## Gödel Incompleteness Theorems: Solutions to sheet 3

## А.

 Show that consistency is strictly weaker than 1-consistency. Firstly, any 1-consistent system S is consistent, because there is a formula which S does not prove (of the form φ(n̄), where φ is Σ<sub>0</sub>). Now we argue that there is a system that is consistent but 1-inconsistent. Let G be a Π<sub>1</sub> sentence as provided by the First Incompleteness Theorem, such that G is neither provable nor disprovable from PA. Then ¬G is Σ<sub>1</sub> and not disprovable, so PA ∪ {¬G} is consistent. Because PA is Σ<sub>1</sub>-complete, ¬G must be false. Suppose that ∃x φ(x) is provably equivalent to ¬G over PA, so that φ(x) is Σ<sub>0</sub>. Then ∃x φ(x) is false. Hence for all n, φ(n̄) is false, and so ¬φ(n̄) is Σ<sub>0</sub> and true. Since PA is Σ<sub>0</sub>-complete, PA ⊢ ¬φ(n̄) for all n. Thus PA ∪ {¬G} is consistent, but 1-inconsistent.

2. (i) Show how to construct a sentence, using the Diagonal Lemma, that "says", "this sentence, when added to PA, results in a system that is  $\omega$ -inconsistent".

Use the Diagonal Lemma on the formula in the hint.

## В.

**3.** Show that if a system S is  $\Sigma_0$ -complete and  $\omega$ -consistent, then it is  $\Sigma_2$ -sound. Suppose that  $S \vdash \exists x \forall y \phi(x, y)$  where  $\phi$  is  $\Sigma_0$ .

Then there exists n such that  $S \not\vdash \neg \forall y \phi(\overline{n}, y)$ ; that is,  $S \not\vdash \exists y \phi(\overline{n}, y)$ .

Now if S is  $\Sigma_0$ -complete, then it is  $\Sigma_1$ -complete. If  $\mathbb{N} \vDash \exists y \phi(\overline{n}, y)$ , then  $S \vdash \exists y \phi(\overline{n}, y)$ , giving a contradiction. So  $\mathbb{N} \vDash \neg \exists y \phi(\overline{n}, y)$ . Hence  $\mathbb{N} \vDash \exists x \forall y \phi(x, y)$ .

(i) Prove that the result in the last problem but one is the best possible, in the sense that there exists a system S that is  $\omega$ -consistent and which proves a false  $\Sigma_3$ -sentence. (Assume that PA is true in  $\mathbb{N}$ .)

Suppose L is diagonal with respect to the formula, which we'll write  $H(v_1)$ , in the hint in the last part.

Then L is provably equivalent to  $H(\overline{\Gamma L})$ , which is  $\Sigma_3$ .

We now consider the system  $PA \cup \{L\}$ .

We argue that this system is  $\omega$ -consistent.

For, if it were not, then  $H(\lceil L \rceil)$  would be true, and so  $PA \cup \{L\}$  would be  $\omega$ -inconsistent. But then also L would be true, so  $PA \cup \{L\}$  would be true; and any true set of formulae must be  $\omega$ -consistent, and so we have a contradiction.

Examining the previous two paragraphs, we see that L must be false, and hence so is  $H(\overline{\lceil L \rceil})$ .

So  $PA \cup \{L\}$  is an  $\omega$ -consistent system which proves a false  $\Sigma_3$  sentence.

4. (i) Show that every finite subset of the axioms of R has a finite model.

Any finite part of R is true in some  $\mathbb{Z}_n$ , for large enough n, where  $\leq$  is the usual order on the set  $\{0, \ldots, n-1\}$ .

(ii) Show that R is not finitely axiomatisable.

Obvious from the above.

(iii) Show that Q is a proper extension of R.

There are non-standard structures modelling R but not Q (with total chaos in the non-standard region, since R says nothing at all about the non-standard region but Q at least insists that  $\leq$  is a total order).

(iv) Show that PA is a proper extension of Q.

The ordinal  $\omega_1$  with ordinal operations satisfies Q but not PA.

С.

5. (i) Show that if a theory S is  $\omega$ -consistent, then at least one of  $S \cup \{X\}$  and  $S \cup \{\neg X\}$  is  $\omega$ -consistent.

Suppose that  $S \cup \{X\}$  and  $S \cup \{\neg X\}$  are both  $\omega$ -inconsistent.

Suppose that  $S \cup \{X\} \vdash \exists x \phi(x) \text{ and for all } n, S \cup \{X\} \vdash \neg \phi(\overline{n}), \text{ and that } S \cup \{\neg X\} \vdash \exists x \psi(x) \text{ and for all } m, S \cup \{\neg X\} \vdash \neg \psi(\overline{m}).$ 

So for all  $n, S \vdash X \to \neg \phi(\overline{n})$ , and for all  $m, S \vdash X \to \neg \psi(\overline{m})$ . Hence for all n and  $m, S \vdash (X \to \neg \phi(\overline{n})) \land (\neg X \to \neg \psi(\overline{m}))$ .

If  $(k,l) \mapsto [k,l]$  is the pairing function, define functions  $n \mapsto n_1$  and  $n \mapsto n_2$  so that for all  $n, n = [n_1, n_2]$ .

$$\begin{array}{l} Then \ for \ all \ n, \ S \vdash \left( X \to \neg \phi(\overline{n_1}) \right) \land \left( \neg X \to \neg \psi(\overline{n_2}) \right). \\ Now \ S \vdash X \lor \neg X. \\ So \ for \ all \ n, \ S \vdash \left( X \land \neg \phi(\overline{n_1}) \right) \lor \left( \neg X \land \neg \psi(\overline{n_2}) \right); \ that \ is, \ S \vdash \neg \left( X \to \phi(\overline{n_1}) \right) \lor \neg \left( \neg X \to \psi(\overline{n_2}) \right). \\ \psi(\overline{n_2}) \right), \ so \ S \vdash \neg \left( \left( X \to \phi(\overline{n_1}) \right) \land \neg \left( \neg X \to \psi(\overline{n_2}) \right) \right). \\ Also \ S \vdash X \to \exists x \ \phi(x) \ and \ S \vdash \neg X \to \exists x \ \psi(x). \\ So \ S \vdash \exists x \exists y \left( \left( X \to \phi(x) \right) \land \left( \neg X \to \psi(y) \right) \right), \ so \ S \vdash \exists x \left( \left( X \to \phi(x_1) \right) \land \left( \neg X \to \psi(x_1) \right) \right). \end{array}$$

 $\psi(x_2)\Big)\Big).$ 

Thus S is  $\omega$ -inconsistent.

(ii) Show that there is one and only one complete  $\omega$ -consistent extension of PA. Take as given that PA is sound.

If T is an extension with the properties given, then use  $\omega$ -consistency to eliminate quantifiers, to find that T is true in  $\mathbb{N}$  and must therefore be the theory of  $\mathbb{N}$ .

In slightly more detail, we argue by induction on n that the  $\Sigma_n$  elements of T are precisely the true ones. This is obvious for n = 0. If  $\exists x \phi(x)$  is  $\Sigma_{n+1}$  and belongs to T, then by  $\omega$ -consistency, some  $\phi(\overline{m})$  is not disproved by T and therefore belongs to T by completeness. By the inductive hypothesis,  $\phi(\overline{m})$  is true and hence so is  $\exists x \phi(x)$ . Conversely, if  $\exists x \phi(x)$  is  $\Sigma_{n+1}$  and true, then for some m,  $\phi(\overline{m})$  is true, and belongs to T by the inductive hypothesis. By consistency and completeness,  $\exists x \phi(x)$  belongs to T.

(iii) Explain why the following complete extension S of PA is not  $\omega$ -consistent. Let  $\{X_n : n \in \mathbb{N}\}$  be a listing of all sentences of  $\mathscr{L}$ . Let K be a sentence such that K is false and  $\mathrm{PA} \cup \{K\}$  is  $\omega$ -consistent, and let  $S_0$  be  $\mathrm{PA} \cup \{K\}$ . Let  $S_{n+1}$  be  $S_n \cup \{X_n\}$  if  $S_n \cup \{X_n\}$  is  $\omega$ -consistent, otherwise let  $S_{n+1}$  be  $S_n \cup \{\neg X_n\}$ . For each  $i, S_i$  is  $\omega$ -consistent by part (i). Let  $S = \bigcup_{n \in \mathbb{N}} S_n$ .

*n*-consistency doesn't automatically carry through at limit stages of countable cofinality.