# **Hash Functions**



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## Outline

#### Definition and Notions of Security

- 2 The Merkle-damgård Transform
- MAC using Hash Functions
- Oryptanalysis: Generic Attacks

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- Informally speaking, hash functions take long bit strings and output shorter bit strings, called *digests*.
- They are used almost everywhere in Cryptography.
- If you *imagine* that hash functions are truly random (modelled as *random oracles*), then proving the security of some cryptographic schemes becomes achievable (e.g. RSA-OAEP).
- A debate/controversy over the soundness of the random oracle model.

# **Keyed Hash Functions - A Definition**

#### Definition

A keyed hash function with output length  $\ell(n)$  consists of two PPT algorithms (KeyGen, *H*), defined as follows:

- KeyGen(1<sup>n</sup>) : it takes a security parameter n and outputs a key s.
- *H*(*s*, *x* ∈ {0,1}\*) : it takes a key *s* and a string *x* ∈ {0,1}\*, and outputs a string *H*<sup>s</sup>(*x*) ∈ {0,1}<sup>ℓ(n)</sup>

If *H* is defined only for inputs  $x \in \{0, 1\}^{\ell'(n)}$ , then the keyed hash function is said fixed-length. We consider only compression functions, i.e.  $\ell'(n) > \ell(n)$ .

## **Security Notions - Collision Resistance**

• A keyed hash function determines a keyed function

 $H: KeySet \times InSet \rightarrow OutSet$ 

where InSet is  $\{0,1\}^*$  or  $\{0,1\}^{\ell'(n)}$ , and  $\text{OutSet} = \{0,1\}^{\ell(n)}$ .

- We use the notation  $H^{s}(x) := H(s, x)$ .
- Given a key s, it should be infeasible for any PPT algorithm to find x ≠ x' s.t. H<sup>s</sup>(x) = H<sup>s</sup>(x') (i.e., a collision).
- Since the domain is larger than its range, collisions always exist, but we want them to be hard to find.
- This time the key is not a secret, i.e. collision resistance should hold even when the key *s* is in the adversary's hands.

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## **Collision Resistance**

Given a keyed hash function (KeyGen, H), an adversary A, and a security parameter n, we define the collision-finding experiment Hash<sup>coll</sup><sub>A,H</sub>(n) as follows:

- A key *s* is generated by KeyGen and is given to *A*.
- Adversary's output: two strings x and x'.
- Experiment's output: 1 iff  $x \neq x'$  and  $H^{s}(x) = H^{s}(x')$

#### Definition

A keyed hash function (KeyGen, H) is collision resistant if for all PPT adversaries A we have

$$\Pr[\mathsf{Hash}^{coll}_{\mathcal{A},H}(n) = 1] \le \operatorname{negl}(n)$$

## **Hash Functions in Practice**

- They are *unkeyed*.
- What's the reason of using keyed functions?
- Theoretically speaking, you can always output a collision using a constant-time algorithm (a colliding pair hardcoded in the algorithm itself is output).
- It is impossible to hardcode a colliding pair for every possible key.
- However, colliding pairs are unknown and computationally hard to find for hash functions used in practice.

- Second-preimage or target-collision resistance: given s and a uniform x, it is infeasible for any PPT adversary to find x' s.t. x ≠ x' and yet H<sup>s</sup>(x) = H<sup>s</sup>(x')
- *Preimage resistance* or *one-wayness*: given *s* and a uniform *y*, it is infeasible for any PPT adversary to find *x* s.t.  $H^{s}(x) = y$

Note that:

collision resist.  $\Rightarrow$  second preimage resist.  $\Rightarrow$  preimage resist.

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## How to Design a Hash Function?

- First, consider a collision-resistant, fixed-length hash function.
- **Second**, apply a domain extension method to deal with arbitrary-length inputs.
- This should maintain the collision-resistance property.
- Merkle-Damgård transform is a very famous approach for domain extension.
- It has been used for MD5 and the SHA family.
- Theoretical implication of Merkle-Damgård transform: if you can compress by a single bit, then you can compress by an arbitrary amount of bits!

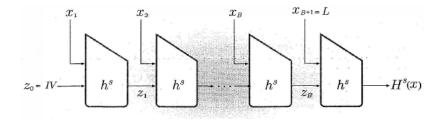
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Given a fixed-length hash function (KeyGen, h), with input lenght 2n and output length n, we construct an arbitrary-length hash function (KeyGen, H) as follows:

- KeyGen : it remains unchanged.
- *H* : it takes a key s and a string x ∈ {0,1}\* of length L < 2<sup>n</sup>, and does the following:
  - Pad *x* with zeros to get a bit string of length  $B \cdot n$ . Consider the *n*-bit blocks  $x_1, \dots, x_B$  and set  $x_{B+1} \leftarrow L$ , where *L* is encoded as an *n*-bit string.
  - Set  $z_0 \leftarrow 0^n$  (also called *IV*)
  - Compute  $z_i \leftarrow h^s(z_{i-1}||x_i)$ , for  $i = 1, \dots, B+1$ .
  - Output  $z_{B+1}$ .

#### The Merkle-Damgård Transform

[Katz-Lindell]



#### Theorem

If (KeyGen, h) is collision-resistant, then so is (KeyGen, H).

### The Merkle-Damgård Transform

#### Proof.

We show that a collision in  $H^s$  leads to a collision in  $h^s$ .

Let  $x \neq x'$  of length *L* and *L'* s.t.  $H^{s}(x) = H^{s}(x')$ .

We pad *x* and *x'* to get  $x_1, \dots, x_B, x_{B+1}$  and  $x'_1, \dots, x'_{B'}, x'_{B'+1}$ , where  $x_{B+1} = L$  and  $x'_{B'+1} = L'$ .

- $L \neq L'$ : then  $H^{s}(x) = z_{B+1} = h^{s}(z_{B}, L) = h^{s}(z'_{B'}, L') = z'_{B'+1} = H^{s}(x')$ . Hence  $z_{B}||L \neq z'_{B'}||L'$  is a collision for  $h^{s}$ .
- L = L': in this case B = B'. Consider  $I_i = z_{i-1} ||x_i|$  and  $I'_i = z'_{i-1} ||x'_i|$  for i = 1, ..., B + 2, where  $I_{B+2} = z_{B+1} = z'_{B+1} = I'_{B+2}$ . Let N be the largest integer for which  $I_N \neq I'_N$  (which exists since  $x \neq x'$ ). Note that  $N \leq B + 1$ .

$$I_{N+1} = z_N ||x_{N+1} = z'_N||x'_{N+1} = I'_{N+1} \Rightarrow h^s(I_N) = z_N = z'_N = h^s(I'_N).$$

## Outline



2 The Merkle-damgård Transform



4 Cryptanalysis: Generic Attacks

- We present a different approach to construct a MAC for arbitrary-length messages.
- The idea is simple and widely used in practice (e.g. HMAC).
- **Firstly**, use a collision-resistant hash function (KeyGen, *H*) to hash an arbitrary-long message *m* down to a fixed-length string  $H^{s}(m)$ .
- **Secondly**, apply a fixed-length MAC to  $H^{s}(m)$ .

Given a fixed-length MAC  $S_{mac} = (Mac, Verify)$  for messages of length  $\ell(n)$ , and a hash function (KeyGen, H) with output length  $\ell(n)$ , we define a new MAC

$$S'_{mac} = (KeyGen', Mac', Verify')$$

for arbitrary-length messages as follows.

- KeyGen'(1<sup>n</sup>): it takes a security parameter *n*, and outputs a uniform key k ∈ {0,1}<sup>n</sup> and runs the key generator of the hash function to get *s*. The final key is (k, s).
- $Mac'((k, s), m \in \{0, 1\}^*)$ : it outputs  $t \leftarrow Mac_k(H^s(m))$ .
- Verify' $((k,s), m \in \{0,1\}^*, t)$ : it outputs 1 iff Verify $_k(H^s(m), t) = 1$ .

- The idea is to build a secure MAC for arbitrary-length messages **directly** from a hash function.
- What about defining  $Mac_k(m) = H^s(k||m)$ ?

- The idea is to build a secure MAC for arbitrary-length messages **directly** from a hash function.
- What about defining  $Mac_k(m) = H^s(k||m)$ ?
- It is NOT secure (if H was constructed using the Merkle-Damgård transform).
- HMAC is a standardised secure MAC that uses two layers of hashing.

## HMAC

Let *h* be a fixed-length hash function with input length n + n' and output length *n*. Let *H* be the hash function obtained from applying the Merkle-Damgård transform on *h*. Let opad and ipad be two fixed strings of length n'.

We define a MAC for arbitrary-length messages as follows:

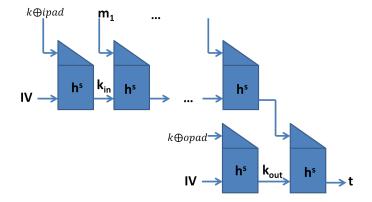
- KeyGen(n): it runs the key generator of the hash function *H* to get a key *s*. It also chooses a uniform *k* ∈ {0,1}<sup>n'</sup>. It outputs the key (*s*, *k*).
- $Mac((s, k), m \in \{0, 1\}^*)$ : it outputs

 $t \leftarrow H^{s}((k \oplus \text{opad})||H^{s}((k \oplus \text{ipad})||m))$ 

• Verify $((s,k), m \in \{0,1\}^*, t)$ : outputs 1 iff

 $t \stackrel{?}{=} H^{s}((k \oplus \text{opad})||H^{s}((k \oplus \text{ipad})||m))$ 

# HMAC



We are assuming  $n + \ell < n'$  (the length of the message is encoded as a  $\ell$ -bit string).

# Analysis of HMAC

- HMAC can be viewed as an instantiation of the hash-and-MAC technique.
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- HMAC can be viewed as an instantiation of the hash-and-MAC technique.
- HMAC is very efficient and widely used in practice.
- The use of the key in the inner computation allows for hash functions satisfying a weaker assumption to be used, namely hash functions that are *weakly* collision resistant (in this case, the adversary has access to a hash oracle to  $H_{k_{in}}^{s}()$ , where  $k_{in}$  is a secret value that replaces *IV* in the Merkle-Damgaard transform).
- Independent keys should be used in the inner and outer computations
- For efficiency reasons, ipad and opad are used to derive two keys from the single key *k*.

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## Outline



2 The Merkle-damgård Transform

MAC using Hash Functions



- Suppose there are *N* people in a room. What is the probability that two people have the same birthday?
- How many people do we need to have a probability larger than 1/2 ?

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- How many people do we need to have a probability larger than 1/2 ?
- Answer is 23:

$$\Pr[\text{all distinct}] = \frac{365}{365} \cdot \frac{364}{365} \cdot \frac{363}{365} \cdot \dots \cdot \frac{365 - 22}{365} < \frac{1}{2}$$

#### Generic attacks: The Birthday Attack

- Suppose you choose q elements randomly in a set of N elements. What is the probability that two elements are equal?
- How large should *q* be with respect to *N* to have a probability larger than 1/2 ?

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- Suppose you choose q elements randomly in a set of N elements. What is the probability that two elements are equal?
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- Let us try to solve it in a formal way ...

#### The Birthday Problem

 Assume that you are throwing q balls to N bins. Let Coll denote the event that two balls end up being in the same bin. We can show that

$$q(q-1)/4N \le \Pr[\mathsf{Coll}] \le q(q-1)/2N$$

 Upper bound: Let Coll<sub>i</sub> denote the event that the *i*-th ball falls into an already occupied bin. Then Pr[Coll<sub>i</sub>] ≤ (*i* − 1)/N as there are at most *i* − 1 occupied bins.

$$\Pr[\mathsf{Coll}] = \Pr[\bigvee_{i=1}^q \mathsf{Coll}_i] \le$$

$$\leq \sum_{i=1}^{q} \Pr[\mathsf{Coll}_i] \leq 0/N + \dots + (q-1)/N = \frac{q(q-1)}{2N}$$

## The Birthday Problem

**Lower bound**: Let NoColl<sub>*i*</sub> denote the event of not having any collision after throwing the *i*-th ball. It holds

$$\Pr[\mathsf{NoColl}_i|\mathsf{NoColl}_{i-1}] = (N - (i-1))/N \tag{1}$$

which is the probability of not falling in any of the previous i - 1 bins (with  $Pr[NoColl_1] = 1$ ). Hence:

$$\Pr[\overline{\mathsf{Coll}}] = \Pr[\mathsf{NoColl}_q] \tag{2}$$

and we have

$$\begin{aligned} &\Pr[\mathsf{NoColl}_q] = \Pr[\mathsf{NoColl}_q \cap \mathsf{NoColl}_{q-1}] = \\ &= \Pr[\mathsf{NoColl}_q |\mathsf{NoColl}_{q-1}] \cdot \Pr[\mathsf{NoColl}_{q-1}] \end{aligned}$$

Iterating the above reasoning, we obtain:

$$\Pr[\mathsf{NoColl}_q] = \prod_{i=1}^{q-1} \Pr[\mathsf{NoColl}_{i+1} | \mathsf{NoColl}_i]$$
(3)

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#### **The Birthday Problem**

From equations (1), (2) and (3)

$$\Pr[\overline{\mathsf{Coll}}] = \prod_{i=1}^{q-1} \left(1 - \frac{i}{N}\right) \tag{4}$$

Since  $1 - x \le e^{-x}$  when  $x \le 1$ , and given that i/N < 1, thus

$$\Pr[\overline{\mathsf{Coll}}] \le e^{-\sum_{i=1}^{q-1} (i/N)} = e^{-q(q-1)/2N}.$$
(5)

Therefore

$$\Pr[\mathsf{Coll}] \ge 1 - e^{-q(q-1)/2N}$$

where

$$1 - e^{-q(q-1)/2N} \ge q(q-1)/4N$$

if  $q < \sqrt{2N}$ , since  $e^{-x} \le 1 - x/2$  when  $|x| \le 1$ .

#### Hash Functions: the Birthday Attack

- How does the birthday attack apply to hash functions?
- We have a probability  $\approx$  1/2 when  $q \approx N^{1/2}$ .
- For a hash function with output length  $\ell$ , the range is of size  $2^{\ell}$ .
- When  $q \approx 2^{\ell/2}$ , the probability of finding a collision is  $\approx 1/2$ .
- In practice, to make finding collisions as difficult as exaustive search over 128-bit keys, you need a hash function with output length of at least 256 bits.
- This is necessary, but not sufficient!
- There are no generic attacks for preimage and second preimage resistance!

- The original birthday attack uses lots of memory storage. It has to store  $\mathcal{O}(q) = \mathcal{O}\left(2^{\ell/2}\right)$  values.
- Managing storage for 2<sup>60</sup> bytes is often more difficult that executing 2<sup>60</sup> CPU instructions.
- Can we do better?

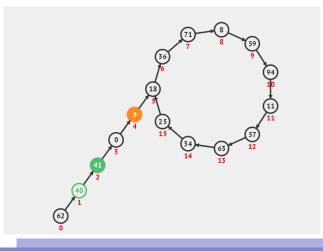
#### A Better Birthday Attack

- It is based on a cycle-finding algorithm of Floyd.
- We choose a random input *x*<sub>0</sub>.
- We compute  $x_i \leftarrow H(x_{i-1})$  and  $x_{2i} \leftarrow H(H(x_{2(i-1)}))$  for  $i = 1, 2, \ldots$ , where  $x_i = H^{(i)}(x_0)$ .
- We compare *x<sub>i</sub>* and *x<sub>2i</sub>* after each iteration.
- If they are equal, then the collision happens somewhere in  $x_0, \dots, x_{2i-1}$ .
- We try to find the smallest value of *j* for which  $x_j = x_{j+i}$ . The collision will then be  $(x_{j-1}, x_{j+i-1})$ .
- The algorithm has same time complexity and success probability as the general birthday attack, but only  $\mathcal{O}(1)$  memory, namely, storage of two hashes in each iteration!

# A better Birthday Attack

Floyd's cycle finding idea:

https://visualgo.net/bn/cyclefinding



#### A Better Birthday Attack

We are given  $H: \{0,1\}^* \to \{0,1\}^\ell$ , and we aim to find x, x' s.t. H(x) = H(x'). $x_0 \leftarrow \{0, 1\}^{\ell+1}$  $x', x \leftarrow x_0$ for  $i = 1, 2, \cdots$  do  $x \leftarrow H(x) = H^{(i)}(x_0)$  $x' \leftarrow H(H(x')) = H^{(2i)}(x_0)$ if x = x' break  $x' \leftarrow x, x \leftarrow x_0$ for  $i = 1 \cdots i$ if H(x) = H(x') return x, x'else  $x \leftarrow H(x) = H^{(j)}(x_0)$  $x' \leftarrow H(x') = H^{(i+j)}(x_0)$ 

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