

String Theory 1

Lecture #9

Chapter 3

Interactions

- 3.1 Generalities ✓
- 3.2 Vertex operators : introduction ✓
- 3.3 Construction of vertex operators for the open string
- 3.4 State-Vertex correspondence (open string)
- 3.5 Closed string

3.1 Introduction of vertex operators (summary)



not known
how to do this

we instead describe emission/absorption of a quantum physical state from a fixed string world sheet by the action of a local operator or **vertex operator** V st

$$\mathcal{H}_{phys} \xrightarrow{V} \mathcal{H}_{phys} \quad \mathcal{H}_{null} \xrightarrow{V} \mathcal{H}_{null}$$

For the open string a vertex operator is a primary operator of weight $h=1$.

This expresses the fact that

$$\mathcal{H}_{phys} \xrightarrow{V} \mathcal{H}_{phys}$$

$$[L_m, V(\tau)] = \partial_\tau \Theta \quad \forall m \geq 0$$

$$\mathcal{H}_{null} \xrightarrow{V} \mathcal{H}_{null}$$

$$[L_{-m}, V(\tau)] = \partial_\tau \Theta' \quad \forall m > 0$$

$$\bigvee [L_m, V(\tau)] = \partial_\tau \Theta \quad \forall m \in \mathbb{Z}$$

This, in turn, implies that $\int d\bar{\tau} V(\bar{\tau})$

is invariant under conformal transformations

3.3 Vertex operators for the open string

Consider the boundary scalar operator $X^M(\bar{\tau}, 0)$:
on the boundary of Σ

$$X^M(\bar{\tau}, 0) = x^M + 2\alpha' p^M \bar{\tau} + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n^M}{n} e^{-in\bar{\tau}}$$

WL of the end of the string

Conformal transformations:

$$[L_m, X^M(\bar{\tau}, 0)] = -ie^{im\bar{\tau}} \frac{d}{d\bar{\tau}} (X^M(\bar{\tau}, 0))$$

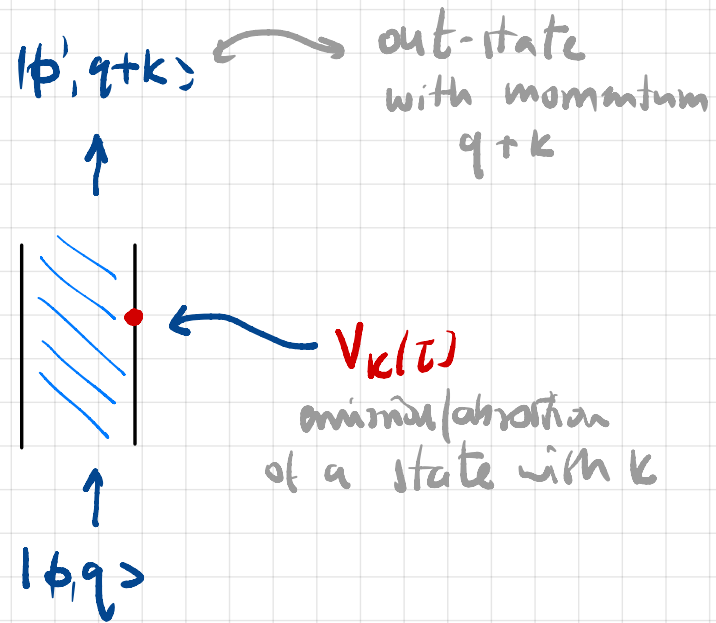
$$[L_m, \alpha_n^M] = -n \alpha_{m+n}^M$$



$X^M(\bar{\tau})$ is an operator with $h=0$
(indeed a WS scalar)

but it is not a VO

Building a VO associated to a state of momentum K



so $V_k(\tau) |\phi; q\rangle = |\phi; q+k\rangle$

i.e. $V_k(\tau) |\phi, q\rangle = \text{state with momentum } q+k$

Thus we require $[P^\mu, V_k(\tau)] = K^\mu V_k(\tau)$ action of the momentum tensor on an operator $V_k(\tau)$

Then the operator must depend on $e^{iK \cdot X(\tau)}$ CM coordinate
(QFT: I know how to arrange this, this factor shifts momentum with acting on states)

A naive guess is: $V_k(\tau) = e^{iK \cdot X(\tau)}$ (simplest op built from X^μ)

As it stands, it is not well defined: it still needs normal ordering.

Consider the normal ordered expression (convention: annihilation ops on the right!)

$$V_k(\tau) \equiv : e^{i k \cdot x(\tau)} : \equiv e^{i \sqrt{2\alpha'} k \cdot \sum_{n=1}^{\infty} \frac{d_{-n}}{n} e^{i n \tau}} e^{i k \cdot x(\tau)} e^{-i \sqrt{2\alpha'} k \cdot \sum_{n=1}^{\infty} \frac{d_n}{n} e^{-i n \tau}}$$

$$\begin{aligned} X^M(\tau, \sigma) &= x^M + 2\alpha' p^M \tau + i \sqrt{2\alpha'} \sum_{n \neq 0} \frac{d_n^M}{n} e^{-i n \tau} \\ &= x^M(\tau) + i \sqrt{2\alpha'} \sum_{n=1}^{\infty} \frac{1}{n} (d_n^M e^{-i n \tau} - d_{-n}^M e^{i n \tau}) \end{aligned}$$

$$\tilde{x}(\tau) = x + 2\alpha' \hat{p} \tau$$

There is still room for reordering eg

$$e^{i k \cdot x} e^{i 2\alpha' \hat{p} \tau} \quad \text{vs} \quad e^{i k \cdot (x + 2\alpha' \hat{p} \tau)} \quad \text{etc}$$

we have chosen a particular ordering (the latter)

Baker-Campbell-Hausdorff

$$\text{and also: } \underline{e^{i k \cdot d_m} e^{i k \cdot d_n} = e^{i k \cdot (d_m + d_n)} e^{-\frac{1}{2} (k \cdot k m \delta_{m+n,0})}}$$

$$k_\mu k_\nu [d_m^\mu, d_n^\nu] = m \delta_{m+n,0} \eta^\mu_\nu$$

so reordering is free for $k^2=0$ (useful soon!)

The normal ordering in the definition gives the right conformal transformation:

$$[L_m, :e^{iK \cdot X(\tau)}:] = e^{im\tau} (-i\partial_\tau + \alpha' m(K \cdot K)) :e^{iK \cdot X(\tau)}:$$

PS 2

from reordering,
gives $h = \alpha' (K \cdot K)$

This is a good vertex operator if $h=1$ i.e. $\alpha' K^2=1$

↓
precisely the tachyon mass-shell condition ($\alpha' K^2=1$) !

This is the unique VO that describes emission/absorption of a tachyon.

Things get more interesting for $N=1$ states

Building vertex operators for level one states

For these states: $k^2=0$

so we are looking for the photon emission/absorption vertex operator

$$W(\tau) \sim \left(\underbrace{\quad}_{\text{some appropriate local operator}} \right) \underbrace{: e^{i k \cdot X(\tau)} :}$$

$h = \alpha' (k \cdot k) = 0$
no normal ordering issues
as $k^2 = 0$

Consider

$$\begin{aligned}[L_m, \partial_\tau X^\mu(\tau)] &= \frac{\partial}{\partial \tau} [L_m, X^\mu(\tau)] \\ &= \frac{\partial}{\partial \tau} (-i (\partial_\tau X^\mu(\tau)) e^{im\tau}) = e^{im\tau} (-i \partial_\tau + m) \partial_\tau X^\mu(\tau)\end{aligned}$$

Then $\partial_\tau X^\mu(\tau)$ is primary of weight $h=1$

We could try, for the vertex operator for emission/absorption of a photon with polarisation S the operator

$$W_{S,k}(\tau) = \frac{1}{\sqrt{2\alpha'}} (S \cdot \partial_\tau X(\tau)) : e^{i k \cdot X(\tau)} :$$

and deal with normal ordering issues.

(Note also that $\star B$ does not necessarily have $h_{\star B} = h_A + h_B \dots$)
Naively the VO for the tachyon has weight 0 but NO $\Rightarrow$ it has $h=1$

In $\overbrace{S \cdot \partial_\tau X(\tau)}^{\rightarrow \sqrt{2\alpha'} \sum_n S \cdot \alpha_n e^{-in\tau}}$: each oscillator operator is contracted with S

In $e^{iK \cdot X(\tau)}$: each oscillator operator is contracted with K

then, all potential ambiguities come from commutators of the form:

$$[\alpha_m \cdot S, \alpha_n \cdot K] = m \delta_{m+n,0} (S \cdot K).$$

Precisely when $S \cdot K = 0$ (physical polarization)

$(S \cdot \partial_\tau X(\tau)) : e^{iK \cdot X(\tau)}$: is well defined

(ie no corrections from normal ordering)

We identify

$$W_{S,K}(\tau) = \frac{1}{\sqrt{2\omega}} (S \cdot \dot{X}(\tau)) : e^{iK \cdot X(\tau)} : , \quad K^2=0, S \cdot K=0 \quad (\hbar=1)$$

with the vertex operator for the emission/absorption of a photon.

Note that, for the longitudinal polarization,

$$(K \cdot \dot{X}) e^{iK \cdot X} = -i \partial_\tau (e^{iK \cdot X})$$

which vanishes after integrating over τ .

This means that the longitudinal mode decouples ✓ //

* It is not a coincidence that the rules for constructing vertex operators are closely parallel to the construction of physical states.

In summary: $|\phi\rangle_{\text{phys}} \longrightarrow V_\phi(\bar{t})$ conformal primary operator of weight $h=1$

For example, recalling that $:e^{ik \cdot x}: \text{ has } h = \frac{1}{2}(k \cdot k)\alpha'$

level 0: $|0; K\rangle$ tachyon $\longrightarrow :e^{ik \cdot x(\tau)}: \quad h=1 \text{ for } \alpha' k^2=1$

level 1: $|s; K\rangle$ photon of polarisation s $\longrightarrow :s \cdot \dot{x}(\tau) e^{ik \cdot x(\tau)}: \quad h=1 \text{ if } K^2=0 \text{ \& } s \cdot K=0,$
transverse polarisation
(longitudinal mode decouples $K \cdot \dot{x} e^{ik \cdot x(\tau)} = -\partial_\tau (e^{ik \cdot x})$)

level 2: $\gamma_{\mu\nu} \alpha_{-1}^\mu \alpha_{-1}^\nu |0; K\rangle$ massive spin 2 state (symmetric traceless tensor) $\longrightarrow \underbrace{\gamma_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}_{h=2} : \underbrace{e^{ik \cdot x}}_{h=-1}: \quad h=1 \text{ if } K^2 = -2\alpha' \text{ \& } K \cdot s = 0, s \text{ traceless}$

One can show for $\forall N \geq 0$ that for every physical state in $\mathcal{H}_{phys}$ one can construct a corresponding VO (by careful consideration of the normal ordering)

The converse is also true: every VO corresponds to a physical state!

↳ Next: The State-Operator Correspondence
(special feature of CFTs)

3.4 State-Vertex correspondence (open strings)

We want to make this correspondence more explicit:

$$|\phi\rangle = \phi|_{\text{phys}} \quad \xleftrightarrow{-1} \quad V\phi \quad \begin{array}{l} \text{primary operator} \\ \text{of weight } h=1 \\ \text{(ie Vertex operator)} \end{array}$$

We begin recalling that the Hamiltonian

$$H = L_0 = \alpha' p^2 + N$$

is a time evolution operator. So, if $V(\bar{t})$ is a vertex operator at the string end point $\sigma=0$, then we can write

$$V(\bar{t}) = e^{i\bar{t}L_0} V(0) e^{-i\bar{t}L_0}$$

Q $V(\bar{t})$ describes the emission/absorption of a physical state from the end of the open string ($\sigma=0$) at $\bar{t}$ if $h=1$.

For the tachyon: $V_T(K, \tau) = : e^{iK \cdot X(\tau)} : = e^{i\tau L_0} \underbrace{: e^{iK \cdot X(0)} :}_{V_T(K, 0)} e^{-i\tau L_0}$

The point now is that starting from this, one can recover the tachyon state $|K; 0\rangle$.

Consider the action of this operator on "zero momentum" string vacuum state $|0; 0\rangle$

$$V_T(K, \tau) |0; 0\rangle = e^{i\tau L_0} \left(: e^{iK \cdot X(0)} : e^{-i\tau L_0} \right) |0; 0\rangle$$

$L_0 = \frac{1}{\alpha'} \alpha_0^2 + N$

$iK \cdot X(0, 0) = iK \cdot x - \sqrt{2\alpha'} \sum_{n \in \mathbb{Z}, n \neq 0} \frac{1}{n} K \cdot \alpha_n$

$|0; 0\rangle \rightsquigarrow L_0 |0; 0\rangle = 0$

$$V_T(K, \tau) |0; 0\rangle = e^{i\tau L_0} \left(e^{i\tau \omega^T \sum_{n \geq 1} \frac{1}{n} K \cdot \alpha_{-n}} : e^{iK \cdot x(0)} : e^{-i\tau \omega^T \sum_{n \geq 1} \frac{1}{n} K \cdot \alpha_n} \right) |0; 0\rangle$$

$|0; 0\rangle \rightsquigarrow \alpha_n |0; 0\rangle = 0 \quad \forall n \geq 1$

$|0; K\rangle$

$$\text{so } V_{\tau}(K, \tau) |0; 0\rangle = e^{i\tau L_0} e^{\sqrt{2\alpha'} \sum_{n=1}^{\infty} \frac{1}{n} K \cdot \alpha_{-n}} |0; K\rangle$$

Wick rotation: pass to imaginary or Euclidean time on the WS

Define $z = e^{i\bar{\tau}} = e^t$ (where $\bar{\tau} = -it$)
so t is Euclidean WS time

Then:

$$V_{\tau}(K, \tau) |0; 0\rangle = z^{L_0} e^{\sqrt{2\alpha'} \sum_{n=1}^{\infty} \frac{1}{n} K \cdot \alpha_{-n}} |0; K\rangle$$

$$= z^{1+\hat{N}} \left(1 + \sqrt{2\alpha'} K \cdot \alpha_{-1} + \frac{1}{2} \sqrt{2\alpha'} (K \cdot \alpha_{-2} + \sqrt{2\alpha'} (K \cdot \alpha_{-1})^2) + \dots \right) |0; K\rangle$$

$\alpha' K^2 = 1 \quad \hookrightarrow N=1 \quad \quad \quad N=2$

$$= z \left(1 + \sqrt{2\alpha'} K \cdot \alpha_{-1} + 2 \frac{1}{2} \sqrt{2\alpha'} z^2 (K \cdot \alpha_{-2} + \sqrt{2\alpha'} (K \cdot \alpha_{-1})^2) + \dots \right) |0; K\rangle$$

We can recover the tachyon state $|0; K\rangle$ from V_{τ} in the limit:

$$\lim_{z \rightarrow 0} \frac{1}{z} V_{\tau}(K, \underbrace{-i\epsilon y_0}_{\bar{\tau}}) |0; 0\rangle = \lim_{\substack{t \rightarrow -\infty \\ \bar{\tau} \text{ past infinity}}} e^{-t} V_{\tau}(K; it) |0; 0\rangle = |0; K\rangle$$

For the photon

$$\begin{aligned}
 V_S(k, \tau) |0; 0\rangle &= \frac{1}{\sqrt{|\alpha|}} e^{i\tau L_0} V_S(k, 0) e^{-i\tau L_0} |0; 0\rangle \\
 &= \frac{1}{\sqrt{|\alpha|}} e^{i\tau(\alpha' p^2 + N)} g \cdot \dot{X}(0) e^{ik \cdot X(0)} \underbrace{e^{-i\tau L_0} |0; 0\rangle}_{|0; 0\rangle} \\
 &\quad \underbrace{\hspace{10em}}_{|0; k\rangle}
 \end{aligned}$$

$L_0 = \alpha' p^2 + N$

$$\begin{aligned}
 \left. \begin{array}{c} \text{as} \\ k^1=0 \end{array} \right\} &= \frac{1}{\sqrt{|\alpha|}} z^N (g \cdot \dot{X}(\tau=0)) |0; k\rangle = z^N \sum_{n \geq 0} (g \cdot \alpha_{-n}) e^{\sum_{n \geq 0} \frac{1}{n} k \cdot \alpha_{-n}} |0; k\rangle \\
 &= \left(\underbrace{z (g \cdot \alpha_{-1})}_{N=1} + \underbrace{z^2 (g \cdot \alpha_{-2} + (g \cdot \alpha_{-1})(g \cdot \alpha_{-1}))}_{N=2} + \dots \right) |0, k\rangle
 \end{aligned}$$

We then resum the state $|g; k\rangle$:

$$\begin{aligned}
 |g; k\rangle &= \lim_{z \rightarrow 0} \frac{1}{z} W_{g,k}(-i \log z) |0; 0\rangle \\
 &= \lim_{t \rightarrow -\infty} e^{-t} W_{g,k}(it) |0; 0\rangle
 \end{aligned}$$

More generally,

$$|\psi\rangle \in \mathcal{H}_{phys} \xleftrightarrow{|-|} V_{\psi}(\tau) \text{ with}$$

$$|\psi\rangle = \lim_{t \rightarrow 0} \frac{1}{t} V_{\psi}(-ib_{\psi}t) |0;0\rangle$$

$$= \lim_{t \rightarrow -\infty} e^{-t} V_{\psi}(it) |0;0\rangle$$

We can treat analogously the "out going" states.
 For the tachyon, for example:

$$\begin{aligned} \langle 0; 0 | V_T(K, it) &= \langle 0; K | e^{\sqrt{2\alpha'} \sum_{n=0}^{\infty} \frac{1}{n} K \cdot \alpha_n} \underbrace{e^{-i\tau L_0}}_{\tau^{-L_0}} \\ &= \frac{1}{\tau} \langle 0; K | \left(1 + \sqrt{2\alpha'} \tau^{\frac{1}{2}} (\alpha_1 \cdot K) + 2 \sqrt{2\alpha'} \frac{1}{2} \tau^{-\frac{1}{2}} (\alpha_2 \cdot K + (K \cdot \alpha_1)(K \cdot \alpha_1)) + \dots \right) \end{aligned}$$

$$\Rightarrow \langle 0; K | = \lim_{\tau \rightarrow \infty} \tau \langle 0; 0 | V_T(K, -i\ln \tau) = \lim_{\tau \rightarrow \infty} e^{\tau} \langle 0; 0 | V_T(K, -i\tau)$$

In summary:

incoming state $|\psi\rangle = \lim_{\tau \rightarrow 0} \frac{1}{\tau} V_{\psi}(-i\hbar\eta\tau) |0;0\rangle$

(it recovers an incoming state $|\psi\rangle$ by acting with a VO on the vacuum state Euclidean past infinite limit)

$$\langle\phi| = \lim_{\tau \rightarrow \infty} \tau \langle 0;0| V_{\psi}(-i\hbar\eta\tau)$$

(it recovers an outgoing state $\langle\phi|$ by acting in infinite Euclidean future with a VO)

Remark: In 2dim CFT we have more generally
a state-operator correspondence where

$$|\Psi_A\rangle \longleftrightarrow^{1-1} \mathcal{A}(\tau)$$

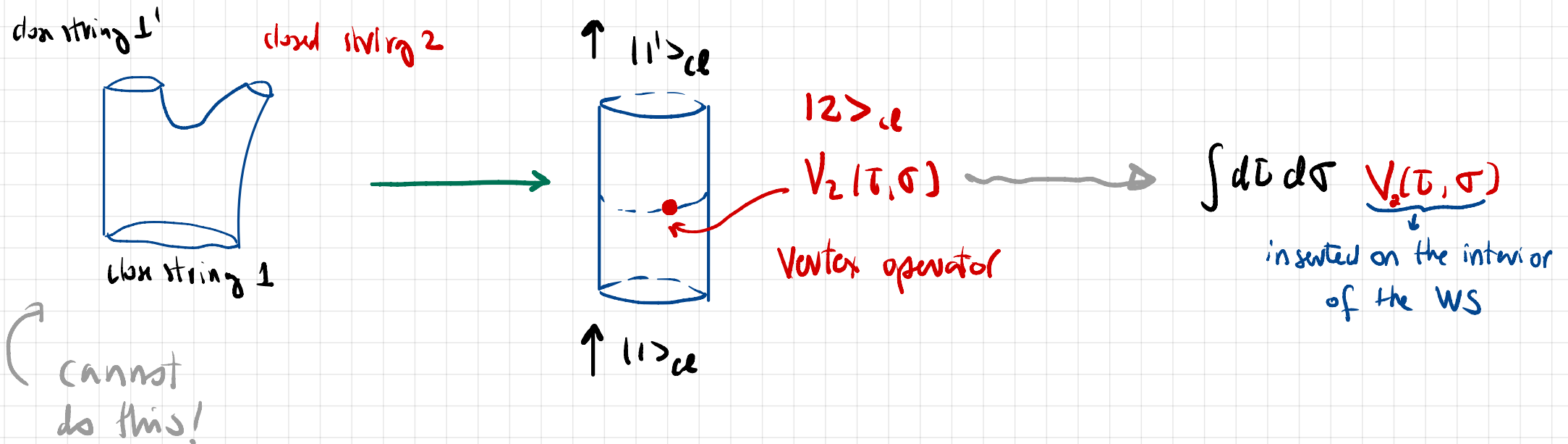
"highest weight" state of $\mathcal{H}_c$

primary operator
of weight h_A

$$|\Psi_A\rangle = \lim_{t \rightarrow -\infty} z^{h_A} \mathcal{A}(it) |0,0\rangle$$

$$\langle \Psi_A | = \langle 0,0 | \lim_{t \rightarrow \infty} z^{-h_A} \mathcal{A}(it)$$

3.5 Vertex operators for closed strings



we describe emission/absorption of a quantum physical state from a fixed string world sheet by the action of a local operator or **Vertex operator** V st

$$\mathcal{H}_{phys} \xrightarrow{V} \mathcal{H}_{phys} \quad \mathcal{H}_{null} \xrightarrow{V} \mathcal{H}_{null}$$

A primary operator of dimension $(h, \tilde{h})$ is an operator transforming under conformal trans of the WS according to

$$\Phi(\xi^+, \xi^-) \rightarrow \tilde{\Phi}(\tilde{\xi}^+, \tilde{\xi}^-) = \left(\frac{d\xi^+}{d\tilde{\xi}^+} \right)^h \left(\frac{d\xi^-}{d\tilde{\xi}^-} \right)^{\tilde{h}} \Phi(\xi^+, \xi^-)$$

The corresponding infinitesimal transformations are

$$\delta \Phi(\tau, \sigma) = -\partial_+ (\tilde{\epsilon} \Phi) - (\tilde{h}-1)(\partial_+ \tilde{\epsilon}) \Phi - \partial_- (\epsilon \Phi) - (h-1)(\partial_- \epsilon) \Phi$$

This is a total derivative if $h = \tilde{h} = 1$

For $\epsilon_{\pm}^{\pm} = \frac{i}{2} e^{\pm i m \sigma_{\pm}}$ this gives the action of L_m :

$$[L_m, \Phi(\xi^+)] = \frac{i}{2} e^{i m \xi^+} (-i \partial_+ + 2 m h) \Phi(\xi^+)$$

$$[\tilde{L}_m, \Phi(\xi^+)] = \frac{i}{2} e^{i m \xi^+} (-i \partial_- + 2 m \tilde{h}) \Phi(\xi^+)$$

Vertex operators : $h = \tilde{h} = 1$

The closed string operator

$$: e^{ik \cdot X(\xi^\pm)} :$$

is primary with $h = \bar{h} = \frac{\alpha'}{4} K \cdot K$ so

$$V_T(K; \xi^\pm) = : e^{ik \cdot X(\xi^\pm)} :$$

$V_0 (h = \bar{h} = 1)$ corresponding to the tachyon $\alpha' k^2 = 4$

For higher levels

$$V(K; \xi^\pm) = (\dots) : e^{ik \cdot X(\xi^\pm)} :$$

$$L_0 = \frac{1}{\alpha'} \alpha_0^2 + N \quad \alpha_0^\mu = \tilde{\alpha}_0^\mu = \sqrt{\frac{\alpha'}{2}} p$$

$$\alpha' M^2 = 2(N + \tilde{N} - 2\alpha)$$

As for the open string: with the appropriate normal ordering one can construct a vertex operators $(h = \bar{h} = 1)$ for each $|\varphi\rangle \in \mathcal{H}_{\text{phys}}$

For the converse: given a Vertex operator ($\hbar = \tilde{\hbar} = 1$)
 One can recover a physical state.

If $V(\tau, \sigma)$ is a VO then we can write

$$V(\xi^\pm) = e^{2i\xi^- L_0 + i\xi^+ \tilde{L}_0} V(0,0;K) e^{-i\xi^- L_0 - i\xi^+ \tilde{L}_0}$$

$\uparrow$
 translation in $\xi^\pm$ $\begin{cases} L_0 + \tilde{L}_0 \sim \partial_\tau \\ L_0 - \tilde{L}_0 \sim \partial_\sigma \end{cases}$
 insertion of vertex at the origin $\xi^\pm = 0$

Wick rotation to Euclidean time $\tau = -it$:

light-cone coordinates: $\xi^\pm = -i(t \pm i\sigma)$

and let $k = e^{+i\xi^+}$, $\bar{k} = e^{i\xi^-}$ $\bar{\xi}^+ = -\xi^-$

The state vertex correspondence is given by

$$|\psi\rangle = \lim_{t \rightarrow -\infty} e^{-\epsilon t} \underline{V_\psi(t, \sigma)} |0, \bar{0}; 0\rangle$$

$$= \lim_{|z| \rightarrow 0} (z \bar{z})^{-2} \underline{V_\psi\left(-\frac{i}{2} \log(z \bar{z}), -\frac{i}{2} \log\left(\frac{z}{\bar{z}}\right)\right)} |0, \bar{0}; 0\rangle$$

$$z = e^{i\sigma^+}, \quad \bar{z} = e^{i\sigma^-}$$

$$z \bar{z} = e^{2t} \quad \frac{z}{\bar{z}} = e^{2i\sigma}$$

so $|\psi\rangle$ resolved by the insertion of VO
at euclidean past-infinity

↳ 3 point interactions (tree level)