

# Introduction to Cryptology

## 9.2 - Hybrid Encryption

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- ❖ The shared key is used with a symmetric-key encryption scheme, called data-encapsulation mechanism.

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- ❖ The shared key is used with a symmetric-key encryption scheme, called data-encapsulation mechanism.

Symmetric-key encryption schemes are significantly **faster** (2 or 3 orders of magnitude) than public ones.

# Key-encapsulation mechanisms (KEMs)

A key-encapsulation mechanism (KeyGen, Encaps, Decaps) consists of three algorithms:

- ❖  $(PK, SK) \leftarrow \text{KeyGen}(n)$ : on input a security parameter  $n$ , it returns a pair of keys  $(PK, SK)$  - the public key  $PK$  and its matching secret key  $SK$  - each of length  $n$ .
- ❖  $(c, k) \leftarrow \text{Encaps}(PK, n)$ : on input a public key  $PK$  and  $n$ , it outputs a ciphertext  $c$  and a key  $k \in \{0, 1\}^{\ell(n)}$ .
- ❖  $k/\perp \leftarrow \text{Decaps}(SK, c)$ : deterministic algorithm that takes a secret key  $SK$  and a ciphertext  $c$ , and returns a key  $k$  or  $\perp$ .

**Correctness:** for any  $(PK, SK)$  output by KeyGen on input  $n$  it holds

$$\Pr(\text{Decaps}(SK, c) = k | (c, k) \leftarrow \text{Encaps}(PK, n)) = 1$$

# KEMs - Definition of Security

CPA Indistinguishability  $\text{KEM}_{\mathcal{A}, \Pi}^{\text{cpa}}(n)$

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Challenger  $\mathcal{Ch}$

Adversary  $\mathcal{A}$

$(\text{PK}, \text{SK}) \leftarrow \text{KeyGen}(n)$

$(c, k) \leftarrow \text{Encaps}(\text{PK}, n)$

$b \leftarrow \{0, 1\}$

If  $b = 0$ ,  $\hat{k} := k$   
else  $\hat{k} \leftarrow \{0, 1\}^{\ell(n)}$

$\xrightarrow{(\text{PK}, c, \hat{k})}$

Output their guess  $b'$

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## Definition

A KEM  $\Pi$  is CPA-secure if, for every PPT adversary  $\mathcal{A}$ , it holds

$$\text{Adv}_{\mathcal{A}, \Pi}^{\text{cpa}}(n) = \Pr(\text{KEM}_{\mathcal{A}, \Pi}^{\text{cpa}}(n) = 1) \leq 1/2 + \text{negl}(n).$$

# Hybrid Encryption

A hybrid encryption scheme  $(\text{KeyGen}^{hy}, \text{Enc}^{hy}, \text{Dec}^{hy})$  is a public-key encryption scheme obtained combining a KEM  $\Pi = (\text{KeyGen}, \text{Encaps}, \text{Decaps})$  and a symmetric-key encryption scheme  $E = (\text{KeyGen}', \text{Enc}, \text{Dec})$  as follows.

- ❖  $(\text{PK}, \text{SK}) \leftarrow \text{KeyGen}^{hy}(n)$ : it runs  $\text{KeyGen}$  on input a security parameter  $n$ , and returns its output  $(\text{PK}, \text{SK})$ .
- ❖  $(c, c') \leftarrow \text{Enc}^{hy}(\text{PK}, m \in \{0, 1\}^*)$ : given a public key  $\text{PK}$  and a message  $m$  it
  - ❖ computes  $(c, k) \leftarrow \text{Encaps}(\text{PK}, n)$ ;
  - ❖ computes  $c' \leftarrow \text{Enc}(k, m)$ ;
  - ❖ outputs the ciphertext  $(c, c')$ .
- ❖  $m \leftarrow \text{Dec}^{hy}(\text{SK}, (c, c'))$ : on input a secret key  $\text{SK}$  and a ciphertext  $(c, c')$ , it
  - ❖ computes  $k \leftarrow \text{Decaps}(\text{SK}, c)$ ;
  - ❖ outputs  $m \leftarrow \text{Dec}(k, c')$ .

# Hybrid Encryption: Efficiency

Consider  $\alpha = \text{cost}(\text{Encaps}(\cdot, n))$  and  $\beta = \text{cost}(\text{Enc}(\cdot, 1 \text{ bit}))$  for a fixed security parameter  $n$ .

# Hybrid Encryption: Efficiency

Consider  $\alpha = \text{cost}(\text{Encaps}(\cdot, n))$  and  $\beta = \text{cost}(\text{Enc}(\cdot, 1 \text{ bit}))$  for a fixed security parameter  $n$ .

To encrypt a message  $m$ , the cost per bit is:

$$\text{cost}(\text{Enc}^{hy}(\cdot, 1 \text{ bit})) = \frac{\alpha + \beta \cdot |m|}{|m|} = \frac{\alpha}{|m|} + \beta.$$

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$$\text{cost}(\text{Enc}^{\text{hy}}(\cdot, 1 \text{ bit})) = \frac{\alpha + \beta \cdot |m|}{|m|} = \frac{\alpha}{|m|} + \beta.$$

For a sufficiently long  $m$ ,  $\text{cost}(\text{Enc}^{\text{hy}}(\cdot, 1 \text{ bit}))$  **approaches**  $\beta$ , i.e.

$$\text{cost}(\text{Enc}^{\text{hy}}(\cdot, 1 \text{ bit})) \approx \text{cost}(\text{Enc}(\cdot, 1 \text{ bit})).$$

# Security of the Hybrid Encryption Scheme

## Theorem

Consider the hybrid encryption scheme  $E^{hy}$ . If

- ❖  $\Pi = (\text{KeyGen}, \text{Encaps}, \text{Decaps})$  is a **CPA-secure** key-encapsulation mechanism,
- ❖  $E = (\text{KeyGen}', \text{Enc}, \text{Dec})$  is a symmetric-key encryption scheme which has **indistinguishable encryptions** in the presence of an eavesdropper,

then  $E^{hy}$  is a **CPA-secure** public-key encryption scheme.

# Security of the Hybrid Encryption Scheme

## Proof

Let  $\mathcal{A}^{hy}$  be an adversary playing the  $\text{PubK}_{\mathcal{A}^{hy}, S^{hy}}^{\text{eav}}(n)$  game. The goal is proving that:

$$\Pr(\text{PubK}_{\mathcal{A}^{hy}, S^{hy}}^{\text{eav}}(n) = 1) \leq \frac{1}{2} + \text{negl}(n).$$

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From the union formula and the definition of conditional probability we deduce:

$$\begin{aligned} \Pr(\text{PubK}_{\mathcal{A}^{hy}, S^{hy}}^{\text{eav}}(n) = 1) &= \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | m = m_0) \\ &\quad + \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | m = m_1). \end{aligned}$$

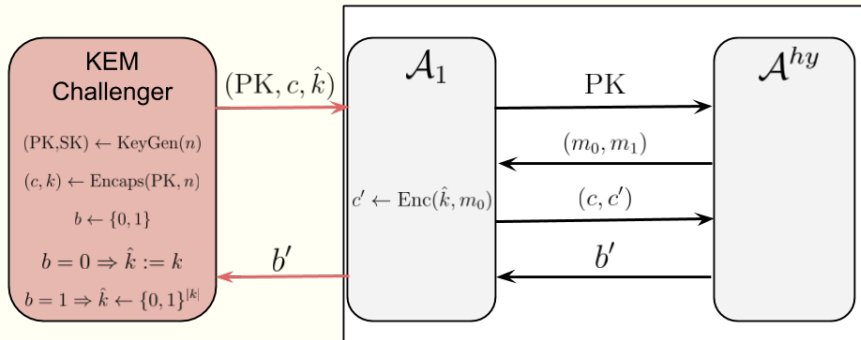


# Security of the Hybrid Encryption Scheme

Using  $\mathcal{A}^{hy}$  as a subroutine, we construct an adversary  $\mathcal{A}_1$  against the CPA-security of  $\Pi$ .

- ❖  $\mathcal{A}_1$  receives  $(PK, c, \hat{k})$  from Ch and sends PK to  $\mathcal{A}^{hy}$ ;
- ❖ upon reception of  $(m_0, m_1)$  from  $\mathcal{A}^{hy}$ , it obtains  $c'$  running Enc on input  $\hat{k}$  and  $m_0$ , and sends  $(c, c')$  to  $\mathcal{A}^{hy}$ ;
- ❖  $\mathcal{A}_1$  outputs the bit  $b'$  received from  $\mathcal{A}^{hy}$ .

# Security of the Hybrid Encryption Scheme



$$\Pr(\mathcal{A}_1 \text{ outputs } 0 | b = 0) = \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k, m = m_0)$$

$$\Pr(\mathcal{A}_1 \text{ outputs } 1 | b = 1) = \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_0)$$

# Security of the Hybrid Encryption Scheme

Since the key-encapsulation scheme  $\Pi$  is CPA-secure, we have:

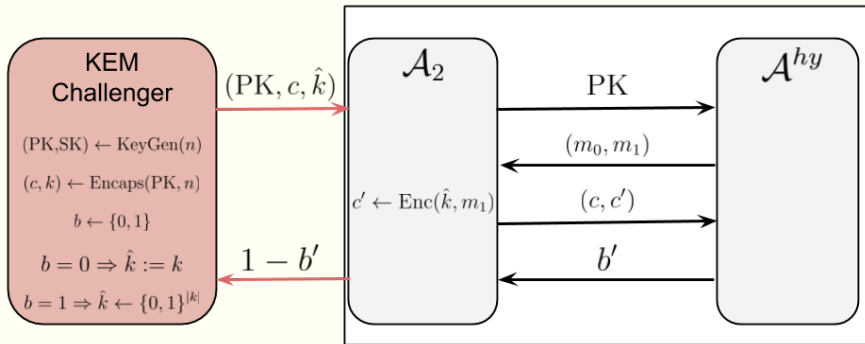
$$\begin{aligned}\Pr(\text{KEM}_{\mathcal{A}_1, \Pi}^{\text{cpa}}(n) = 1) &= \frac{1}{2} \Pr(\mathcal{A}_1 \text{ outputs } 0 | b = 0) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}_1 \text{ outputs } 1 | b = 1) = \\ &= \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k, m = m_0) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_0) \leq \\ &\leq \frac{1}{2} + \text{negl}_1(n)\end{aligned}$$

# Security of the Hybrid Encryption Scheme

Using  $\mathcal{A}^{hy}$  as a subroutine, we construct an adversary  $\mathcal{A}_2$  against the CPA-security of  $\Pi$ .

- ❖  $\mathcal{A}_2$  receives  $(PK, c, \hat{k})$  from Ch and sends PK to  $\mathcal{A}^{hy}$ ;
- ❖ upon reception of  $(m_0, m_1)$  from  $\mathcal{A}^{hy}$ , it obtains  $c'$  running Enc on input  $\hat{k}$  and  $m_1$ , and sends  $(c, c')$  to  $\mathcal{A}^{hy}$ ;
- ❖  $\mathcal{A}_2$  outputs  $1 - b'$ , where  $b'$  is the bit received from  $\mathcal{A}^{hy}$ .

# Security of the Hybrid Encryption Scheme



$$\Pr(\mathcal{A}_2 \text{ outputs } 0 | b = 0) = \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k, m = m_1)$$

$$\Pr(\mathcal{A}_2 \text{ outputs } 1 | b = 1) = \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_1)$$

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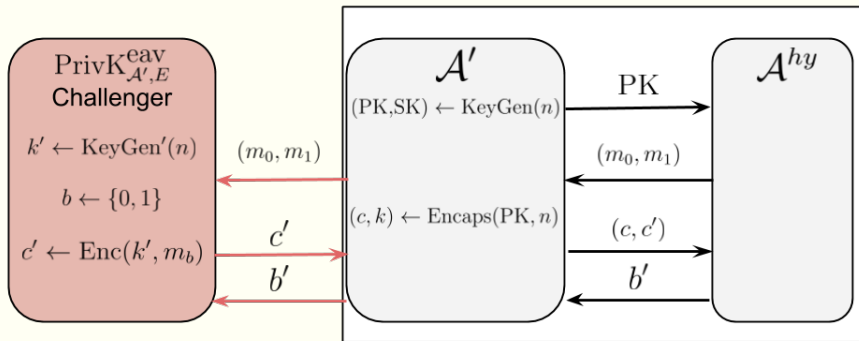
$$\begin{aligned}\Pr(\text{KEM}_{\mathcal{A}_2, \Pi}^{\text{cpa}}(n) = 1) &= \frac{1}{2} \Pr(\mathcal{A}_2 \text{ outputs } 0 | b = 0) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}_2 \text{ outputs } 1 | b = 1) = \\ &= \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k, m = m_1) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_1) \leq \\ &\leq \frac{1}{2} + \text{negl}_2(n)\end{aligned}$$

# Security of the Hybrid Encryption Scheme

Using  $\mathcal{A}^{hy}$  as a subroutine, we construct an adversary  $\mathcal{A}'$  against the indistinguishability of  $E$ .

- ❖  $\mathcal{A}'$  runs KeyGen, obtaining  $(PK, SK)$ . They compute  $(c, k) \leftarrow \text{Encaps}(PK, n)$  and send  $PK$  to  $\mathcal{A}^{hy}$ .
- ❖ Upon reception of  $(m_0, m_1)$  from  $\mathcal{A}^{hy}$ ,  $\mathcal{A}'$  sends them to the challenger, receiving a ciphertext  $c'$ ;
- ❖  $\mathcal{A}'$  sends  $(c, c')$  to  $\mathcal{A}^{hy}$ .
- ❖  $\mathcal{A}'$  outputs the bit  $b'$  received from  $\mathcal{A}^{hy}$ .

# Security of the Hybrid Encryption Scheme



$$\Pr(\mathcal{A}' \text{ outputs } 0 | b = 0) = \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_0)$$

$$\Pr(\mathcal{A}' \text{ outputs } 1 | b = 1) = \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_1)$$



# Security of the Hybrid Encryption Scheme

The symmetric-key encryption scheme  $E$  has indistinguishable encryptions in the presence of an eavesdropper. Therefore:

$$\begin{aligned}\Pr(\text{PrivK}_{\mathcal{A}', E}^{\text{eav}}(n) = 1) &= \frac{1}{2} \Pr(\mathcal{A}' \text{ outputs } 0 | b = 0) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}' \text{ outputs } 1 | b = 1) = \\ &= \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_0) + \\ &+ \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_1) \leq \\ &\leq \frac{1}{2} + \text{negl}'(n)\end{aligned}$$

# Security of the Hybrid Encryption Scheme

$\text{negl}_1(n) + \text{negl}_2(n) + \text{negl}'(n)$  is a negligible function  $\text{negl}(n)$ .

Summing all the above inequalities we obtain:

$$\begin{aligned} & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k, m = m_0) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_0) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k, m = m_1) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_1) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_0) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_1) \\ & \leq \frac{3}{2} + \text{negl}(n). \end{aligned}$$

# Security of the Hybrid Encryption Scheme

Furthermore, we have:

$$\begin{aligned} & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_0) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_0) = \frac{1}{2} \end{aligned}$$

and

$$\begin{aligned} & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k', m = m_1) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k', m = m_1) = \frac{1}{2}. \end{aligned}$$

# Security of the Hybrid Encryption Scheme

Hence, it remains

$$\begin{aligned} & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 0 | \hat{k} = k, m = m_0) + \\ & \frac{1}{2} \Pr(\mathcal{A}^{hy} \text{ outputs } 1 | \hat{k} = k, m = m_1) = \\ & \Pr(\text{PubK}_{\mathcal{A}^{hy}, S^{hy}}^{\text{eav}}) \leq \frac{1}{2} + \text{negl}(n), \end{aligned}$$

which concludes the proof.



# Security of the Hybrid Encryption Scheme

The definition of CCA-security of a KEM relies on an game, similar to  $\text{KEM}_{\mathcal{A}, \Pi}^{\text{cpa}}(n)$ , where  $\mathcal{A}$  is also given access to a **decapsulation oracle**  $\text{Decaps}(\text{SK}, \cdot)$ .

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The definition of CCA-security of a KEM relies on an game, similar to  $\text{KEM}_{\mathcal{A}, \Pi}^{\text{cpa}}(n)$ , where  $\mathcal{A}$  is also given access to a **decapsulation oracle**  $\text{Decaps}(\text{SK}, \cdot)$ .

## Theorem

*If  $\Pi$  is a CCA-secure key-encapsulation mechanism and  $E$  is a CCA-secure symmetric-key encryption scheme, the corresponding hybrid encryption scheme  $E^{\text{hy}}$  is a CCA-secure public-key encryption scheme.*

# Further Reading I



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# Further Reading III



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