

## Question 1

A *club* (in  $\text{On}$ ) is a closed, unbounded class of ordinals, i.e. a class  $C$  such that  $\forall x [x \subseteq C \rightarrow \sup x \in C]$  and  $\forall \alpha \in \text{On} \exists \beta \in C \alpha \subseteq \beta$ .

1. Prove that if  $C_1$  and  $C_2$  are clubs then so is  $C_1 \cap C_2$ .
2. Suppose that  $X$  is a class such that  $X \subseteq \omega \times \text{On}$ . For  $i \in \omega$ , let  $X_i = \{\alpha \in \text{On} : \langle i, \alpha \rangle \in X\}$ .

Carefully write down **one** formula expressing that for all  $i \in \omega$ ,  $X_i$  is a club.

Carefully define  $\bigcap_{i \in \omega} X_i$  and prove that it is a club.

## Question 2

It is known that there is a formula  $\phi(x)$  of LST (with all free variables shown) such that (in ZF one can prove that) for any set  $a$ , “ $\phi(a)$  iff  $(a, \in) \models \text{ZF}$  and  $a$  is transitive”. Further, this formula is  $A$ -absolute for any non-empty transitive class  $A$ .

1. Show that if ZF is consistent, then one cannot prove the sentence  $\exists x \phi(x)$  from ZF.  
[Hint: Consider the least  $\alpha \in \text{On}$  such that  $\exists x \in V_\alpha(\phi(x))$ .]
2. As formulated in the lectures, ZF is a countably infinite collection of axioms (since there is one separation and replacement axiom for each formula of LST, and there are clearly a countably infinite number of such formulas). Assuming that ZF is consistent, prove that there is no finite subcollection,  $T$ , say, of axioms of ZF, such that  $T \vdash \text{ZF}$ .
3. (Optional) Indicate how to write down  $\phi$ .
4. If  $\phi$  is a formula of LST, show that the class  $\{\alpha \in \text{On} : \phi \text{ is absolute for } V_\alpha, V\}$  contains a club  $C_\phi$ .
5. (Strictly Optional) What is wrong with the following argument:

Let  $\phi_i, i \in \omega$  be an enumeration of all the axioms of ZF (in a sufficiently strong meta-theory). By the previous part, for each  $i \in \omega$ , the class  $\{\alpha \in \text{On} : (V_\alpha, \in) \models \phi_i\}$  contains a club  $C_i = C_{\phi_i}$  (since  $(V, \in) \models \phi_i$ , i.e.  $\text{ZF} \vdash \phi_i^V$ ). By question 1,  $\bigcap_{i \in \omega} C_i$  is a club. In particular,  $\bigcap_{i \in \omega} C_i$  is non-empty. Let  $\beta \in \bigcap_{i \in \omega} C_i$ . Then  $\beta \in C_i$  for all  $i \in \omega$ , so  $(V_\beta, \in) \models \phi_i$  for all  $i \in \omega$ , so  $(V_\beta, \in) \models \text{ZF}$ . Hence  $\phi(V_\beta)$  holds, so  $\exists x \phi(x)$  (where  $\phi(x)$  is the formula from the first part). Thus ZF (by the first part) is inconsistent.

### Question 3

1. Complete the proof that  $L$  satisfies ZF (again, **Union** and **Infinity**).
2. (Optional) Which axioms does  $L_\alpha$  (obviously) satisfy (for various  $\alpha \in On$ ). What facts would you need to show that  $L_\alpha$  (for some appropriate  $\alpha$ ) satisfies **Replacement** and **Separation**?
3. Indicate how to show that if  $A$  is a transitive non-empty class satisfying **Separation** such that  $\forall z[z \subseteq A \rightarrow z \in A]$  then  $A$  satisfies ZF.

### Question 4

The  $V$ -rank of a set  $A$ ,  $rk_V(A)$ , is defined to be the least  $\alpha \in On$  such that  $A \in V_{\alpha+1}$ . Prove that  $\forall \alpha \in On (rk(L_\alpha) = \alpha)$ .

### Question 5

Let  $E$  denote the set of even natural numbers. Prove that  $E \in L_{\omega+1}$ .

### Question 6

Suppose  $F : V \rightarrow V$  is a class function without parameters (ie. the formula defining “ $F(x) = y$ ” has no parameters). Suppose further that it is an *elementary map*, ie. for any formula  $\phi(v_0, \dots, v_n)$  of LST (without parameters), and any  $a_0, \dots, a_n \in V$ ,

$$\phi(a_0, \dots, a_n) \Leftrightarrow \phi(F(a_0), \dots, F(a_n)).$$

Prove that  $F$  is the identity. [Hint: first show that for all ordinals  $\alpha$ ,  $F(\alpha) = \alpha$ , by considering the first  $\beta$  for which  $F(\beta) \neq \beta$ .]

[Remark: Assuming only ZF, it is not known whether such an elementary map definable *with* parameters can exist other than the identity, although if ZFC is assumed it is known that there is no such.]

### Question 7

The collection of  $\Sigma_1$  formulae are defined (recursively, in the meta-theory) as follows:

- $\Delta_0$  formulae are  $\Sigma_1$ ;
- if  $\phi$  and  $\psi$  are  $\Sigma_1$  then so are  $\phi \vee \psi$ ,  $\phi \wedge \psi$ ,  $\forall x \in y \phi$  and  $\exists x \phi$ ;
- nothing else is a  $\Sigma_1$  formula.

Show that for every  $\Sigma_1$  formula  $\phi(x_1, \dots, x_n)$ , there exists a corresponding  $\Delta_0$  formula  $\psi(x_1, \dots, x_n, y_1, \dots, y_m)$  such that

$$ZF \vdash \forall x_1, \dots, x_n [\phi(x_1, \dots, x_n) \leftrightarrow \exists y_1, \dots, y_m \psi(x_1, \dots, x_n, y_1, \dots, y_m)].$$

Hence show that if  $A \subseteq B$  are non-empty transitive classes and  $\phi(v_1, \dots, v_n)$  is a  $\Sigma_1$  formula then  $\phi$  is 'upwards absolute' for  $A, B$ , i.e.

$$ZF \vdash \forall x_1, \dots, x_n \in A [\phi(a_1, \dots, a_n)^A \rightarrow \phi(a_1, \dots, a_n)^B]$$

Give an example of a  $\Sigma_1$  formula that is not absolute (for non-empty transitive classes).