrightarrow f(x) \neq 0 \in K(x) = \mathcal{O}_{X,x} / \mathfrak{m}_x$   
 Pf  $f_x \in \mathcal{O}_{X,x} \setminus \mathfrak{m}_x = \{\text{invertibles of } \mathcal{O}_{X,x}\} \Leftrightarrow f_x \notin \mathfrak{m}_x \square$   
 $\uparrow$  image of  $f$  via  $\mathcal{O}_X(x) \rightarrow \mathcal{O}_{X,x} \rightarrow K(x)$   
 $f \mapsto f_x \mapsto f(x)$

**Lemma**  $f \in \mathcal{O}_X(X) \Rightarrow D_f = \{x \in X : f(x) \neq 0 \in K(x)\} \Leftrightarrow f \notin \mathfrak{m}_x \Leftrightarrow (f_x \in \mathcal{O}_{X,x} \setminus \mathfrak{m}_x)$  is open in  $X$ .

Pf Trick  $\Rightarrow \exists g \in \mathcal{O}_{X,x} : f \cdot g = 1$  so  $\exists$  open  $z \in U \subseteq X$  s.t.  $f \cdot g \in \mathcal{O}_z(U)$ ,  $f \cdot g = 1 \in \mathcal{O}_z(U)$   
 $\Rightarrow x \in U \subseteq D_f$  since  $\forall y \in U, f_y \cdot g_y = (f \cdot g)_y = 1 \in \mathcal{O}_{y,y}$  so  $f_y \in \{\text{invertibles of } \mathcal{O}_{y,y}\}$  so  $f(y) \neq 0$ , so  $y \in D_f \square$

**Lemma**  $f|_{D_f} \in \mathcal{O}_X(D_f)$  is invertible  
 Pf Lemma  $\Rightarrow f$  is locally invertible. If  $f \cdot h = 1$  on  $U$  then  $h = g$  on  $U \cap V$ . So can globalize.  $\square$   
 uniqueness of inverses ( $h = h' \Rightarrow h \cdot g = h' \cdot g = 1 \Rightarrow h = h'$ )

2.2 What it means to be affine  
 $\leftarrow$  locally ringed space  
 $(X, \mathcal{O}_X)$  affine  $\Leftrightarrow \exists$  ring  $R : \exists X \xrightarrow{\alpha} Y = \text{Spec } R$  homeomorph, and  $\exists \mathcal{O}_Y \xrightarrow{\cong} \alpha_* \mathcal{O}_X$

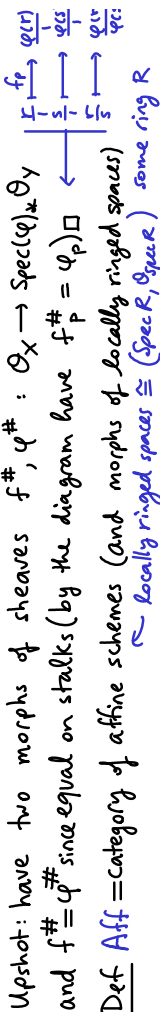
But  $\mathcal{O}_Y(Y) = R$  so  $R \xrightarrow{\cong} \mathcal{O}_X(X)$  so  $\text{Spec } \mathcal{O}_X(X) \cong Y$ .  
 $\mathcal{O}_X(X) \xrightarrow{\cong} \mathcal{O}_X(X) \xrightarrow{R \cong \alpha(X)} \mathcal{O}_X(X) \xrightarrow{\cong} \text{res}_x^{-1}(\mathfrak{m}_x) \subseteq \mathcal{O}_X(x)$   
 $\mathcal{O}_Y, \alpha(x) = R \xrightarrow{\mathcal{O}_x} \mathcal{O}_{x,x} \xrightarrow{\alpha(x)} R_{\alpha(x)} \rightarrow \mathcal{O}_{x,x}$  so  $X \xrightarrow{\text{canonical}} \text{Spec } \mathcal{O}_X(X) \xrightarrow{\cong} Y$

So a locally ringed space  $(X, \mathcal{O}_X)$  is affine precisely if:  
 • the canonical map  $X \rightarrow \text{Spec } \Gamma(X, \mathcal{O}_X)$  is homeomorph  
 •  $\mathcal{O}_X(D_f) \cong (\Gamma(X, \mathcal{O}_X))_f \forall f \in \Gamma(X, \mathcal{O}_X)$  and restrictions are localizations  $\leftarrow$  (by Sec. 1.12)

### 2.3 Functor of points

MOTIVATION  $Y$  set, you recover set  $Y$  from  $\text{Mor}(\text{point}, Y)$   
 $Y$  group, " " set " "  
 $Y$  group, " " set " "

$\Rightarrow f(p) = \varphi^{-1}(p)$  so  $f = \text{Spec}(\varphi)$  is the map on Specs induced by  $\varphi: R \rightarrow S$ .



$\Rightarrow \text{Spec} : \text{Rings}^{\text{op}} \rightarrow \text{Aff}$  is an equivalence of categories.

( $\text{op} =$  opposite category = reverse arrows so artificially make Spec covariant)  
 1.14 Closed affine subschemes  
 $X = \text{Spec } R, I \subseteq R$  ideal  
 $Y = V(I) \cong \text{Spec}(R/I)$  are called closed (affine) subschemes of  $X$   
 $(\mathfrak{p} \subseteq R \text{ prime} \supseteq I) \mapsto \mathfrak{p}/I \subseteq R/I$

Example  $I = \mathfrak{m}$  Max ideal  $\Rightarrow$  get a closed point  $\{\mathfrak{m}\} = \text{Spec } R/\mathfrak{m} \hookrightarrow X$ .  
**Rmk**  $\text{Spec}(R/\mathfrak{J})$  is closed subscheme of  $\text{Spec}(R/I)$  means  $\mathfrak{J} \supseteq I$   
 Def  $\text{Spec } R/I \cap \text{Spec } R/\mathfrak{J} = \text{Spec}(R/I + \mathfrak{J})$ ,  $\text{Spec } R/I \cup \text{Spec } R/\mathfrak{J} = \text{Spec } R/\mathfrak{I} \cap \mathfrak{J}$

Define sheaf of ideals  $\mathfrak{J} = \mathfrak{J}_{x/y}$  on  $X$ :  
 (also: ideal sheaf)  $\mathfrak{J}(D_f) = I \cdot R_f \subseteq R_f = \mathcal{O}_X(D_f)$  ideal  
 Notice  $\mathcal{O}_Y(D_f) = (R/I)_f \cong R_f/I \cdot R_f = \mathcal{O}_X(D_f)/\mathfrak{J}(D_f)$

$$\begin{array}{c} \mathfrak{J} = \text{Ker}(\mathcal{O}_X \rightarrow j_* \mathcal{O}_Y) \\ \mathcal{O}_Y = \mathcal{O}_X/\mathfrak{J} \end{array} \leftarrow \text{where } j: Y \rightarrow X \text{ inclusion.}$$

$\leftarrow$  more precisely this is  $j_{*} \mathcal{O}_Y$

Def A sheaf of ideals on  $X = \text{Spec } R$  is quasi-coherent if it arises as  $\mathfrak{J}$  as above, some ideal  $I$ .  
**Rmk** Later will consider more generally sheaves of  $R$ -modules and quasi-coherent

### 1.15 Closed subschemes

$(X, \mathcal{O}_X)$  scheme, sheaf of ideals  $\mathfrak{J}$  means  $\mathfrak{J}(U) \subseteq \mathcal{O}_X(U)$  ideal compatibly with restriction  
 Quasi-coherent means:  $\forall$  affine open  $U, \mathfrak{J}|_U$  is quasi-coherent.  
 closed subscheme means  $\cdot Y \subseteq X$  closed topological space

$\cdot \mathcal{O}_Y = \mathcal{O}_X/\mathfrak{J}$  some quasi-coherent sheaf of ideals  $\mathfrak{J}$  on  $X$   
 s.t.  $Y \cap (\text{affine open } U) \subseteq U$  is closed affine subscheme for the ideal  $\mathfrak{J}(U) \subseteq \mathcal{O}_X(U)$

**Rmk**  $\exists$  1:1 correspondence  $\{\text{closed subschemes of } X\} \leftrightarrow \{\text{quasi-coh. sheaves of ideals on } X\}$   
 Can recover  $Y \subseteq X$  from  $\mathfrak{J}$  from the support of  $\mathcal{O}_X/\mathfrak{J} : \leftarrow$  if  $I \subseteq \mathfrak{p} \subseteq R$  then  $\mathfrak{p} \in \text{supp}(I)$  since  $I \not\subseteq \mathfrak{p}$   
 $Y = \text{supp } \mathcal{O}_X/\mathfrak{J} = \{x \in X : (\mathcal{O}_X/\mathfrak{J})_x \neq 0\} = \{x \in X : \mathfrak{J}_x \neq \mathcal{O}_{X,x}\}$

Example closed point  $p \in X$  (so  $\overline{\{p\}} = \{p\}$ )  $\Rightarrow$  pick affine  $p \in \text{Spec } R \xrightarrow{\cong} X$  then  $p \Leftrightarrow (\text{max}) \subseteq \mathfrak{m} \Rightarrow$  sheaf  $\mathfrak{J}$  on  $\text{Spec } R \Rightarrow$  extend  $\mathfrak{J}$  to  $X$  by  $\mathfrak{J}(V) = \mathcal{O}_X(V)$  if  $p \notin V$  (so  $\mathcal{O}_Y(V) = 0$ )

Functor of points  $h_Y : \text{Sch}^{\text{op}} \rightarrow \text{Sets}$ ,  $h_Y(X) = \text{Mor}(X, Y)$   
 $\rightarrow$  on morphs:  $h_Y(X \xleftarrow{f} Z) = (\text{Mor}(X, Y) \xrightarrow{\circ f} \text{Mor}(Z, Y))$

MOTIVATION

$Y = \text{Spec } \mathbb{Z}[x]/(x^2+1)$ .  $\mathbb{C}$ -valued points of  $Y$ ?  
 $\mathbb{Z}[x]/(x^2+1) \rightarrow \mathbb{C}, x \mapsto i \Rightarrow$  morph  $X = \text{Spec } \mathbb{C} \rightarrow Y$  so  $i \in h_Y(X)$  (often write  $Y(\mathbb{C})$ )

**Yoneda Lemma**  $\text{Nat}(h_Y, F) \cong F(Y)$   
 Take image of  $\text{id}_Y \in \text{Mor}(Y, Y) = h_Y(Y)$  given  $F(Y)$   
 Conversely given  $\alpha \in F(Y)$ ,  $\varphi \in h_Y(X)$  get  $F(\varphi)(\alpha) \in F(X)$

**Yoneda embedding**  $h_Y : \text{Sch} \rightarrow \text{Sets}$   
 is fully faithful  
 (Sets, Sch<sup>op</sup> = category: {obj are functors Sch<sup>op</sup> → Sets, morph are natural transformations})

Can now ask which functors  $\text{Sch}^{\text{op}} \rightarrow \text{Sets}$  are  $\cong h_Y$ , i.e. represented by a scheme  
 Example Will show that  $\mathbb{A}^n = \text{Spec } \mathbb{Z}[x_1, \dots, x_n]$  represents "tell me who your friends are and I will tell you who you are"  
 Sch<sup>op</sup> → Sets,  $X \mapsto \{\text{morphs } \bigoplus_{i=1}^n \theta_X \rightarrow \theta_X \text{ which are } \theta_X\text{-linear}\}$

**Example 1**  
 $Y$  affine  $\Rightarrow \text{Mor}(X, \text{Spec } R) \rightarrow \text{Hom}(R, \Gamma(X, \mathcal{O}_X))$  bijective  
 $= \text{Spec } R \xrightarrow{g} Y$   
 $\Rightarrow$  Spec & global sec. are adjoint functors

**KEY EXAMPLE**  
 $Y = \mathbb{A}^1 = \text{Spec } \mathbb{Z}[x]$   
 $\downarrow$   
 $\text{Mor}(X, \mathbb{A}^1) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } \mathbb{Z}[x])$   
 $\downarrow$   
 $\theta_X(X)$   
 (since  $\mathbb{Z}[x] \rightarrow \theta_X(X)$  determined by image of  $x$ )

**Cor 1**  
 $(X, \mathcal{O}_X)$  scheme  $\Rightarrow$  canonical morph  $X \rightarrow \text{Spec } \Gamma(X, \mathcal{O}_X)$

**Example 1**  
 for  $R = \mathbb{Z}[x]$  (and  $\text{id}: R \rightarrow R$ )  
 $\text{Mor}(X, \mathbb{A}^1) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } \mathbb{Z}[x]) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \mathbb{A}^1)$

op = opposite category  
 = reverse arrows  
 Think: "X-valued points of Y"

$\text{Mor}(X, Y) \xrightarrow{\circ f} \text{Mor}(Z, Y)$

$\text{Nat}(h_Y, F) \cong F(Y)$

$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } \mathbb{Z}[x])$

$\text{Mor}(X, \text{Spec } R) = \text{Mor}_{\text{Spec } R}(\text{Spec } R, X)$

$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(R, \Gamma(X, \mathcal{O}_X))$

$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } R)$

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$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } R)$

**Example 2**  
 $X = \text{Spec } R$   
 $m \subseteq R = \text{local ring}$   
 $\Rightarrow \{f \in \text{Mor}(\text{Spec } R, Y) \mid f(m) = y\} \xrightarrow{\cong} \text{Hom}_{\text{local rings}}(\theta_{Y, y}, R)$

$\text{Spec } R \xrightarrow{f} Y$   
 $\downarrow$   
 $m \mapsto y$

$R = \theta_{\text{Spec } R, m}$   
 $\xleftarrow{f^*} \theta_{Y, y}$  local hom. of rings  
 (if  $m \in U \subseteq \text{Spec } R$  open then  $U = \text{Spec } R$ , since  $\text{Spec } R \cup \text{closed}$  so if  $\neq \emptyset$  then would find another max ideal)

**Affine case**  $Y = \text{Spec } S$   
 $\varphi: S_y \rightarrow R \Rightarrow S \xrightarrow{\varphi} R \Rightarrow \text{Spec } R \rightarrow \text{Spec } S = Y$   
 $\varphi^{-1}(m) = y \cdot S_y \xrightarrow{m} (\text{preimage of } \varphi^{-1}(m) = y)$

**General case**  
 $Y \in U \subseteq Y$  open affine, then  $\theta_{U, y} = \theta_{Y, y} \xrightarrow{\varphi} R$  gives  $\text{Spec } R \rightarrow U \in Y$   
 uniqueness: suppose  $f: \text{Spec } R \rightarrow Y$  gives same  $\varphi$   
 $m \mapsto y$

pick  $y \in V \subseteq Y$  affine open  $\Rightarrow f^{-1}(V)$  open  $\ni m = (\text{unique closed point of Spec } R) \Rightarrow f^{-1}(V) = \text{Spec } R$   
 (exercise 6 in 1., so trick)  
 so  $f: \text{Spec } R \rightarrow V \subseteq Y$  so reduce to affine case.  $\square$

**Cor 2**  $x \in X \Rightarrow \exists$  canonical morph  $\text{Spec } \theta_{X, x} \rightarrow X$   
 (By Example 2 for  $\text{id}: \theta_{X, x} \rightarrow \theta_{X, x}$ )  
 Any  $\text{Spec } R \rightarrow X$  factors as  $\text{Spec } R \rightarrow \text{Spec } \theta_{X, x} \rightarrow X$  some  $x \in X$   
 induced by a local ring hom

Any  $f: X \rightarrow Y$  of schemes get  $\text{Spec } \theta_{X, x} \rightarrow X \xrightarrow{f} Y$   
 $\downarrow$   
 $\text{Spec } \theta_{X, x} \rightarrow \text{Spec } \theta_{Y, y}$   
 induced by  $f_x^*$

Case  $X = \text{Spec } k$  for field  $k$ .  
 A local hom  $R \xrightarrow{\varphi} k = \text{field}$  factors  $R \xrightarrow{\text{quot}} k \rightarrow k$   
 (since  $\ker \varphi = \varphi^{-1}(0) = \mathfrak{m}$ ) (since local hom)

Thus:  $\{f \in \text{Mor}(\text{Spec } k, Y) \mid f(0) = y\} \xrightarrow{\cong} \text{Hom}(k(y), k)$  and any  $\text{Spec } k \rightarrow Y$  factors:  
 $\theta_{Y, y}/\mathfrak{m}_{Y, y} \xrightarrow{\cong} \text{Spec } k \rightarrow \text{Spec } k(y) \rightarrow Y$

**UPSHOT**: Morphs from local rings or fields don't give more information than already know from  $\text{Spec } \theta_{X, x} \rightarrow X$  and  $\text{Spec } k(x) \rightarrow X$ .

$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } R)$

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$\text{Mor}(X, \text{Spec } R) \xrightarrow{\cong} \text{Mor}(X, \text{Spec } R)$

### 3. PROPERTIES OF SCHEMES

#### 3.0 Useful facts from commutative algebra

R ring, M R-mod, SSR multiplicative set

localisation  $S^{-1}M = M \times S / \text{relation } (m, s) \sim (nt, t) \Leftrightarrow u \cdot (tm - sn) = 0$

which is an  $S^{-1}R$ -mod and have R-mod hom  $M \rightarrow S^{-1}M$  localisation map.

Fact  $S^{-1}M \cong M \otimes_R S^{-1}R$  canonically  $\leftarrow$  (via  $\frac{m}{s} \mapsto m \otimes \frac{1}{s}$  and  $\sum \frac{r_i m_i}{s_i} \mapsto \sum m_i \otimes \frac{r_i}{s_i}$ )

Exercise  $\alpha: M \rightarrow N$  hom (of R-mods)  $\Rightarrow \exists$  natural  $S^{-1}\alpha: S^{-1}M \rightarrow S^{-1}N$

Fact Localisation is an exact functor.

Cor  $S^{-1}(M/N) \cong S^{-1}M/S^{-1}N$

Pf apply  $S^{-1}$  to exact sequence  $0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0$

Fact Submods of  $S^{-1}M$  have form  $S^{-1}N$  for submods  $N \subseteq M$  (indeed take  $N = \text{preimage}$  via  $M \rightarrow S^{-1}M$ )

Fact  $S^{-1}M = \varinjlim M_f$  via localisation maps  $M_f \rightarrow M_g$  whenever  $g = fh$  (induced by  $R_f \rightarrow R_g$  via  $M \otimes_R R_f \rightarrow M \otimes_R R_g$ )

(e.g. proof:  $\varinjlim M \otimes_R R_f = M \otimes_R \varinjlim R_f = M \otimes_R S^{-1}R$ )

#### Local algebra theorem

①  $x \in M: x=0 \Leftrightarrow x_p = 0 \in M_p \forall p \in \text{Spec } R$

②  $M=0 \Leftrightarrow M_p=0 \forall p \in \text{Spec } R$

③  $M \xrightarrow{\alpha} M' \xrightarrow{\beta} M''$  exact  $\Leftrightarrow M_p \xrightarrow{\alpha_p} M'_p \xrightarrow{\beta_p} M''_p$  exact  $\forall p \in \text{Spec } R$

④  $f: M \rightarrow N$  inj.  $\Leftrightarrow f_p: M_p \rightarrow N_p$  inj.  $\forall p \in \text{Spec } R$

" surj. " " "

" iso. " " "

Pf ①  $\text{Ann}(x) = \{r \in R: rx=0\}$  ideal  $\subseteq$  max ideal  $m$  (unless  $x=0$ )

$x_m = 0 \in R_m \Rightarrow \exists r \in R \setminus m$  s.t.  $rx=0 \in R_m$

by ①  $\text{H} := \text{Ker } \beta / \text{Im } \alpha \Rightarrow \text{H}_p \cong (\text{Ker } \beta)_p / (\text{Im } \alpha)_p = \text{Ker } \beta_p / \text{Im } \alpha_p = 0$  now use ③

③ holds since localisation is exact  $(\Rightarrow 0 \rightarrow \text{Ker } \beta \xrightarrow{\text{inj}} M' \xrightarrow{\beta} M'' \rightarrow 0 \text{ exact} \Rightarrow 0 \rightarrow (\text{Ker } \beta)_p \xrightarrow{\text{inj}} M'_p \xrightarrow{\beta_p} M''_p \rightarrow 0 \text{ exact})$

④ by ③  $\leftarrow$  (e.g. inj means  $0 \rightarrow M \rightarrow N$  exact)  $\square$

Rmk  $\text{Spec } R = \cup D_f$  then above results hold  $\Leftrightarrow$  hold when localise at each f

Pf  $x_i = 0 \in M_{f_i} = M \otimes_R R_{f_i} \Rightarrow$  localise further at  $p \in \text{Spec } R_{f_i}: M_f \otimes_{R_{f_i}} R_p = M \otimes_R R_p = M_p$

(Note every  $p \in \text{Spec } R$  is in some  $D_{f_i} = \text{Spec } R_{f_i}$ )

#### 3.1 Noetherian

Recall: ring R is Noetherian  $\Leftrightarrow$  ideals of R are f.g.

Rmk localisation and quotients preserve Noetherian property

Def scheme  $(X, \mathcal{O}_X)$  is Noetherian if quasi-compact and locally Noetherian

Def An affine open (for the ring R) means an open subset  $U \subseteq X$  admitting an isomorphism  $(U, \mathcal{O}_X|_U) \cong (\text{Spec } R, \mathcal{O}_{\text{Spec } R})$  for some ring R.

Claim The following are equivalent definitions for  $(X, \mathcal{O}_X)$  to be locally Noetherian

1) every point has an affine open neighbourhood U with  $\mathcal{O}_X(U)$  Noetherian

2)  $X = \cup U_i$  for open affines  $U_i$  with  $\mathcal{O}_X(U_i)$  Noetherian

3) given any open affine for a ring R, R must be Noetherian

Pf (1)  $\Leftrightarrow$  (2) and (3)  $\Rightarrow$  (1) since schemes are locally affine.

(1) & (2)  $\Rightarrow$  (3): consider  $\text{Spec } R \cong U \subseteq X$

$\forall p \in U, \exists$  affine open  $p \in V = \text{Spec } S$  with S Noetherian (by (1))

$\Rightarrow \exists$  basic open  $p \in D_g \subseteq U$  for  $\text{Spec } S$ , some  $g \in S$

By the USEFUL TRICK,  $\text{WLOG } D_g$  is basic also for  $\text{Spec } R$ , say  $\text{Spec } R_f$ .

Since  $\text{Spec } S_g \cong \text{Spec } R_f$  get  $S_g \cong R_f$  so Noetherian. Get cover for U,

so need: Algebra Lemma  $R_{f_i}$  Noeth.  $\forall i: \{ \} \Rightarrow R$  Noeth.

$\leftarrow$  all  $f_i \triangleright \geq 1$   $\leftarrow$  by "Covering Trick"

Proof  $I \subseteq R$  ideal (aim:  $I$  is f.g.)

$\Rightarrow I_{f_i} := I \cdot R_{f_i} \subseteq R_{f_i}$  ideal, f.g. since  $R_{f_i}$  Noeth., say generators  $g_{ij} = \frac{h_{ij}}{f_i^{n_{ij}}}$

$\Rightarrow \frac{h_{ij}}{f_i^{n_{ij}}} = f_i^{n_{ij}} \cdot g_{ij} \in I$  also generate (since  $\frac{h_{ij}}{f_i^{n_{ij}}} \in R_{f_i}$ )

$\Rightarrow \bigoplus_{ij} R \xrightarrow{\varphi} I, e_{ij} \mapsto h_{ij}$  satisfies  $\varphi_{f_i}$  surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

(since  $\varphi_{f_i}(e_{ij}) = h_{ij}$  generate) use Sec. 2.0

Exercise give an alternative proof of algebra lemma by proving the ACC for R

(Key trick:  $I = \bigcap \varphi_i^{-1}(I_{f_i})$  where  $\varphi_i: R \rightarrow R_{f_i}$  is localisation.)

(You may need the famous Trick:  $\text{Spec } R = D_{f_1} \cup \dots \cup D_{f_n}$  so  $\sum c_i f_i = 1$ )

3.2 Properties that are affine-local

Above we had a property  $\star$  of affine opens ("ring is Noetherian") satisfying

Affine-local conditions

1)  $\text{Spec } R \hookrightarrow X \star \Rightarrow \text{Spec } R_f \hookrightarrow X \star \forall f \in R$

2)  $\text{Spec } R = \cup D_{f_i}, \text{Spec } R_{f_i} \hookrightarrow X \star \Rightarrow \text{Spec } R \hookrightarrow X \star$

so property is preserved by localisation

can globalise from basic affines to affine

f.g. = finitely generated

ascending family of ideals in R stabilise

("ascending chain condition") ACC

$I_1 \subseteq I_2 \subseteq \dots$

$\Rightarrow I_n = I_{n+1} = \dots$  some N

Note:  $\mathcal{O}_X(U) \cong R$

USEFUL TRICK  $R_f \text{ rings}$

$p \in \text{Spec } R \cap \text{Spec } S = Y \subseteq X$

$\Rightarrow \exists$  open  $p \in D_Y$

which is basic for both  $R_f, S_f$

Pf  $\exists$  basic  $p \in D_Y$  for  $R$

$\Rightarrow \exists$  basic  $p \in D_{f_i}$  for  $S$

$\Rightarrow s \in S = \Gamma(\text{Spec } S, \mathcal{O}_X)$

$\downarrow$  restrict

$\downarrow h \in \Gamma(D_{f_i}, \mathcal{O}_X) \cong R_{f_i}$

$\Rightarrow h = \frac{a}{f_i^n}$  so  $(R_{f_i})_p \cong R_p$

$\Rightarrow D_s = \{x \in D_r: s(x) \neq 0\}$

$= \{x \in D_r: h(x) \neq 0\}$

$\text{Spec } S_p = \text{Spec } R_p = D_{r_p}$

say generators  $g_{ij} = \frac{h_{ij}}{f_i^{n_{ij}}}$

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

localisation at f

surjective  $\forall f_i$  so  $\varphi$  surj.  $\square$

use Sec. 2.0

**Non-examinable** (see C3.4 Notes on Lasker-Noether theorem)  
 To recover the scheme  $\text{Spec}(R) = \bigcup \mathbb{V}(q_i)$ ,  $\mathbb{V}(q_i) \not\subseteq \bigcup_{j \neq i} \mathbb{V}(q_j)$   
 need primary decomposition (like "unique factorisation" but for ideals)  
 $\{0\} = q_1 \cap q_2 \cap \dots \cap q_n \cap q_m$  where  $q_i$  are **primary ideals** s.t.  $q_i \not\subseteq q_j$

$q \subseteq R$  primary ideal if zero divisors of  $R/q$  are nilpotent  
 (equivalently:  $ab \in q \Rightarrow a \in q$  or  $b \in q$  or some  $n \in \mathbb{N}$  such that  $a^n, b^n \in q$ )  
**Example**  $p^i$  is primary if  $p$  prime ideal, e.g.  $(3^i) \subseteq \mathbb{Z}$   
**Example**  $(18) = (2 \cdot 3^2) = (2) \cap (3^2) \subseteq \mathbb{Z}$  is primary decomposition.

The  $q_i$  are not unique, but the  $p_i = \sqrt{q_i}$  are unique (up to reordering)  
 (the  $p_i$  are precisely the prime ideals arising as radicals of annihilators of elems of  $R$ )  
 The  $\mathbb{V}(q_i)$  are called **primary components**: not unique as schemes, but are unique topologically.

WLOG  $p_1 = \sqrt{q_1}, \dots, p_n = \sqrt{q_n}$  are as in previous exercise: the **minimal prime ideals**  
 give the isolated components  $\mathbb{V}(q_i)$  (as top subspace  $= \mathbb{V}(p_i)$ : irreducible comp.). These  $q_1, \dots, q_n$  are unique.  
 (so  $\text{Nil}(R) = p_1 \cap \dots \cap p_n$ , which is the primary decomposition for  $R/\text{Nil}(R)$ )  
 (Note  $p_i \supseteq p_j$  some  $i, j$ , so  $\mathbb{V}(p_i) \subseteq \mathbb{V}(p_j) \subseteq \mathbb{V}(q_i)$  are closed subschemes, but  $\mathbb{V}(q_i) \not\subseteq \mathbb{V}(q_j)$  as scheme)  
 Rmk Can apply above to  $R/I$  to get  $\sqrt{I} = p_1 \cap \dots \cap p_n$ ,  $I = q_1 \cap \dots \cap q_n \cap \dots \cap q_m$ , etc.

**Example**  $I = (y^2, xy) \subseteq k[x, y] = R$ ,  $X = \text{Spec}(R/I) = \mathbb{A}^2$   
 $\sqrt{I} = (y)$ ,  $I = (y^2, xy) = (y) \cap (x^2, y^2)$   
 $(y)$  is isolated, irreducible  
 $(x^2, y^2)$  is not minimal.  
 Think: functions vanishing on  $q_2 = (x^2, y^2)$  embedded prim  $\mathbb{V}(q_2) =$  "fattened origin" is embedded  
 $x$ -axis in  $\mathbb{A}^2$ , and "order 2 at 0". notice  $p_2 \supseteq p_1$ , so not minimal.  
 not unique, e.g. could also pick  $(y^2, x)$ .

**3.5 Integral schemes**  
 $(X, \mathcal{O}_X)$  integral if all  $\mathcal{O}_X(U)$  ID  $\leftarrow$  (integral domain = no zero divisors  $\neq 0$ )  
**Hwk 2**  $X$  integral  $\Leftrightarrow \mathcal{O}_X(U)$  ID  $\forall$  affine open  $U$

**Fact** Localisation Direct limits  $\lim_{\rightarrow}$  preserve ID property  
**Cor**  $X$  integral  $\Leftrightarrow \mathcal{O}_{X,x}$  ID (but not  $\Leftarrow$ )  
**Hwk 2**  $X$  integral  $\Leftrightarrow$  reduced and irreducible

**Claim**  $(X, \mathcal{O}_X)$  integral  $\Leftrightarrow R$  integral domain  $\Leftarrow$  **Example** All irreducible affine varieties  $X \subseteq \mathbb{A}^n$   
 $(X, \mathcal{O}_X)$  integral  $\Rightarrow$  restrictions  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$  are injective (for  $V \neq \emptyset$ )  
 $\Rightarrow$  all sections can be compared in  $\mathcal{O}_{X,y} \leftarrow \mathcal{O}_X(U) \leftarrow \mathcal{O}_X(V)$  = generic point

$\bullet K(y) \cong \mathcal{O}_{X,y} \cong \text{Frac } \mathcal{O}_X(U)$  via restriction (any  $U \neq \emptyset$ )  
**Pf**  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V) \rightarrow \mathcal{O}_{X,y}$  so enough show  $s_y = 0 \Rightarrow s = 0$ .  
 If show  $s = 0$  on every open affine  $U \subseteq U$  then  $s_x = 0$  all  $x \in U$  so  $s = 0 \in \mathcal{O}_X(U)$ .  
 $\Rightarrow$  WLOG  $U = \text{Spec } R$ ,  $y = \text{Nil}(R) = \{0\}$  (since  $R$  ID), so  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,y}$  becomes  
 $R \hookrightarrow R_{(0)} = \text{Frac } R$ ,  $r \mapsto \frac{r}{1}$  inj. since  $R$  ID. Thus  $s_y = 0 \Rightarrow s = 0$   $\square$

**Classical Alg. Geometry**  $X \subseteq \mathbb{A}^n$  irred. affine var  $\Rightarrow \mathcal{O}_X(x) \rightarrow \mathcal{O}_X(y) \rightarrow \mathcal{O}_{X,p}$  becomes  
 $k[x_1, \dots, x_n] \subseteq k[x_1, \dots, x_n]_{(f)} \subseteq k[x_1, \dots, x_n]_{(p)}$  (so  $\text{Spec } k[x]$ )

**Claim**  $X = \bigcup \text{Spec } R_i$  each has  $\star \Rightarrow$  every open affine in  $X$  has  $\star$   
**Pf**  $\text{Spec } R = \bigcup_{\text{finite } i} \text{Spec } R_i \Rightarrow D_{f_{ij}} \subseteq \text{Spec } R_i \Rightarrow D_{f_{ij}} \subseteq \text{Spec } R$   
 Examples of  $\star$ : "ring is reduced", "ring is Noetherian", "ring is local", "ring is f.g. B-algebra" (TRICK in 3)

**3.3 Reduced schemes**  
 $(X, \mathcal{O}_X)$  **reduced** if all  $\mathcal{O}_X(U)$  reduced rings (= no nilpotents  $\neq 0$ )  
**Hwk 1** reduced  $\Leftrightarrow$  stalks  $\mathcal{O}_{X,x}$  are reduced  $\Leftarrow$  (so "stalk-local property")  
**Rmk** By 3.2:  $\text{Spec } R$  reduced  $\Leftrightarrow R$  reduced

**Lemma**  $X$  reduced,  $f, g \in \mathcal{O}_X(U)$  take same values  $f(x) = g(x) \in k(x) = \mathcal{O}_{X,x}/\mathfrak{m}_x \Rightarrow f = g$   
**Pf.** Take  $f - g$ , WLOG  $g = 0$ . On affine,  $K(p) \subseteq \text{Frac}(R_p) \subseteq k(x)$  so  $f - g \in \text{Nil}(R) = \{0\}$ .  
 (Don't confuse this with general fact  $\forall$  scheme:  $f_x = g_x \in \mathcal{O}_{X,x} \forall x \in U \Rightarrow f = g \in \mathcal{O}_X(U)$ )  
 (not that strong a condition e.g.  $f, g: \mathbb{C} \rightarrow \mathbb{C}, f(z) = z, g(z) = z + i$  different, but  $f(0) = g(0)$ , spec  $\{0\}$  is a cover, it holds  $\forall$  affine)

**Claim** (not that strong a condition e.g.  $f, g: \mathbb{C} \rightarrow \mathbb{C}, f(z) = z, g(z) = z + i$  different, but  $f(0) = g(0)$ , spec  $\{0\}$  is a cover, it holds  $\forall$  affine)  
 $X$  reduced,  $f, g: X \rightarrow Y, f = g$  as topological maps,  $f = g$  on open dense set  $\Rightarrow f = g$ .  
**Pf.** enough show  $f = g$  locally by sheaf property. WLOG  $Y = \text{Spec } R, X = \text{Spec } S \subseteq \text{Spec } R$  (pick  $S \subseteq R$ )  
 $\varphi := f^\# - g^\#: R \rightarrow S$ : to show  $\varphi$  vanishes it is enough to show  $\varphi(1) \in S$  is zero  $\leftarrow$  (if  $\varphi(1) \in S$  then  $\varphi(s) = s\varphi(1) = 0$ )  
 $\{p \in \text{Spec } S: \varphi(1) \in p\} = \mathbb{V}(s)$  closed & contains an open dense set, hence  $s = 0$  by Lemma 1  
 $\leftarrow$  since  $\{p: \varphi(1) \in p\} = \mathbb{V}(s)$  contains open dense set by assumption

**3.4 Irreducible schemes**  
**Def** Topological space  $X$  is **irreducible** if  $X$  is not a union of 2 proper closed sets:  
 $X = C_1 \cup C_2 \Rightarrow X = C_1$  or  $X = C_2$  (where  $C_i$  closed)  
**Easy exercise** If  $X$  irreducible:  $\bullet$  Any non-empty open  $U \subseteq X$  is dense and irreducible  
 $\bullet$  Any two " "  $U_1, U_2$  have  $U_1 \cup U_2 \neq \emptyset$  (open, dense, irr)

**Recall:**  $\text{Nil}(R) = \text{nilradical}(R) = \{\text{nilpotent elements}\} = \sqrt{(0)} = \bigcap \{p \in \text{Spec } R\}$  (R irr)  
**Hwk 2**  $(X, \mathcal{O}_X)$  irreducible  $\Leftrightarrow$  all affine opens are irreducible  
**Hwk 1**  $\text{Spec } R$  irreducible  $\Leftrightarrow \text{Nil}(R)$  prime ideal  
 $\Leftrightarrow R/\text{Nil}(R)$  integral domain  
 $\Leftrightarrow \exists!$  generic point, namely  $\text{Nil}(R)$   
 $\Leftrightarrow \exists!$  generic point if closure  $\bar{p} = X$  ( $p$  is dense)

**Claim**  $(X, \mathcal{O}_X)$  irreducible  $\Rightarrow \exists!$  generic point  $y$ , and  $y \in$  every affine open  $\neq \emptyset$   
**Pf** affine open  $\neq \emptyset \subseteq U \subseteq X \xrightarrow{\text{exhaustive}} U$  irred.  $\Rightarrow \exists!$  generic pt  $x \in U \Rightarrow \bar{x} \supseteq \bar{U} = X$  ( $\bar{x}$  in  $X$  closed and 2)  
 Suppose  $y \in X$  generic  $\Rightarrow$  if  $y \in X \setminus U$  then  $\bar{y} \subseteq X \setminus U = X \setminus U$  not dense, so  $y \in U$ , so  $y = x$ .

**Hwk 2** irreducible  $\Leftrightarrow$  connected. **Fact**  $\text{Spec } R$  connected  $\Leftrightarrow$  no idempotents  $\neq 0, 1$   
 $\leftarrow$  Classifies connected components of  $\text{Spec } R$  in terms of idempotents  $\leftarrow r \in R$  with  $r^2 = r$   
**Exercise**  $R$  Noetherian  $\Rightarrow \exists!$  sequence of prime ideals  $p_1, \dots, p_n$  (up to reordering)  $\left\{ \bigcap p_i = \text{Nil}(R) \right.$   
 (Same Pf. as in C3.4)  $\leftarrow$  (in fact they are the minimal prime ideals of  $R$ )  $\left\{ p_i \not\subseteq p_j \right.$

$\Rightarrow \exists!$  sequence of irred. closed subsets  $C_i = \mathbb{V}(p_i)$  (up to reordering):  $\text{Spec } R = \bigcup C_i, C_i \not\subseteq C_j$   
 $\leftarrow$  (which as top. subspaces are the irreducible components) as topological spaces  
**Warning:**  $q = (x^2) \subseteq k[x] = R \Rightarrow p = \text{Nil}(R) = (x), C = \text{Spec}(R/q) = \{0\} = \text{Spec}(R/q)$  as top. spaces, not as schemes

$\leftarrow$  so "irredundant!"  
 cant omit  $q_i$

**Rmk**  $p = \sqrt{q}$  is prime ideal ("associated prime ideal") and is smallest prime ideal containing  $q$   
 $\mathbb{V}(q_i) = \mathbb{V}(p_i)$  (as closed sets)

$\leftarrow$  as top space //  $\leftarrow$  as top space  
 //  $\leftarrow$  as top space  
 //  $\leftarrow$  as top space

**non-examinable**  
**fact** if  $X$  is locally Noetherian, connected  
 $X$  integral  $\Leftrightarrow X = \mathbb{V}(S)$  for  $S = \{f, g\}$  integral  
 $R_i$  integral  $\Leftrightarrow R_i = \mathbb{V}(S_i)$  integral  
 reducible: union of two axes  
 $I = (x^2, y^2) \subseteq k[x, y]$   
 $I_1 = (x^2, y) \subseteq I, I_2 = (x, y^2) \subseteq I$

**2 Key Non-Examples**  
 $k[x, y]/(x^2, y^2)$  not reduced  
 $k[x, y]/(x^2, y)$  "fat line"

**Fact**  $X$  integral  $\Leftrightarrow$  reduced and irreducible  
**Claim**  $(X, \mathcal{O}_X)$  integral  $\Leftrightarrow R$  integral domain  $\Leftarrow$  **Example** All irreducible affine varieties  $X \subseteq \mathbb{A}^n$   
 $(X, \mathcal{O}_X)$  integral  $\Rightarrow$  restrictions  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V)$  are injective (for  $V \neq \emptyset$ )  
 $\Rightarrow$  all sections can be compared in  $\mathcal{O}_{X,y} \leftarrow \mathcal{O}_X(U) \leftarrow \mathcal{O}_X(V)$  = generic point

$\bullet K(y) \cong \mathcal{O}_{X,y} \cong \text{Frac } \mathcal{O}_X(U)$  via restriction (any  $U \neq \emptyset$ )  
**Pf**  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_X(V) \rightarrow \mathcal{O}_{X,y}$  so enough show  $s_y = 0 \Rightarrow s = 0$ .  
 If show  $s = 0$  on every open affine  $U \subseteq U$  then  $s_x = 0$  all  $x \in U$  so  $s = 0 \in \mathcal{O}_X(U)$ .  
 $\Rightarrow$  WLOG  $U = \text{Spec } R$ ,  $y = \text{Nil}(R) = \{0\}$  (since  $R$  ID), so  $\mathcal{O}_X(U) \rightarrow \mathcal{O}_{X,y}$  becomes  
 $R \hookrightarrow R_{(0)} = \text{Frac } R$ ,  $r \mapsto \frac{r}{1}$  inj. since  $R$  ID. Thus  $s_y = 0 \Rightarrow s = 0$   $\square$

**Classical Alg. Geometry**  $X \subseteq \mathbb{A}^n$  irred. affine var  $\Rightarrow \mathcal{O}_X(x) \rightarrow \mathcal{O}_X(y) \rightarrow \mathcal{O}_{X,p}$  becomes  
 $k[x_1, \dots, x_n] \subseteq k[x_1, \dots, x_n]_{(f)} \subseteq k[x_1, \dots, x_n]_{(p)}$  (so  $\text{Spec } k[x]$ )

3.6 Properties of morphisms ← all properties we list are preserved when compose such morphism  
 A morph of schemes  $f: X \rightarrow Y$  is: (will suppress  $f^*, \theta_x, \theta_y$  from notation)

- ① affine: equivalent conditions:
  - $f^{-1}$  (affine open) is **affine**
  - $\exists$  affine open cover  $V_i$  of  $Y$ ,  $f^{-1}(V_i)$  **affine**
  - $\forall$  affine open cover  $V_i$  of  $Y$ ,  $f^{-1}(V_i)$  **affine**
- ② quasi-compact: replace **affine** by **quasi-compact**
- ③ locally of finite type:  $\forall$  affine opens  $U \subseteq X, V \subseteq Y$  with  $f(U) \subseteq V$ ,
  - $f^*: \mathcal{O}_Y(V) \rightarrow \mathcal{O}_X(U)$  finite type
  - (meaning:  $\mathcal{O}_Y(V) \xrightarrow{f^*} \mathcal{O}_X(f^{-1}(V)) \xrightarrow{\text{res}} \mathcal{O}_X(U)$ )
  - $\exists$  open affine covers  $Y = \cup V_i, f^{-1}(V_i) = \cup U_{ij}$
  - $f^*: \mathcal{O}_Y(V_i) \rightarrow \mathcal{O}_X(U_{ij})$  finite type
- ④ finite type: ② + ③: quasi-compact & locally finite type
- ⑤ closed immersion: iso onto a closed subscheme.

Explicitly:  $f: X \xrightarrow{\text{homeo}} f(X) \subseteq Y$   
 $f^*: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  surjective (so ideal sheaf  $\mathcal{J} = \ker f^*$ )  
 $\forall$  aff. open  $U = \text{Spec } R \subseteq Y \exists$  ideal  $I \subseteq R$  s.t.  $f^{-1}(U) \cong \text{Spec}(R/I)$   
 $\downarrow$   
 $U \xrightarrow{\text{homeo}} \text{Spec } R$   
 $\exists$  aff. cover  $Y = \cup \text{Spec } R_i$ , ideals  $I_i \subseteq R_i, f^{-1}(\text{Spec } R_i) = \text{Spec}(R_i/I_i)$

Example  $X = Y_{\text{red}} \subseteq Y$  closed subscheme:  $X = Y$  as topological space and (reduction of  $Y$ : it's reduced)  
 sheaf of ideals  $\mathcal{J}(U) = \{s \in \mathcal{O}_Y(U) : s(p) = 0 \forall p \in U\}$  (so  $\theta_x = \theta_{Y/x}$ )  
 Note locally: on  $U = \text{Spec } R, \mathcal{J}(U) = \{s \in R : s \in \mathcal{P} = \text{Nil}(R) = \{0\}\}$ , so locally  $\mathcal{J}$  agrees with  $\text{Nil}(\mathcal{O}_Y)$ , indeed  $\mathcal{J}$  is the sheafification of  $\text{Nil}(\mathcal{O}_Y) \leftarrow \text{need not be sheaf, e.g. } Y = \mathbb{A}^1, Y_0 = \text{Spec}(\mathbb{Z}[p])$   
 $2 \in \mathcal{O}_Y(Y), 2 \notin \text{Nil}(\mathcal{O}_Y(Y))$  but  $2 \in \text{Nil}(\mathcal{O}_Y(Y_0))$ ,  $2 \in \mathcal{J}$   
 open immersion: iso onto an open subscheme  $\leftarrow U \subseteq Y, \theta_U = \theta_Y|_U$   
 Explicitly:  $f: X \xrightarrow{\text{homeo}} f(X) \subseteq Y$  (idea: functions on  $X$  are the same as  $f^*$  locally)  
 $f^*: \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  iso ( $\Leftrightarrow$  iso on stalks  $f_x^*: \mathcal{O}_{Y,x} \rightarrow \mathcal{O}_{X,x}$ )


⑦ flat: all  $\mathcal{O}_{Y,x} \rightarrow \mathcal{O}_{X,x}$  are flat ring homs  
 Not intuitively clear, but ensures that fibers of  $f$  vary in a controlled way:  
 Many invariants of fibers like dimension, do not change unless you "expect" it!  
 It is weaker than saying the fibers are locally iso e.g. it allows two points to collide as very fibro  
Algebra:  $R$ -mod  $M$  is flat if  $M \otimes_R \cdot$  is exact functor on  $R$ -mods  
 $\varphi: R \rightarrow S$  flat ring hom means  $S$  flat  $R$ -mod (using  $r \cdot s = \varphi(r) \cdot s$ )  
 (idea: functions on  $R$ -mods)

Basic facts  
 1)  $M \otimes_R \cdot$  always right exact, so  $M$  flat  $R$ -mod  $\Leftrightarrow N_1 \hookrightarrow N_2$  implies  $M \otimes_R N_1 \hookrightarrow M \otimes_R N_2$   
 Fact Enough to check  $M \otimes_R I \hookrightarrow M \otimes_R R \forall$  f.g. ideal  $I \subseteq R$ .  
 2)  $M$  free  $\Rightarrow M$  flat (Pf.  $M \cong \bigoplus_{i \in I} R \Rightarrow M \otimes_R \cdot \cong \bigoplus_{i \in I} R \otimes_R \cdot \cong \bigoplus_{i \in I} \cdot$ )

- 3) R local,  $M$  finite  $R$ -mod (so  $M = \sum_{\text{finite}} R \cdot m_i$ ):  $M$  flat  $\Leftrightarrow M$  free  
 but  $\theta_{y,x}$  is rarely finite over it
- 4)  $A \rightarrow B$  flat,  $B \rightarrow C$  flat  $\Rightarrow A \rightarrow C$  flat  
 Pf  $N_1 \hookrightarrow N_2$   $A$ -mods  $\Rightarrow B \otimes_A N_1 \hookrightarrow B \otimes_A N_2$   $B$ -mods  $\Rightarrow C \otimes_B B \otimes_A N_1 \hookrightarrow C \otimes_B B \otimes_A N_2$   $C$
- 5)  $A \rightarrow B$  flat  $\Rightarrow A_p \rightarrow B_p = B \otimes_A A_p$  flat  $\forall p \in \text{Spec } A$   
 Pf  $N_1 \hookrightarrow N_2$   $A_p$ -mods  $\Rightarrow N_1 \otimes_{A_p} \rightarrow N_2 \otimes_{A_p}$   $A_p$ -mods (via  $A \rightarrow A_p$ )  $\Rightarrow B \otimes_A N_1 \otimes_{A_p} \rightarrow B \otimes_A N_2 \otimes_{A_p}$   $B \otimes_A A_p$   $N_1 = B \otimes_A A_p$
- 6) Ring hom  $\varphi: A \rightarrow B$ , multiplicative sets  $S \subseteq A, T \subseteq B$  with  $\varphi(S) \subseteq T$ , then localisation  
 $\psi: S^{-1}B = S^{-1}A \otimes_A B \rightarrow T^{-1}B, \frac{\varphi \otimes b}{\varphi(s)} \mapsto \frac{\varphi(a)b}{\varphi(s)}$  factorizes as  $S^{-1}B \xrightarrow{\psi} (S^{-1}B) \xrightarrow{\psi} T^{-1}B$   
 Since isos of rings and localisation are exact functors, get  $\psi$  flat.  
 Example:  $P \subseteq B$  prime ideal,  $q = \varphi^{-1}(P) \subseteq A$  prime ideal,  $S = A \setminus q, T = B \setminus P \Rightarrow B_q = B \otimes_A A_q \rightarrow B_P$  flat

Theorem  $\varphi: A \rightarrow B$  flat ring hom  $\Leftrightarrow \varphi^*: \text{Spec } B \rightarrow \text{Spec } A$  flat  
 Pf  $\Leftarrow$   $A \rightarrow B$  flat  $\Rightarrow A_q \rightarrow B_q$  flat for  $q = \varphi^{-1}(p)$  by (5),  $B_q \rightarrow B_P$  flat by (6)  $\Rightarrow A_q \rightarrow B_P$  flat.  
 $\Leftarrow$  Recall  $\ker(B \otimes_A N_1 \rightarrow B \otimes_A N_2) \neq 0 \Leftrightarrow \ker \psi_p \neq 0 \forall p \in \text{Spec } B$   
 $\ker(N_1 \rightarrow N_2) = 0 \Rightarrow \ker(A_q \otimes_A N_1 \rightarrow A_q \otimes_A N_2) = 0 \Rightarrow \ker(\varphi_p \otimes A_q \otimes N_1 \rightarrow \varphi_p \otimes A_q \otimes N_2) = 0$   
 $\stackrel{\text{flatness}}{\Rightarrow} \ker(\varphi_p \otimes A_q \otimes N_1 \rightarrow \varphi_p \otimes A_q \otimes N_2) = 0 \Rightarrow \ker(\varphi_p \otimes N_1 \rightarrow \varphi_p \otimes N_2) = 0$   
 $\stackrel{\text{localisation}}{\Rightarrow} \ker(N_1 \rightarrow N_2) = 0$

Motivation (see Homework 2 ex.6)  
Flatness  $\Rightarrow$  1-parameter families of schemes have "limits".  
Fact  $B = \text{Spec } k[t]$  (also  $k[[t]]$ )  
 $B^* = B \setminus 0 = \text{Spec } k[t, t^{-1}]$  closed subscheme  
 $X \subseteq \mathbb{A}^2$  closed subscheme  
 $\pi: X \rightarrow B$   
 will define later, here  $\mathbb{A}^2 = \text{Spec } k[t, x_1, \dots, x_n]$   
 $\pi$  flat over 0  $\Leftrightarrow$  fiber  $X_0$  is "limit"  $\lim_{b \rightarrow 0} X_b$   
 $(\lim_{b \rightarrow 0} X_b \text{ means fiber over 0 of closure of } X^* = \pi^{-1}(0^*))$   
 so  $\Leftrightarrow X^* = X$  (see 5.1:  $(B^* \times_B X)$ )  
 defined rigorously later in 5.1 for now  
 $(X_b = \pi^{-1}(b) = \text{Spec } k(b) \times_B X$   
 $= \text{Spec}(k(b) \otimes_{k[t]} R) \text{ if } X = \text{Spec } R$ )

Fact Another nice properties of flat morphs  $f: X \rightarrow B$ , for  $B, X$  locally Noeth.:  
 $\dim_x f^{-1}(b) = \dim_x X - \dim_b B$  where  $b = f(x)$   
 So dimensions of fibers don't "jump" unexpectedly.  
 Geometrical motivation (very loosely)  
 $X_f = \mathbb{V}(xy-t) \subseteq \mathbb{A}^2, X_0 = \mathbb{V}(xy)$   
  
 how many times does a line in  $\mathbb{A}^2$  intersect fiber?  
 $X = \mathbb{V}(xy-t) \subseteq \mathbb{A}^2 = \text{Spec } k[t, x, y]$   
 $\downarrow$   
 $t$   
 $\downarrow$   
 $\mathbb{A}^1 = \text{Spec } k[t]$

if have a family for which intersection number is constant, it may be easy to calculate for a degenerate fiber  
 in such theorems you will almost always see the flatness assumption  
 example:  $\mathbb{A}^2$  has  $\dim = 2$   
 $\{p\} \subseteq \text{line} \subseteq \text{plane}$   
 $\mathbb{Z}_0 \subseteq \mathbb{Z}_1 \subseteq \mathbb{Z}_2$

Remarks about calculating closures of sets in  $X = \text{Spec } R$

1)  $p \in \text{Spec } R \Rightarrow \overline{p} = V(p)$   
 Pf  $p \in V(p) \Rightarrow \overline{p} \subseteq V(p)$  (since  $V(p)$  closed)  
 converse:  $p \in \overline{p} \stackrel{\text{say}}{=} V(I) \Rightarrow I \subseteq p \Rightarrow q \in V(I) \Rightarrow q \in V(p)$   
 Example  $X^* = V_a(p_1, p_2, \dots, p_k) \subseteq \mathbb{A}_B^n$  where  $V_a(\cdot)$  is  $V(\cdot)$  calculated in  $\mathbb{A}_B^n$   
 $\Rightarrow \overline{X^*} = V(p_1) \cup \dots \cup V(p_k) \subseteq \mathbb{A}_B^n$  since  $p_i \in X^* \subseteq \mathbb{A}_B^n$  and  $p_i \in V_a(p_i) \subseteq V(p_i) = \overline{p_i}$   
 $= V(p_1, p_2, \dots, p_k)$

2) For  $\varphi: R \rightarrow S$  ring hom,  $\alpha: \text{Spec } S \rightarrow \text{Spec } R$ ,  $\alpha(p) = \varphi^{-1}p$ :  
 Given  $C = V(I) \subseteq \text{Spec } S$ ,  $\overline{\alpha(C)} = V(\varphi^{-1}I)$

Pf  $J = \sqrt{I} \Rightarrow \varphi^{-1}J = \bigcap_{\substack{I \subseteq P \\ P \in \text{Spec } S}} \varphi^{-1}P = \bigcap_{\substack{I \subseteq P \\ P \in \text{Spec } S}} \varphi^{-1}P$   
 since  $\alpha(C) \subseteq \overline{\alpha(C)} = V(I)$   
 $\varphi^{-1}(p) \in V(\varphi^{-1}I) \Rightarrow \varphi^{-1}I \subseteq p$   
 $\alpha(C) \subseteq V(\varphi^{-1}I) \Rightarrow \varphi^{-1}I \subseteq p$

Example  $S = R_f$  localisation,  $f \in R$ , if  $\varphi: R \hookrightarrow R_f$  injection then  $\varphi^{-1}J = R \cap J$  in  $(S)$   
 e.g.  $X^* = V(I) \subseteq \mathbb{A}_B^n$  for  $B = \text{Spec } R[t]$ ,  $B^* = \text{Spec } R[t, t^{-1}]$   
 so  $\mathbb{A}_B^n = \text{Spec } R[x_1, \dots, x_n, t]$ ,  $\mathbb{A}_{B^*}^n = \text{Spec } R[x_1, \dots, x_n, t^{-1}]$   
 $\Rightarrow \overline{X^*} = V(R[x_1, \dots, x_n, t] \cap J) \subseteq \mathbb{A}_B^n$  is the closure

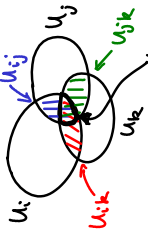
RMK Also know inverse images of closed sets:  $\alpha^{-1}(V(I)) = V(\varphi^{-1}I)$

Pf  $I = \langle f \rangle$ ,  $\text{Spec } R \setminus V(I) = U_{Df}$ ,  
 $U_{D\varphi f} = \alpha^{-1}(U_{Df}) = \alpha^{-1}(\text{Spec } R \setminus V(I)) = \text{Spec } S \setminus \alpha^{-1}V(I)$   
 $\stackrel{(1)}{\Rightarrow} \alpha^{-1}V(I) \Rightarrow \alpha^{-1}V(I) = \text{Spec } S \setminus U_{D\varphi f} = V(\langle \varphi f \rangle) \quad \square$

4. GLUING THEOREMS

4.1 Gluing sheaves

$X = \cup U_i$  open cover, abbreviate  $U_{ij} = U_i \cap U_j$ ,  $U_{ijk} = U_i \cap U_j \cap U_k$   
 $F_i$  sheaf on  $U_i$



$\varphi_{ij}: F_i|_{U_{ij}} \xrightarrow{\sim} F_j|_{U_{ij}}$

Compatibility conditions 1)  $\varphi_{ii} = \text{id}$

2)  $\varphi_{ji} = \varphi_{ij}^{-1}$

3)  $\varphi_{ik}|_{U_{ijk}} = \varphi_{jk} \circ \varphi_{ij}|_{U_{ijk}}$

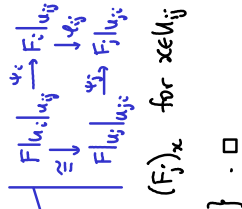
Example  $F$  sheaf on  $X$ ,  $F_i := F|_{U_i}$  (so  $F_i(M) = F|_{U_i}(V) = F(U_i \cap V)$ ,  $\forall \text{ open } V \subseteq U_i$ )  
 $\varphi_{ij} = \text{isos induced by double restrictions (iso of functors } \cdot |_{U_i \cap U_j} \cong \cdot |_{U_j} \cdot |_{U_i} \cdot |_{U_j})$

Theorem  $\exists$ , up to unique iso, a sheaf  $F$  on  $X$  with isos

$\psi_i: F|_{U_i} \xrightarrow{\sim} F_i$

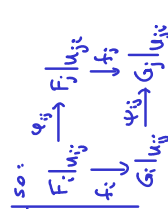
s.t.  $\psi_j^{-1} \circ \varphi_{ij} \circ \psi_i|_{U_{ij}} \cong F|_{U_{ij}}|_{U_{ij}} \cong F|_{U_{ij}}|_{U_{ij}}$   
 is the natural iso  $F|_{U_i}|_{U_{ij}} \cong F|_{U_j}|_{U_{ij}}$

Pf Let  $E = \bigsqcup_{i \in X \in U_i} (F_i)_x$  / equivalence relation  $(F_i)_x \xrightarrow{\sim} (F_j)_x$  for  $x \in U_{ij}$   
 $F(U) = \{s: U \rightarrow E : s \text{ is locally a section of some } F_i\}$  (using conditions)  
 ( $\forall x \in U, \exists i, \exists \text{ open } x \in V_i \subseteq U_i, \exists t \in F_i(V_i), s(x) = t_x \forall y \in V_i$ )



Theorem Given sheaves  $F, G$  constructed as above from local data  $F_i, \varphi_{ij}$  on  $U_i$   
 a morph  $f: F \rightarrow G$  can be uniquely defined from data:

- morphs  $f_i: F_i \rightarrow G_i$
- compatibility condition:  $\psi_j \circ f_i|_{U_{ij}} = f_j \circ \varphi_{ij}|_{U_{ij}}$



s.t. via identifications  $F|_{U_i} \cong F_i$ ,  $G|_{U_i} \cong G_i$  recover  $f|_{U_i} = f_i$

4.2 Gluing schemes

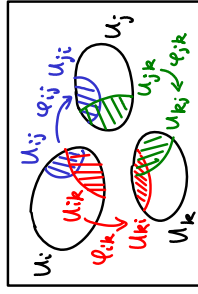
$U_i$  schemes,  $U_{ij} \subseteq U_i$  open subschemes ( $U_{ii} = U_i$ )

$\varphi_{ij}: U_{ij} \xrightarrow{\sim} U_{ji}$  isos  $\leftarrow$  (think "go from  $U_i$  to  $U_j$ ")

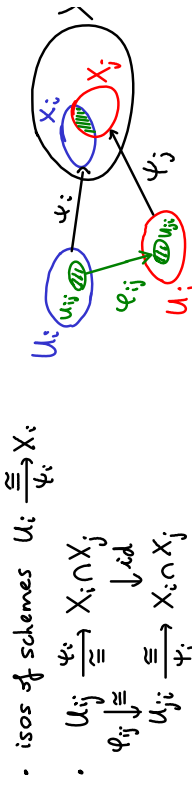
gluing conditions 1)  $\varphi_{ii} = \text{id}$

2)  $\varphi_{ij}(U_{ij} \cap U_{ik}) \subseteq U_{ji} \cap U_{jk}$

3)  $\varphi_{ik} = \varphi_{jk} \circ \varphi_{ij}$  when restricted as maps  $U_{ij} \cap U_{ik} \rightarrow U_k$



Example if  $U_i \subseteq X$  open subschemes, can take  $U_{ij} = U_i \cap U_j \subseteq X$  with  $\varphi_{ij} = \text{id}$   
Claim (exercise)  $\exists$  unique (up to iso) scheme  $X$  with open cover  $X = \cup U_i$



• isos of schemes  $U_i \xrightarrow{\varphi_i} X_i$   
 $U_{ij} \xrightarrow{\varphi_{ij}} X_i \cap X_j$   
 $U_{ij} \xrightarrow{\varphi_{ji}} X_j \cap X_i$   
Giving Lemma Suppose we built  $X$  as above  
 $\Rightarrow f: X \rightarrow Y$  morph can be uniquely defined from morphs  $f_i: X_i \rightarrow Y$  s.t.  
 compatibility condition:  $X_i \cap X_j \xrightarrow{\text{id}} X_i \xrightarrow{f_i} Y$  and  $X_i \cap X_j \xrightarrow{\text{id}} X_j \xrightarrow{f_j} Y$

Pf Continuous map:  $f: X \rightarrow Y$  defined by  $f|_{X_i} = f_i$  (compatibly)  
 on sheaves need  $f^{-1}\theta_Y \rightarrow \theta_X \leftarrow$  (recall get  $\theta_Y \rightarrow f_*\theta_X$  by adjunction)  
 $(f^{-1}\theta_Y)|_{X_i} = f_i^{-1}\theta_Y = f_i^{-1}\theta_Y \leftarrow (X_i \xrightarrow{\varphi_i} X \text{ inclusion, then } \varphi_i^* f^{-1}\theta_Y = (f \circ \varphi_i)^* \theta_Y)$   
 $f_i^* \in \text{Mor}(\theta_Y, (f_i)_*\theta_{X_i}) \cong \text{Mor}(f_i^*\theta_Y, \theta_{X_i})$  and  $\theta_{X_i} = \theta_X|_{X_i}$  since open subs  
 Finally we can glue the  $f_i^*: f_i^*\theta_Y \rightarrow \theta_{X_i}$  by  $\oplus$  to get  $f^{-1}\theta_Y \rightarrow \theta_X$ .

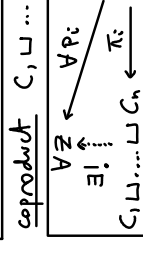
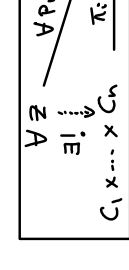
Consequence  $h_{Y|\text{Top}(X)^{\text{op}}} : \text{Top}(X)^{\text{op}} \rightarrow \text{Sets}$   
 $U \mapsto h_Y(U) = \text{Mor}(U, Y)$  is a sheaf of sets.

4.3 Affine space by gluing (see Homework for projective space)  
 Affine n-space over Spec R:  $\mathbb{A}_R^n = \text{Spec } R[x_1, \dots, x_n]$  ( $=: \mathbb{A}_{\text{Spec } R}^n$ )  
 $\text{Ring } R \rightarrow S$  ring hom  $\Rightarrow$  hom on poly  $\Rightarrow \mathbb{A}_S^n \rightarrow \mathbb{A}_R^n$   
Example  $R \rightarrow R_f \Rightarrow \mathbb{A}_R^n \rightarrow \mathbb{A}_R^n$  is the basic open set of  $\mathbb{A}_R^n$  for  $f \in R \setminus \{0\}$   
 If  $U \subseteq \text{Spec } R$  open  $\Rightarrow U = \cup D_{f_i} \Rightarrow \mathbb{A}_U^n = \cup \mathbb{A}_{f_i}^n \subseteq \mathbb{A}_R^n$  (glued along open subs.  $\leftarrow \text{Spec } R_{f_i} = D_{f_i} \cap D_{f_j}$ )  
 $X$  scheme, affine n-space over  $X: \mathbb{A}_X^n = \cup \mathbb{A}_{X_i}^n$  where  $X = \cup U_i$  affine open cover  
 (note  $\mathbb{A}_X^n = \cup \mathbb{A}_{X_i \cap X_j}^n$ , then identify these copies, open in affine)

Claim  $\mathbb{A}^n = \text{Spec } \mathbb{Z}[x_0, \dots, x_n]$  represents functor  $F: \text{Sch}^{\text{op}} \rightarrow \text{Sets}$ ,  $X \mapsto \{ \text{Morphs } \mathbb{A}^n \rightarrow X \text{ s.t. } \forall U, \theta_{(U)} \rightarrow \theta_X(U) \text{ is hom of } \theta_X(U) \text{-mod} \}$   
Pf  $F|_{\text{Top}(X)^{\text{op}}}$  is a sheaf of sets (easy to check: can glue morphs since  $\theta_X$  sheaf)  
 $h_{\mathbb{A}^n|\text{Top}(X)^{\text{op}}}$  by consequence above. Thus if the two functors agree on affines then by sheaf property they agree everywhere. For affine  $X = \text{Spec } R$  just need compare global sections  
 $F(\text{Spec } R) = \text{Hom}_R(R^n, R)$   
 $h_{\mathbb{A}^n}(\text{Spec } R) = \text{Mor}(\text{Spec } R, \mathbb{A}^n) \cong \text{Hom}(\mathbb{Z}[x_0, \dots, x_n], R)$  in both cases just need specifying  $\{e_i = (0, \dots, 1, 0, \dots)\}$  where generators go  $\{e_i\}$

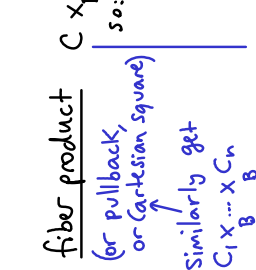
5. PRODUCTS

5.0 Products in category theory  
 Category theory:  $\mathcal{C}$  Cat.,  $C_i \in \mathcal{C}$   
 product  $C_1, \dots, C_n$  (if exists) is an object with morphs  $\pi_i$  to  $C_i$  s.t.



Examples Sets / Top.spaces:  $X =$  product,  $\pi_i =$  projections,  $\cup =$  disjoint union,  $\prod_i$  are inclusions.  
 Vector spaces/abelian gps/modules:  $\cup =$  direct sum,  $\prod_i$  are inclusions.  
 Rings:  $\cup =$  tensor product,  $\prod_i(r) = \prod_i \otimes \Gamma \otimes \dots$

Fix  $B \in \mathcal{C}$  ("base")  
 Category of B-objects:  $\mathcal{C}/B$   
 obj: morphs  $C \rightarrow B$ , morphs:  $\mathcal{C}/B$



fiber product  $C \times_B D$  is the product in  $\mathcal{C}/B$  of  $C \rightarrow B, D \rightarrow B$  (if exists)  
 (or pullback, or Cartesian square)  
 so:  $\forall Z \dots \exists!$   
 Similarly get  $C_1 \times_B \dots \times_B C_n$

Example for Sets or Top.spaces:  $C \times_B D = \{ (c, d) \in C \times D : f(c) = g(d) \in B \}$   
 for example if  $f, g$  are inclusions of subsets (subspaces) then  $C \times_B D = C \cap D$   
Pushout The opposite diagram (reverse arrows)  
Example: for Rings the pushout of  $B \rightarrow C, B \rightarrow D$  is the tensor product  $C \otimes_B D$  sec. 4.2  
Exercise:  $B \rightarrow C, B \rightarrow D$  inclusions of open subschemes, then pushout  $C \sqcup_B D$  is the gluing!  
Exercise: (co)product, fiber product, pushout are Unique up to Unique iso if they exist.  
 (Hint: compose unique maps between them (s.t. diagram commutes) then composites = id by uniqueness of self-map)  
Examples of fiber products in cat. of Sets or Top.spaces:  $C \times_B D = \{ (c, d) : f(c) = g(d) \} \subseteq C \times D$   
 $B = \text{point} \Rightarrow C \times_B D = C \times D$   
 $C \xrightarrow{f} B, D \xrightarrow{g} B \Rightarrow C \times_B D \cong C \cap D$   
 $D \xrightarrow{f} B \Rightarrow C \times_B D \cong f^{-1}(D) \subseteq C$  for example  $D = \text{point} = b \in B$  get fiber  $f^{-1}(b)$   
 $C = D \Rightarrow C \times_B D = \{ (x, y) : f(x) = g(y) \} \subseteq C \times D$  ("equaliser")

Yoneda / functor of points interpretation:  $\leftarrow$  product of sets  
 $F: \mathcal{C}^{\text{op}} \rightarrow \text{Sets}, F(Z) = \text{Mor}_{\mathcal{C}^{\text{op}}}(C_i, Z) = \prod h_{C_i}(Z)$   
 Is it representable? if so, call the object  $\prod C_i, h_{\prod C_i} \cong F = \prod h_{C_i}$   
 Explicitly:  $(p_i) \in \prod h_{C_i}(Z)$  gives unique  $\in h_{\prod C_i}(Z) = \text{Mor}(Z, \prod C_i)$   
 Why  $\exists$  maps  $\pi_j: \exists$  projections of sets  $h_{\prod C_i} \cong \prod h_{C_i} \Rightarrow \text{Mor}(Z, \prod C_i) \rightarrow h_{C_j}(Z)$   
 but  $\text{Mor}(h_{\prod C_i}, h_{C_j}) \cong \text{Mor}(\prod C_i, C_j) \ni \pi_j$ .

IMPORTANT EXAMPLES:  
 All schemes  $X$  have canonical  $X \rightarrow \text{Spec } \mathbb{Z}$  by giving canonical maps on affines:  
 $\text{Spec } R \rightarrow \text{Spec } \mathbb{Z}$  from  $\mathbb{Z} \rightarrow R, 1 \mapsto 1$   
 Schemes over field  $k$  means have  $X \rightarrow \text{Spec } k$ , same as saying all  $\theta_X(U)$  are  $k$ -algebras and restrictions are  $k$ -alg-homs

Functor of points interpretation:  
 $\text{Hom}(Z, C \times_B D) \cong \text{Hom}(Z, C) \times_{\text{Hom}(Z, B)} \text{Hom}(Z, D)$   
 So we are asking whether  $h_C \times_B h_D$  is representable

Examples of fiber products in cat. of Sets or Top.spaces:  $C \times_B D = \{ (c, d) : f(c) = g(d) \} \subseteq C \times D$   
 for example if  $f, g$  are inclusions of subsets (subspaces) then  $C \times_B D = C \cap D$

Example: for Rings the pushout of  $B \rightarrow C, B \rightarrow D$  is the tensor product  $C \otimes_B D$  sec. 4.2  
Exercise:  $B \rightarrow C, B \rightarrow D$  inclusions of open subschemes, then pushout  $C \sqcup_B D$  is the gluing!  
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 $C = D \Rightarrow C \times_B D = \{ (x, y) : f(x) = g(y) \} \subseteq C \times D$  ("equaliser")

Examples of fiber products in cat. of Sets or Top.spaces:  $C \times_B D = \{ (c, d) : f(c) = g(d) \} \subseteq C \times D$   
 $B = \text{point} \Rightarrow C \times_B D = C \times D$   
 $C \xrightarrow{f} B, D \xrightarrow{g} B \Rightarrow C \times_B D \cong C \cap D$   
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 $C = D \Rightarrow C \times_B D = \{ (x, y) : f(x) = g(y) \} \subseteq C \times D$  ("equaliser")

5.1 Fiber products exist in Schemes/B

Fix scheme B, consider category Schemes/B

Theorem fiber products  $X_1, \dots, X_n$  exist

Inductively suffices to do case  $n=2$ . First need some algebraic preliminaries

An A-algebra R is a ring R together with a ring hom  $A \rightarrow R$

(A ring)  $(\Rightarrow R$  is A-mod via  $a \cdot r = \psi(a)r$ )

R, S A-algebras  $\Rightarrow (R \otimes_A S) = \text{free } R\text{-alg. on } R \times S$   
(so "generators" are  $r \otimes s$ )

relations:  $\cdot \otimes$  is bilinear

$a \cdot (r \otimes s) = (\psi(a) \cdot r) \otimes s = r \otimes (\psi(a) \cdot s)$

In particular  $A \rightarrow R \otimes_A S$  is  $a \mapsto a \cdot (1 \otimes 1) = \psi(a) \otimes 1 = 1 \otimes \psi(a)$

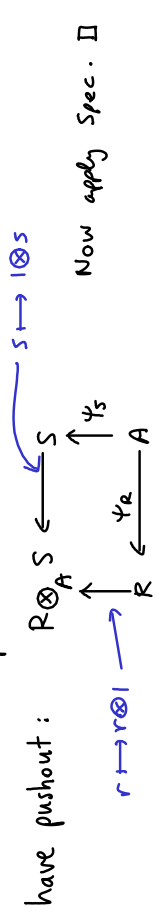
The product on generators:  $(r \otimes s) \cdot (r' \otimes s') = rr' \otimes ss'$

Rmk R, S rings  $\Rightarrow R \otimes S = R \otimes_{\mathbb{Z}} S$

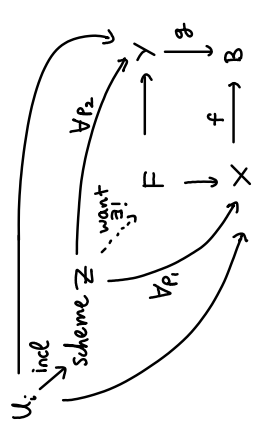
Facts

- 1)  $R \otimes_R S \cong S$  (via  $\sum r_i \otimes s_i \mapsto \sum r_i s_i$ )
- 2)  $R[x_1, \dots, x_n] \otimes_R R[y_1, \dots, y_m] \cong R[x_1, \dots, x_n, y_1, \dots, y_m]$
- 3)  $(S/I) \otimes_R T \cong (S \otimes_R T) / (I \otimes 1) \cdot (S \otimes T)$  where  $S, T$  are R-algebra

Affine case:  $\text{Spec } R \times_{\text{Spec } A} \text{Spec } S = \text{Spec } (R \otimes_A S)$  exists in  $\text{Aff}/\text{Spec } A$ :



Claim: This is fiber product also in  $\text{Sch}/\text{Spec } A$ : let  $X = \text{Spec } R$ ,  $Y = \text{Spec } S$ ,  $B = \text{Spec } A$ ,  $F = \text{Spec } (R \otimes_A S)$



Recall fiber products are unique up to unique iso if they exist.

By construction (as  $U_i$  affine)  $\exists!$   $U_i \rightarrow F$  making diagram commute

Rmk  $B = \text{Spec } \mathbb{Z}$  gives  $X \times_B Y = X \times Y$

It can show these affine on overlaps  $U_{ij} = U_i \cap U_j$ , then glue to unique  $Z \rightarrow F$ .  
 If  $U_{ij}$  were affine, this would have been immediate.

$U_{ij} \subseteq$  affine  $U_i$ , so running same argument with  $Z$  replaced by  $U_{ij}$ , we can cover  $U_{ij}$  by basic open affines  $D_{f_k} \subseteq U_i$  and now  $D_{f_k} \cap D_{f_l} = D_{f_k f_l}$  affine.

$\Rightarrow$  glue uniquely to give  $U_{ij} \rightarrow F$

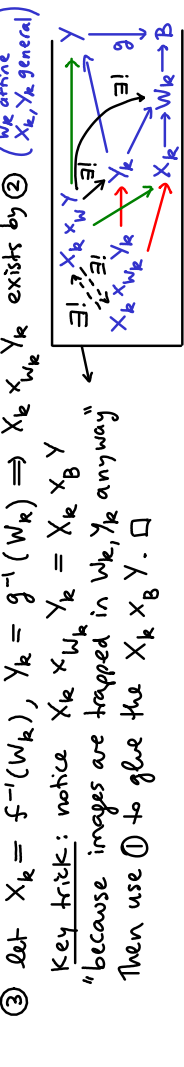
Recall trick that can pick open cover of  $U_{ij}$  that are basic opens simultaneously for  $U_i, U_j$   
 $\Rightarrow U_{ij} \rightarrow F$  and  $U_{ji} \rightarrow F$  agree.

General case build schemes/morphs by 3 gluing procedures (tedious!)

- 1) case  $U_i \times_B Y$  with  $B, Y$  affine,  $X = U_i$ : affine open cover  $\Rightarrow \exists X \times_{\text{affine}} Y$
- 2) case  $X \times_B V_j$  with  $B$  affine,  $Y = U_j$ : " "  $\Rightarrow \exists X \times_{\text{affine}} Y$
- 3) case  $X \times_{W_k} Y$  with  $B = U_{W_k}$ : " "  $\Rightarrow \exists X \times_{\mathbb{Z}} Y$

Gluing work because agreement on overlaps is ensured by uniqueness up to iso of fiber products. Sketch:

- 1) if know  $U_i \times_B Y$  exist, then  $\Pi^{-1}(U_{ij})$  is fiber product  $U_{ij} \times_B Y$  so by uniqueness  $\exists$  iso  $\Pi^{-1}(U_{ij}) \rightarrow \Pi^{-1}(U_{ji})$ , so glue & get  $X \times_B Y$
- 2) as in 1, swapping roles  $X, Y$ . again: open subschemes since preimages of opens
- 3) let  $X_k = f^{-1}(W_k)$ ,  $Y_k = g^{-1}(W_k) \Rightarrow X_k \times_{W_k} Y_k$  exists by 2) ( $W_k$  affine  $(X_k, Y_k$  general)



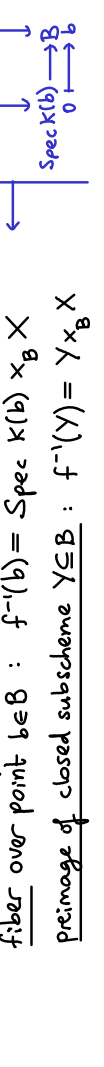
Rmk Proof shows that  $X \times_B Y$  has affine open cover by  $U(U_i \times_B V_j)$  where  $X = U_i, Y = U_j$  are " " " " eg.  $(x, y) \in \text{Spec } \mathbb{Z} \times \mathbb{Z}$

Examples

- 1)  $\mathbb{A}^n \times_{\text{Spec } \mathbb{Z}} \mathbb{A}^m = \text{Spec } R[x_1, \dots, x_n, y_1, \dots, y_m] = \mathbb{A}^{n+m}$
- 2)  $\text{Spec } \mathbb{Z}/2 \times_{\text{Spec } \mathbb{Z}} \text{Spec } \mathbb{Z}/3 = \text{Spec } (\mathbb{Z}/2 \otimes_{\mathbb{Z}} \mathbb{Z}/3) = \text{Spec } (0) = \emptyset$

Exercise  $X \times_B Y \cong X, X \times_B Y \cong Y \times_B X, (X \times_B Y) \times_B Z \cong X \times_B (Y \times_B Z), X \times_B B \times_B Y \cong X \times_B Y$ .

5.2 Fibers and preimages



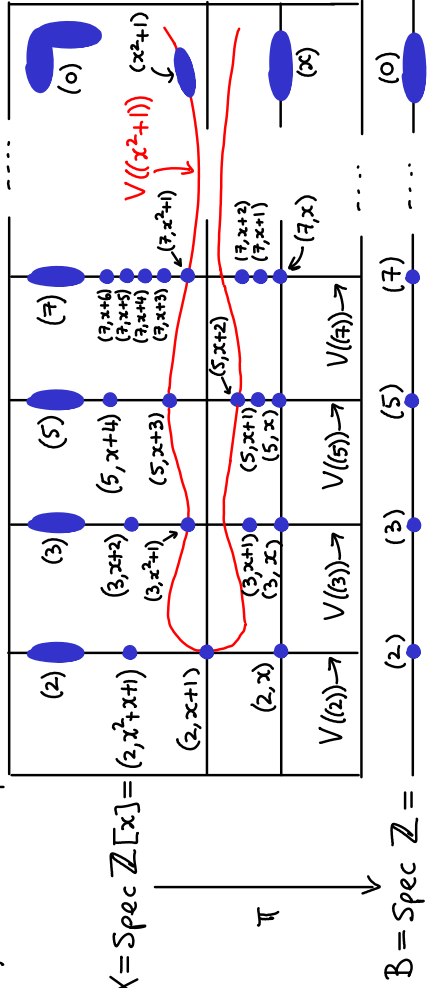
preimage of closed subscheme  $Y \subseteq B$ :  $f^{-1}(Y) = Y \times_B X$



Examples

3)  $k = \text{algebraically closed field} \leftarrow (\text{so classical alg. geometry})$   
 $f: A_k^1 \rightarrow A_k^1$  induced by  $f^\#: k[x] \rightarrow k[y], x \mapsto y^2$   
 fiber over 0: (view point 0 as  $\text{Spec } k \rightarrow A_k^1$  so  $k \cong k[x]$ )  
 $\text{fiber} = \text{Spec } k \times_{\text{Spec } k} \text{Spec } k[x] = \text{Spec}(k \otimes_k k[x]) = \text{Spec } k[x]$   
 $= \text{Spec } k[y]/(y^2) \leftarrow (\text{so can't avoid schemes})$  where  $f(x) = y^2$

4) Mumford's picture of  $\text{Spec } \mathbb{Z}[x]$ :



$X = \text{Spec } \mathbb{Z}[x] = \{(2, x^2+x+1), (2, x+1), (3, x+2), (3, x+1), (3, x), (5, x+4), (5, x+3), (5, x+2), (5, x+1), (5, x), (7, x+6), (7, x+4), (7, x+3), (7, x+2), (7, x+1), (7, x), \dots, (p, f(x)), \dots, (0, x)\}$

$B = \text{Spec } \mathbb{Z} = \{(2), (3), (5), (7), \dots, (p), \dots, (0)\}$   
 $\pi$  is induced by inclusion  $\mathbb{Z} \rightarrow \mathbb{Z}[x]$   
 $\Rightarrow \pi^{-1}((p)) = V((p)) = \{(p), (p, f(x))\}$ :  $f(x) \text{ mod } p$  is irreducible in  $\mathbb{F}_p[x]$   
 (so  $(p)$  is a dense point in  $\pi^{-1}((p))$ )  $\leftarrow$  if  $p \in \mathbb{I}$  then  $\mathbb{Z}[x]/\mathbb{I} \cong \mathbb{F}_p[x]/\mathbb{I}$  where  $\mathbb{I} = \mathbb{Z}/p$   
 PID, so  $(f)$  prime  $\Leftrightarrow f$  irreducible

Rmk curve  $V(x^2+1)$  passes through  $(p, x+j)$  iff  $x^2+1$  vanishes at that point, so iff  $x^2+1=0$  in  $\mathbb{F}_p[x]/(x+j) \cong \mathbb{F}_p, x \mapsto -j$ , so iff  $j^2 = -1$ .  
 Classical number theory says a square root of  $-1$  exists in  $\mathbb{F}_p \Leftrightarrow p \equiv 1 \pmod{4}$  (or  $p=2$ )

fiber over  $(p)$ :  $K(p) = \mathbb{Z}(p)/p \cdot \mathbb{Z}(p) = (\mathbb{Z}/p)(p) = \mathbb{F}_p = \mathbb{Z}/p$   
 $\Rightarrow \pi^{-1}(p) = \text{Spec}(k(p) \otimes_{\mathbb{Z}} \mathbb{Z}[x]) = \text{Spec } \mathbb{F}_p[x] = \{(0), (f(x))\}$  nonconstant  
fiber over  $(0)$ :  $K(0) = \mathbb{Z}(0) = \mathbb{Q}$   
 $\Rightarrow \pi^{-1}(0) = \text{Spec}(k(0) \otimes_{\mathbb{Z}} \mathbb{Z}[x]) = \text{Spec } \mathbb{Q}[x] = \{(0), (f(x))\}$   
 [Gauss's Lemma: For  $f \in \mathbb{Z}[x]$  primitive (gcd(coeffs)=1) so  $\text{WLOG}$   $f \in \mathbb{Z}[x]$  nonconstant  
 $f \text{ irred.} \in \mathbb{Z}[x] \Leftrightarrow f \text{ irred.} \in \mathbb{Q}[x]$ ]

Consequence  $\text{Spec } \mathbb{Z}[x] = \{(0), (p), (f), (p, f)\}$   $\leftarrow$   $f \in \mathbb{Z}[x]$  irred. mod  $p$  nonconstant  
 $\leftarrow p \in \mathbb{Z}$  prime  $f \in \mathbb{Z}[x]$  irred. nonconstant

Forgetful functor  $|\cdot|: \text{Sch} \rightarrow \text{Top Spaces}, X \mapsto |X| = \text{underlying topological space}.$   
 morph  $\mapsto$  underlying continuous map

Claim  $f: X \rightarrow B$  morph schemes  $\Rightarrow |f^{-1}(b)| = |f|^{-1}(b)$   
 Pf WLOG  $B$  affine =  $\text{Spec } S$  and  $b$  is prime ideal  $p \subseteq S$   
 $f^{-1}(B) = \cup \text{Spec } R_i$  given by  $\varphi_i: S \rightarrow R_i$   
 WLOG just consider one affine, so  $R = R_i$ , so  $\text{WLOG } X = \text{Spec } R$

$\Rightarrow \text{Spec } k(b) \times_B X = \text{Spec}(k(b) \otimes_S R)$   
 $k(b) = (S/p)_p \Rightarrow k(b) \otimes_S R = (S/p)_p \otimes_S R = S_p \otimes_S R_p = R_{(p)}/\varphi(p)R_{(p)}$   
 $\Rightarrow \text{Spec}(k(b) \otimes_S R) \xrightarrow{|\cdot|} \{q \subseteq R \text{ prime ideal containing } \varphi(p) \text{ but not intersecting } \varphi(S \setminus p)\}$   
 $q \cdot R_p \xrightarrow{|\cdot|} q \leftarrow (\text{preimage of } q \cdot R_p \text{ via localization } R \rightarrow R_p = S_p \otimes_S R) \xrightarrow{f^{-1}q=p}$   
 $q \subseteq R \setminus \varphi(S \setminus p) \Rightarrow q^{-1}q \subseteq S \setminus (S \setminus p) = p$  so get  $\{q \in \text{Spec } R: q^{-1}q = p\} = \emptyset$   
 $q \supseteq \varphi(p) \Rightarrow q^{-1}q \supseteq p$

Cor Given  $f: X \rightarrow B, g: Y \rightarrow B$ ,  
 fiber of  $|X \times_B Y| \rightarrow |X| \times_{|B|} |Y|$  over  $(x, y)$  is  $|\text{Spec}(k(x) \otimes_{k(b)} k(y))|$   
 $\leftarrow$  where  $f(x) = g(y) = b$

Pf fiber of  $X \times_B Y \rightarrow X$  over  $x$ :  $\text{Spec } k(x) \times_X (X \times_B Y) = \text{Spec } k(x) \times_B Y$   
 fiber of  $\text{Spec } k(x) \times_B Y \rightarrow Y$  over  $y$ :  $\text{Spec } k(x) \times_Y Y = \text{Spec } k(y) = \text{Spec } k(x) \times_B \text{Spec } k(y)$   
 fiber of  $\text{Spec } k(x) \times_B \text{Spec } k(y) \rightarrow B$  over  $b$ :  $\text{Spec } k(x) \times_{\text{Spec } k(b)} \text{Spec } k(y) = \text{Spec } k(x) \otimes_{k(b)} k(y)$

at algebra level: if  $A_1, A_2$  are modules over  $S = R/pR$  then  $S \otimes_R (A_1 \otimes_R A_2) \cong (A_1 \otimes_S A_2)$   
 $R/pR \otimes_R (R/pR) \cong (R/pR) \otimes_{R/pR} (R/pR) \xrightarrow{|\cdot|} \frac{R}{p} \otimes_{R/p} \frac{R}{p} \cong \frac{R}{p}$   
 namely:  $\frac{R}{p} \otimes_{R/p} \frac{R}{p} \cong \frac{R}{p}$

or at category level, with abuse of notation:  
 hence  $\exists!$   $\begin{matrix} x \times y \\ \downarrow \\ x \times_B y \end{matrix}$

Warning  $|X \times Y| \neq |X| \times |Y|$  in general, e.g.  $\text{Spec } \mathbb{Z}_2 \times \text{Spec } \mathbb{Z}_3 = \emptyset$   
 e.g.  $A_{\mathbb{Z}}^1 \times A_{\mathbb{Z}}^1 = \text{Spec } \mathbb{Z}[x, y]$  then  $(x+y) \mapsto (0)$  via both projections but  $(x+y) \neq (0)$

Rmk If  $x, y$  closed points of schemes  $X, Y$  over  $k$ , and  $k$  algebraically closed, then fiber over  $(x, y)$  of  $X \times_{\text{Spec } k} Y$  is  $\text{Spec}(k(x) \otimes_k k(y)) = \text{Spec}(k \otimes_k k) = \text{Spec } k = (0)$   
 so over closed points you get the product of sets.  $\leftarrow$  (so classical alg. geom.)

Warning  $A_k^1 \times_k A_k^1$  does not have the product topology, e.g. consider  $V(x-y)$   
Non-examinable Rmk Working over an algebraically closed field  $k$ , the stalk of  $X \times_{\text{Spec } k} Y$  at  $(x, y)$  is  $\mathcal{O}_{X, x} \otimes_k \mathcal{O}_{Y, y}$  localised at max ideal  $\mathfrak{m}_{X, x} \otimes \mathfrak{m}_{Y, y} + \mathcal{O}_{X, x} \otimes \mathfrak{m}_{Y, y}$

### 5.3 Base change

$X_A := X \times_B A \rightarrow X$   
 $\downarrow$   
 $A \rightarrow B$   
 is base change of  $X \rightarrow B$  to  $A$

**Example**  $A_x^n = \mathbb{A}^n_{\mathbb{Z}} \times_{\text{Spec } \mathbb{Z}} X$  is base change of  $\mathbb{A}^n_{\mathbb{Z}}$  to  $X$  via  $X \rightarrow \text{Spec } \mathbb{Z}$  (base coefficients)

**Motivation** This generalises the idea of changing the "base coefficients"  
**example:**  $X = \text{Spec } \mathbb{R}[x_1, \dots, x_n] / (f_1, \dots, f_n)$  real affine variety  $\subseteq \mathbb{R}^n$   
 $B = \text{Spec } \mathbb{R}$   
 $A = \text{Spec } \mathbb{C}$

and  $A \rightarrow B$  via  $\varphi: \mathbb{R} \rightarrow \mathbb{C}$  inclusion  
 $X \times_B A$  is  $\text{Spec of: } \mathbb{R}[x_1, \dots, x_n] \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C}[x_1, \dots, x_n]$  so affine var set  
 $(f_1, \dots, f_n)$  (same polys but viewed over  $\mathbb{C}$ )

Same works if replace  $\mathbb{R} \rightarrow \mathbb{C}$  by any ring hom  $S \rightarrow R$ .

**FACT** Many properties of  $A \rightarrow B$  are inherited by the base change  $X_A \rightarrow X$ :  
 ① affine, ② quasi-compact, ③ locally finite type, ④ finite type, ⑤/⑥ closed/open immersion, ⑦ H as well as properties from 5.3: ⑧ separated, ⑨ universally closed, ⑩ proper

### 5.3 More properties of schemes (all properties we list are preserved when compose such map)

**Motivation** Topological space  $X$  is Hausdorff  $\Leftrightarrow$  diagonal  $\Delta = \{(x, x) : x \in X\} \subseteq X \times X$  clo.  
 ⑧ •  $f: X \rightarrow B$  morph of schemes is separated if

$\Delta = \Delta_{X/B}: X \rightarrow X \times_B X$  is a closed immersion.

•  $\forall \exists$  open cover  $U_i$  of  $B$ ,  $f^{-1}(U_i) \rightarrow U_i$  separated

**Rmk** often write  $\Delta$  to mean image  $\subseteq X \times_B X$  of morphism  $\Delta$ .

**Rmk** Any subscheme  $S \subseteq X$  over  $B$  is also separated since  $\Delta_{S/B} = \Delta_{X/B} \cap (S \times_B S)$

**Rmk**  $X$  separated means separated over  $\text{Spec } \mathbb{Z}$  so  $\Delta \subseteq X \times X$  closed

**Example** for affine varieties (similar for projective varieties) work over  $B = \text{Spec } k$ :

$\text{Spec } k[X] \times_k \text{Spec } k[X] = \text{Spec } k[X] \otimes_k k[X] \cong \Delta$  has ideal  $\langle f \otimes 1 - 1 \otimes f \rangle \subseteq k[X] \otimes k[X]$   
**Why good?** It disallows pathologies like "affine line with two origins" (Hwk 1 ex. 5) arising by gluing  $\text{Spec } \mathbb{R}[t, t^{-1}] \hookrightarrow \text{Spec } \mathbb{R}[x]$  by  $x \rightarrow t^2$  (if do  $x \rightarrow t^2$  then get  $\mathbb{P}^1_{\mathbb{R}}$ : Hwk 2 ex 1)

**Claim** Affine opens are separated  
**Pf**  $\Delta: \text{Spec } R \rightarrow \text{Spec } R \times R$  comes from  $R \otimes R \xrightarrow{m} R$ , surjective:  $m(r, 1) = r$  (and  $\ker = \langle r \otimes 1 - 1 \otimes r \rangle$ ).  
 $\square$

**Claim**  $X$  separated  $\Leftrightarrow \forall$  affine opens  $U, U_2$  affine  
 (i)  $U_1 \cap U_2$  affine  
 (ii)  $\Gamma(U_1, \mathcal{O}_X) \otimes \Gamma(U_2, \mathcal{O}_X) \xrightarrow{\text{sur.}} \Gamma(U_1 \cap U_2, \mathcal{O}_X)$  (enough if holds for cover  $U \cup V$ )  
 $\text{Pf } \textcircled{1} U_1 \cap U_2 \cong (U_1 \times U_2) \cap \Delta$ , so  $U_1 \cap U_2 \subseteq U_1$  closed inside affine so affine.

$U_i$  affine  $\Rightarrow \Gamma(U_i) \otimes \Gamma(U_2) \cong \Gamma(U_i \times U_2)$ , by (i)  $U_1 \times U_2 = \text{Spec } A$  say  
 $\Rightarrow U_1 \cap U_2 \cong (U_1 \times U_2) \cap A = \text{Spec } A \cap A = \text{Spec } A$   
 $\xrightarrow{\cong} \Gamma(U_1 \cap U_2)$

**Claim**  $X \times X = \cup U_i \times U_j$  by products of affine opens.  
 $\Gamma(U_i \times U_j) \cong \Gamma(U_i) \otimes \Gamma(U_j) \xrightarrow{\cong} \Gamma(U_i \cap U_j) \subseteq \Gamma(U_i \times U_j) \subseteq X$  closed  
 is  $\ker$  of  $\text{hom } \Gamma(U_i \times U_j) \rightarrow \Gamma(U_i \cap U_j)$  (use 3rd definition in 5.3.6)  
**Hwk 3** Claim holds also in case  $\Delta_{X/B}$ , after tweaking conditions slightly.

**Claim**  $X$  separated  $\Leftrightarrow \forall \varphi_1, \varphi_2: Y \rightarrow X$  if  $\varphi_1 = \varphi_2$  on dense subset then  $\varphi_1 = \varphi_2$  as topological maps (so if  $Y$  reduced then  $\varphi_1 = \varphi_2$  as morphisms)  $\leftarrow$  "equalizers are closed"  $\leftarrow$  see 3.3

**Pf**  $\textcircled{1}$   $\varphi_1, \varphi_2: Y \rightarrow X \times X, (\varphi_1 \times \varphi_2)^{-1}(\Delta) \subseteq Y$  is closed & dense so  $= Y$ .

**Claim**  $X \xrightarrow{f} Y, Y$  separated  $\Rightarrow$  graph  $\Gamma_f: X \rightarrow X \times Y$  closed imm.  
**Pf**  $\text{id}_X \times f: X \times X \rightarrow X \times Y, \Gamma_f \cong (\text{id}_X \times f)^{-1} \Delta$  closed  $\square \leftarrow$  Non-examinable Rmk: Can also view this as a base change

⑨ **Motivation** For top. spaces,  $X$  compact  $\Leftrightarrow \forall Y, X \times Y$  is closed map  $\downarrow \pi_2$  i.e. sends closed sets to closed sets

$f: X \rightarrow B$  universally closed:  $X_Y = X \times_B Y \rightarrow Y$   $\downarrow f$  is closed map

$Y$  base extension is closed map  $\Rightarrow Y \rightarrow B$

**Fact**  $f$  univ. closed  $\Rightarrow f$  quasi-compact.

⑩  $f: X \rightarrow B$  proper  $\Leftrightarrow$  ④, ⑧, ⑨ (finite type, separated and universally closed)

**Motivation** Analogue in smooth world is "preimages of compact sets are compact"

**Example** Projective n-space  $\mathbb{P}^n_{\mathbb{Z}}$  is a projective morphism if factors by gluing in Hwk 2

$f: X \rightarrow Y$  is a projective morphism if factors

$X \xrightarrow{\text{closed immersion}} \mathbb{P}^n_Y \xrightarrow{\text{projection}} Y$

**Fact** if  $X, Y$  Noetherian this is proper.

### 5.4 Varieties or abstract variety

**Def** A variety is a scheme over  $k$  s.t.

- (i) Integral
- (ii)  $X \rightarrow \text{Spec } k$  finite type
- (iii)  $X \rightarrow \text{Spec } k$  separated

$\textcircled{i} \Leftrightarrow X$  irreducible,  $\mathcal{O}_X(U)$  reduced

$\textcircled{ii} \Leftrightarrow X$  quasi-compact,  $\mathcal{O}_X(U)$  are f.g.  $k$ -algebras

The definition includes all quasi-projective varieties from classical algebraic geom.

but  $\exists$  more: Nagata (1956)  $\exists$  variety can't embed into any  $\mathbb{P}^n_k$  (Rmk finite union of quasi-compacts is quasi-compact)  
 You get varieties by gluing together finitely many affine varieties along common open sets (the separated assumption prevents pathologies, see ③)

A variety is complete if  $X \rightarrow \text{Spec } k$  proper  $\textcircled{10}$ , so extra condition:  $\textcircled{10}$  universally closed

**Motivation** Over  $\mathbb{C}$  for "holomorphic spaces" you ask whether a holomorphic map  $D^n \rightarrow X$  on the punctured disc, meromorphic at 0, can be extended to a holomorphic map  $D \rightarrow X$  i.e. there are no "missing points in  $X$ ". (Made rigorous by "valuative criterion for properness")

**Hwk 3:**  $\square$  integral closed subsch. of variety is variety  $\leftarrow$  exclude e.g. irred. closed subsch.  $\text{Spec } (k[X] / (X^2)) \subseteq \mathbb{A}^1_k$   
 $\square$  irreducible open subsch. of variety is variety

**Examples** Complete varieties:  $\mathbb{P}^n_k$ , projective varieties ( $\square \subseteq \mathbb{P}^n_k$ ), Nagata's 1956 example  
 Varieties:  $\mathbb{A}^n_k$ , affine varieties ( $\square \subseteq \mathbb{A}^n_k$ ), quasi-projective varieties ( $\square \subseteq \text{proj. variety}$ )

**Rmk** A point  $x \in X$  of a variety is closed  $\Leftrightarrow K(x) \cong k$ . E.g.  $\mathbb{A}^1_k = \text{Spec } k[X]$ ,  $K((x-a)) \cong k$ ,  $K((0)) \cong k((t))$



$\text{Vect}(X) = \{\text{vector bundles on } X\} \subseteq \mathcal{O}_X\text{-Mod}$ , but not an abelian cat (need not be coherent).  
 $\text{Coh}(X) = \{\text{coherent } \mathcal{O}_X\text{-mods}\} \leftarrow \text{Fact abelian category! (explains partly its importance)}$

**Claim**  $F \in \text{Coh}(X)$  and  $F_x \cong \mathcal{O}_{X,x}^{\oplus n} \implies F \in \text{Vect}(X)$  ( $\forall x \in X$ , some  $n \in \mathbb{N}$  depending on  $x$  unless we fix the rank)

**Pf** Above got  $\mathcal{O}_U^{\oplus n} \xrightarrow{\psi} F|_U$   
 $\text{Ker } \psi$  finite type  $\implies$  possibly after shrinking  $U$ , get exact sequence  
 $\mathcal{O}_U^{\oplus m} \xrightarrow{\psi} \mathcal{O}_U^{\oplus n} \xrightarrow{\psi} F|_U \rightarrow 0 \leftarrow$  such  $F$  are called locally finitely presented  
 $(\text{ker } \psi)_x = 0$  by construction so  $0 \rightarrow \text{ker } \psi$  surjective at  $x$ , therefore after shrinking  $U$  further in times can assume  $\psi(e_i) \in \text{ker } \psi$  is in image of  $\mathcal{O}_U \rightarrow \text{ker } \psi$ , hence  $\psi(e_i) = 0$ , so  $\psi = 0$ , so  $\psi$  iso.  $\square$   $\leftarrow$  in  $i$ -th copy of  $\mathcal{O}_U$  in  $\mathcal{O}_U^{\oplus n}$  notice how faithfulness of also played a role.

**Rmk**  $F \in \text{Coh}(X) \implies F$  locally finitely presented  
**Pf**  $\mathcal{O}_U^{\oplus n} \rightarrow F|_U \rightarrow 0$  then consider  $\text{ker } \psi$ .  $\square$

**Converse of Claim?**  
 $\text{Cor } X$  locally Noetherian scheme  $\implies \text{Vect}(X) = \{F \in \text{Coh } X : \forall x, F_{x,x} \cong \mathcal{O}_{X,x}^{\oplus n} \text{ some } n\} \subseteq \text{Coh}(X)$   
**Pf**  $F \in \text{Vect}(X) \implies F$  finite type, in general  $\leftarrow$  Noetherian  
 $\text{ker}(\mathcal{O}_U^{\oplus n} \xrightarrow{\psi} F|_U)$  (need show finite-type) shrinking  $U$  wlog  $U$  affine =  $\text{Spec } R$

In sections below we will prove that because  $\mathcal{O}_U^{\oplus n} F|_U$  are "quasi-coherent" the problem reduces to taking global sections:  $\text{ker}(R^i \psi \rightarrow F(U))$  and this is finitely generated since  $R$  Noeth. (so get exact sequence  $R^m \rightarrow R^i \psi(F(U)) \rightarrow 0$  and this will imply  $\mathcal{O}_U^{\oplus m} \xrightarrow{\psi} F \rightarrow 0$  exact).  $\square$

**6.4**  $\mathcal{O}_X$ -module  $\tilde{M}$  on  $X = \text{Spec } R$ , for  $R$ -mod  $M$

sheaf  $\tilde{M}$  on  $X = \text{Spec } R$  by Sec. 1.12 method:  
 $\tilde{M}(D_f) = M_f$  (so  $\tilde{M}(X) = \tilde{M}(D_1) = M$ )  
 $D_g \subseteq D_f \implies M_f \rightarrow M_g$  induced by  $R_f \rightarrow R_g$

$\tilde{M}$  stalk  $\tilde{M}_p = \varinjlim_{D_f \ni p} \tilde{M}(D_f) = \varinjlim_{D_f \ni p} M_f \cong M_p$   
 $\tilde{M}(U) = \{s : U \rightarrow \prod_{p \in \text{Spec } R} M_p : s(p) \in M_p \text{ which are locally compatible}\}$

with the obvious restriction maps.  
**Rmk** could assume  $t = \frac{m}{f}$  since can replace  $D_f$  with  $D_{fm} (= D_f)$ .  
 could just ask  $s(x) = tx$  on a smaller open  $p \in V \subseteq D_f$ .

$\tilde{M} =$  sheafification of  $U \rightarrow M \otimes_R \mathcal{O}_X(U)$   
 call  $\tilde{M}$  the sheaf associated to  $M$

**UPSHOT**  $\tilde{M}$  is  $\mathcal{O}_X$ -module on  $X = \text{Spec } R$   
 $\varphi : M \rightarrow N$   $R$ -mod hom  $\implies \tilde{M} \rightarrow \tilde{N}$   $\mathcal{O}_X$ -mod morph by gluing  $\tilde{M}(D_f) \rightarrow \tilde{N}(D_f)$   
 (Just need check stalks, then use sec. 3.0)  $\leftarrow$  for converse take global sections

$\implies$  fully faithful exact functor  $R\text{-Mods} \rightarrow \mathcal{O}(\text{Spec } R)\text{-Mods}$

**6.5 Direct image and inverse image**

$\mathcal{O}_X\text{-mod} \rightarrow F \xrightarrow{f_*} F$  is  $f_* \mathcal{O}_X\text{-mod}$   
 $f : X \rightarrow Y$   $\leftarrow$  top sp.

**Algebra:** Recall  $R \xrightarrow{S} S$  hom of rings, then  $S$  is  $R$ -mod via  $r \cdot s = \varphi(r)s$ .  
 $f : X \rightarrow Y$  morph of ringed spaces, then:  $\leftarrow$  (recall  $\text{Mor}(\mathcal{O}_Y, f_* \mathcal{O}_X) = \text{Mor}(f^{-1} \mathcal{O}_Y, \mathcal{O}_X)$ )  
 $f^{-1} \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(U)$  makes  $\mathcal{O}_X$  an  $f^{-1} \mathcal{O}_Y$ -mod on ringed space  $(X, f^{-1} \mathcal{O}_Y)$

$f^{-1} F$   $\leftarrow \mathcal{O}_Y\text{-mod}$   $f^{-1}(F)$  is  $f^{-1}(\mathcal{O}_Y)$ -mod  
 $X \rightarrow Y$   $\leftarrow$  ringed sp.

**6.6 Operations on  $\mathcal{O}_X$ -mods**

$\text{Hom}_{\mathcal{O}_X}(F, G) : U \rightarrow \text{Hom}_{\mathcal{O}_X(U)}(F(U), G(U))$  is a sheaf of  $\mathcal{O}_X$ -mods.

**Coproduct in  $\mathcal{O}_X\text{-Mod}$ :**  $F_i$   $\mathcal{O}_X$ -mods,  $\bigoplus F_i = \text{sheafify}(U \rightarrow \bigoplus F_i(U))$

**Fact**  $\exists$  canonical iso  $\text{Mor}(\bigoplus F_i, G) \cong \prod \text{Mor}_{\mathcal{O}_X}(F_i, G)$  natural in  $F_i, G$ .  
 $\leftarrow$  right exact in  $F, G$

**Product in  $\mathcal{O}_X\text{-Mod}$ :**  $F \otimes_{\mathcal{O}_X} G = \text{sheafify}(U \rightarrow F(U) \otimes_{\mathcal{O}_X(U)} G(U))$

**Fact**  $\exists!$   $\mathcal{O}_X$ -mod structure s.t.  $F(U) \otimes_{\mathcal{O}_X(U)} G(U) \rightarrow (F \otimes_{\mathcal{O}_X} G)(U)$  hom of  $\mathcal{O}_X(U)$ -mods

**Universal property:**  $\text{Hom}_{\mathcal{O}_X}(F \otimes_{\mathcal{O}_X} G, H) = \text{Bilinear}_{\mathcal{O}_X}(F \times G, H)$   
**Rmk** Stalks are  $\text{Hom}_{\mathcal{O}_{X,x}}(F_x, G_x), \bigoplus (F_i)_x, F_x \otimes_{\mathcal{O}_{X,x}} G_x$ .

**Examples** on  $X = \text{Spec } R : \bigoplus \tilde{M}_i \cong \tilde{\bigoplus M_i}, \tilde{M} \otimes_R \tilde{N} \cong \tilde{M \otimes_R N}$   
**Algebra**  $\text{Hom}_R(M \otimes_R N, P) \cong \text{Hom}_R(M, \text{Hom}_R(N, P))$  canonically, for  $R$ -mods  $M, N, P$  (are adjoint)

**Fact**  $\text{Hom}_{\mathcal{O}_X}(F \otimes_{\mathcal{O}_X} G, H) \cong \text{Hom}_{\mathcal{O}_X}(F, \text{Hom}_{\mathcal{O}_X}(G, H))$  canonically & functorial in  $F, G, H$ .  
**Cor**  $F \otimes_{\mathcal{O}_X} \cdot, \text{Hom}_{\mathcal{O}_X}(G, \cdot)$  adjoint,  $F \otimes_{\mathcal{O}_X}$  right exact,  $\text{Hom}_{\mathcal{O}_X}(G, \cdot)$  left exact.

**Fact**  $f : X \rightarrow Y \implies f^{-1}(F \otimes_{\mathcal{O}_Y} G) \cong f^{-1} F \otimes_{f^{-1} \mathcal{O}_Y} f^{-1} G$  canonically  
**6.7 Pullback**

**Rmk**  $R \rightarrow S$  rings,  $M$   $R$ -mod,  $N$   $S$ -mod  
 $\implies M \otimes_R N$  is  $\{R\text{-mod since } N \text{ } R\text{-mod via } R \rightarrow S (r \cdot (m \otimes n) = (rm) \otimes n = m \otimes rn)\}$   
 $S$ -mod by  $s \cdot (m \otimes n) = m \otimes sn$

similarly:  $X \xrightarrow{f} Y$   
 $F \xrightarrow{f^{-1}} G$   $\leftarrow$  ringed sp.

$f^* F = f^{-1}(F) \otimes_{f^{-1} \mathcal{O}_Y} \mathcal{O}_X$  is an  $f^{-1} \mathcal{O}_X$ -mod but also an  $\mathcal{O}_X$ -mod!

$(f_* F)(U) = F(f^{-1}(U))$   $\leftarrow f_* \mathcal{O}_X(U)$   
**Example**  $\alpha : \text{Spec } S \rightarrow \text{Spec } R, \varphi = \alpha^\# : R \rightarrow S$   
 $N$   $S$ -mod  $\implies \alpha_* \tilde{N} = \tilde{R \otimes_S N}$   $\leftarrow$  as  $R$ -mod via  $\varphi$

**Pf**  $(\alpha_* \tilde{N})(D_f) = \tilde{N}(D_{\varphi f}) = N_{(\varphi f)} = (R \otimes_S N)_{\varphi f}$  compatible with restrictions  $\square$

$(f^{-1} F)(U) = \varinjlim_{V \ni U} F(V)$   
 $(f^{-1} \mathcal{O}_Y)(U) = \varinjlim_{V \ni U} \mathcal{O}_Y(V)$   
 so can act by  $\mathcal{O}_Y(V)$

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 $(f^{-1} \mathcal{O}_Y)(U) = \varinjlim_{V \ni U} \mathcal{O}_Y(V)$   
 so can act by  $\mathcal{O}_Y(V)$

Fact  $\exists!$   $\mathcal{O}_X$ -mod : presheaf tensor =  $f^{-1}(F) \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X(U) \rightarrow f^*F(U)$  is  $\mathcal{O}_X(U)$ -mod  
 sheaf s.t.  $\mathcal{O}_X(U)$ -mod as by Rmk.

Example  $f^*\mathcal{O}_Y = \mathcal{O}_X$  (since  $f^{-1}\mathcal{O}_Y \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X \cong \mathcal{O}_X$  canonically)

Exercise  $X \xrightarrow{f} Y \xrightarrow{g} Z \Rightarrow f^*g^* = (g \circ f)^*$  (use last fact in 6.4, using Sec 1)

Upshot  $f: X \rightarrow Y$  morph of ringed spaces  $\Rightarrow \text{Mod}_{\mathcal{O}_X}(X) \xrightarrow{f^*} \text{Mod}_{\mathcal{O}_Y}(Y)$  and  $f^*$

Theorem (exercise)  $f^*, f_*$  are adjoint functors:  $\text{Mod}_{\mathcal{O}_X}(f^*F, G) \cong \text{Mod}_{\mathcal{O}_Y}(F, f_*G)$

hence  $f_*$  left exact,  $f^*$  right exact

Hwk 3  $f_*$  commutes with limits for example  $\Pi$ ,  $f^*$  commutes with colimits  $\text{Colim}$  for example  $\text{Colim}$  (product in cat. of  $\mathcal{O}_X$ -mods)

Example  $f(\oplus \mathcal{O}_Y) = \oplus f^*\mathcal{O}_Y = \oplus \mathcal{O}_X$ .

6.8  $\tilde{M}$  on any scheme

$M$   $R$ -mod,  $X \xrightarrow{\text{canonical}} \text{Spec } \Gamma(X, \mathcal{O}_X) \xrightarrow{\alpha} \text{Spec } R$  then get  $F_{\tilde{M}} := \alpha^* \tilde{M}$

Easier:  $(X, \mathcal{O}_X) \xrightarrow{\pi} \text{ringed space (point, } R)$  (on sheaves  $\pi_* \mathcal{O}_X = \Gamma(X) \xleftarrow{\text{GIVE}} R$ )

$F_{\tilde{M}} := \pi^* M$  = sheafify  $(U \mapsto M \otimes_R \mathcal{O}_X(U)) \leftarrow$  (since  $\pi^{-1} M \otimes_{\pi^{-1} R} \mathcal{O}_X$  and  $(\pi^{-1} R)(U) = (\pi^{-1} M)(U) =$

(get same answer since  $X \xrightarrow{\alpha} \text{Spec } R \xrightarrow{\pi} \text{point, } R$ ),  $\tilde{M} = \pi^* M$  by construction,  $\pi^* = \alpha^* \tilde{M}$ ;

Claim  $f: Y \rightarrow X$  (morph of ringed spaces)  $\Rightarrow f^* F_{\tilde{M}} = F_N$  where  $N = M \otimes_{\Gamma(X)} \Gamma(Y)$

Pf  $M \Gamma(X)$ -module (case  $R \xrightarrow{\text{id}} \Gamma(X)$ )  $\Rightarrow f^* \pi^* M = \pi^* \psi^* M$

$\psi^* M = \psi^{-1} M \otimes_{\psi^{-1} \Gamma(X)} \Gamma(Y) = M \otimes_{\Gamma(X)} \Gamma(Y)$

Cor  $\alpha: \text{Spec } S \rightarrow \text{Spec } R \Rightarrow \alpha^* \tilde{M} = \widehat{\text{Mod}}_R^S$

Example  $D_f = \text{Spec } R_f \hookrightarrow \text{Spec } R \Rightarrow \tilde{M}|_{D_f} = \widehat{\text{Mod}}_{R_f} = \widehat{M}_f$

6.9 Classification of  $\mathcal{O}_X$ -homs  $\tilde{M} \rightarrow F$

Lemma  $X = \text{Spec } R \Rightarrow \text{Hom}_{\mathcal{O}_X}(\tilde{M}, F) \xrightarrow{\cong} \text{Hom}_R(M, \Gamma(X, F)) \forall \mathcal{O}_X$ -mod

Pf  $\pi: (X, \mathcal{O}_X) \rightarrow (\text{point}, R)$  morph of ringed spaces  $(\pi^{\#}: R \xrightarrow{\text{id}} \pi_* \mathcal{O}_X = \mathcal{O}_X(X) = R)$

$\tilde{M} = \pi^* M$ ,  $\Gamma(X, F) = \pi_* F$

$\Rightarrow \text{Hom}_{\mathcal{O}_X}(\tilde{M}, F) = \text{Hom}_{\mathcal{O}_X}(\pi^* M, F) \xrightarrow{\cong} \text{Hom}_R(M, \pi_* F) = \text{Hom}_R(M, \Gamma(X, F))$

Exercise Using 6.6:  $\text{Hom}_{\mathcal{O}_X}(F_M, F) \xrightarrow{\cong} \text{Hom}_R(M, F(X))$  using  $R \xrightarrow{\text{given}} \Gamma(X, \mathcal{O}_X)$  to make  $F(X)$  an  $R$ -mod.

7. (QUASI-)COHERENT SHEAVES

7.1  $\text{QCoh}(X)$

Recall  $F$  coherent  $\Rightarrow F$  locally finitely presented now weaken this condition by dropping finiteness (Sec. 6.3) and " $\Leftarrow$ " holds if  $X$  locally Noetherian scheme.

Def  $F$  quasi-coherent  $\Leftrightarrow F$  is locally presented, i.e.  $\forall x, \exists$  open  $x \in U \subseteq X$   $\exists \bigoplus_{i \in I} \mathcal{O}_U \rightarrow \bigoplus_{j \in J} \mathcal{O}_U \rightarrow F|_U \rightarrow 0$  exact. where  $\mathcal{O}_U = \mathcal{O}_X|_U$

SUMMARY: coherent  $\Rightarrow$  locally finitely presented  $\Rightarrow$  quasi-coherent (= locally presented) vector bundle  $\Rightarrow$  locally generated by finitely many sections  $\Rightarrow$  locally generated by sections

Lemma For  $X = \text{Spec } R: (\exists$  exact sequence of  $\mathcal{O}_X$ -mods  $\bigoplus_{i \in I} \mathcal{O}_X \rightarrow \bigoplus_{j \in J} \mathcal{O}_X \rightarrow F \rightarrow 0) \Leftrightarrow (F \cong \tilde{M}$  some  $R$ -module  $M)$

Pf  $\Rightarrow$  Let  $M = \bigoplus_{j \in J} R / \mathfrak{m}_j \hookrightarrow \bigoplus_{j \in J} R \rightarrow F \rightarrow 0$  (taking global sections)

by exact functor from 6.4:  $\bigoplus_{i \in I} \mathcal{O}_X \rightarrow \bigoplus_{j \in J} \mathcal{O}_X \rightarrow F \rightarrow 0$  exact  $\parallel$   $F \cong \tilde{M}$  by uniqueness of cokernels up to iso:

$\bigoplus_{i \in I} \tilde{R} \rightarrow \bigoplus_{j \in J} \tilde{R} \rightarrow \tilde{M} \rightarrow 0$  exact  $\parallel$   $F \cong \tilde{M}$

$\Leftarrow$   $F = \tilde{M}$ : pick  $J =$  set of generators  $m_j$  for  $R$ -mod  $M$  (e.g.  $J = M$ )

pick  $I = \dots, k_i, \dots$   $\text{Ker}(\bigoplus_{j \in J} R \rightarrow M)$  apply  $\sim$  to  $\bigoplus R \rightarrow \bigoplus R \rightarrow M \rightarrow 0$ .  $\Leftarrow$  send 1 in  $i$ -th copy of  $R$  to  $m_j$

Cor For any scheme  $X$ ,  $\text{FEQCoh}(X) \Leftrightarrow \forall x \in X \exists$  affine open  $x \in U \cong \text{Spec } R, F|_U \cong \tilde{M}$  some  $R$ -mod

$\text{FCoh}(X) \Leftrightarrow$  in addition require  $M$  is coherent  $R$ -mod

$\cdot M$  finitely generated  $\cdot \text{Ker}(R^n \xrightarrow{f} M)$  is f.g., any  $n \in \mathbb{N}$   $\cdot$  Idea: want  $\forall$  f.g. submod of  $M$  to have finite presentation, indeed get exact sequence  $R^m \xrightarrow{g} R^n \xrightarrow{f} \text{Im } g \rightarrow 0$  map to gens. of  $\text{Im } g$

Rmk If  $R$  Noeth., coherent = f.g. (since  $R^n$  f.g., so its submod are f.g. as  $R$ -Noeth.)  $\Rightarrow \mathcal{O}_X$  is coherent

Example  $X$  loc. Noeth. scheme  $\Rightarrow$  ideal sheaf of any closed subsch. is coherent.

Rmk For any scheme  $X$ ,  $\text{FEQCoh}(X) \Leftrightarrow \exists$  affine open cover  $X = \cup U_i$  s.t.  $F|_{U_i} \cong \tilde{M}_i$  for  $R_i$ -mods  $M_i$   $\text{FCoh}(X) \Leftrightarrow$  " and  $M_i$  coherent. (MLOG:  $R_i = \mathcal{O}_X(U_i), M_i = F(U_i)$ )

Rmk restriction to open  $V \subseteq X$ :  $\text{QCoh}(X) \rightarrow \text{QCoh}(V), \text{Coh}(X) \rightarrow \text{Coh}(V)$

Pf  $x \in V \cap U = \cup D_{f_i}$  for  $f_i \in R$  then  $F|_U \cong \tilde{M} \Big|_{D_{f_i}} \cong \tilde{M}_{f_i}$  (and use fact that localization preserves "coherent" property) so again locally module.  $\square$  (Example in 6.8)

Fact " $\Leftarrow$ " holds also if just assume  $\mathcal{O}_X$  is coherent

now weaken this condition by dropping finiteness

where the morph of  $\mathcal{O}_X$ -mods

locally generated by sections

locally generated by sections

(taking global sections)

by uniqueness of cokernels up to iso:

send 1 in  $i$ -th copy of  $R$  to  $m_j$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

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send 1 in  $i$ -th copy of  $R$  to  $k_i$

send 1 in  $i$ -th copy of  $R$  to  $k_i$

Why is quasi-coherence a good notion?

Rings  $\rightarrow \text{Aff}$ ,  $R \rightarrow \text{Spec}(R)$ ,  $\theta_{\text{Spec}(R)}$  equivalence of cats

$R\text{-Mods} \rightarrow \theta_{\text{Spec}(R)}\text{-Mods}$ ,  $M \mapsto \tilde{M}$  not equivalence of cats

Example  $X = \text{Spec } k[x] = \mathbb{A}^1_k$ , skyscraper sheaf at  $0: F(U) = \begin{cases} k[x] & \text{if } 0 \in U \\ 0 & \text{else} \end{cases}$

$\Rightarrow$  if the above were an equivalence of cats, then  $F \cong \tilde{M}$  some  $k[x]\text{-mod } M$

so  $k[x] = F(X) \cong \tilde{M}(X) = M$ . But  $\tilde{k[x]} = \theta_X$  is not isomorphic to  $F$ !

Solution restrict which  $\theta_X\text{-mods}$  you allow: want them locally to look like  $\tilde{M}$ , just like when we studied sheaves of ideals that locally look like  $\tilde{I}$

Will show later:  $\boxed{\text{For } X = \text{Spec } R: R\text{-Mods} \rightarrow \text{QCoh}(X) \text{ equivalence of categories}}$

7.2 Overview of general properties of QCoh(X) and Coh(X) for X scheme

1)  $\text{Coh}(X)$  abelian category, and  $\text{Coh}(X) \xrightarrow{\text{incl}} \theta_X\text{-Mod}$   
 $\text{QCoh}(X) \xrightarrow{\text{incl}} \theta_X\text{-Mod}$  are exact functors

In particular can take  $\text{Ker}, \text{Coker}$  image in both (not in  $\text{Vect}(X)$ )  $\leftarrow$  easy for QCoh since locally hom of mods  $M \rightarrow N$  so take  $\sim$  of  $\text{Ker}, \text{Coker}$  in  $\text{Vect}(X)$

2)  $0 \rightarrow F_1 \rightarrow F_2 \rightarrow F_3 \rightarrow 0$  exact in  $\theta_X\text{-Mods}$ .

Two of the  $F_i \in \text{QCoh}(X) \Rightarrow$  all three are. Same holds for  $\text{Coh}(X)$  (not for  $\text{Vect}(X)$ )

Trick  $0 \rightarrow F_1 \rightarrow F_2 \rightarrow F_3$  exact, and  $F_2, F_3$  are, then  $F_1$  is. (Pf.  $F_i \cong \text{Ker}(F_2 \rightarrow F_3)$ , use (1.1.1))

3) Can take finite  $\oplus, \otimes_{\theta_X}, \text{Hom}_{\theta_X(\cdot, \cdot)}$  in  $\text{QCoh}(X), \text{Coh}(X)$  and  $\text{Vect}(X)$

4) Gabriel-Rosenberg thm  $\leftarrow$  for QCoh,  $\text{Hom}_{\theta_X}(F, G)$  need assume  $F$  loc. finitely presents

$X$  quasi-compact & separated (e.g. variety)  $\Rightarrow X$  is determined up to iso by  $\text{QCoh } X$ !

5)  $X$  loc. Noeth. scheme,  $Z \subset X$  closed subsc  $\Rightarrow 0 \rightarrow \mathcal{I}_Z \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_Z \rightarrow 0$  exact in  $\text{Coh}$

finite type subsheaf  $F \subseteq G, G \in \text{Coh}(X) \Rightarrow F \in \text{Coh}(X)$   $\leftarrow$  combine to prove kernels exist in Coh

$\varphi: F \rightarrow G, G \in \text{Coh } X, F$  finite type  $\Rightarrow \text{Ker } \varphi$  finite type

$\varphi: F \rightarrow G, G \in \text{Coh } X, \varphi_x: F_x \rightarrow G_x$  injective  $\Rightarrow \varphi|_U: F|_U \rightarrow G|_U$  inj. some open  $U \in U$

Hwk 4: Picard group  $\text{Pic}(X) = \{ \text{isomorphism classes of invertible sheaves} \}$  live proved in case  $F$  in Pf. clai in Sec. 6.1

group operation is  $\cdot \otimes_{\theta_X}$  (abelian group as  $F \otimes_{\theta_X} G \cong G \otimes_{\theta_X} F$ )

7.3 Pullback preserves quasi-coherence  $\leftarrow$  Without this can fail e.g.  $f^* \theta_Y = \theta_X$  so if  $\theta_Y \text{ coh}, \theta_X$  not coh, then fail

$f: X \rightarrow Y$  morph. ringed spaces  $\Rightarrow f^*: \text{Coh } Y \rightarrow \text{Coh } X$ .

Claim  $f^*: \text{QCoh}(Y) \rightarrow \text{QCoh}(X)$ . If  $X$  loc. Noeth. scheme  $\Rightarrow f^*: \text{Coh } Y \rightarrow \text{Coh } X$ .

Pf. If  $\bigoplus_{i=1}^n \theta_Y|_U \rightarrow \bigoplus_{j=1}^m \theta_Y|_U \rightarrow G|_U \rightarrow 0$  exact  $(f_x \in U \in Y \text{ open})$   $\Rightarrow f^* \bigoplus_{i=1}^n \theta_Y|_U \rightarrow f^* \bigoplus_{j=1}^m \theta_Y|_U \rightarrow f^* G|_U \rightarrow 0$  exact

apply  $g^*$  where  $g = f|_{f^{-1}U}: f^{-1}U \rightarrow U$ , using  $g^*$  right exact & commutes with  $\otimes$

$\bigoplus_{i=1}^n \theta_X|_{f^{-1}U} \rightarrow \bigoplus_{j=1}^m \theta_X|_{f^{-1}U} \rightarrow f^* G|_{f^{-1}U} \rightarrow 0$  exact, and  $x \in f^{-1}U$  open.  $\leftarrow$  using  $X$  loc. Noeth.

$F \in \text{Coh}(Y) \Rightarrow F$  locally finitely presented  $\Rightarrow f^* F$  loc. finitely presented  $\Rightarrow f^* F \in \text{Coh } X$

7.4 Push-forwards for X Noetherian  $\leftarrow$  issue is  $f^{-1}$  affine need not be affine for affine morphisms get result by Sec. 6

Claim  $f_*: X \rightarrow Y$  morph of schemes,  $X$  Noetherian  $\Rightarrow f_*: \text{QCoh } X \rightarrow \text{QCoh } Y$

Pf.  $0 \rightarrow F \rightarrow \Gamma F|_U \rightarrow \Gamma F|_{U_{ijk}} \rightarrow 0$  exact by sheaf property, where  $X = \cup U_i$  affine open cover

Recall  $f_*$  left-exact & commutes with limits e.g. with  $\Gamma \Rightarrow 0 \rightarrow f_* F \rightarrow \Gamma f_* F \rightarrow \Gamma f_* (F|_{U_{ijk}}) \rightarrow \Gamma f_* (F|_{U_i}) \rightarrow \Gamma f_* (F|_{U_{ij}}) \rightarrow \dots$

WLOG  $Y$  open affine =  $\text{Spec } R$  (replace  $X$  by  $f^{-1}(\text{Spec } R)$ ), WLOG  $F|_{U_i} = \widetilde{F}(U_i)$ , so  $f_*(F|_{U_i}) = \widetilde{F}(U_i)$

similarly for  $U_{ijk}$ . If show  $\Gamma f_*(F|_{U_i}) = \Gamma f_*(F|_{U_{ijk}}) \in \text{QCoh}(Y)$  then  $f_* F \in \text{QCoh}(Y) \leftarrow$  Trick (6.5) in 7.2

Rmk.  $X$  Noeth.  $\Rightarrow$  quasi-compact  $\Rightarrow$  finite covers  $\Rightarrow \Gamma$  is  $\oplus$  but  $\sim$  commutes with  $\oplus$  so finally done!  $\square$

Rmk.  $X$  quasi-compact, separated  $\Rightarrow f_*: \text{Coh } X \rightarrow \text{QCoh } Y \leftarrow$  proof above but easier  $\leftarrow$   $f_*: \text{Coh } X \rightarrow \text{Coh } Y$

Non-examinable fact  $f$  proper,  $X, Y$  loc. Noeth.  $\Rightarrow f_*: \text{Coh } X \rightarrow \text{Coh } Y$   $\leftarrow$   $f_*: \text{Coh } X \rightarrow \text{Coh } Y$

otherwise in general  $f_*$  can ruin (quasi)-coherence  $\leftarrow$  e.g.  $X = \mathbb{A}^1_k \setminus 0 \xrightarrow{f} \mathbb{A}^1_k = Y$

7.5 Gluing modules  $\leftarrow$  Similar to Sec. 4.1:  $R$  ring  $\ni f_1, \dots, f_n$  s.t.  $1 \in \langle \text{all } f_i \rangle$

data:  $M_i: R\text{-mod} \leftarrow$  (so have  $M_i$  on  $D_{f_i} \in \text{Spec } R$ )  $\leftarrow$  cocycle  $(M_i|_{f_i})_{f_i} \xrightarrow{\psi_{ij}} (M_j|_{f_j})_{f_j}$

$\psi_{ij}: (M_i|_{f_i}) \rightarrow (M_j|_{f_j})$  iso of  $R_{f_i/f_j}$   $\leftarrow$  condition  $\leftarrow$   $\psi_{ij} = \psi_{ji}^{-1}$

$\psi_{ii} = \text{id}$   $\leftarrow$   $\psi_{ij} \circ \tilde{M}_i \cong \tilde{M}_j$  on  $D_{f_i f_j} \in \text{Spec } R$

Define  $M := \text{Ker} \left( \bigoplus_i M_i \xrightarrow{\varphi} \bigoplus_{ij} (M_i|_{f_i})_{f_i} \right)$   $\leftarrow$  Idea: local data which agrees on overlaps

Call  $\pi_i: M \rightarrow M_i$  the projections.  $\leftarrow$   $\pi_i: M \rightarrow M_i$  and  $\psi_{ij}: \pi_i \circ \pi_j^{-1} = \pi_j \circ \pi_i^{-1} \forall i, j$

Gluing Lemma  $\leftarrow$   $\pi_i$  induces isos  $M|_{f_i} \cong M_i$   $\leftarrow$   $\psi_{ij}: M_i \rightarrow M_j$   $\leftarrow$   $\psi_{ij} \circ \pi_i^{-1} = \pi_j \circ \pi_i^{-1} \forall i, j$

Pf. Enough to show  $\pi_i$  iso after localising at every prime  $q \in \text{Spec } R$

$\Rightarrow q = p \in R_p$  with  $f_i \notin p \in \text{Spec } R$ . By exactness of localisation  $\leftarrow$   $R_p\text{-mods}$

$(M_p)_{f_i} \cong M_p = \text{Ker} \left( \bigoplus_i (M_i)_p \xrightarrow{\varphi_p} \bigoplus_{ij} ((M_i)_p)_{f_j} \right)$

$f_i \in R_p$  is unit so WLOG replace:  $R \rightarrow R_p, M \rightarrow M_p, M_i \rightarrow (M_i)_p, f_i \rightarrow 1$ .

Abbreviate  $N = M_p$  so:  $\pi_i: N = \text{Ker} \varphi_p \cap (N \oplus_{i \neq \ell} M_i) \rightarrow N$

$\psi_{ij}: N_{f_i} \cong N_{f_j} = M_i$   $\leftarrow$   $\psi_{ij}$  is now id

WLOG  $M_i = N_{f_i}$  (identifies via  $\psi_{ij}$ ), so cocycle cond. becomes:  $N_{f_i} \xrightarrow{\psi_{ij}} (M_j)_{f_j}$

$\Rightarrow 0 \rightarrow N \xrightarrow{\text{natural}} \bigoplus_i N_{f_i} \xrightarrow{\varphi_p} \bigoplus_{ij} N_{f_i} \xrightarrow{\psi_{ij}} \bigoplus_{i \neq \ell} N_{f_i}$

$(N \rightarrow N \oplus_{i \neq \ell} N_{f_i}, n \mapsto n \oplus_{i \neq \ell} \frac{n}{f_i}) \xrightarrow{\psi_{ij}} (\bigoplus_{i \neq \ell} N_{f_i}, x_i \mapsto (\frac{x_i}{f_i} - \frac{x_j}{f_j}))$

Sub-claim This is exact ( $\Rightarrow N = \text{Ker } \varphi_p = M$ ,  $\pi_i$  iso,  $\psi_{ij} = \text{id}$  under identifications via  $\pi_i$ )

Pf. Enough to prove after localising at each max ideal  $\mathfrak{m}$   $\leftarrow$  See 3.0

By  $\oplus$  not all  $f_i \in \mathfrak{m}$  otherwise  $1 \in \langle \text{all } f_i \rangle \subseteq \mathfrak{m} \subseteq \mathfrak{m}$

Say  $f_k \notin \mathfrak{m}$ , so WLOG replace  $N \sim N_{f_k}, R \sim R_{f_k}, f_i \rightarrow 1$ :

$\Rightarrow 0 \rightarrow N \rightarrow N \oplus_{i \neq k} N_{f_i} \rightarrow \bigoplus_{ij} N_{f_i}$

clearly injective  $\leftarrow$   $n \oplus_{i \neq k} n_i \in \text{Ker}$  then  $\frac{n}{f_i} = \frac{n_i}{f_i} \in N_{f_i} = N_{f_i}$   $\forall i$   $\square$

hence  $= n \oplus_{i \neq k} \frac{n}{f_i}$  so image of  $n$  via previous map

### 7.6 $\mathcal{QCoh}(X), \mathcal{Coh}(X), \mathcal{Vect}(X)$ for $X = \text{Spec } R$

**Theorem** For  $X = \text{Spec } R$ ,  $\exists$  equivalence of categories

$$\begin{array}{ccc} R\text{-Mod} & \xrightarrow{\quad} & \mathcal{QCoh}(X) \\ M & \xrightarrow{\quad} & \tilde{M} \\ F(X) = \Gamma(X, F) & \xleftarrow{\quad} & F \end{array}$$

means: the two given functors compose to functors which are naturally iso to identity functors

**Pf.** Easy direction:  $M \mapsto F = \tilde{M} \mapsto F(X) = \tilde{M}(X) = M$ . Converse: given  $F$  want  $F \cong \tilde{F}$

$\Rightarrow$  locally  $\forall p \in X, \exists p \in D_f$  st.  $F|_{D_f} \cong \tilde{N}$  some  $R_f\text{-mod } N$  by Cor in 7.1 using that  $D_f$  are basis of topology and Spec quasi-compact

$\Rightarrow$  On overlaps:  $\psi_{ij} : (N_i)_{f_j} \cong (N_j)_{f_i} \xrightarrow{\psi_{ij}} F|_{D_{f_i f_j}} \cong F|_{D_{f_j f_i}}$  satisfy cocycle condition since  $(N_i)_{f_j f_i}$  and other two are identified with  $F|_{D_{f_i f_j f_i}}$

$\Rightarrow$  by gluing them  $\exists M$  with  $M_{f_i} = N_i$  compatibly with the  $\psi_{ij}$

But then  $\tilde{M}, F$  have isomorphic local gluing data for cover  $X = D_{f_1} \cup \dots \cup D_{f_n}$  so  $\tilde{M} \cong F$   
 (Explicitly:  $m \in M \mapsto m_i = \frac{m}{f_i} \in M_{f_i} = N_i \xrightarrow{\psi_i} F|_{D_{f_i}} = F$  and  $s_i D_{f_i} = s_j D_{f_j}$  so globalizes to unique  $s \in F(X)$ . Recall  $M \rightarrow F(X)$  determines  $\tilde{M} \rightarrow F$  by Sec. 6.9)

**Cor**  $X = \text{Spec } R: F \in \mathcal{Coh} X \Leftrightarrow F = \tilde{M}$  for coherent module  $M$  (and if  $R$  Noeth., get:  $F(X) \cong F(X)$  f.g.  $R$ -mod)

**Pf**  $F = \tilde{F}(X)$  by Theorem. In definition of coherent take global sections  $\Rightarrow F(X)$  coherent  $R$ -mod and conversely, if  $M$  coherent get  $\tilde{M}$  coherent since  $\sim$  is exact & fully faithful.  $\square$

**Fact**  $X = \text{Spec } R: F \in \mathcal{Vect} X \Leftrightarrow F = \tilde{M}$  for f.g. flat  $R$ -mod  $\Leftrightarrow$  f.g. projective  $R$ -mod

**7.7 Flatness**

**Def**  $F$  is flat  $\mathcal{O}_X$ -mod if  $F \otimes_{\mathcal{O}_X} \cdot$  is exact  
 so  $\Leftrightarrow F_x$  flat  $\mathcal{O}_{X,x}$ -mod  $\forall x$ .  
 means in  $R$ -mods Hom  $(M, \cdot)$  exact  $(\Leftrightarrow M$  is a direct sum of some free  $R$ -mod)

**Example**  $U \rightarrow X$  open subsch.  $\Rightarrow i_* \mathcal{O}_U$  is flat  $\mathcal{O}_X$ -mod  
 since exactness can be checked on stalks  $\leftarrow$  stalk is either  $0$  or  $\mathcal{O}_{X,x}$  and  $\mathcal{O}_{X,x} \otimes_{\mathcal{O}_{X,x}} \cdot = \text{id}$

**RMK** Morph of schemes  $f: X \rightarrow Y$  is flat  $\Leftrightarrow \mathcal{O}_X$  flat  $f^{-1} \mathcal{O}_Y$ -module  $\leftarrow$  since recall  $(f^{-1} \mathcal{O}_Y)_x = \mathcal{O}_{Y, f(x)}$

**Claim**  $f: X \rightarrow Y$  flat  $\Rightarrow f^*: \mathcal{O}_Y\text{-Mod} \rightarrow \mathcal{O}_X\text{-Mod}$  is exact (not just right exact)

**Pf**  $f^{-1}$  is exact  $\Rightarrow \mathcal{O}_Y\text{-Mod} \xrightarrow{f^{-1}} f^{-1} \mathcal{O}_Y\text{-Mod}$  exact,  $F \mapsto f^{-1} F$

$\mathcal{O}_X$  exact by RMK  $\Rightarrow f^* F = f^{-1} F \otimes_{f^{-1} \mathcal{O}_Y} \mathcal{O}_X$  is composite of two exact functors  $\square$   
 (see in sec. 3.6)

**Facts**  $\cdot$  free  $\Rightarrow$  flat  
 $\cdot$  Can take  $\oplus$  of flat mods  $F_1, F_2$   
 $\cdot \mathcal{O} \rightarrow F_1 \rightarrow F_2 \rightarrow F_3 \rightarrow \mathcal{O}$  exact: outer two or last two flat  $\Rightarrow$  all flat  
 $\cdot \dots \rightarrow F_2 \rightarrow F_1 \rightarrow F_0 \rightarrow F \rightarrow \mathcal{O}$  exact, all flat  $\Rightarrow$  " (so "flat resolution of flat  $\mathcal{O}_X\text{-mod } F$ " )

**Combine** (break into SES's, show images  $(F_n \rightarrow F_{n-1})$  flat)  $\Rightarrow$  sequence  $\otimes$  any  $\mathcal{O}_X\text{-mod } G$  is exact

### 8. Čech Cohomology

#### 8.1 Čech complex

$X$  top. space,  $X = \cup U_i$  open cover

$U_I = U_{i_0} \cap \dots \cap U_{i_n}$  for  $I = (i_0, \dots, i_n)$  multi-index, abbreviate  $|I| = n$   $\leftarrow$  ordered, allow repetitions side is actually  $n+1$

$F \in \text{Ab}(X)$

$$C^n = \prod_{|I|=n} \check{C}^n_{\{U_i\}} = \prod_{|I|=n} \Gamma(U_I, F)$$

$d = d^n: C^n \rightarrow C^{n+1}$

$$(ds)_I = \sum_{j=0}^{n+1} (-1)^j s_{I_j} |_{U_I}$$

$\in F(U_I)$  so sum makes sense.

**Example**  $C^0 = \prod_i \Gamma(U_i) \xrightarrow{d} \prod_{i,j} \Gamma(U_{ij}) = C^1$

$$(s_i) \mapsto (s_j |_{U_{ij}} - s_i |_{U_{ij}}) |_{U_{ij}}$$

$$C^1 = \prod_{i,j} \Gamma(U_{ij}) \xrightarrow{d} \prod_{i,j,k} \Gamma(U_{ijk}) = C^2$$

$$(s_{ij}) \mapsto (s_{jk} |_{U_{ijk}} - s_{ik} |_{U_{ijk}} + s_{ij} |_{U_{ijk}}) |_{U_{ijk}}$$

**Claim**  $d^2 = 0$ , so  $(C^*, d)$  is a complex

**Pf**  $(d ds)_J = \sum_{k=0}^{n+2} (-1)^k (ds)_{J_k} |_{U_J} = \sum_{k=0}^{n+2} \left( \sum_{j < k} (-1)^j s_{j k} \right) |_{U_J} + \sum_{j > k} (-1)^{k+j} s_{j k} |_{U_J}$

$= 0. \square$   $\leftarrow$  anti-symmetry if swap  $j, k$  (notice full sum is over all  $j \neq k$ )

**Def**  $H^n(X, F) = H^n_{\{U_i\}}(X, F) = \text{Ker } d^n / \text{Im } d^{n-1}$

**Lemma**  $H^0(X, F) = \Gamma(X, F)$

**Pf**  $s_j |_{U_{ij}} = s_i |_{U_{ij}}$  says  $s$  glues to global section.  $\square$

**Terminology** 1) Hom of complexes  $f: C^n \rightarrow C^n$  is chain map if  $f \circ d = d \circ f$

2)  $f = g: H^n \rightarrow H^n \leftarrow (dc = 0 \Rightarrow [fc - gc] = [dkg] = 0)$  well-defined  $\leftarrow [c] = [c + db]$  but  $[Fdc] = [dFg] = 0$

**Consequences:** 1)  $f: H^n \rightarrow H^n$  via  $f[c] = [fc]$  well-defined

2)  $f = g: H^n \rightarrow H^n \leftarrow (dc = 0 \Rightarrow [fc - gc] = [dkg] = 0)$

**Key trick** To show  $H^k = 0$  can find chain homotopy between  $\text{id}$  and  $0$ . i.e.  $C^*$  is exact, also called acyclic

if you took C3. Algebraic Top. notice similar to simplicial differential

$\leftarrow$  (can depend on choice of  $U_i$ )

$\leftarrow$  since  $i_{j,k}$  missing in  $J_k$

$\leftarrow$  since  $i_{j,k}$  missing in  $J_k$

$\leftarrow$  since  $i_{j,k}$  missing in  $J_k$

$\leftarrow$  since  $i_{j,k}$  missing in  $J_k$

$\leftarrow$  since  $i_{j,k}$  missing in  $J_k$

8.2 Čech complex with ordering  
 e.g. if  $X$  quasi-compact

Repetitions of indices are annoying since  $C^n \neq 0$  all  $n \geq 0$  even if finite #  $U_i$   
 Trick pick total ordering on indices  
 $C_n^+ = 0$  for  $n > 0$  but only allow  $I = (i_0, \dots, i_n)$  if  $i_0 < i_1 < \dots < i_n$ ,  $d$  as before  
 $H_n^+ = 0$  for  $n \geq 1$

$C_n^+ \subseteq C_n$  subcomplex  
 Claim  $H_n^+ \cong H_n$

Non-examinable Proof ("Serre's Trick")  
 I'm doing a hands-on proof based on Serre "FAC" 1955 sec. 20, p. 214  
 Statement "Morse's Fubini" 1958 p. 60  
 Eilenberg & Steenrod "Foundations of Alg. Top." 1952, VI. 6

Let  $S_* =$  free abelian group generated by all index sets  $I$ , so:  $S_n = \langle I : |I| = n \rangle$   
 Differential:  $\partial I = \sum (-1)^j I_j$  so  $\partial : S_n \rightarrow S_{n-1}$   
 $S_*^+$  = subgroup generated by strictly ordered index sets  $I$   
 (I is really a function  $\{0, 1, \dots, n\} \rightarrow \{i_0, \dots, i_n\}$ )  
 (so strictly increasing function for chosen total order on set)

Step 1  $S_*, S_*^+$  are acyclic  
 $\ell =$  minimal index  
 Pf:  $h : S_*^+ \rightarrow S_*^+$ ,  $h(I) = \begin{cases} (\ell, I) & \text{if } \ell \neq i_0 \\ 0 & \text{if } \ell = i_0 \end{cases}$   
 $\Rightarrow I = (\partial k + k) \in I$ . Exercise: check same holds if  $\ell = i_0$ .  
 $\Rightarrow id - 0 = \partial h + h \partial$  For  $S_*$  it is even easier:  $h(I) = (\ell, I)$  works.  $\square$

Step 2  $f(I) := \begin{cases} 0 & \text{if } \exists \text{ repeated indices in } I \\ \text{sign}(\sigma) \cdot \sigma(I) & \text{otherwise, where } \sigma \text{ unique permutation s.t. } \sigma I \text{ ordered} \end{cases}$   
 $\Rightarrow f$  chain map,  $f \circ id = id \circ f$  on  $S_*$ ,  $f \circ \partial = \partial \circ f$  (i.e.  $f$  is id on  $S_*^+$ ,  $f$  is a projection to  $S_*^+$ )  
 Pf:  $\sigma(I) \in S_*^+$  and if  $I$  is ordered then  $\sigma = id$ . On  $S_*$ :  $f((i_0)) = (i_0)$ .

$\partial f I = \sum (-1)^j \text{sign}(\sigma) \sigma(I)_j \leftarrow$  for  $k = \sigma^{-1}(j)$  get same set,  $\text{sign}(\sigma) = \text{sign}(\tau) \cdot (-1)^{k-j}$  since  $f \partial I = \sum (-1)^k \text{sign}(\tau) \tau(I)_k$   
 $\sigma$  does an extra  $k-j$  transpositions to move  $j$  to position  $k$

Step 3 General trick:  $C_*$  free acyclic complex, a chain map  $f : C_* \rightarrow C_*$  is  $id : C_0 \rightarrow C_0$   
 then  $f, id$  are chain homotopic:  $\exists k : C_n \rightarrow C_{n+1}$  with  $f - id = \partial k + k \partial$   
 Pf: Build  $k$  inductively by equation  $\partial_{n+1} \circ k_n = f_n - id - k_{n-1} \partial_n$

$C_0 \xleftarrow{\partial_1} C_1 \xrightarrow{f_1} C_0$  want  $\partial_1 k_0 = 0$  but:  $C_0 \xrightarrow{f_0} C_0$  but:  $f_0 = id$   
 $C_1 \xleftarrow{\partial_2} C_2 \xrightarrow{f_2} C_1$   $C_1 \xrightarrow{f_1} C_0$   $C_0 \xleftarrow{\partial_1} C_1$   
 $0 = C_{-1} \xrightarrow{\partial_0} C_0 \xleftarrow{\partial_1} C_1$  since  $C_*$  exact  
 Trick: pick basis for  $C_0$ , pick such  $c_1$  for each basis element  $c_0$ , define  $k_0 c_0 = c_1$

$C_{n-2} \xleftarrow{\partial_{n-1}} C_{n-1} \xrightarrow{f_{n-1}} C_{n-2}$  assume by induction:  $\partial_n k_{n-1} = f_{n-1} - id - k_{n-2} \partial_{n-1}$   
 $f_{n-2} \downarrow k_{n-2} \downarrow f_n \downarrow k_{n-1} \downarrow f_n$   $\partial_n (f_n - id - k_{n-1} \partial_n) = f_{n-1} \partial_n - \partial_n - (\partial_n k_{n-1}) \partial_n$   
 $C_{n-2} \xleftarrow{\partial_{n-1}} C_{n-1} \xrightarrow{f_{n-1}} C_{n-2}$   $C_n \xrightarrow{f_n} C_{n-1}$   $C_{n-1} \xleftarrow{\partial_n} C_n$   
 $\circledast \rightarrow = f_{n-1} \partial_n - \partial_n - (f_{n-1} - id - k_{n-2} \partial_{n-1}) \partial_n$   
 $= 0$  since  $\partial \partial = 0$   
 $\hookrightarrow C_*$  exact  $\Rightarrow$  get equation for  $n+1$

$\Rightarrow \exists C_{n+1}$  with  $(f_n - id - k_{n-1} \partial_n) C_n = \partial_{n+1} C_{n+1}$ . Repeat trick:  $k_n(C_n) := C_{n+1}$  for basis elts  $c_n$  of  $C_n$   
Step 4 chain maps/homotopies on  $S_*, S_*^+$  induce corresponding chain maps/homs on  $C_*, C_*^+$   
 Pf: If  $\varphi(I) = \sum n_{II} \cdot I$ ,  $n_{II} \in \mathbb{Z}$  then define  $(\check{\varphi}(s))_I = \sum n_{II'} \cdot s \cdot I_{II'}$   
 (check hom on  $S_*$  or  $S_*^+$ ) (check hom on  $C_*$  or  $C_*^+$  respectively)

Example  $d = \check{\varphi}$ , and for  $f$  of Step 2:  $(\check{f}(s))_I = \sum \text{sign}(\sigma) \cdot s \cdot I_{II'}$  if  $\sigma$  repeated indices in  $I$   
 Conclusion:  $\check{f} : C_* \rightarrow C_*$  chain map to  $id$  and surjects onto  $C_*^+ \Rightarrow [\check{f}] = id : H_*^+ \rightarrow H_*^+$  hence  $H_*^+ \cong H_*$  (since  $H_*^+ \cong H_*^+$ )

Cor  $H_*^+$  is independent of choice of total ordering on set of indices (since  $H_*^+ \cong H_*^+$ )  
 Example  $X = \mathbb{P}_k^n$  with cover by  $N = n+1$  affine sets  $U_i \cong \mathbb{A}_k^n$  (Hwk 2)

8.3 Affines have no cohomology except  $H^0$   
 (compare  $H^*(\mathbb{A}^n) = 0$  for  $* \geq 1$  in algebraic topology)

Theorem  $X = \text{Spec } R$   
 $F \in \text{QCoh}(X)$   
 $X = \cup U_i$  finite affine open cover  
 $\Rightarrow H^n(X, F) = 0$  for  $n \geq 1$

Pf  $X$  separated  $\Rightarrow U_I$  all affine (Sec. 5.3, 8)  
 Easy case: Minimal index  $I$  satisfies  $U_I = X$

chain homotopy:  $(h \cdot s)_I = \begin{cases} 0 & \text{if } i_0 = \ell \\ s_{\ell, I} & \text{if } i_0 \neq \ell \end{cases}$   
 for  $I$  with  $i_0 \neq \ell$

$(d(hs))_I = \sum (-1)^j (hs)_{I_j} = \sum (-1)^j s_{\ell, I_j} \Rightarrow id = d h + h d$   
 (Exercise check case  $I = \{\ell, i_1, \dots\}$  also works.)  
 $(h(ds))_I = (ds)_{\ell, I} = s_I + \sum (-1)^{j+1} s_{\ell, I_j} \Rightarrow$  Key Trick (Sec. 8.1)

General case  
 $X = \text{Spec } R = \cup U_i, U_i = \text{Spec } R_i$

By easy case, know result for space  $U_\ell$  with covering  $\cup (U_\ell \cap U_{i_j})$ , for minimal  $\ell$ .  
 Ordering of indices does not affect  $H^*$ , so know result for any  $\ell$  by Cor of 8.2

Reduce to claim: if  $C^*$  exact when restrict to  $U_i \forall i$ , then  $C^*$  exact  
 $F \in \text{QCoh}(X), U_I$  affine say  $\text{Spec } R_I \xrightarrow{7.6} F|_{U_I} \cong \tilde{M}_I$  some  $R_I$ -module  $M_I$

$C^n = \prod_{|I|=n} F(U_I, F) = \prod_{|I|=n} M_I$  finite product so  $= \bigoplus$  (in particular, an  $R$ -mod) (since  $R \rightarrow R_I$  from  $U_I \rightarrow X$ )  
 $\Rightarrow C^0 \xrightarrow{d} C^1 \xrightarrow{d} C^2 \rightarrow \dots$  is a complex of  $R$ -mods  
 and by assumption of exactness on  $U_i$  have:  $\leftarrow$  using  $F_I|_{U_i} = M_I|_{U_i} \cong M_I \otimes_R R_i$  by 6.8 and  $\bigoplus R_i = \bigoplus \mathbb{A}_i$ :  $\checkmark$  so P.E.U.: some  $U_i$  cover  $X$

$C^0 \otimes_R R_i \rightarrow C^1 \otimes_R R_i \rightarrow \dots$  exact  $\forall i$   
 $\Rightarrow$  localising further by  $\otimes_R (R_i)$  get exactness of localisation of  $C^*$  at each  $P \in \text{Spec } R$ .  
 $\Rightarrow$  by Sec. 3.0 deduce exactness of  $C^*$ .  $\square$

8.4 Independence of cover  
 $F \in \text{QCoh}(X)$

Theorem  $X$  separated, quasi-compact  $\Rightarrow H^*(X, F)$  independent of choice of finite affine open cover

Pf will use ordered Čech cohomology.  
 $X$  separated  $\Rightarrow \bigcap_{\text{finite covers}} \text{affines is affine (Sec. 5.3, 8)}$

$X = \cup U_i, X = \cup V_j$  take mixed intersections:  $C^{n,m} = \prod_{|I|=n} \prod_{|J|=m} \Gamma(U_I \cap V_J, F)$   
 $C^{n,0} \cong \prod_{|I|=n} \check{C} \{V_j \cap U_I\} (F|_{U_I})$  finite affine cover of the "bi-complex"  
 $C^{0,m} \cong \prod_{|J|=m} \check{C} \{U_i \cap V_J\} (F|_{V_J})$  affine  $U_i$  so by 8.3  $H^0 = 0$   
 $\Rightarrow$  rows & columns are exact except for degree 0:  
 $H^0(C^{n,i}) = \prod_{|I|=n} \Gamma(U_I, F) = \check{C} \{U_i\} (F)$   
 $H^0(C^{i,m}) = \prod_{|J|=m} \Gamma(V_J, F) = \check{C} \{V_j\} (F)$

$C^{0,2} \rightarrow C^{1,2} \rightarrow C^{2,2} \rightarrow \dots$   
 $\uparrow \quad \uparrow \quad \uparrow$   
 $C^{0,1} \rightarrow C^{1,1} \rightarrow C^{2,1} \rightarrow \dots$   
 $\uparrow \quad \uparrow \quad \uparrow$   
 $C^{0,0} \rightarrow C^{1,0} \rightarrow C^{2,0} \rightarrow \dots$



### General fact from homological algebra

$C_{ij}^{(n)}$  bi-complex,  $H_i(C_{j,m}^{(n)}) = 0 \forall i > 0, \forall n$   
 $\Rightarrow H^0(C_{j,m}^{(n)}) = H^0(C_{j,m}^{(n)}) = H^0(B)$  with iso coboundaries  
 $\Rightarrow H^0(C_{j,m}^{(n)}) = H^0(B)$

**Sketch pf**  

$$\begin{array}{ccccccc} \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \end{array}$$

Now rows & cols are exact, so can diagram chase, and get a zig-zag:  

$$\cdots \rightarrow H^0(C_{j,m}^{(n)}) \rightarrow H^0(C_{j,m-1}^{(n)}) \rightarrow H^0(C_{j,m-2}^{(n)}) \rightarrow \cdots$$

### 8.5 Induced LES on $\check{H}^*$

Recall  $\Gamma(X) : Ab(X) \rightarrow Ab$  is always left exact (Sec. 1.9)

**Lemma**  $U$  open affine  $\subseteq$  scheme  $X \Rightarrow \Gamma(U, \cdot) : Qcoh(X) \rightarrow Qcoh(\text{space})$  is exact and faithful

**Pf** Given  $F_1 \rightarrow F_2 \rightarrow F_3$  exact. Exactness is local condition (indeed stalks)

$$\begin{array}{ccccccc} \Gamma(X, F_1) & \rightarrow & \Gamma(X, F_2) & \rightarrow & \Gamma(X, F_3) & \rightarrow & \cdots \\ \parallel & & \parallel & & \parallel & & \\ H^0(X, F_1) & \rightarrow & H^0(X, F_2) & \rightarrow & H^0(X, F_3) & \rightarrow & \cdots \end{array}$$

**Claim**  $0 \rightarrow F_1 \rightarrow F_2 \rightarrow F_3 \rightarrow 0$  SES in  $Qcoh(X) \Rightarrow$  get LES

**Pf**  $0 \rightarrow F_1 \rightarrow F_2 \rightarrow F_3 \rightarrow 0$  exact by Lemma.  
 $\Rightarrow 0 \rightarrow \check{C}^*(F_1) \rightarrow \check{C}^*(F_2) \rightarrow \check{C}^*(F_3) \rightarrow 0$  exact, claim follows

### 8.6 Dealing with infinite covers

**A refinement of an open cover**  $X = \cup U_i$  is an open cover  $X = \cup V_j$  s.t.  $V_j \subseteq U_i$  some  $i$

**Make choices**  $\Rightarrow$  restrictions  $F(U_{ij}) \rightarrow F(V_j) \Rightarrow \check{C}_{\{V_j\}}(X, F) \rightarrow \check{C}_{\{U_i\}}(X, F)$  chain map

**Fact**  $\check{H}_{\{U_i\}}^*(X, F) \rightarrow \check{H}_{\{V_j\}}^*(X, F)$  does not depend on choices made (Serre, FAC, Sec. 2)

**Def**  $\check{H}^*(X, F) = \varinjlim \check{H}_{\{U_i\}}^*(X, F)$

**Non-examinable Rmk** For any topological space homotopy equivalent to a CW complex (e.g. any manifold)  $\check{H}^*(X, A) \cong H^*(X, R)$  = singular cohomology of  $X$  with coefficients in  $A$

**Rmk**  $X$  affine scheme  $\Rightarrow$  can use finite covers by basic affine opens, and can refine any cover by such a cover

**Cor** Theorem in 8.3 holds  $\forall$  cover (using definition)

**Rmk**  $X$  separated quasi-compact sch.  $\Rightarrow$  can calculate with finite affine covers

**Cor** Theorem 8.4  $\Rightarrow$  maps in  $\varinjlim$  for such covers are isos  $\Rightarrow$  can calculate with one cov

### 8.7 Application: line bundles and $\check{H}^1(X, \mathcal{O}_X^*)$

$X$  scheme,  $F \in \text{Vect}(X)$   
 $\Rightarrow \exists$  open cover  $X = \cup U_i$  with  $F|_{U_i} \cong \mathcal{O}_{U_i}^{\oplus n_i}$  some  $n_i \in \mathbb{N}$

and can compare trivializations on overlaps:

$$F|_{U_{ij}} \cong \mathcal{O}_{U_{ij}}^{\oplus n_i} \xrightarrow{\varphi_i} \mathcal{O}_{U_{ij}}^{\oplus n_i} \xrightarrow{\alpha_{ij}} \mathcal{O}_{U_{ij}}^{\oplus n_j} \cong F|_{U_{ij}}$$

$\alpha_{ij}$  called transition maps  $\mathcal{O}_{U_{ij}}$ -module iso described by an invertible  $n_j \times n_i$  matrix with entries in  $\mathcal{O}_{U_{ij}}(U_{ij})$

(see sec. 6.2:  $\text{Hom}(\mathcal{O}_X^{\oplus n_i}, \mathcal{O}_X^{\oplus n_j}) \cong \Gamma(X, \mathcal{O}_X^{\oplus n})$ )

$\Rightarrow n_i = n_j$  if  $U_{ij} \neq \emptyset$ , so the rank of  $F$  is locally constant.

Conversely, given such data  $\varphi_i, \alpha_{ij}$  satisfying the cocycle condition  $\alpha_{jk} \circ \alpha_{ij} = \alpha_{ik}$  on  $U_{ijk}$  determines by giving a vector bundle.

This is the actual definition of vector bundle in terms of compatible local trivializations.

**Def**  $\mathcal{O}_X^* \subseteq \mathcal{O}_X$  sheaf of invertible functions. So  $\mathcal{O}_X^*(U) = \{f \in \mathcal{O}_X(U) : \exists g \in \mathcal{O}_X(U) \text{ s.t. } f \cdot g = 1\}$

**Theorem** {isomorphism classes of line bundles}  $\xleftrightarrow{1:1} \check{H}^1(X, \mathcal{O}_X^*)$  that admit a trivialization over  $U_i$

and  $\text{Pic}(X) \cong \check{H}^1(X, \mathcal{O}_X^*)$  as groups.

**Pf**  $\alpha_{ij} : \mathcal{O}_{U_{ij}} \rightarrow \mathcal{O}_{U_{ij}}$  given by multiplication by element  $\in \mathcal{O}_{U_{ij}}^*$

tensoring line bundles that admit a trivialization on  $U_{ij} : \mathcal{O}_{U_{ij}} \otimes \mathcal{O}_{U_{ij}} \xrightarrow{\alpha_{ij} \otimes \beta_{ij}} \mathcal{O}_{U_{ij}} \otimes \mathcal{O}_{U_{ij}} \cong \mathcal{O}_{U_{ij}}$

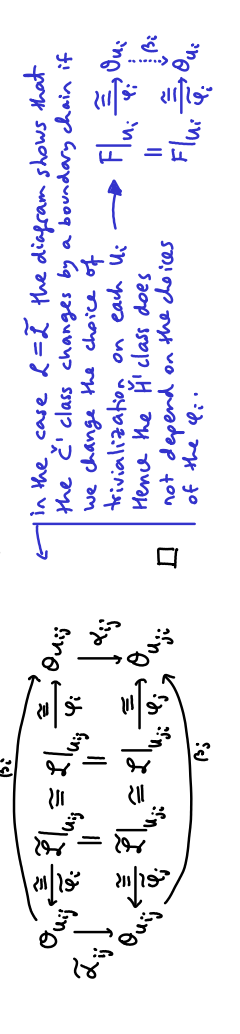
Cocycle condition can be rewritten:  $\alpha_{jk} \cdot \alpha_{ik}^{-1} \alpha_{ij} = 1$  (multiplication by  $\alpha_{ij} \cdot \alpha_{ij}^{-1} \in \mathcal{O}_{U_{ij}}^*$ )

(which is the statement  $s_{jk} - s_{ik} + s_{ij} = 0$  in multiplicative notation)

$\Rightarrow (\alpha_{ij}) \in \check{H}^1(X, \mathcal{O}_X^*)$

In  $\check{H}^1$  we identify  $[(\alpha_{ij})] = [(\alpha'_{ij})] \iff \alpha_{ij} = \alpha'_{ij} \cdot \beta_{ij}$

This corresponds precisely to how the  $\check{C}^1$  class changes under an iso of line bundles  $\mathcal{L}, \mathcal{L}'$  as in claim:



Remark  $\mathcal{L}$  line bundle with transition maps  $\alpha_{ij}$  and  $\mathcal{L} \otimes \mathcal{L}^{-1} \cong \mathcal{O}_X =$  trivial line bundle

FACT line bundles on  $\mathbb{A}^n$  are always trivial  
indeed vector bundles on  $\mathbb{A}^n$  are always trivial

EXAMPLE  $\text{Pic}(\mathbb{P}^1)$

$\mathbb{P}^1_k = \mathbb{A}_0 \cup \mathbb{A}_1$   
 $\text{Spec } k[t]$

$\mathcal{L}$  line bundle on  $\mathbb{P}^1_k \Rightarrow \mathcal{L}|_{\mathbb{A}_i}$  trivial since  $\mathbb{A}_i \cong \mathbb{A}^1$   
 $(\alpha_{10} : \mathcal{L}|_{\mathbb{A}_1} \rightarrow \mathcal{L}|_{\mathbb{A}_0})^* \in k[t, t^{-1}]^* = \{ \alpha t^i : \alpha \in k^*, i \in \mathbb{Z} \}$   
 $(\beta_0 \in k[t]^* = k^*, \beta_1 \in k[t^{-1}]^* = k^*)$

$\text{Pic}(\mathbb{P}^1) \cong \check{H}^1(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}^*) \cong \mathbb{Z}$   
 $\mathcal{O}(i) \leftarrow (\alpha_{10} = t^i) \leftarrow i$

Remark  $\mathcal{O}(0) = \mathcal{O}_{\mathbb{P}^1}$  trivial line bundle.

HwK 4 Ideal sheaf of a closed point in  $\mathbb{P}^1$  is  $\cong \mathcal{O}(-1)$ , for disjoint union of  $n$  closed pts get  $\cong \mathcal{O}(-n)$

Non-examinable Remark (for differential geometers):  $i$  determines the Chern class  $c_1(\mathcal{L}) : i = \int_{\mathbb{P}^1} \mathcal{O}(i)$   
 $\mathcal{O}(-1) \rightarrow \mathbb{P}^1$  is blow-up of  $\mathbb{P}^2$  at  $0$ : the lines through  $0$  in  $k^2$  are the fibres.

Theorem  
Cartan-Rank symmetry is "Serre duality" for  $\mathbb{P}^1$ :  
1)  $\check{H}^0(\mathbb{P}^1, \mathcal{O}(i)) = \begin{cases} 0 & i < 0 \\ \{f \in k[t] : \deg f \leq i\} \cong k[x_0, x_1]_i & i \geq 0 \end{cases}$   
2)  $\check{H}^1(\mathbb{P}^1, \mathcal{O}(i)) = \begin{cases} 0 & i \geq -1 \\ k[t^{-1}] / k + t^i k[t^{-1}] \cong k[x_0, x_1]_{-i-2} & i < -1 \end{cases}$   
3)  $\check{H}^n(\mathbb{P}^1, \mathcal{O}(i)) = 0$  for  $n \geq 2$

Pf By 8.6, since  $\mathbb{P}^1$  separated & quasi-compact, enough to calculate  $\check{H}_{\{A_0, A_1\}}^*(\mathbb{P}^1, \mathcal{O}(i))$ .

3) no triple ordered overlaps or higher

1)  $\check{H}^0 = \Gamma : g(t^{-1}) \in k[t^{-1}]$  on  $A_1$ ,  $f(t) \in k[t]$  on  $A_0$ , on overlap:  $t \cdot g(t^{-1}) = f(t) \in k[t, t^{-1}]$   
 $\Rightarrow \deg f \leq i$  and  $g$  is determined by  $f$  from equation

2)  $\mathcal{L} = \mathcal{O}(i) : \Gamma(A_0, \mathcal{L}) \oplus \Gamma(A_1, \mathcal{L}) \xrightarrow{d} \Gamma(A_0 \cap A_1, \mathcal{L}) \xrightarrow{d} 0$   
 $\Gamma(A_0, \mathcal{L}) \oplus \Gamma(A_1, \mathcal{L}) \xrightarrow{d} \Gamma(A_0 \cap A_1, \mathcal{L}) \xrightarrow{d} 0$   
 $\Gamma(A_0, \mathcal{L}) \oplus \Gamma(A_1, \mathcal{L}) \xrightarrow{d} \Gamma(A_0 \cap A_1, \mathcal{L}) \xrightarrow{d} 0$   
 $\Gamma(A_0, \mathcal{L}) \oplus \Gamma(A_1, \mathcal{L}) \xrightarrow{d} \Gamma(A_0 \cap A_1, \mathcal{L}) \xrightarrow{d} 0$

$\check{H}^1 = k[t, t^{-1}] / k[t] + t^i k[t^{-1}]$   
is all of  $k[t, t^{-1}]$  if  $i \geq -1$   
does not contain  $t^{-1}, t^{-2}, \dots, t^{-i-1}$  if  $i < -1$

EXAMPLE:  $\mathbb{P}^n$

$X = \mathbb{P}^n_k = \mathbb{A}_0 \cup \dots \cup \mathbb{A}_n$   
 $\mathcal{O}(1) =$  line bundle with  $\alpha_{ij} = \begin{pmatrix} x_i \\ x_j \end{pmatrix} : k[x_0/x_i, \dots, x_n/x_i] \rightarrow k[x_0/x_j, \dots, x_n/x_j]$   
 $\mathcal{O}(m) = \mathcal{O}(1)^{\otimes m}$  so  $\alpha_{ij} = \begin{pmatrix} x_i \\ x_j \end{pmatrix}^m$

Remark  $\mathcal{O}(-1)$  called tautological line bundle because in C3.4 course each (closed) point of  $\mathbb{P}^n$  is a 1-dim vector subspace  $V \subseteq k^{n+1}$  ( $\mathbb{P}^n = k^{n+1}/\mathbb{R}^*$ -rescaling) so get obvious line bundle: over the point  $[V] \in \mathbb{P}^n$  have the line  $V$ .

HwK 4  $\text{Pic}(\mathbb{P}^n) \cong \mathbb{Z}$  generated by the  $\mathcal{O}(1)$   
 $\Gamma(\mathbb{P}^n, \mathcal{O}(m)) = \begin{cases} k[x_0, \dots, x_n]_m & \text{if } m \geq 0 \\ 0 & \text{if } m < 0 \end{cases}$

8.8 Product on Čech cohomology (Non-examinable section)  $(X, \mathcal{O}_X)$  any ringed space

$\check{H}_{\{U_i\}}^p(X, F) \times \check{H}_{\{U_i\}}^q(X, G) \rightarrow \check{H}_{\{U_i\}}^{p+q}(X, F \otimes G)$   
 $((s_I), (t_I)) \mapsto (s_I \otimes t_I)$

Remark In 8.6 where we took constant coefficients  $F=G=\mathbb{Z}$  we recover the cup product on singular cohomology (respectively on de Rham cohomology)

$\leftarrow$  omit  $x_i/x_i$   
 $A_i = \text{Spec } k[x_0/x_i, \dots, x_n/x_i]$   
 $\mathcal{O}(1) =$  line bundle with  $\alpha_{ij} = \begin{pmatrix} x_i \\ x_j \end{pmatrix} : k[x_0/x_i, \dots, x_n/x_i] \rightarrow k[x_0/x_j, \dots, x_n/x_j]$   
 $\mathcal{O}(m) = \mathcal{O}(1)^{\otimes m}$  so  $\alpha_{ij} = \begin{pmatrix} x_i \\ x_j \end{pmatrix}^m$   
 $\leftarrow$  both equal to  $\Gamma(A_i, \mathcal{O}_X)$   
 $\leftarrow$  multiplication by  $x_0/x_i = t^{-1} \checkmark$

$\leftarrow$  so homogeneous polys of deg = m so on  $A_i$  get polys of deg  $\leq m$  in the variables  $x_0/x_i, \dots, x_n/x_i$

$\leftarrow$  note  $\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z} \cong \mathbb{Z}$

using  $F=G=\mathbb{R}$   
 $\mathcal{O}_X =$  smooth real functions  
so  $\mathbb{R} \otimes_{\mathbb{R}} \mathbb{R} \cong \mathbb{R}$

## 9. Sheaf Cohomology

Motivation: "represent" an object in an abelian category  $\mathcal{A}$  by "nicer objects" at the cost of using a chain  $\mathcal{C}$  (sec. 1.8)

### 9.1 Resolutions

right resolution of  $M \in \mathcal{A}$  means an exact sequence  $0 \rightarrow M \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$  in  $\mathcal{A}$ .

left resolution  $\dots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$ , or  $P_\bullet \rightarrow M$

Def  $I$  injective if  $\text{Hom}(\cdot, I)$  exact,  $P$  projective if  $\text{Hom}(P, \cdot)$  exact  $\leftarrow$  (both always left exact)

Fact injective resolution  $M \rightarrow I^\bullet$  means  $I^n$  are injective

projective resolution  $P_\bullet \rightarrow M$  means  $P_n$  "projective"

$f, g: A \rightarrow B$  additive functors of abelian cats (see 1.7)

$f$  left exact  $\Rightarrow$  right-derived functor

$g$  right exact  $\Rightarrow$  left-derived functor

Warning  $f$  left exact only implies  $0 \rightarrow fM \rightarrow fI^0 \rightarrow fI^1 \rightarrow \dots$  exact. Deduce:  $R^0 f(M) = fM$ . Similarly  $\text{Log} \cong g$ , so  $R^0 f, \text{Log}$  remember the functors  $f, g$ .

Classical Examples  $A = S\text{-Mods}$ ,  $f = \text{Hom}(M, \cdot)$ ,  $N \rightarrow I^\bullet$  inj. res.

$\Rightarrow \text{Ext}_S^n(M, N) = (R^n f)(N) = H^n(\text{Hom}_S(M, I^\bullet))$  ( $\text{Ext}_S^0(M, N) \cong \text{Hom}_S(M, N)$ )

(Similarly:  $f = \text{Hom}(\cdot, N)$ :  $S\text{-Mods}^{\text{op}} \rightarrow \text{Ab}$ ,  $\text{Ext}_S^n(M, N) = (R^n f)(M) = H_n(\text{Hom}(P_\bullet, N))$ )

$g = M \otimes_S \cdot$ : right exact  $\Rightarrow \text{Tor}_S^n(M, N) = (L_n g)(N) = H_n(M \otimes_S P_\bullet)$  ( $\text{Tor}_S^0(M, N) \cong M \otimes_S N$ )

(Similarly:  $g = \cdot \otimes_S N$ ,  $\text{Tor}_S^n(M, N) = (L_n g)(M) = H_n(P_\bullet \otimes_S N)$  for  $P_\bullet \rightarrow M$  proj. res.)

For  $R\text{-mods}$ :  $I$  injective  $\Leftrightarrow I \subseteq \text{any mod } M$  then  $\exists \text{ mod } J: I \oplus J = M$  (compare linear algebra "extend a basis")

$P$  projective  $\Leftrightarrow P$  is a direct summand of a free  $R$ -mod

Fact  $M \rightarrow I^\bullet$  inj. res.  $\downarrow$  morph  $\Rightarrow$  can extend  $\downarrow$   $I^\bullet \leftarrow \dots \leftarrow I^0 \leftarrow M \rightarrow I^1 \rightarrow \dots$  and any 2 choices  $\Rightarrow$  are chain homotopic

Key idea:  $I$  inj  $\Rightarrow \text{Hom}(\cdot, I)$  right exact  $\Rightarrow$  if  $A \xrightarrow{m} B$  then any  $A \rightarrow I$  can be extended to  $B \rightarrow I$ . E.g.  $M \rightarrow I^0 \rightarrow I^1 \rightarrow \dots$

Cor  $R^n f(M) = H^n(fI^\bullet)$  independent of choice of inj. res.  $M \rightarrow I^\bullet$

Pf Apply fact to  $M = N$ , get  $H^0(fI^\bullet) \rightarrow H^0(fI^1) \rightarrow H^0(fI^2) \rightarrow \dots$  composite is id by uniqueness.  $\square$

Lemma  $f$  left exact,  $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$  SES  $\Rightarrow \exists$  canonical & functorial LES

$0 \rightarrow R^0 f(M_1) \rightarrow R^0 f(M_2) \rightarrow R^0 f(M_3) \rightarrow R^1 f(M_1) \rightarrow R^1 f(M_2) \rightarrow R^1 f(M_3) \rightarrow \dots$

Pf Lemma  $0 \rightarrow I_1^0 \rightarrow I_2^0 \rightarrow I_3^0 \rightarrow 0 \Rightarrow 0 \rightarrow fI_1^0 \rightarrow fI_2^0 \rightarrow fI_3^0 \rightarrow 0$  now take LES induced by this SES of complexes  $\square$

Remark Indeed  $R^0 f$  satisfies universal property that  $R^0 f = f$  and Lemma holds, and it follows that  $R^1 f(M) = H^1(fI^\bullet)$  for any inj. res.  $M \rightarrow I^\bullet$  (see end of next section)

Hwk 4  $\text{Ab}(X)$  has enough injectives i.e. can build inj. resolutions of any object  $F \in \text{Ab}(X)$ .

$\Gamma(X, \cdot): \text{Ab}(X) \rightarrow \text{Ab}$  left exact  $\Rightarrow$  can define sheaf cohomology  $H^n(X, F) = R^n \Gamma(X, F)$  (Sec. 1.9)

We now ask how this relates to  $H^n(X, F)$  for  $F \in \mathcal{O}_{\text{Coh}}(X) \subseteq \text{Ab}(X)$  and  $X$  scheme.

## 9.2 Acyclic resolutions

Rmk If  $I$  inj. object  $\Rightarrow$  resolution  $0 \rightarrow I \rightarrow I^0 \rightarrow I^1 \rightarrow \dots \Rightarrow R^n f(I) = 0 \forall n \geq 1$

So for sheaf cohomology:  $H^n(X, I) = 0 \forall n \geq 1$  if  $I$  injective sheaf.

Def An acyclic resolution of  $F$  is an exact sequence  $0 \rightarrow F \rightarrow J^0 \rightarrow J^1 \rightarrow \dots$  with  $H^n(X, J^k) = 0 \forall n \geq 1$

Claim Any acyclic resolution can be used to compute sheaf cohomology, i.e.

Trick "break down into SES and take LES":

Let  $C_1 = \text{Coker}(F \rightarrow J_0) \cong \text{Im}(J_0 \rightarrow J_1)$  so  $\exists$  natural monomorph.  $C_1 \hookrightarrow J_1$

$C_{n+1} = \text{Coker}(C_n \rightarrow J_n) \cong \text{Im}(J_n \rightarrow J_{n+1})$  "  $C_{n+1} \hookrightarrow J_{n+1}$

$0 \rightarrow F \rightarrow J_0 \rightarrow C_1 \rightarrow 0$  exact, and  $0 \rightarrow F \rightarrow J_0 \rightarrow J_1 \rightarrow J_2 \rightarrow J_3 \rightarrow \dots$

$0 \rightarrow C_1 \rightarrow J_1 \rightarrow C_2 \rightarrow 0$  exact, and  $0 \rightarrow C_1 \rightarrow J_1 \rightarrow J_2 \rightarrow J_3 \rightarrow \dots$

$0 \rightarrow C_n \rightarrow J_n \rightarrow C_{n+1} \rightarrow 0$  exact, and  $0 \rightarrow C_n \rightarrow J_n \rightarrow J_{n+1} \rightarrow J_{n+2} \rightarrow \dots$

Technical Lemma  $0 \rightarrow F \rightarrow I \rightarrow G \rightarrow 0$  SES  $\Rightarrow H^n(F) \cong H^{n-1}(G) \forall n \geq 1$

(only uses LES in  $H^*$ ) with  $H^n(I) = 0 \forall n \geq 1$

Pf  $0 \rightarrow H^0 F \rightarrow H^0 I \rightarrow H^0 G \rightarrow H^1(I) \rightarrow H^1(G) \rightarrow H^2(I) \rightarrow H^2(G) \rightarrow \dots$

so surj. so  $H^1 F = \text{Coker}(H^0 I \rightarrow H^0 G) \cong H^1(I) \rightarrow H^1(G)$

Finish proof, abbreviate  $H^n(F) = H^n(X, F)$ ,  $\Gamma(F) = \Gamma(X, F)$

$H^n(F) \cong H^{n-1}(C_1) \cong H^{n-2}(C_2) \cong \dots \cong H^1(C_{n-1}) \cong \text{Coker}(H^0(J_{n-1}) \rightarrow H^0(C_n))$

$\Gamma$  left exact  $\dots \rightarrow \Gamma(J_{n-1}) \xrightarrow{\alpha_{n-1}} \Gamma(J_n) \xrightarrow{\alpha_n} \Gamma(J_{n+1}) \rightarrow \dots$

exactness of:  $H^0(J_{n-1}) \xrightarrow{\rho_{n-1}} H^0(J_n) \xrightarrow{\rho_n} H^0(J_{n+1})$

hence  $\text{Ker } \rho_n = \text{Im } \rho_{n-1}$   $H^0(F) = \text{Im } \rho_n$

inj.  $H^0(F) = \text{Im } \rho_n$   $H^0(C_n) = \text{Coker } \rho_n$

via  $i_n$   $\cong \text{Coker } \rho_{n-1} = H^n(F)$   $\square$

Non-examinable: For a left-exact functor  $f: \mathcal{A} \rightarrow \mathcal{B}$  of abelian cats, a resolution  $0 \rightarrow M \rightarrow I^\bullet$  is  $f$ -acyclic if  $R^n(fI^\bullet) = 0 \forall n \geq 1$ . Similarly for right exact functors, for  $P_\bullet \rightarrow M \rightarrow 0$  says  $L_n(g(P_\bullet)) = 0 \forall n \geq 1$ . Fact injective resolutions are acyclic resolutions for left exact functors

projective " " right " "

9.3 Čech cohomology vs sheaf cohomology

Theorem  $X$  separated, quasi-compact scheme. Suppose  $H^n: \mathcal{O}_{\text{Coh}}(X) \rightarrow \text{Ab}$  are functors s.t.

i)  $H^0(X, F) = \Gamma(X, F)$ .  $\leftarrow \in \mathcal{O}_{\text{Coh}}(X)$  by Sec. 7.4 Rmk

ii)  $\varphi: U \hookrightarrow X \Rightarrow H^n(X, \varphi_* F) = 0 \forall n \geq 1, \forall F \in \mathcal{O}_{\text{Coh}}(U)$ .  $\leftarrow$  holds for Čech cohomology since  $H^n(X, \varphi_* F) = H^n(\varphi^{-1}X, F) = H^n(U, F) = 0, n \geq 1$

iii) SES induces a LES on  $H^*$  affine open

Then  $H^* \cong \check{H}^*$

Pf  $X = \cup U_i$ : finite affine open covers (use  $X$  quasi-compact)  
 $U_i$  affine since  $X$  separated (using ordered  $I$ )

Notice that the Čech complex

$$\check{C}^n = \prod_{|I|=n} F(U_I) = \prod_{|I|=n} \Gamma(U_I, F) = \prod_{|I|=n} \Gamma(X, \mathcal{Q}_{I*}(F|_{U_I})) = \Gamma(X, \prod_{|I|=n} \mathcal{Q}_{I*}(F|_{U_I}))$$

where  $\varphi_I: U_I \hookrightarrow X$  is the inclusion

$\Rightarrow \check{C}^n = \Gamma(X, \mathcal{J}^n)$  and have sequence  $0 \rightarrow F \rightarrow \mathcal{J}^0 \rightarrow \mathcal{J}^1 \rightarrow \dots$   
 By Sec. 9.2 it is enough to check this is an acyclic resolution, since then

$$H^n(X, F) \cong H^n(\Gamma(X, \mathcal{J}^*)) = H^n(\check{C}_{\{U_i\}}(X, F)) = \check{H}^n(X, F)$$

By (iii):  $H^n(X, \mathcal{Q}_{I*}(F|_{U_I})) = 0 \quad \forall n \geq 1$

$\prod_{|I|=n}$  is a finite product so  $\cong$  finite  $\oplus$ . So  $H^n(X, \mathcal{J}^k) = 0 \quad \forall n \geq 1$  follows by induction by:

Trick If  $G_1, G_2 \in \text{QCoh } X$ ,  $H^n(X, G_1) = 0 \quad \forall n \geq 1 \Rightarrow G_1 \oplus G_2$  also, since:

$$0 \rightarrow G_1 \rightarrow G_1 \oplus G_2 \rightarrow G_2 \rightarrow 0 \text{ SES} \xrightarrow{(iii)} \text{take LES get } H^n(X, G_1 \oplus G_2) = 0, \quad n \geq 1$$

$0 \rightarrow F \rightarrow \mathcal{J}^0$  exact  $\Leftrightarrow$  exact on stalks  $\Leftrightarrow 0 \rightarrow \Gamma(U, F) \rightarrow \Gamma(U, \mathcal{J}^0)$  exact  $\forall$  affine open

$$0 \rightarrow \Gamma(U, F) \rightarrow \Gamma(U, \mathcal{J}_0) \rightarrow \Gamma(U, \mathcal{J}_1) \rightarrow \dots$$

exact since  $\Gamma(U, \cdot)$  left exact (Sec. 1.9)  
 exact since  $\check{H}^n(U, F) = 0$  for  $n \geq 1$   
 since  $U$  affine, using sec. 8.3

Cor  $X$  separated, Noetherian  $\Rightarrow$  sheaf cohomology  $H^n(X, F) \cong \check{H}^n(X, F) \quad \forall F \in \text{QCoh}(X)$

$\checkmark$  Non-examinable

Pf Sheaf cohomology  $H(X, F) =$  cohomology of  $\Gamma(X, \mathcal{I}^0) \rightarrow \Gamma(X, \mathcal{I}^1) \rightarrow \dots$  for  $F \rightarrow \mathcal{I}^0$  any injective resolution.  
 Check the conditions of Theorem:

- i)  $\Gamma(X, \cdot)$  left exact  $\Rightarrow H^0(X, F) \cong \Gamma(X, F)$   $\leftarrow$  general consequence see 9.1, or explicitly:  
 $0 \rightarrow \Gamma(X, F) \rightarrow \Gamma(X, \mathcal{I}^0) \rightarrow \Gamma(X, \mathcal{I}^1)$   
 exact, so  $\text{im } \Gamma$  is  $\ker$  of  $\Gamma$  which is  $H^0$
- ii) Lemma in 9.1 proves  $\exists$  LES
- iii) by the Theorem below.  $\square$

Theorem  $R$  Noeth.,  $F \in \text{QCoh}(\text{Spec } R) \Rightarrow H^n(\text{Spec } R, F) = 0 \quad \forall n \geq 1$   
 Non-examinable proof ideas The cleanest proof is to build machinery:

- 1) A sheaf  $F$  is flasque if all restrictions  $F(U) \rightarrow F(V)$  are surjective.
- 2)  $\forall$  flasque  $F$  on a top. space  $X$ , have  $H^n(X, F) = 0 \quad \forall n \geq 1$  (Hartshorne III.2.5)
- 3)  $\forall$  injective  $R$ -module  $I$ , and  $R$  Noeth.  $\Rightarrow \tilde{I}$  on  $\text{Spec } R$  is flasque (Hartshorne III.3.4)

Pf Thm  $F \in \tilde{M} \rightarrow \tilde{I}$  exact, each  $\tilde{I}^n$  flasque, so can use this to compute  $H^n(X, F)$  by 9.2  
 $\Rightarrow 0 \rightarrow \tilde{M} \rightarrow \tilde{I}^0$  exact, each  $\tilde{I}^n$  flasque, so can use this to compute  $H^n(X, F)$  by 9.2  
 $\Rightarrow H^n(X, \tilde{M}) = H^n(\Gamma(X, \tilde{M})) = H^n(\Gamma(X, \tilde{I}^0)) = H^n(\tilde{I}^0)$  since  $\tilde{I}^0$  exact sequence except in degree 0.  $\square$   
 (in deg 0 get  $M$ , and  $H^0(X, \tilde{M}) = \tilde{M}(X) = M$ )

Remark Injective  $\mathcal{O}_X$ -mods are flasque (Hartshorne III.2.4)

9.4 Product on sheaf cohomology  
 (Non-examinable section)

$(X, \mathcal{O}_X)$  any ringed space

$$\text{Fact } \exists \text{ product } H^p(X, F) \times H^q(X, G) \rightarrow H^{p+q}(X, F \otimes G)$$

idea  $0 \rightarrow F \rightarrow I^\bullet \rightarrow 0 \rightarrow F \otimes G \rightarrow I^\bullet \otimes J^\bullet \rightarrow 0 \rightarrow G \rightarrow J^\bullet \rightarrow 0$   
 Unfortunately not a resolution  $\leftarrow$  bi-complex (compare 8.4) with maps  $d \otimes \text{id}$ ,  $\text{id} \otimes d$   
 then take total complex: total degree is sum of degrees  
 need  $I^\bullet, J^\bullet$  to be "pure acyclic resolutions" to ensure this  $\rightarrow$   
 is resolution. Then given any inj. res.  $F \otimes G \rightarrow K^\bullet$ ,  
 the identity  $F \otimes G \xrightarrow{\text{id}} F \otimes G$  extends to  $I^\bullet \otimes J^\bullet \rightarrow K^\bullet$ .  
 Taking  $\Gamma(X, \cdot)$  yields the result. (see key idea under the Fact in 9.1)

e.g. degree 2 part is  $(I^2 \otimes J^0) \oplus (I^1 \otimes J^1) \oplus (I^0 \otimes J^2)$   
 $\leftarrow$  rows & cols not exact

10.  $\mathbb{Q}\text{Coh}(\mathbb{P}^n)$ , graded modules,  $\text{Proj } R$   
(Non-examinable chapter)

Def: graded ring means a ring  $R$  s.t.

$R = R_0 \oplus R_1 \oplus R_2 \oplus \dots$  as abelian groups  $\leftarrow$   $R_0$  is ring  $\leftarrow$   $R_0$  is ring  $\leftarrow$   $R_0$  is ring  $\leftarrow$   $R_0$  is ring

$R_i \cdot R_j \subseteq R_{i+j}$   $\leftarrow$  so graded by  $\mathbb{N}$   $\leftarrow$  so graded by  $\mathbb{N}$   $\leftarrow$  so graded by  $\mathbb{Z}$

The elements of  $R_n$  are called homogeneous elements of degree  $n$

Graded module means  $R\text{-mod } M$  s.t.

$M = \dots \oplus M_{-2} \oplus M_{-1} \oplus M_0 \oplus M_1 \oplus M_2 \oplus \dots$  as abelian groups

$R_i \cdot M_j \subseteq M_{i+j}$   $\leftarrow$  so graded by  $\mathbb{Z}$

A morphism of graded  $R$ -mods is  $R$ -mod hom  $M \xrightarrow{\varphi} N$ , with  $\varphi(M_n) \subseteq N_n \forall n$

From now on:  $R = k[x_0, \dots, x_n]$   $R_m =$  homogeneous polys of deg  $m$  (so  $R_0 = k$ )

Claim:  $\exists$  graded  $R$ -mods  $\longrightarrow \mathbb{Q}\text{Coh}(\mathbb{P}^n)$  exact, full & faithful

Pf: Let  $M_i = (M_{x_i})_0$  and  $M_{ij} = (M_{x_i x_j})_0$

$\leftarrow$  0-th graded piece

Define  $\tilde{M}|_{A_i} = \tilde{M}_i$  these glue since  $\tilde{M}_i|_{A_i \cap A_j} \cong \tilde{M}_{ij} \cong \tilde{M}_j|_{A_i \cap A_j}$

Exactness is a local condition, so it holds since it holds in affine case.

Full & faithful:  $\text{Hom}(\tilde{M}|_{A_i}, \tilde{N}|_{A_i}) = \text{Hom}(\tilde{M}_i, \tilde{N}_i) = \text{Hom}_{(R_{x_i})_0\text{-mods}}((M_{x_i})_0, (N_{x_i})_0)$

This reduces the problem to an exercise in graded  $R$ -mods. (omitted here)  $\square$

Warning: Not an equivalence of categories because:

HWK 4: if  $M_n = N_n$  for  $n \geq 1$  then  $\tilde{M} \cong \tilde{N}$

Fact: If work with graded  $R$ -mods "modulo" identifying those which eventually agree in large grading, then get equivalence with inverse

$\mathbb{Q}\text{Coh}(\mathbb{P}^n) \xrightarrow{\sim} \mathbb{Q}\text{Coh}(\mathbb{P}^n) \xrightarrow{\sim} \bigoplus_{d \geq 0} \Gamma(\mathbb{P}^n, F(d))$  where  $F(d) = F \otimes_{\mathcal{O}_{\mathbb{P}^n}} \mathcal{O}(d) \leftarrow$  called twist

In particular  $F \cong \bigoplus_{d \geq 0} \Gamma(\mathbb{P}^n, F(d))$

Def:  $M[d]$  new graded  $R$ -mod with  $M[d]_i = M_{d+i}$

Example:  $\mathcal{L} = (R[d]_{x_i})_0 \cong x_i^d k[x_0, \dots, x_n]$   $\leftarrow$  (so  $k[x_0, \dots, x_n][d]$ )

line bundle with  $\alpha_{ij} = (x_i/x_j)^d$ . Hence  $\mathcal{L} = \mathcal{O}(d)$ .

Exercise:  $\mathcal{L}_{i,j} \xrightarrow{\sim} \mathcal{L}_{i,k} \xrightarrow{\sim} \mathcal{L}_{j,k}$   $\leftarrow$   $\mathcal{O}_{\mathbb{P}^1}(1)$   $\leftarrow$   $\mathcal{O}_{\mathbb{P}^1}(1)$   $\leftarrow$   $\mathcal{O}_{\mathbb{P}^1}(1)$

Exercise:  $\tilde{M}[d] \cong \tilde{M}(d) (= \tilde{M} \otimes_{\mathcal{O}_{\mathbb{P}^n}} \mathcal{O}(d)) \leftarrow$  (eg.  $\tilde{R}[d] = \tilde{R}(d)$ )  $\leftarrow$   $(= \bigoplus_{d \geq 0} \mathcal{O}(d) = \mathcal{O}(d))$

Rmk:  $k[x_0, \dots, x_n] = \bigoplus_{d \geq 0} \Gamma(\mathbb{P}^n, \mathcal{O}(d))$  (but this does not generalise due to above issue about cat)

The construction of  $\tilde{M}$  is so similar to the Spec  $R$  case of  $\tilde{M}$ , because  $\exists$  analogue of Spec  $R$ : Proj

$X = \mathbb{P}^n_k = A_0 \cup \dots \cup A_n$

$A_i = \text{Spec } k[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}]$  omit  $x_i$

$= \text{Spec}(k[x_0, \dots, x_n]_{(x_i)})_0$

$A_n \cap A_j = \text{Spec } k[\frac{x_0}{x_i}, \dots, \frac{x_n}{x_i}, \frac{x_j}{x_i}]_0$  means take 0-th graded piece

$= \text{Spec}(k[x_0, \dots, x_n]_{(x_i, x_j)})_0$

recall in CS.4 the 0-graded part is the part which gives well-defined functions (invariant under  $k^*$ -rescale)

$\leftarrow$  or "homogeneous"

$\text{Proj}(R) = \{ \text{graded prime ideals } I \subseteq R \text{ not containing the irrelevant ideal} \}$

means  $I = \bigoplus_{n \geq 0} I_n$

$R_+ := \bigoplus_{n > 0} R_n$

$\leftarrow$  in  $\mathbb{P}^n$  we remove the max ideal  $(x_0, \dots, x_n)$  (irrelevant ideal) because don't allow the closed point  $\{0, \dots, 0\}$

$\leftarrow$  generated by (homogeneous elts)

$\mathbb{V}(I) = \{ p \in \text{Proj } R : p \supseteq I \}$  define Zariski topology

$f$  homogeneous of degree  $> 0 \Rightarrow D_f = \text{Proj } R \setminus \mathbb{V}(f) = \{ p \in \text{Proj } R : f \notin p \}$  basis of open sets

Warning:  $\text{Proj } R = \text{UDf} \Leftrightarrow R_+ \subseteq \sqrt{\text{call } f}$

Fact:  $D_f \cong \text{Spec}((R_f)_0)$  as topological spaces

$p \mapsto p R_f \cap (R_f)_0$  (inverse map:  $p_0 \mapsto \bigoplus_{k \geq 0} \{ a_k \in R_k : \frac{a_k}{f^k} \in p_0 \}$ )

Sheaf  $\mathcal{O} := \mathcal{O}_{\text{Proj}(R)}$

$\mathcal{O}|_{D_f} = \mathcal{O}_{\text{Spec}((R_f)_0)}$  then glue.

Warning: Proj is not functorial like Spec

If  $\varphi: R \rightarrow S$  graded hom of rings,  $\varphi(R_+) \not\subseteq S_+$  then get morph  $\varphi^\#: \text{Proj } S \rightarrow \text{Proj } R$  but not all morphs arise in this way.

Examples: any ring

1)  $S = R[x_0, \dots, x_n]$  with usual grading  $\Rightarrow \text{Proj } R = \mathbb{P}^n_R$  (or  $\mathbb{P}^n_{\text{Spec } R}$ )

2)  $R^{(d)} := \bigoplus_{n \geq 0} R_{d \cdot n}$  then the inclusion  $R^{(d)} \rightarrow R$  induces an iso  $\text{Proj } R \cong \text{Proj } R^{(d)}$

3)  $S$  graded ring generated as an  $S_0$ -algebra by  $n+1$  elements  $s_0, \dots, s_n \in S_1$

$\Rightarrow S_0[x_0, \dots, x_n] \xrightarrow{\varphi} S \Rightarrow S \cong S_0[x_0, \dots, x_n]_{\text{Kar } I} \Rightarrow \text{Proj } S \cong \mathbb{V}(I) \subseteq \mathbb{P}^n_{S_0}$  closed subscheme

Example:  $k[x, y]^{(2)} = k[x^2, xy, y^2]$

$k[X, Y, Z] \rightarrow k[x^2, xy, y^2], X \mapsto x^2, Y \mapsto xy, Z \mapsto y^2$

$\Rightarrow \mathbb{P}^1 = \text{Proj } k[x, y] \cong \text{Proj } k[x^2, xy, y^2] \cong \text{Proj } k[X, Y, Z]/(XZ - Y^2)$  closed subscheme of  $\mathbb{P}^2$

is the Veronese embedding  $\nu_2: \mathbb{P}^1 \hookrightarrow \mathbb{P}^2$ . Similarly get  $\nu_d: \mathbb{P}^n \hookrightarrow \mathbb{P}^N$

every closed subscheme of  $\text{Proj } R$  arises as  $\text{Proj}(R/I)$  some graded ideal  $I$ .

Fact:  $R = \bigoplus_{n \geq 0} R_n$  graded ring  $\Rightarrow$  line bundles  $\mathcal{O}(d) = \tilde{R}(d)$  on  $\text{Proj } R$ , and

$\{ \text{graded } R\text{-mods} \} \rightarrow \mathbb{Q}\text{Coh}(\text{Proj } R)$

$M \mapsto \tilde{M}$

$\Gamma_*(F) \leftarrow F$  where  $\Gamma_*(F) := \Gamma(\text{Proj } R, F(d))$

again, not an equivalence of cats, but  $\Gamma_*(F) \cong F$  if  $M_n \cong N_n$  for  $n \geq N$  then  $\tilde{M} \cong \tilde{N}$  if identify modules that eventually agree then get equivalence  $(F(d) = F \otimes \mathcal{O}(d))$   $(\mathcal{O}_X = \tilde{R}$  on  $X = \text{Proj } R)$

Note: this tells us  $\mathbb{Q}\text{Coh}(C)$  for any projective variety!

$N = \# \text{degree } d \text{ monomials in } x_0, \dots, x_n$  so  $N = \binom{n+d}{d}$