C3.4 Algebraic Geometry

Lecture 6: Graded rings, homogenous coordinate rings and Veronese embeddings

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**Definition** Let A be a commutative ring. An  $\mathbb{N}$ -grading of A means a direct sum decomposition

$$A = \bigoplus_{d \ge 0} A_d$$

as an abelian group under addition, so that the grading is compatible with multiplication:

$$A_d \cdot A_e \subset A_{d+e}.$$

The elements in  $A_d$  are called the **homogeneous elements of degree** d. Note every  $f \in A$  is uniquely a finite sum  $\sum f_d$  of homogeneous elements  $f_d \in A_d$ .

A homomorphism of graded rings  $f : A \to B$  is a homomorphism of rings which respects the grading so that  $f|_{A_d} : A_d \to B_d$ .

In all our examples, A will be a f.g. commutative unital k-algebra with  $A_0 \cong k$ .

Suppose A is a graded ring as above. For an ideal  $I \triangleleft A$ , let

$$I_d = I \cap A_d.$$

**Definition.**  $I \triangleleft A$  is a homogeneous ideal, if

$$I = \bigoplus_{d \ge 0} I_d.$$

## Easy lemma

- 1.  $I \triangleleft A$  is homogeneous if and only if I generated by homogeneous elements.
- 2. I is homogeneous if and only for every  $f \in I$ , also all homogeneous parts  $f_d \in I$ .
- 3. If is I homogeneous,

I a prime ideal  $\Leftrightarrow \forall hgs f, g \in A, fg \in I \text{ implies } f \in I \text{ or } g \in I.$ 

4. Sums, products, intersections, radicals of homogeneous ideals are homogeneous.

**Example 1** This is the key example. The ring

$$R = k[x_0, \ldots, x_n]$$

is graded, by declaring that deg  $x_i = 1$ . The graded pieces are

$$R_d = k[x_0, \ldots, x_n]_d,$$

the spaces of homogeneous polynomials of degree d.

**Example 2** We could generalise this! Declare deg  $x_i = w_i$ , some positive integer. We get a **different** N-grading on the same ring  $R = k[x_0, \ldots, x_n]$ . We will not use this construction in this course, but it would be an interesting direction to go in.

The following is still easy, but it is a key statement.

**Proposition** Let  $A = \bigoplus A_d$  be a graded ring,  $I \triangleleft A$  a homogeneous ideal. Then the quotient ring S = R/I is also graded, with

$$S_d = R_d / I_d.$$

**Example 3** Let  $f \in k[x_0, \ldots, x_n]_d$  be a homogeneous polynomial of degree d. Then the ring

$$S = R/\langle f \rangle$$

is graded, with

$$S_e = \begin{cases} k[x_0, \dots, x_n]_e & \text{if } e < d;\\ k[x_0, \dots, x_n]_e / f \cdot k[x_0, \dots, x_n]_{e-d} & \text{otherwise.} \end{cases}$$

We work with  $R = k[x_0, \ldots, x_n]$ , with the usual grading in which  $x_0, \ldots, x_n$  all have degree 1.

Consider a projective variety  $X \subset \mathbb{P}^n$ . The **homogeneous coordinate** ring S(X) is the graded ring

 $S(X) = R/\mathbb{I}^h(X),$ 

where  $\mathbb{I}^h(X) \triangleleft R$  is the homogeneous ideal of X. **Example 1** We have

$$S(\mathbb{P}^n) = R = k[x_0, \dots, x_n].$$

**Example 2** For  $X = \mathbb{V}(yz - x^2) \subset \mathbb{P}^2$ , we have  $S(X) = k[x, y, z]/(yz - x^2).$  There is also a converse process. Suppose that A is a reduced, graded k-algebra with  $A_0 \cong k$ . Suppose also

**Key assumption:** A is generated by finitely many elements, all in degree 1. Let  $g_0, \ldots, g_n$  be a set of generators in degree 1 of A as a k-algebra. Consider the homomorphism of graded k-algebras

$$\varphi \colon R = k[x_0, \ldots, x_n] \to A$$

given by  $\varphi(x_i) = g_i$ . This is surjective, since the elements  $g_i$  generate A. Let

$$I = \ker \varphi \lhd R.$$

Then I is necessarily a graded ideal, since  $\varphi$  respects the gradings (as  $g_i$  is of degree 1!). I is also a radical ideal, since A is reduced.

Let  $X = \mathbb{V}(I) \subset \mathbb{P}^N$ . Then by the Nullstellensatz  $\mathbb{I}^h(X) = I$ . Hence  $S(X) \cong R/I \cong A$ .

So the projective variety  $X \subset \mathbb{P}^n$  has homogeneous coordinate ring A.

Let  $A = \bigoplus_{e \ge 0} A_e$  be a graded ring, d a fixed integer. Consider

$$A^{(d)} = \bigoplus_{e \ge 0} A_{d \cdot e},$$

the *d*-th Veronese subring of *A*. This is a graded ring, with **new grading**  $A_e^{(d)} = A_{d \cdot e}$ .

**Example** Let A = k[x, y] in the standard grading. Then for d = 2,

$$A^{(2)} = k \oplus \langle x^2, xy, y^2 \rangle \oplus \langle x^4, x^3y, x^2y^2, xy^3, y^4 \rangle \oplus \dots$$

More general example Let  $R = k[x_0, \ldots, x_n]$  in the standard grading. Then

$$R^{(d)} = k \oplus k[x_0, \dots, x_n]_d \oplus k[x_0, \dots, x_n]_{2d} \oplus \dots$$

We have the graded ring

$$R^{(d)} = \bigoplus_{e \ge 0} k[x_0, \dots, x_n]_{ed}.$$

**Easy Lemma**  $R^{(d)}$  is reduced, and generated by its degree 1 piece  $R_1^{(d)} = R_d$ . **Proof**  $R^{(d)}$  is a subring of the reduced ring R, so it is reduced itself. Also every monomial of degree  $e \cdot d$  in  $x_0, \ldots, x_n$  is a product of d monomials of degree e (usually in many ways).

**Natural question** What projective variety do we get if we consider this graded ring  $R^{(d)}$  and perform the construction of a projective variety from it?

**Example** Consider the simplest case n = 1, d = 2. We have, as before,

$$R^{(2)} = k \oplus \langle x_0^2, x_0 x_1, x_1^2 \rangle \oplus \langle x_0^4, x_0^3 x_1, x_0^2 x_1^2, x_0 x_1^3, x_1^4 \rangle \oplus \dots$$

The degree one piece  $R_1^{(2)}$  is generated by three polynomials  $x_0^2, x_0 x_1, x_1^2$ . So we consider the surjection

 $\varphi \colon k[y_0, y_1, y_2] \to R^{(2)}$ 

given by  $y_0 \mapsto x_0^2, y_1 \mapsto x_0 x_1, y_2 \mapsto x_1^2$ . The kernel is the ideal

$$\ker \varphi = \langle y_0 y_2 - y_1^2 \rangle.$$

We get

$$Y = \{y_0 y_2 - y_1^2 = 0\} \subset \mathbb{P}^2,$$

the image of the Veronese embedding  $F_2: \mathbb{P}^1 \to Y \subset \mathbb{P}^2$  we considered in Lecture 5!

Fix d, n. We need to count the number of monomials of  $x_0, \ldots, x_n$  of degree d. **Proposition** We have

$$\dim_k k[x_0,\ldots,x_n]_d = \binom{n+d}{d}.$$

**Proof** Several proofs are possible. A combinatorial proof is explained in the Lecture Notes. A proof by double induction on (n, d) is left as an exercise.  $\Box$  In concrete calculations, it is also useful to be able to list these monomials as a linear list. The most common way to do so is the lexicographic ordering. Instead of a detailed explanation, an example should suffice.

**Example** For n = 2 and d = 3, we have  $\dim_k k[x_0, x_1, x_2]_2 = {5 \choose 3} = 10$ . A lexicographically ordered basis of  $k[x_0, x_1, x_2]_2$  is

$$\{x_0^3, x_0^2x_1, x_0^2x_2, x_0x_1^2, x_0x_1x_2, x_0x_2^2, x_1^3, x_1^2x_2, x_1x_2^2, x_2^3\}.$$

Fix d, n as before. Let  $N = \binom{n+d}{d} - 1$ .

Define the *d*-th Veronese map on  $\mathbb{P}^n$  to be the projective morphism

$$\nu_d : \mathbb{P}^n \longrightarrow \mathbb{P}^N \\ [x_0 : \ldots : x_n] \mapsto [\ldots : x^I : \ldots]$$

where the index set runs over all monomials  $x^{I} = x_{0}^{i_{0}} x_{1}^{i_{1}} \cdots x_{n}^{i_{n}}$  of degree  $d = i_{0} + \cdots + i_{n}$ , in other words a basis of the space  $k[x_{0}, \ldots, x_{n}]_{d}$ .

The image of  $\nu_d$  inside  $\mathbb{P}^N$  is called a **Veronese variety**.

**Note:** indeed  $\nu_d$  is a projective morphism, as it is defined by homogeneous polynomials of the same degree d, not all zero as the monomials include  $x_0^d, \ldots, x_n^d$ .

We now want to write down equations that the image of  $\nu_d$  satisfies. The morphism is defined by considering all degree d monomials  $x^I$  of  $x_0, \ldots, x_n$ . Consider multi-indices of type  $(i_0, \ldots, i_n) \in \mathbb{N}^{n+1}$  with  $i_0 + \cdots + i_n = d$ . Note that if for multi-indices I, J, K, L, we have I + J = K + L, then

$$x^I x^J = x^K x^L.$$

This means that, considering variables  $z_I$  on  $\mathbb{P}^N$  for all possible multi-indices I, we get

$$\operatorname{image}(\nu_d) \subset \mathbb{V}(z_I z_J - z_K z_L) \subset \mathbb{P}^N$$

for all multi-indices I, J, K, L with I + J = K + L.

**Example** For the familiar case n = 1, d = 2, we have

$$(x_0 x_1)^2 = (x_0^2)(x_1^2)$$

which corresponds to the multi-index identity

$$(1,1) + (1,1) = (2,0) + (0,2).$$

**Theorem** The Veronese map is a closed embedding  $\nu_d \colon \mathbb{P}^n \hookrightarrow \mathbb{P}^N$ . It defines an isomorphism between  $\mathbb{P}^n$  and its image

$$\operatorname{Image}(\nu_d) = \mathbb{V}(\langle z_I z_J - z_K z_L : I + J = K + L \rangle) \\ = \bigcap_{I+J=K+L} \mathbb{V}(z_I z_J - z_K z_L) \subset \mathbb{P}^N$$

where we run over all multi-indices I, J, K, L of type  $(i_0, \ldots, i_n) \in \mathbb{N}^{n+1}$  with  $i_0 + \cdots + i_n = d$ . **Proof, Step 1.** Let  $Y = \bigcap_{I+J=K+L} \mathbb{V}(z_I z_J - z_K z_L) \subset \mathbb{P}^N$ . The discussion on the previous page showed that  $\operatorname{Image}(\nu_d) \subset Y$ . So indeed we can think of

 $\nu_d$  as a morphism  $\nu_d \colon \mathbb{P}^n \to Y$ .

To finish the argument, we will write down an explicit inverse morphism for  $\nu_d$  on Y.

**Proof, Step 2.** Fix  $J = (i_0, \ldots, i_n) \in \mathbb{N}^{n+1}$  with  $d-1 = i_0 + \cdots + i_n$ , and denote  $J_{\ell} = (j_0, \ldots, j_{\ell} + 1, \ldots, j_n)$ ; these indices now sum to d. Let

$$\varphi_J: Y \setminus Z_J \to \mathbb{P}^n$$
 defined by  $[\ldots; z_I:\ldots] \mapsto [z_{J_0}: z_{J_1}:\ldots: z_{J_n}]$ 

which is a well-defined morphism away from the closed set  $Z_J$  where all  $z_{J_{\ell}} = 0$ . These morphisms  $\varphi_J$  fit together to a morphism

$$\varphi\colon Y\to \mathbb{P}^N$$

Indeed for two such J, J', notice  $J_{\ell} + J'_{\ell'} = J_{\ell'} + J'_{\ell}$  (this equals J + J' plus add 1 in the two slots  $\ell, \ell'$ ).

Hence  $z_{J_\ell} z_{J'_{\ell'}} = z_{J_{\ell'}} z_{J'_{\ell}}$ , and thus  $[z_{J_\ell} : z_{J_{\ell'}}] = [z_{J'_\ell} : z_{J'_{\ell'}}]$  and so finally  $\varphi_J([z]) = \varphi_{J'}([z]).$  **Proof, Step 3.** We show that  $\nu_d, \varphi$  are inverse morphisms, finally proving

$$\mathbb{P}^n \cong Y \subset \mathbb{P}^N.$$

Notice

$$\varphi_J \circ \nu_d([x]) = [x^{J_0} : \ldots : x^{J_n}] = [x_0 : \ldots : x_n],$$

as we can just rescale by  $1/x^J$ .

Conversely, one can also check

$$\nu_d \circ \varphi_J([z_I]) = [z_I].$$

For the somewhat intricate combinatorial argument to prove this, see Lecture Notes.  $\hfill \square$ 

The *d*-th Veronese embedding of  $\mathbb{P}^1$  For n = 1, d arbitrary, we get a closed inclusion

$$\nu_d \colon \mathbb{P}^1 \hookrightarrow \mathbb{P}^d$$

defined by  $[x_0 : x_1] \mapsto [x_0^d : x_0^{d-1}x_1 : \ldots : x_1^d].$ 

The image is traditionally called the **rational normal curve of degree** d. In this case, the equations can be written in the following attractive form.

$$\nu_d(\mathbb{P}^1) = \left\{ \operatorname{rank} \left( \begin{array}{ccc} y_0 & y_1 & \dots & y_{d-1} \\ y_1 & y_2 & \dots & y_d \end{array} \right) \le 1 \right\} \subset \mathbb{P}_d.$$

Indeed, the condition that the rank of the given matrix is at most 1 is captured by the vanishing of  $2 \times 2$  determinants

$$\det\left(\begin{array}{cc}y_i & y_j\\y_{i+1} & y_{j+1}\end{array}\right) = y_i y_{j+1} - y_j y_{i+1}.$$

These are precisely the quadrics from the Theorem above.

The second Veronese embedding of  $\mathbb{P}^2$  For n = 2, d = 2, we get a closed inclusion

$$\nu_2 \colon \mathbb{P}^2 \hookrightarrow \mathbb{P}^5$$

defined by  $[x_0: x_1: x_2] \mapsto [x_0^2: x_0x_1: x_0x_2: x_1^2: x_1x_2: x_2^2].$ 

The image is traditionally called the **Veronese surface**.