

# Analytic Topology

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This document contains the (important) definitions, statements and proof sketches for results which require a new idea. The other proofs should be straightforward.

Concepts and results which should be known from a previous course are typeset in a smaller size.

Throughout this document assume that  $X, Y, Z$  as well as  $X_i, Y_i, Z_i, i \in I$  are topological spaces and  $I$  is some index set unless otherwise indicated.

## 1 Topological spaces, Bases, Subbases, Initial Topology, Products

### 1.1 Definitions

A topology on a set  $X$  is a collection  $\tau$  of subsets of  $X$  containing the empty set,  $X$  that is closed under taking finite intersections and arbitrary unions.

A topological space is a pair of  $(X, \tau)$  such that  $\tau$  is a topology on  $X$ .

In a topological space  $(X, \tau)$ , elements of  $X$  are called points, elements of  $\tau$  are called open sets, complements of elements of  $\tau$  are called closed sets and subsets of  $X$  that are closed and open are called clopen.

For a subset  $A$  of a topological space  $X$ , the closure of  $A$ ,  $\bar{A}$ , is the smallest closed set containing  $A$  and the interior of  $A$ ,  $\text{int}(A)$ , is the largest open set contained in  $A$ .

A function  $f: X \rightarrow Y$  is continuous if and only if preimages of  $Y$ -open sets under  $f$  are  $X$ -open.

If  $A \subseteq X$ , the subspace topology on  $A$  is  $\{U \cap A: U \text{ open } \subseteq X\}$ .

A basis for a topology  $\tau$  on  $X$  is a collection  $\mathcal{B} \subseteq \tau$  such that every open set is a union of a subcollection  $\mathcal{B}'$  of  $\mathcal{B}$ . If a basis has been fixed, its elements are called basic open sets.

$X$  is metrizable if and only if there is a metric  $d$  on  $X$  such that  $\{B_\epsilon^d(x): x \in X, \epsilon > 0\}$  is a basis for  $X$ .

A space is second countable if and only if it has a countable basis.

A subbasis for a topology  $\tau$  on  $X$  is a collection  $\mathcal{S} \subseteq \tau$  such that the set of finite intersections of elements of  $\mathcal{S}$  is a basis for  $\tau$ . If a subbasis has been fixed, its elements are called subbasic open sets.

Given a set  $X$  and a collection  $\mathcal{F} = \{f_i: X \rightarrow Y_i: i \in I\}$  the initial topology with respect to  $\mathcal{F}$  is the smallest (wrt  $\subseteq$ ) topology on  $X$  such that each  $f_i \in \mathcal{F}$  is continuous.

The Tychonoff product  $\prod_{i \in I} X_i$  of topological spaces  $X_i, i \in I$  is the topological space consisting of the Cartesian (set) product equipped with the initial topology with respect to the projections.

## 1.2 Results

**Lemma 1.1** (Recall). 1. If  $A \subseteq X$ , then  $\bar{A}$  exists and equals

$$\bigcap \{C: A \subseteq C \text{ closed } \subseteq X\} = \{x \in X: \forall \text{ open } U \ni x \ U \cap A \neq \emptyset\}.$$

2. The closure operator  $A \mapsto \bar{A}$  satisfies  $\bar{\emptyset} = \emptyset$ ,  $\overline{\bar{A}} = \bar{A}$ ,  $A \subseteq \bar{A}$ ,  $\overline{A \cup B} = \bar{A} \cup \bar{B}$  and  $\overline{\bigcap_i A_i} \subseteq \bigcap_i \bar{A}_i$ . Dual results hold for the interior operator.
3. A function  $f: X \rightarrow Y$  is continuous if and only if for every  $A \subseteq X$ ,  $f(\bar{A}) \subseteq \overline{f(A)}$ .
4. If  $B \subseteq A \subseteq X$  then  $\bar{B}^A = \bar{B}^X \cap A$ .

**Theorem 1.2.** 1. The set of topologies on a fixed set  $X$  is a complete lattice with respect to  $\subseteq$ , i.e. a partial order with arbitrary infima and suprema. The infimum of a collection  $\tau_i, i \in I$  of topologies on  $X$  is  $\bigcap_i \tau_i$ . The greatest element of the complete lattice is the discrete topology  $\mathcal{P}(X)$ , the smallest element is the indiscrete topology  $\{\emptyset, X\}$ .

2. A collection  $\mathcal{B}$  of subsets of  $X$  is the basis for a (necessarily unique) topology  $\tau = \{\bigcup \mathcal{B}': \mathcal{B}' \subseteq \mathcal{B}\}$  on  $X$  if and only if  $\bigcup \mathcal{B} = X$  and for every  $B_1, B_2 \in \mathcal{B}$  there is  $B' \subseteq \mathcal{B}$  such that  $B_1 \cap B_2 = \bigcup B'$ . Moreover,  $\tau$  is the smallest topology on  $X$  containing  $\mathcal{B}$ .
3. Every collection  $\mathcal{S}$  is a subbasis for a (necessarily unique) topology  $\tau$  on  $X$  with basis  $\{\bigcap \mathcal{F}: \mathcal{F} \text{ finite } \subseteq \mathcal{S}\}$ . Moreover,  $\tau$  is the smallest topology on  $X$  containing  $\mathcal{S}$ .
4. If  $Y$  is a topological space with a fixed subbasis, a function  $f: X \rightarrow Y$  is continuous if and only if preimages of subbasic open sets under  $f$  are open.

5. If  $X$  is a set,  $Y_i, i \in I$  are topological spaces and  $f_i: X \rightarrow Y_i$  are functions, the initial topology with respect to the  $f_i$  exists and has subbasis  $\{f_i^{-1}(U) : i \in I, U \text{ open } \subseteq Y_i\}$ . It is the unique topology on  $X$  such that for every topological space  $Z$  and every function  $f: Z \rightarrow X$ ,  $f$  is continuous if and only if each  $f_i \circ f$  is continuous.
6. The product topology on  $\prod_i X_i$  has basis

$$\left\{ \prod_i U_i : U_i \text{ open } \subseteq X \text{ and } X_i = U_i \text{ except for finitely many } i \right\}.$$

7. **Embedding Lemma:** If  $f_i: X \rightarrow X_i$  are continuous maps such that for distinct  $x, y \in X$  there is  $i \in I$  with  $f_i(x) \neq f_i(y)$  and such that  $\{f_i^{-1}(U) : i \in I, U \text{ open } \subseteq X_i\}$  is a basis for  $X$  then the diagonal map  $\Delta = \Delta_i f_i: X \rightarrow \prod_i X_i; x \mapsto (f_i(x))_i$  is a homeomorphic embedding.
8. Countable products of metrizable spaces are metrizable.

### 1.3 Proofs

Most of the proofs are straightforward set arithmetic.

5. For uniqueness, suppose that  $\tau_1, \tau_2$  are two topologies on  $X$  satisfying the condition. Then  $\text{id}_{1,1}: (X, \tau_1) \rightarrow (X, \tau_1)$  is continuous, so each  $f_i: (X, \tau_1) \rightarrow Y_i = f_i \circ \text{id}_{1,1}$  is continuous. Thus every  $f_i \circ \text{id}_{1,2}$  is continuous and hence  $\text{id}_{1,2}$  is continuous. By symmetry  $\text{id}_{2,1}$  is continuous and hence  $\tau_1 = \tau_2$ .
- 7; **Embedding Lemma:** The only non-trivial bit is to check that  $\Delta$  is open onto its image. For this note that unions and images commute and hence it is sufficient to consider basic open sets of the form  $f_i^{-1}(U)$ . But  $\Delta(f_i^{-1}(U)) = \pi_i^{-1}(U) \cap \Delta(X)$ .
8. Exercise Sheet.

## 2 Separation Properties

### 2.1 Definitions

$X$  is  $T_0$  if and only if for every distinct  $x, y \in X$  there is open  $U$  that contains exactly one of  $x$  and  $y$ .

$X$  is  $T_1$  if and only if for every distinct  $x, y \in X$  there is open  $U$  such that  $x \in U$  and  $y \notin U$ .

$X$  is  $T_2$  (Hausdorff) if and only if for every distinct  $x, y \in X$  there are disjoint open  $U \ni x, V \ni y$  ( $x$  and  $y$  are separated by open sets).

$X$  is  $T_3$  (regular) if and only if  $X$  is  $T_1$  and for every  $x \in X$  and every closed  $C \ni x$  there are disjoint open  $U \ni x, V \supseteq C$ .

$X$  is  $T_{3.5}$  (Tychonoff) if and only if  $X$  is  $T_1$  and for every  $x \in X$  and every closed  $C \ni x$  there is a continuous  $f: X \rightarrow [0, 1]$  such that  $f(x) = 0$  and  $f(C) \subseteq \{1\}$ .

$X$  is  $T_4$  (normal) if and only if  $X$  is  $T_1$  and for every disjoint closed  $C, D$  there are disjoint open  $U \supseteq C, V \supseteq D$ .

$X$  is functionally normal if and only if  $X$  is  $T_1$  and for every disjoint closed  $C, D$  there is a continuous function  $f: X \rightarrow [0, 1]$  such that  $f(C) \subseteq \{0\}, f(D) \subseteq \{1\}$ .

$X$  is  $T_5$  (hereditarily normal) if and only if every subspace of  $X$  is normal.

$X$  is  $T_6$  (perfectly normal) if and only if  $X$  is  $T_1$  and for every closed subspace  $C$  of  $X$  there is a continuous  $f: X \rightarrow [0, 1]$  such that  $C = f^{-1}(\{0\})$ .

### 2.2 Results

**Theorem 2.1.** 1.  $X$  is  $T_1$  if and only if every singleton is closed.

2. If a basis for  $X$  has been fixed then for  $i \leq 2$ , replacing ‘open’ in the definition of  $T_i$  by ‘basic open’ yields an equivalent property.

3. functionally normal  $\implies T_{3.5} \implies T_3 \implies T_2 \implies T_1 \implies T_0$ .  
(None of these reverse in general.)

4.  $X$  is Tychonoff if and only if  $X$  is (homeomorphic to) a subspace of a power of  $[0, 1]$ .

5. For  $i \leq 3.5$ , products and subspaces of  $T_i$ -spaces are  $T_i$ .

6. **Urysohn’s Lemma:** Functionally Normal  $\iff T_4$ .

7. *Subspaces of normal spaces need not be normal. Products (even squares) of normal spaces need not be normal.*
8. **Urysohn's Metrization Theorem I:** *If  $X$  is normal and second countable then  $X$  is metrizable.*
9. *Metric spaces are perfectly normal.*
10.  $T_6 \implies T_5 \implies T_4$  (**Not examinable as bookwork.**)
11. *A normal space is perfectly normal if and only if every closed subset is a countable intersection of open subsets (a  $G_\delta$ ). (Not examinable as bookwork.)*

## 2.3 Proofs

General important ideas are:

- $A, B$  disjoint is equivalent to  $A \subseteq X \setminus B$  (and of course  $B$  is open if and only if  $X \setminus B$  is closed).
  - If  $f: X \rightarrow [0, 1]$  is continuous, then  $f^{-1}([0, 1/3]), f^{-1}((2/3, 1])$  are disjoint open and  $f^{-1}(0) = \bigcap_n f^{-1}([0, 2^{-n}])$ .
4. Apply the Embedding Lemma.
  5. For productivity of Tychonoffness, let  $x \in U_1 \times \cdots \times U_n \times \prod X_i$ . For each  $k = 1, \dots, n$ , find a continuous  $f_k$  which is 1 at  $\pi_k(x)$  and 0 outside  $U_i$  and take the product of the  $f_k \circ \pi_k$ .
  6. **Urysohn's Lemma:** Backwards direction: Well order the countable set  $\mathbb{Q} \cap (0, 1)$ , set  $\overline{U_0} = C$ ,  $U_1 = X \setminus D$  and inductively construct open  $U_r$  such that  $r < s \implies \overline{U_r} \subseteq U_s$ . Now define  $f: X \rightarrow [0, 1]$  by  $f(x) = \sup \{r: x \in U_r\}$ , note that  $f(x) = \sup \{r: x \in \overline{U_r}\}$  and hence that  $f(x) > \alpha$  if and only if there is  $r \in \mathbb{Q} \cap (\alpha, 1]$  such that  $x \in U_r$  and  $f(x) < \alpha$  if and only if there is  $r \in \mathbb{Q} \cap [0, \alpha)$  such that  $x \in X \setminus \overline{U_r}$ . Thus  $f^{-1}((\alpha, 1]) = \bigcup_{r > \alpha} U_r$  and  $f^{-1}([0, \alpha)) = \bigcup_{r < \alpha} X \setminus \overline{U_r}$  gives continuity.
  7. Below you can replace  $\aleph_1$  by any uncountable set.  
We let  $Y_f = \aleph_1 \cup \{\star\}$  with topology  $\mathcal{P}(\aleph_1) \cup \{Y \setminus C: C \text{ finite} \subseteq \aleph_1\}$ . It is easy to check that this is normal (it is compact Hausdorff) and we

let  $X = \{0\} \cup \{2^{-n} : n \in \mathbb{N}\}$  (with its usual topology). Then  $Y_f \times X$  is compact Hausdorff so normal. Consider the subspace  $Y_f \times X \setminus \{(\star, 0)\}$ .  $C = \aleph_1 \times \{0\}$  and  $D = \{\star\} \times \{2^{-n} : n \in \mathbb{N}\}$  are closed disjoint. If  $U \supseteq D$ , then for each  $n \in \mathbb{N}$  there is a countable  $C_n \subseteq \aleph_1$  such that  $(\aleph_1 \setminus C_n) \times \{2^{-n}\} \subseteq U$ . Pick  $\alpha \in \aleph_1 \setminus \bigcup_n C_n$  (this is non-empty as  $\bigcup_n C_n$  is countable) and note that  $\{\alpha\} \times \{2^{-n} : n \in \mathbb{N}\} \subseteq U$ . Thus  $(\alpha, 0) \in \overline{U} \cap C$ .

8. **Urysohn's Metrization Theorem I:** Let  $\mathcal{B}$  be a countable basis and for each  $(B, B') \in \mathcal{B}^2$  such that  $\overline{B} \subseteq B'$  find a continuous  $f : X \rightarrow [0, 1]$  such that  $\overline{B} \subseteq f^{-1}(0)$ ,  $X \setminus B' \subseteq f^{-1}(1)$  and apply the Embedding Lemma to these (countably many)  $f$ .
9. If  $C \subseteq X$  is closed then  $d_C(x) = \inf \{d(x, c) : c \in C\}$  is as required.
10.  $T_6$  is hereditary. For normality, note that if  $C, D$  are disjoint closed and  $C = f^{-1}(0)$ ,  $D = g^{-1}(0)$  then  $\frac{f}{f+g}$  is the required Urysohn function.
11. For the converse, let closed  $C = \bigcap_n U_n$  and let  $f_n : X \rightarrow [0, 2^{-n}]$  be continuous functions that are 0 on  $C$  and  $2^{-n}$  outside  $U_n$ . Let  $f = \sum_n f_n$ .

## 3 Filters

### 3.1 Definitions

Suppose  $X$  is a set.

A filter  $\mathcal{F}$  on  $X$  is a non-empty collection of subsets of  $X$  that does not contain  $\emptyset$  and is closed under supersets and finite intersections.

A filter basis  $\mathcal{B}$  for a filter  $\mathcal{F}$  on  $X$  is a subcollection of  $\mathcal{F}$  such that for every  $F \in \mathcal{F}$  there is  $B \in \mathcal{B}$  with  $B \subseteq F$ .

Two collections  $\mathcal{A}, \mathcal{B}$  of subsets of  $X$  mesh, written  $\mathcal{A} \# \mathcal{B}$  if and only if for every  $A \in \mathcal{A}, B \in \mathcal{B}$  we have  $A \cap B \neq \emptyset$ . We also write  $\mathcal{A} \# \mathcal{B} = \{A \cap B : A \in \mathcal{A}, B \in \mathcal{B}\}$ .

An ultrafilter on  $X$  is a filter on  $X$  that is maximal wrt  $\subseteq$ .

For  $x \in X$ , the principal filter at  $x$  is  $\mathcal{P}_x = \{A \subseteq X : x \in A\}$ .

If  $f : X \rightarrow Y$  is a function and  $\mathcal{F}$  is a filter on  $X$  then  $f(\mathcal{F}) := \{B \subseteq Y : f^{-1}(B) \in \mathcal{F}\}$ .

Now assume that  $X$  is a topological space.

For  $x \in X$ , the neighbourhood filter at  $x$  is  $\mathcal{N}_x = \{N \subseteq X : \exists \text{ open } U \ x \in U \subseteq N\}$ .

If  $\mathcal{F}$  is a filter on  $X$ ,  $\lim \mathcal{F} = \{x \in X : \mathcal{N}_x \subseteq \mathcal{F}\}$  and  $\mathcal{F} \rightarrow x$  if and only if  $x \in \lim \mathcal{F}$ .

If  $\mathcal{P}$  is a property of topological spaces then  $X$  is locally  $\mathcal{P}$  if and only if every neighbourhood filter has a filter basis of sets that are  $\mathcal{P}$  (with respect to the subspace topology).

## 3.2 Results I

**Lemma 3.1.** *Suppose  $X$  is a set.*

1. *A non-empty collection  $\mathcal{B}$  of non-empty subsets of  $X$  is a filter basis for a (necessarily unique) filter  $\mathcal{F}$  on  $X$  if and only if for every  $B_1, B_2 \in \mathcal{B}$  there is  $B_3 \in \mathcal{B}$  such that  $B_3 \subseteq B_1 \cap B_2$ .*
2. *If  $\mathcal{C}$  is a family of subsets of  $X$  with the f.i.p. then  $\{\bigcap \mathcal{F} : \mathcal{F} \text{ finite } \subseteq \mathcal{C}\}$  is a filter basis for the smallest filter containing  $\mathcal{C}$ .*
3. *A filter  $\mathcal{U}$  on  $X$  is an ultrafilter if and only if for every  $A \subseteq X$  exactly one of  $A$  and  $X \setminus A$  belongs to  $\mathcal{U}$  if and only if whenever  $A \cup B \in \mathcal{U}$  at least one of  $A$  or  $B$  belongs to  $\mathcal{U}$ .*
4. **Ultrafilter Extension Lemma:** *Every filter can be extended to an ultrafilter.*
5. *If  $f : X \rightarrow Y$  is a function and  $\mathcal{F}$  a filter on  $X$  then  $f(\mathcal{F})$  is a filter on  $Y$  with filter basis  $\{f(F) : F \in \mathcal{F}\}$ . Moreover, if  $\mathcal{F}$  is an ultrafilter then so is  $f(\mathcal{F})$ .*

## 3.3 Proofs I

Most of this is easy (once comfortable with the notation) set arithmetic.

General important ideas are:

- A family of subsets of  $X$  with the f.i.p. can be extended to a filter.
- If two families  $\mathcal{A}, \mathcal{B}$  mesh, their mesh  $\mathcal{A}\#\mathcal{B}$  can be extended to a filter.

Specific Lemmas:

3. Suppose  $\mathcal{U}$  is an ultrafilter. If  $A \notin \mathcal{U}$ , then  $X \setminus A \in \mathcal{U}$ , so by maximality  $X \setminus A \in \mathcal{U}$ . The converse is obvious. For the last if and only if: for the forward direction assume  $A \notin \mathcal{U}$ . Then  $X \setminus A, A \cup B \in \mathcal{U}$ , so  $B \supseteq (X \setminus A) \cap (A \cup B) \in \mathcal{U}$ . For the backwards direction note that  $A \cup (X \setminus A) = X \in \mathcal{U}$ .
4. **Ultrafilter Extension Lemma: Proof not examinable!** Note that the union of a chain of filters is a filter and apply Zorn's Lemma.

### 3.4 Results II

**Theorem 3.2.** *Suppose  $X$  is a topological space.*

1. *Suppose  $A \subseteq X$  and  $x \in X$ .  $x \in \overline{A}$  if and only if there is a filter  $\mathcal{F} \ni A$  such that  $\mathcal{F} \rightarrow x$  if and only if there is an ultrafilter  $\mathcal{U} \ni A$  such that  $\mathcal{U} \rightarrow x$ .*
2.  *$f: X \rightarrow Y$  is continuous if and only if for every  $x \in X$  and filter  $\mathcal{F} \rightarrow x$ ,  $f(\mathcal{F}) \rightarrow f(x)$  if and only if for every  $x \in X$  and ultrafilter  $\mathcal{U} \rightarrow x$ ,  $f(\mathcal{U}) \rightarrow f(x)$ .*
3.  *$X$  is Hausdorff if and only if every filter converges to at most one point if and only if every ultrafilter converges to at most one point.*

## 4 Compactness, Compactifications, Local Compactness, Čech-completeness

### 4.1 Definitions

A topological space is compact if and only if every open cover has a finite subcover.

A topological space is Lindelöf if and only if every open cover has a countable subcover.

A Hausdorff compactification of a topological space  $X$  is a pair  $(h, Y)$  where  $Y$  is compact Hausdorff and  $h: X \rightarrow Y$  is a dense homeomorphic embedding.

For a topological space  $X$  and two Hausdorff compactification  $(h_1, Y_1)$ ,  $(h_2, Y_2)$ , we define  $(h_2, Y_2) \leq (h_1, Y_1)$  if and only if there is a continuous



$g: Y_1 \rightarrow Y_2$  such that  $g \circ h_1 = h_2$ . We define  $(h_1, Y_1) \sim (h_2, Y_2)$  if and only if there is a homeomorphism  $g: Y_1 \rightarrow Y_2$  such that  $g \circ h_1 = h_2$ .

For a topological space  $X$  and a Hausdorff compactification  $(h, Y)$  we say that  $(h, Y)$  satisfies the Stone-Čech-property with respect to continuous maps into compact Hausdorff spaces if and only if for every compact Hausdorff space  $Z$  and every continuous  $f: X \rightarrow Z$  there is a continuous  $F: Y \rightarrow Z$  such that  $f = F \circ h$ .

The Stone-Čech compactification of a topological space is (the unique, if it exists) Hausdorff compactification  $(\beta, \beta X)$  of  $X$  satisfying the Stone-Čech property wrt continuous maps into compact Hausdorff spaces.

Recall that a space  $X$  is locally compact if and only if for every  $x \in U$  open  $\subseteq X$  there is compact  $K$  and open  $V$  with  $x \in V \subseteq K \subseteq U$  or equivalently if the neighbourhood filter of every point has a filter basis consisting of compact sets.

The Alexandroff one-point compactification of a topological space  $X$  is (the unique, if it exists) Hausdorff compactification  $(\omega, \omega X)$  of  $X$  such that  $\omega X \setminus \omega(X)$  is a singleton.

A Tychonoff topological space is Čech-complete if and only if for every Hausdorff compactification  $(h, Y)$  of  $X$ ,  $Y \setminus h(X)$  is a countable union of closed subsets of  $Y$  (i.e. an  $F_\sigma$ ).

## 4.2 Results

The key ideas are:

- Compactness properties are inherited by closed subsets.
- Diagonal maps!
- if  $f: Y \rightarrow Z$  is continuous and  $X$  dense in  $Y$  then  $f(Y) \subseteq \overline{f(X)}$ .
- Compactness is preserved by images, closedness by pre-images.

**Lemma 4.1** (Recall). *1. A topological space is compact if and only if every family of closed sets with the finite intersection property has non-empty intersection.*

*2. Every closed subset of a compact topological space is compact.*

*3. Every compact subset of a Hausdorff space is closed.*

*4. Every compact Hausdorff space is regular. Every compact regular space is normal.*

5. If  $X$  is compact,  $Y$  is a topological space and  $f: X \rightarrow Y$  is continuous then  $f(X)$  is compact.

**Theorem 4.2.** 1. Every Lindelöf regular space is normal.

2. Every second countable space is Lindelöf.
3. Every Lindelöf metric space is second countable.
4. **Urysohn's Metrization Theorem II:** A compact Hausdorff space is metrizable if and only if it is second countable.
5.  $X$  is compact if and only if every ultrafilter on  $X$  converges (to some point).
6. **Tychonoff's Theorem:** Products of compact spaces are compact.
7.  $X$  has a Hausdorff compactification if and only if it is Tychonoff.
8.  $\sim$  is an equivalence relation on the Hausdorff compactifications of  $X$ . If  $(h_1, Y_1), (h_2, Y_2)$  are Hausdorff compactifications of  $X$  such that  $(h_1, Y_1) \leq (h_2, Y_2) \leq (h_1, Y_1)$  then  $(h_1, Y_1) \sim (h_2, Y_2)$  and thus  $\leq$  induces a partial order on the equivalence classes of Hausdorff compactifications under  $\sim$ .
9. If  $(h_1, Y_1), (h_2, Y_2)$  are Hausdorff compactifications of  $X$  such that  $(h_1, Y_1) \leq (h_2, Y_2)$  as witnessed by  $g: Y_2 \rightarrow Y_1$  then  $g(Y_2 \setminus h_2(X)) = Y_1 \setminus h_1(X)$ .
10. If  $X$  is Tychonoff, then the partial order of (equivalence classes of) Hausdorff compactifications has suprema. Moreover each equivalence class has a representative with cardinality at most  $2^{2^{|X|}}$ .
11. If  $X$  is Tychonoff, then  $X$  has a Stone-Čech compactification which is unique (up to equivalence) and is the greatest compactification of  $X$ .
12. A Hausdorff compactification of  $X$  satisfies the Stone-Čech property with respect to continuous maps into compact Hausdorff spaces if and only if it satisfies the Stone-Čech property with respect to continuous maps into  $[0, 1]$ .
13. Open subsets of locally compact spaces are locally compact.

14. Compact Hausdorff spaces are locally compact.
15. If  $X$  is non-compact, locally compact, Hausdorff and  $\infty \notin X$  then  $\omega X = X \cup \{\infty\}$  with topology  $\{U: U \text{ open } \subseteq X\} \cup \{\omega X \setminus K: K \text{ compact } \subseteq X\}$  and embedding  $\omega: X \rightarrow \omega X; x \mapsto x$  is the unique one-point compactification of  $X$ .
16. If  $X$  is Tychonoff, the following are equivalent:
- (a)  $X$  is locally compact.
  - (b)  $X$  has a smallest Hausdorff compactification.
  - (c)  $X$  has a one-point compactification.
  - (d)  $\beta X \setminus \beta(X)$  is closed.
  - (e) For every Hausdorff compactification  $(h, Y)$  of  $X$ ,  $Y \setminus h(X)$  is closed.
  - (f) For some Hausdorff compactification  $(h, Y)$  of  $X$ ,  $Y \setminus h(X)$  is closed.
17. If  $X$  is Tychonoff, the following are equivalent:
- (a)  $\beta X \setminus \beta(X)$  is a  $F_\sigma$ .
  - (b)  $X$  is Čech-complete.
  - (c) For some Hausdorff compactification  $(h, Y)$  of  $X$ ,  $Y \setminus h(X)$  is a  $F_\sigma$ .

### 4.3 Proofs

1. Exercise Sheet.
5. Suppose  $X$  is compact and  $\mathcal{U}$  is an ultrafilter not converging to any  $x \in X$ . For each  $x \in X$ , choose open  $U_x \ni x$  such that  $U_x \notin \mathcal{U}$ . Then  $\{U_x: x \in X\}$  is an open cover with finite subcover  $U_{x_1}, \dots, U_{x_n}$ . Thus one of  $U_{x_i} \in \mathcal{U}$  (a contradiction).

Now assume that  $X$  is not compact: let  $\mathcal{C}$  be a family of closed subsets with the f.i.p. but empty intersection. Extend  $\mathcal{C}$  to a filter and then to an ultrafilter  $\mathcal{U}$ . If  $x \in X$  then  $x \notin$  some  $C_x$ , so  $x \in X \setminus C_x \in \mathcal{N}_x$  and hence  $\mathcal{N}_x \not\subseteq \mathcal{U}$ , i.e.  $\mathcal{U} \not\rightarrow x$ .

6. **Tychonoff's Theorem:** Let  $\mathcal{U}$  be an ultrafilter on  $\prod_i X_i$ . For each  $i \in I$ ,  $\pi_i(\mathcal{U})$  is an ultrafilter on  $X_i$ , so converges to some  $x_i$ . Now check that  $\mathcal{U} \rightarrow (x_i)_i$ .
8. If  $(h_1, Y_1) \leq (h_2, Y_2) \leq (h_1, Y_1)$  is witnessed by  $g: Y_2 \rightarrow Y_1$  and  $h: Y_1 \rightarrow Y_2$  respectively, note that  $g \circ h$  and  $h \circ g$  are the identity on  $h_1(X)$  and  $h_2(X)$  respectively. But  $h_i(X)$  is dense in the Hausdorff  $Y_i$ , so  $g \circ h$  and  $h \circ g$  are the identity on  $Y_1$  and  $Y_2$  respectively. Hence  $g$  is a homeomorphism as required.
9. Wlog  $h_2$  is the identity. Suppose  $y \in Y_2 \setminus X$  and  $x \in X$  with  $g(y) = h_1(x)$ . Let  $\mathcal{F}$  be a filter on  $Y_2$  containing  $X$  and converging to  $y \in \overline{X}$ . As  $Y_2$  is Hausdorff  $\mathcal{F} \not\rightarrow x$ . Then  $\mathcal{F}_X = \{F \cap X : F \in \mathcal{F}\}$  is a filter on  $X$  and  $\mathcal{F}_X \not\rightarrow x$ . As  $h_1$  is a homeomorphism  $X \rightarrow h_1(X)$ ,  $h_1(\mathcal{F}_X) \not\rightarrow h_1(x) = g(y)$ . But  $h_1(\mathcal{F}_X) = g(\mathcal{F})$  and  $g(\mathcal{F}) \rightarrow g(y)$  by continuity.
10. If  $(h_i, Y_i)$  are compactifications, then check that  $(\Delta_i h_i, \overline{\Delta_i h_i(X)})^{\prod_i Y_i}$  is an upper bound. If  $(g, Z)$  is another upper bound witnessed by the  $g_i: Z \rightarrow Y_i$ , then  $\Delta_i g_i: Z \rightarrow \prod_i Y_i$  is continuous and into  $\overline{\Delta_i h_i(X)}$  since  $\Delta_i g_i(Z) = \Delta_i g_i(\overline{g(X)^Z}) \subseteq \overline{\Delta_i g_i(g(X))} = \overline{\Delta_i h_i(X)}$ .

For the 'moreover' claim: suppose  $X$  is dense in  $Y$  and let  $f: Y \rightarrow \mathcal{P}(\mathcal{P}(X)); y \mapsto \{A \subseteq X : y \in \overline{A}^Y\}$ . As  $Y$  is Hausdorff, for  $y \neq y'$  there is open  $U \ni y$  with  $y' \notin \overline{U}^Y = \overline{U \cap X}^Y$ . Thus  $f$  is an injection.

11. First uniqueness up to  $\sim$ : suppose  $(h_1, Y_1), (h_2, Y_2)$  are Hausdorff compactifications of  $X$  satisfying the Stone-Ćech property. Then  $h_2: X \rightarrow Y_2$  is a continuous map into a compact Hausdorff space, so there is  $H_2: Y_1 \rightarrow Y_2$  such that  $H_2 \circ h_1 = h_2$ , i.e.  $(h_2, Y_2) \leq (h_1, Y_1)$ . By symmetry  $(h_1, Y_1) \leq (h_2, Y_2)$  and hence  $(h_1, Y_1) \sim (h_2, Y_2)$ .

Now we show existence, by showing that the greatest Hausdorff compactification of  $X$  satisfies the Stone-Ćech property: for each equivalence class, choose a representative, and let  $(\beta, \beta X)$  be the supremum over these representatives (there are only set many). If  $f: X \rightarrow Z$  is continuous into a compact Hausdorff  $Z$ , then  $f \Delta \beta: X \rightarrow \overline{f \Delta \beta(X)}^{Z \times \beta X} = Y$  is an embedding by the Embedding Lemma and hence determines a Hausdorff compactification of  $X$ . As  $(\beta, \beta X)$  is the greatest Haus-

dorff compactification of  $X$ , there is a continuous  $g: \beta X \rightarrow Y$  with  $g \circ \beta = f \Delta \beta$ . Then  $F = \pi_Z \circ g$  is as required.

Note that the existence proof has a special case ( $f = h: X \rightarrow Y$ ) that shows that any compactification with the Stone-Ćech property must be the greatest compactification of  $X$  (up to equivalence).

12. It is enough to check that the Stone-Ćech property for continuous  $[0, 1]$ -valued maps implies the Stone-Ćech property for continuous maps into compact Hausdorff spaces. To that end, note that every compact Hausdorff space is normal, so Tychonoff, so homeomorphic to a closed subspace  $C$  of  $[0, 1]^I$  (for some  $I$ ). So assume  $(h, Y)$  satisfies the Stone-Ćech property for continuous  $[0, 1]$ -valued maps and wlog  $X \subseteq Y, h = \text{id}_X$ . If  $f: X \rightarrow C$  is continuous, then each  $f_i = \pi_i \circ f$  extends to some  $F_i: Y \rightarrow [0, 1]$  and thus  $\Delta_i f_i = f: X \rightarrow [0, 1]^I$  extends to  $\Delta = \Delta_i F_i: Y \rightarrow [0, 1]^I$ . But  $\Delta(Y) = \Delta(\overline{X}) \subseteq \overline{\Delta(X)} \subseteq \overline{C} = C$ . Hence  $\Delta$  is as required.
16. Statements 1 and 3 are equivalent to 6. To see 4,5,6 are equivalent, we use that remainders map (on)to remainders: If  $X \subseteq Y$  and  $Y$  compact Hausdorff with  $Y \setminus X$  closed, then note that  $\beta X \setminus \beta(X) = g^{-1}(Y \setminus X)$  where  $g$  witnesses  $(\text{id}, Y) \leq (\beta, \beta X)$  so that  $\beta X \setminus \beta(X)$  is closed. If  $\beta X \setminus \beta(X)$  is closed, it is compact and hence  $Y \setminus X = g(\beta X \setminus \beta(X))$  is compact so closed where  $X \subseteq Y$ ,  $Y$  is compact Hausdorff and  $g$  witnesses  $(\text{id}_X, Y) \leq (\beta, \beta X)$  giving 4 implies 5. Finally note that  $X$  is Tychonoff, so 5 implies 6 as  $X$  has a compactification.

So assume one (hence all) of 1,3,4,5,6, let  $(\omega, \omega X)$  be the one-point compactification of  $X$  and  $(h, Y)$  some Hausdorff compactification: we claim that  $g: Y \rightarrow \omega X$  given by  $g(h(x)) = \omega(x)$  and  $g(y) = \star$  for  $y \in Y \setminus h(X)$  is continuous: if  $C$  is closed in  $\omega X$  then either  $C \subseteq X$  and hence  $g^{-1}(C) = h(C)$  is closed in  $h(X)$  which is closed in  $Y$  or  $C \ni \star$  and hence  $g^{-1}(C) = (Y \setminus h(X)) \cup h(C \cap X)$  is a union of two closed sets, so closed.

Finally assume 2 and that there is a smallest compactification  $(h, Y)$  that is not the one-point compactification: let  $y_1, y_2 \in Y \setminus h(X)$  be distinct. Then  $Y \setminus \{y_1, y_2\}$  is locally compact, Tychonoff and hence has a one-point compactification  $Z = (Y \setminus \{y_1, y_2\}) \cup \{\star\}$ . Since  $Y$  is a two-point compactification of  $Y \setminus \{y_1, y_2\}$ , there is a continuous  $g: Y \rightarrow Z$

(which is the identity except that  $g(y_1) = g(y_2) = \star$ ). But  $(h, Z)$  is also a Hausdorff compactification of  $X$  so that there is and  $g$  witnesses that  $(h, Z) \leq (h, Y)$ . Thus the  $g$  above must be a homeomorphism, a contradiction to it not being injective.

17. Just like the equivalence of 4,5,6 in 16., noting that unions and images as well as pre-images commute.

## 5 Paracompactness, Bing's Metrization Theorem

### 5.1 Definitions

A family  $\mathcal{A}$  of subsets of  $X$  is locally finite (resp. discrete) if and only if for every  $x \in X$  there is open  $U \ni x$  such that  $\{A \in \mathcal{A}: U \cap A \neq \emptyset\}$  is finite (resp. empty or a singleton).

A family  $\mathcal{A}$  of subsets of  $X$  is closure preserving if and only if for every  $\mathcal{A}' \subseteq \mathcal{A}$ ,  $\overline{\bigcup_{A \in \mathcal{A}'} A} = \bigcup_{A \in \mathcal{A}'} \overline{A}$ .

A family  $\mathcal{A}$  is a refinement of a family  $\mathcal{B}$  (of subsets of  $X$ ) if and only if for every  $A \in \mathcal{A}$ , there is  $B \in \mathcal{B}$  such that  $A \subseteq B$ .

A topological space is paracompact if and only if every open cover  $\mathcal{U}$  of  $X$  has a locally finite open refinement covering  $X$ .

The hedgehog of spininess  $\kappa$  is  $H_\kappa = \{0\} \cup ((0, 1] \times \kappa)$  with metric  $d$  given by  $d(0, (t, i)) = t$ ,  $d((t, i), (s, i)) = |t - s|$ ,  $d((t, i), (s, j)) = t + s$  for  $i \neq j$ .

### 5.2 Results

**Theorem 5.1.** 1. *Locally finite families are closure preserving.*

2. *A paracompact regular space is normal.*

3. *For a regular space  $X$  the following are equivalent:*

(a)  *$X$  is paracompact.*

(b) *Every open cover  $\mathcal{U}$  has a sequence  $\mathcal{V}_n$  of locally finite, open refinements such that  $\bigcup_n \mathcal{V}_n$  covers  $X$  (i.e. every open cover has a  $\sigma$ -locally finite open refinement covering  $X$ ).*

(c) *Every open cover  $\mathcal{U}$  has a locally finite refinement covering  $X$ .*

(d) Every open cover  $\mathcal{U}$  has a locally finite closed refinement covering  $X$ .

4. **Stone's Theorem:** Every metric space is paracompact. If  $X$  is a metric space and  $\mathcal{U}$  is an open cover of  $X$  then there are refinements  $\mathcal{V}_n, n \in \mathbb{N}$  of  $\mathcal{U}$  such that each  $\mathcal{V}_n$  is a discrete family, and  $\bigcup_n \mathcal{V}_n$  covers  $X$ . I.e. Every open cover of  $X$  has an open,  $\sigma$ -discrete refinement covering  $X$ .
5. **Bing's Metrization Theorem:** A space is metrizable if and only if  $X$  is perfectly normal and has a  $\sigma$ -discrete basis if and only if  $X$  is homeomorphic to a subspace of a countable product of hedgehogs (of some spininess).

### 5.3 Proofs

1. If  $\mathcal{A}$  is locally finite and  $\mathcal{A}' \subseteq \mathcal{A}$ ,  $\mathcal{A}'$  is still locally finite. It is thus sufficient to show  $\overline{\bigcup \mathcal{A}} = \bigcup_{A \in \mathcal{A}} \overline{A}$ .  $\supseteq$  is clear. For  $\subseteq$ , assume that  $x \notin \bigcup_{A \in \mathcal{A}} \overline{A}$ . Let  $U \ni x$  be open such that  $U$  meets only finitely many elements of  $\mathcal{A}$ , say  $A_1, \dots, A_n$ . For each  $i = 1, \dots, n$ ,  $x \notin \overline{A_i}$ , so choose open  $V_i \ni x$  disjoint from  $A_i$ . Then  $x \in U \cap \bigcap_i V_i$  and the RHS is open and disjoint from  $\bigcup \mathcal{A}$  as required.
2. If  $C, D$  are disjoint closed, for each  $c \in C$  choose open  $U_c \ni c$  such that  $\overline{U_c} \cap D = \emptyset$ . Then  $\{U_c : c \in C\} \cup \{X \setminus C\}$  is an open cover of  $X$  so has a locally finite open refinement  $\mathcal{V}'$ . Let  $\mathcal{V} = \{V \in \mathcal{V}' : V \cap C \neq \emptyset\}$ , still a locally finite family which refines  $\{U_c : c \in C\}$  and covers  $C$ . Since locally finite families are closure preserving we have  $\overline{\bigcup_{V \in \mathcal{V}} V} = \bigcup_{V \in \mathcal{V}} \overline{V} \subseteq \bigcup_{c \in C} \overline{U_c}$  disjoint from  $D$ , so that  $U = \bigcup \mathcal{V}$  is as required.
3. Exercise Sheet.
4. **Stone's Theorem:** Suppose  $\mathcal{U}$  is an open cover of  $X$ . Well order  $\mathcal{U}$  by some well-order  $\leq$  (using Choice). For each  $n \in \mathbb{N}$  and  $U \in \mathcal{U}$ , define

$$S_{U,n} = \{x \in X : B_{3/2^n}(x) \subseteq U \wedge \forall U' < U \ x \notin U'\}$$

and let

$$V_{U,n} = \bigcup_{x \in S_{U,n}} B_{1/2^n}(x),$$

an open subset of  $U$ . If  $y \in V_{U,n}$  and  $y' \in V_{U',n}$  with (wlog)  $U < U'$  then there is  $x \in S_{U,n}$  with  $d(x,y) < 1/2^n$  and  $x' \in S_{U',n}$  with  $d(x',y') < 1/2^n$ . But if  $x' \in S_{U',n}$  then  $x' \notin U$  so  $d(x,x') \geq 3/2^n$  and hence  $d(y,y') \geq d(x,x') - d(x,y) - d(x',y') \geq 1/2^n$ . Thus each  $B_{2^{-(n+1)}}(y), y \in X$  meets at most one  $V_{U,n}, U \in \mathcal{U}$  and hence  $\mathcal{V}_n = \{V_{U,n} : U \in \mathcal{U}\}$  is a discrete family. Clearly  $V_{U,n} \subseteq U$ . Finally  $\bigcup_n \mathcal{V}_n$  covers  $X$ , since for  $x \in X$ , choose  $U \in \mathcal{U}$  minimal such that  $x \in U$  and as  $U$  is open, find  $n \in \mathbb{N}$  with  $B_{3/2^n}(x) \subseteq U$  giving  $x \in V_{U,n}$ .

Noting that metric spaces are regular and that  $\sigma$ -discrete implies  $\sigma$ -locally finite, we see that metric spaces are paracompact. (In fact, by defining  $S_{U,n} = \left\{ x \in X : B_{3/2^n}(x) \subseteq U \wedge \forall U' < U \ x \notin U' \wedge x \notin \bigcup_{U \in \mathcal{U}, n' < n} V_{U,n'} \right\}$  you could directly obtain a locally finite open refinement.)

5. **Bing's Metrization Theorem:**  $d_C(x) = \inf \{d(x,c) : c \in C\}$  witnesses perfect normality of metric spaces. For the  $\sigma$ -discrete base, apply Stone's Theorem to each  $\{B_{2^{-n}}(x) : x \in X\}$  to obtain a  $\sigma$ -discrete open refinement  $\mathcal{V}_n$  covering  $X$  and then note that  $\bigcup_n \mathcal{V}_n$  is a  $\sigma$ -discrete basis of  $X$ .

Now assume that  $X$  is perfectly normal and has a  $\sigma$ -discrete basis  $\mathcal{B} = \bigcup_n \mathcal{B}_n$  with each  $\mathcal{B}_n$  discrete. Fix  $n \in \mathbb{N}$ . For each  $B \in \mathcal{B}_n$ , let  $f_B : X \rightarrow [0,1]$  be continuous such that  $f_B^{-1}(0) = X \setminus B$  and define  $F_n : X \rightarrow H_{\mathcal{B}_n}$  by  $F_n(x) = 0$  if  $x \notin \bigcup \mathcal{B}_n$  and  $F_n(x) = (f_B(x), B)$  if  $x \in B \in \mathcal{B}_n$ . This is well-defined since  $\mathcal{B}_n$  is discrete (each  $x$  is in at most one  $B$ ). It is continuous since each  $f_B$  is continuous and  $\mathcal{B}_n$  is discrete: for  $x \in X$ , choose open  $U \ni x$  that meets at most one element of  $\mathcal{B}_n$ , say  $B$ . Then  $F_n|_U = f_B$  is continuous on  $U$ , hence  $F_n$  is continuous at  $x$ . Note that  $\{F_n^{-1}(U) : U \text{ open } \subseteq H_{\mathcal{B}_n}\} \supseteq \mathcal{B}_n$ . Thus  $\{F_n : n \in \mathbb{N}\}$  satisfies the conditions of the Embedding Lemma and hence  $X$  is homeomorphic to a subspace of a countable product of hedgehogs.

Finally, a countable product of metric spaces is metrizable.



## 6 Connectedness, Zero-Dimensionality

### 6.1 Definitions

A disconnection of  $X$  is a partition of  $X$  into two non-empty closed-and-open (clopen) subsets.  $X$  is disconnected if and only if there is a disconnection of  $X$ .

$X$  is connected if and only if it is not disconnected.

The component of a point  $x \in X$  is the greatest connected subspace  $C(x)$  of  $X$  containing  $x$ .

The quasicomponent of a point  $x \in X$  is  $Q(x) = \bigcap \{F \subseteq X : x \in F \text{ clopen}\}$ .

$X$  is totally disconnected if and only if every component is a singleton.

$X$  is zero-dimensional if and only if  $X$  has a basis of clopen sets.

### 6.2 Results

**Lemma 6.1** (Recall). 1.  $X$  is connected if and only if every continuous function into the discrete two-point space is constant.

2. Suppose  $A, A_i \subseteq X, i \in I$  are connected. If for each  $i \in I, A \cap A_i \neq \emptyset$  then  $A \cup \bigcup_i A_i$  is connected.

3. The component of a point exists and equals  $\bigcup \{C \subseteq X : x \in C \text{ connected}\}$ .

**Theorem 6.2.** 1. Both the components and the quasicomponents of a space form a partition.

2. For every  $x \in X, C(x) \subseteq Q(x)$ .

3. **Sura-Bura Lemma:** If  $X$  is compact Hausdorff, then for every  $x \in X, C(x) = Q(x)$ .

4. A totally disconnected compact Hausdorff space is zero-dimensional.

### 6.3 Proofs

3. **Sura-Bura Lemma:** Suppose that some quasicomponent  $Q = Q(x)$  is disconnected, i.e. there are  $Q$ -clopen non-empty disjoint  $A, B$  such  $Q = A \cup B$ . As  $Q$  is closed (an intersection of closed sets),  $A, B$  are closed in  $X$ . As  $X$  is compact Hausdorff, it is normal, so there are disjoint open  $U \supseteq A, V \supseteq B$ . As  $X$  is compact and  $\{U \cup V\} \cup \{X \setminus F : x \in F \text{ clopen} \subseteq X\}$  covers  $X$ , there are finitely many  $X$ -clopen  $F_1, \dots, F_n$  containing  $x$  such that  $U \cup V, F_1, \dots, F_n$  covers  $X$  so that

$x \in F = \bigcap_i F_i \subseteq U \cup V$  and  $F$  is clopen (in  $X$ ). Now  $\overline{F \cap U} \subseteq \overline{F} \cap \overline{U} \subseteq F \cap (X \setminus V) \subseteq (F \cap (U \cup V)) \cap (X \setminus V) \subseteq F \cap U$ . Hence  $F \cap U$  is  $X$ -clopen and similarly  $F \cap V$  is  $X$ -clopen. Wlog  $x \in F \cap U$  and hence  $Q \subseteq F \cap U$ , contradicting  $B \neq \emptyset$ .

## 7 Stone Duality

### 7.1 Definitions

A Boolean Algebra is a partial order  $(B, \leq)$  with greatest element  $\mathbf{1}$ , least element  $\mathbf{0}$ , binary infima  $a \wedge b$ , binary suprema  $a \vee b$  and unary negation operator  $\neg a$  such that  $a \wedge \neg a = \mathbf{0}$ ,  $a \vee \neg a = \mathbf{1}$ ,  $\neg(a \wedge b) = (\neg a) \vee (\neg b)$ ,  $\neg(a \vee b) = (\neg a) \wedge (\neg b)$ .

Given two Boolean Algebras  $(A, \leq_A), (B, \leq_B)$  a function  $f : A \rightarrow B$  is a Boolean Algebra homomorphism if and only if it preserves all the above notions, i.e.

- $a \leq a' \Rightarrow f(a) \leq f(a')$  (here and below for the Boolean Algebra notions, the first is the one on  $A$ , the second the one on  $B$ )
- $f(\mathbf{1}) = \mathbf{1}$
- $f(\mathbf{0}) = \mathbf{0}$
- $f(a \wedge a') = f(a) \wedge f(a')$
- $f(a \vee a') = f(a) \vee f(a')$
- $f(\neg a) = \neg f(a)$

$f$  is a Boolean Algebra isomorphism if and only if  $f$  is a Boolean Algebra homomorphism and is bijective.

For a topological space  $X$ ,  $\mathcal{B}_X = \{C : C \text{ clopen} \subseteq X\}$ .

For a continuous map  $f : X \rightarrow Y$ ,  $\mathcal{B}_f : \mathcal{B}_Y \rightarrow \mathcal{B}_X; C \mapsto f^{-1}(C)$ .

A filter  $\mathcal{F}$  on a Boolean Algebra  $B$  is a subset of  $B$  such that

- $\mathbf{0} \notin \mathcal{F} \neq \emptyset$ ;
- $a \leq b, a \in \mathcal{F} \Rightarrow b \in \mathcal{F}$ ;
- $a, b \in \mathcal{F} \Rightarrow a \wedge b \in \mathcal{F}$ ;

An ultrafilter  $\mathcal{U}$  on a Boolean Algebra  $B$  is a filter on  $B$  which is maximal wrt  $\leq$ .

For a Boolean Algebra  $B$  and  $b \in B$ , write  $b^* = \{\mathcal{U} : b \in \mathcal{U} \text{ ultrafilter on } B\}$ .

For a Boolean Algebra  $B$  the Stone Space of  $B$  is  $\mathcal{S}(B) = \{\mathcal{U} : \mathcal{U} \text{ ultrafilter on } B\}$  with topology generated by the basis  $\{b^* : b \in B\}$ .

For a Boolean Algebra homomorphism  $g : B \rightarrow A$  we define  $\mathcal{S}(g) : \mathcal{S}(A) \rightarrow \mathcal{S}(B)$  by  $\mathcal{S}(g)(\mathcal{U}) = \{b \in B : \exists a \in \mathcal{U} g(a) \leq b\}$ .

A Stone space is a compact Hausdorff zero-dimensional topological space.

## 7.2 Results

**Theorem 7.1.** *Suppose  $B$  is a Boolean Algebra.*

1.  $\wedge$  and  $\vee$  are commutative and associative.
2.  $\wedge$  and  $\vee$  satisfy the distributive laws  $a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$  and  $a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c)$ .
3. If  $f$  is a Boolean Algebra isomorphism then  $f^{-1}$  is one as well.
4. If  $\mathcal{F}$  is a filter on a Boolean Algebra then  $\mathbf{1} \in \mathcal{F}$ .
5. A filter  $\mathcal{F}$  on a Boolean Algebra  $B$  is an ultrafilter if and only if for every  $a \in B$   $a \in \mathcal{F}$  or  $\neg a \in \mathcal{F}$ .
6. A filter  $\mathcal{F}$  on a Boolean Algebra  $B$  is an ultrafilter if and only if for every  $a, b \in B$ ,  $a \vee b \in \mathcal{F} \Rightarrow a \in \mathcal{F}$  or  $b \in \mathcal{F}$ .
7. Every filter on a Boolean Algebra can be extended to an ultrafilter.
8. If  $g : B \rightarrow A$  is a Boolean Algebra homomorphism and  $\mathcal{U}$  is an ultrafilter on  $A$  then  $\{b \in B : \exists a \in \mathcal{U} g(a) \leq b\}$  is an ultrafilter on  $B$ .
9.  $\mathcal{BA}(X)$  ordered by  $\subseteq$  is a Boolean Algebra with  $\mathbf{1} = X$ ,  $\mathbf{0} = \emptyset$ ,  $\vee = \cap$ ,  $\wedge = \cup$ ,  $\neg C = X \setminus C$ .
10. If  $f : X \rightarrow Y$  is a continuous map between topological spaces then  $\mathcal{BA}(f) : \mathcal{BA}(Y) \rightarrow \mathcal{BA}(X)$ .
11. If  $B$  is a Boolean Algebra then  $\tau_B$  is a compact Hausdorff zero-dimensional topology on  $\mathcal{S}(B)$ .

12. If  $g : B \rightarrow A$  is a Boolean Algebra homomorphism then  $\mathcal{S}(g)$  is continuous map.
13. If  $X$  is a Stone then  $\mathcal{S}(\mathcal{B}_X)$  is homeomorphic to  $X$  by the homeomorphism  $h_X : X \rightarrow \mathcal{S}(\mathcal{B}_X); x \mapsto \{C \in \mathcal{B}_X : x \in C\}$ .
14. If  $B$  is a Boolean Algebra then  $\mathcal{B}_{\mathcal{S}(B)}$  is isomorphic to  $B$  by the isomorphism  $h_B : B \rightarrow \mathcal{B}_{\mathcal{S}(B)}; b \mapsto \{\mathcal{U} \in \mathcal{S}(B) : b \in \mathcal{U}\}$ .
15. If  $f : X \rightarrow Y$  is a continuous map between Stone spaces then  $h_X \circ \mathcal{S}(\mathcal{B}_f) \circ h_Y = h_Y \circ f$ .
16. If  $g : B \rightarrow A$  is a Boolean Algebra homomorphism then  $\mathcal{B}_{\mathcal{S}(g)} \circ h_B = h_A \circ g$ .
17. (Non-examinable)  $\mathcal{S}(\cdot)$  and  $\mathcal{B}$  witness that the categories of Boolean Algebras and Stone spaces are dually equivalent.

### 7.3 Proofs

11. First note that  $(a \wedge b)^* = a^* \cap b^*$ ,  $(a \vee b)^* = a^* \cup b^*$  and  $(\neg a)^* = \mathcal{S}(B) \setminus a^*$ . Also  $\mathbf{0}^* = \emptyset$  and  $\mathbf{1}^* = \mathcal{S}(B)$ .

Thus  $\{b^* : b \in B\}$  is a basis for a topology  $\tau$  on  $\mathcal{S}(B)$  consisting of clopen sets  $(\mathcal{S}(B) \setminus b^* = (\neg b)^*)$ .

$\tau$  is Hausdorff since if  $\mathcal{U} \neq \mathcal{V}$  are ultrafilters on  $B$  then find  $a \in \mathcal{U} \setminus \mathcal{V}$  (wlog). Then  $\neg a \in \mathcal{V} \setminus \mathcal{U}$  and  $\mathcal{U} \in a^*$ ,  $\mathcal{V} \in (\neg a)^*$  are disjoint open sets.

We show that  $\tau$  is compact by checking the finite intersection property for basic closed sets, i.e. those of the form  $b^*$ ,  $b \in B$ . So suppose  $\mathcal{F}$  is a collection of basic closed sets such that every finite intersection is non-empty. Then  $\#\mathcal{F}$  is a filter on  $B$  which extends to an ultrafilter  $\mathcal{U}$  and  $\mathcal{U} \in \bigcap \mathcal{F}$ .