# **B2.2** Commutative Algebra

## Sheet 3 — HT25

#### Sections 1-10

#### Section A

1. Let R be a noetherian domain. Let  $\mathfrak{m}$  be a maximal ideal in R. Let  $r \in R \setminus \{0\}$  and suppose that (r) is a  $\mathfrak{m}$ -primary ideal. Show that  $\operatorname{height}((r)) = 1$ .

**Solution:** By assumption, the nilradical of (r) is  $\mathfrak{m}$ . Since the nilradical is the intersection of all the prime ideals containing (r), we see that every prime ideal containing (r) also contains  $\mathfrak{m}$ . On the other hand, a prime ideal containing  $\mathfrak{m}$  must be equal to  $\mathfrak{m}$ . We conclude that  $\mathfrak{m}$  is the only prime ideal containing (r). In particular,  $\mathfrak{m}$  is minimal among the prime ideals containing (r) and thus height(r) = height $(\mathfrak{m}) \leq 1$  by Krull's principal ideal theorem. On the other hand, height $(\mathfrak{m})$  = 1, since we have the chain  $\mathfrak{m} \supset (0)$  (note that R is a domain).

2. Let R be a PID. Show that dim  $R \leq 1$ , and that dim R = 0 if and only if R is a field.

**Solution:** We have the prime ideal (0), since R is a domain. If R is a field, then we have no other prime ideals, and dim R = 0.

If R is not a field, then it has at least one non-trivial proper prime ideal. Every such ideal is maximal (see Sheet 0), and hence dim R = 1.

### Section B

3. Let A, B be integral domains and suppose that  $A \subseteq B$ . Suppose that A is integrally closed and that B is integral over A. Let

$$\mathfrak{p}_0 \supset \mathfrak{p}_1 \supset \cdots \supset \mathfrak{p}_n$$

be a descending chain of prime ideals in A. Let  $k \in \{0, ..., n-1\}$  and let

$$\mathfrak{q}_0 \supset \mathfrak{q}_1 \supset \cdots \supset \mathfrak{q}_k$$

be a descending chain of prime ideals in B, such that  $\mathfrak{q}_i \cap A = \mathfrak{p}_i$  for all  $i \in \{0, \ldots, k\}$ . Then there is a descending chain of prime ideals

$$\mathfrak{q}_k \supset \mathfrak{q}_{k+1} \supset \cdots \supset \mathfrak{q}_n$$

such that  $\mathfrak{q}_i \cap A = \mathfrak{p}_i$  for all  $i \in \{k+1, \ldots, n\}$ . This is the "Going-down Theorem", see AT, Th. 5.16, p. 64.

Let L (resp. K) be the fraction field of B (resp. A). Prove the Going-down Theorem when L is a (finite) Galois extension of K.

Solution: One immediately reduces the question to n=1 and k=0. Let A be the integral closure of A in L. Note that by assumption we have  $B\subseteq \bar{A}$  and that  $\bar{A}$  is integral over B (since it is integral over A). Let  $\mathfrak{q}'_0$  be a prime ideal of  $\bar{A}$  such that  $\mathfrak{q}'_0 \cap B = \mathfrak{q}_0$  (this exists by the Going-up Theorem). Let  $\mathfrak{a}$  be a prime ideal of  $\bar{A}$  such that  $\mathfrak{a} \cap A = \mathfrak{p}_1$  (again this exists by the Going-up Theorem). According to Q6 of sheet 2, there is a prime ideal  $\mathfrak{b}$  in  $\bar{A}$  such that  $\mathfrak{b} \supset \mathfrak{a}$  and such that  $\mathfrak{b} \cap A = \mathfrak{p}_0$ . According to Proposition 12.10, there is an element  $\sigma \in \operatorname{Gal}(L|K)$  such that  $\sigma(\mathfrak{b}) = \mathfrak{q}'_0$ . We have  $\sigma(\mathfrak{a}) \cap A = \mathfrak{p}_1$  and  $\sigma(\mathfrak{a}) \subset \sigma(\mathfrak{b}) = \mathfrak{q}'_0$ . Hence  $\sigma(\mathfrak{a}) \cap B \subseteq \mathfrak{q}'_0 \cap B = \mathfrak{q}_0$  and  $(\sigma(\mathfrak{a}) \cap B) \cap A = \sigma(\mathfrak{a}) \cap A = \mathfrak{p}_1$ . Furthermore, we have  $\sigma(\mathfrak{a}) \cap B \subset \mathfrak{q}_0$  because  $\sigma(\mathfrak{a}) \cap A = \mathfrak{p}_1 \subset \mathfrak{p}_0 = \mathfrak{q}_0 \cap A$ . So we may set  $\mathfrak{q}_1 = \sigma(\mathfrak{a}) \cap B$ .

4. Let R be an integrally closed domain. Let  $K = \operatorname{Frac}(R)$ . Let L|K be an algebraic field extension. Show that an element  $e \in L$  is integral over R if and only if the minimal polynomial of e over K has coefficients in R.

**Solution:** Let  $m_e(x) \in K[x]$  be the minimal polynomial of e. If  $m_e(x) \in R[x]$  then e is integral over R by the definition of integrality. On other hand, suppose that e is integral over R and let  $Q(x) \in R[x]$  be a monic polynomial such that Q(e) = 0. Then  $m_e(x)$  divides Q(x) by the definition of the minimal polynomial and  $m_e(x) \in R[x]$  by Q5 of sheet 2.

5. Let R be a PID. Suppose that 2 = 1 + 1 is a unit in R. Let  $c_1, \ldots, c_t \in R$  be distinct irreducible elements with  $t \ge 1$ , and let  $c = c_1 \cdots c_t$ . Show that the ring  $R[x]/(x^2 - c)$  is a Dedekind domain. Use this to show that  $\mathbb{R}[x, y]/(x^2 + y^2 - 1)$  is a Dedekind domain.

**Solution:** Let  $K = \operatorname{Frac}(R)$ . Notice first that c is not a square in K.

Indeed, suppose for contradiction that there is an element  $e \in K$  such that  $e^2 = c$ . Write e = f/g, with  $f, g \in R$  and f and g coprime. We then have  $f^2/g^2 = c$  and hence  $f^2 = g^2c$ . In particular,  $c_1$  divides f and thus  $c_1^2$  divides  $g^2c$ . Since (f, g) = 1, we deduce that  $c_1^2$  divides c, which contradicts our assumptions.

We deduce that the polynomial  $x^2-c$  is irreducible over K, since it has no roots in K. Let  $L=K[x]/(x^2-c)$ . Note that L is a field, since  $x^2-c$  is irreducible. Now let  $\phi\colon R[x]\to L$  be the obvious homomorphism of R-algebras. We have  $\phi(Q(x))=0$  if and only if  $x^2-c$  divides Q(x) in K[x]. On the other hand, if  $x^2-c$  divides Q(x) in K[x], then  $x^2-c$  divides Q(x) in R[x] by the unicity statement in the Euclidean algorithm (see preamble). Hence  $\ker(\phi)=(x^2-c)$ . We thus see that  $R[x]/(x^2-c)$  can be identified with the sub-R-algebra of L generated by x. Under this identification, the elements of  $R[x]/(x^2-c)$  correspond to the elements of the form  $\lambda+\mu x$ , with  $\lambda,\mu\in R$ , whereas the elements of K can all be written as  $\lambda+\mu x$ , with  $\lambda,\mu\in K$ .

We claim that L is the fraction field of  $R[x]/(x^2-c)$ . Note first that the fraction field of  $R[x]/(x^2-c)$  naturally embeds in L, since L is field containing  $R[x]/(x^2-c)$ . To prove the claim, we only have to show that every element of L can be written as a fraction in L of elements of  $R[x]/(x^2-c)$ . This simply follows from the fact that if  $f, g, h, j \in R$  and  $f/g + (h/j)x \in L$ , then

$$f/g + (h/j)x = \frac{fj + hgx}{gj}.$$

Now to prove that  $R[x]/(x^2-c)$  is a Dedekind domain, we have to show that it is noetherian, that is has dimension 1 and that it is integrally closed.

Since R contains an irreducible element  $c_1$ , it cannot be a field.

The ring  $R[x]/(x^2-c)$  is clearly noetherian (by the Hilbert basis theorem and stability of noetherianity under quotients). Also, the ring  $R[x]/(x^2-c)$  is integral over R by construction and R has dimension one by Question 2. We deduce from Lemma 11.29 that  $R[x]/(x^2-c)$  also has dimension 1.

To show that  $R[x]/(x^2-c)$  is integrally closed, we have to show that the integral closure of  $R[x]/(x^2-c)$  in L is  $R[x]/(x^2-c)$ . The integral closure of  $R[x]/(x^2-c)$  in L is also the integral closure of R in L, since  $R[x]/(x^2-c)$  consists of elements that are integral over R. Furthermore, by Question 4, an element  $\lambda + \mu x \in L$  is integral over R if and only if its minimal polynomial  $P(t) \in K[t]$  has coefficients in R. Thus we have to show that if  $\lambda + \mu x \in L$  has a minimal polynomial  $P(t) \in R[t]$  then  $\lambda, \mu \in R$ . We prove this statement.

If  $\mu = 0$  then  $\lambda + \mu x \in K$  and thus the minimal polynomial of  $\lambda + \mu x$  is  $t - \lambda$ . So the statement certainly holds in this situation.

If  $\mu \neq 0$ , we note that the polynomial

$$(t - (\lambda + \mu x))(t - (\lambda - \mu x)) = t^2 - 2\lambda + \lambda^2 - \mu^2 x^2 = t^2 - 2\lambda t + \lambda^2 - c\mu^2$$

annihilates  $\lambda + \mu y$  and has coefficients in K. It must thus coincide with the minimal polynomial P(t) of  $\lambda + \mu y$ , since we know that  $\deg(P(t)) > 1$ .

Thus we have to show that if  $-2\lambda \in R$  and  $\lambda^2 - c\mu^2 \in R$ , then  $\lambda, \mu \in R$ . So suppose that  $-2\lambda \in R$  and  $\lambda^2 - c\mu^2 \in R$ . We have  $\lambda \in R$ , since -2 is a unit in R by assumption. Hence  $c\mu^2 \in R$ . We claim that  $\mu \in R$ . Indeed, let  $\mu = f/g$ , where  $f, g \in R$  and f and g are coprime. Then  $cf^2 = g^2r$  for some  $r \in R$ . Let  $i \in \{1, \ldots, t\}$  and suppose first that  $c_i$  divides g. Then  $c_i^2$  divides  $rg^2$  and since  $c_i$  appears with multiplicity one in c by assumption, we thus see that  $c_i$  divides f, which is a contradiction (because (f,g) = 1). Hence  $c_i$  does not divide g and thus  $c_i$  divides r. Since all the  $c_i$  are distinct, we thus see that c divides r and thus  $(f/g)^2 = r/c =: d \in R$ . Hence  $f^2 = g^2d$ . Since f and g are coprime, we see that  $f^2$  divides f and hence f0 and hence f1 and f2 divides f3. Since f3 and hence f3 and hence f4 and hence f5 and f6 and hence f6 and hence f7 and hence f8. Since f8 and hence f9 are coprime, we see that f9 divides f8 and hence f9 are coprime.

To see that  $\mathbb{R}[x,y]/(x^2+y^2-1)$  is a Dedekind domain, note that  $\mathbb{R}[x,y]/(x^2+y^2-1) \simeq (\mathbb{R}[x])[y]/(y^2-(1-x^2))$  and apply the first statement of the question with  $R=\mathbb{R}[x]$  and  $c=1-x^2=(1-x)(1+x)$ .

6. Let R be a PID. Let  $c_1, c_2 \in R$  be two distinct irreducible elements and let  $c = c_1 \cdot c_2$ . Consider ideals of  $R[x]/(x^2 - c)$ . Show that  $(c) = (x, c_1)^2 \cdot (x, c_2)^2$  and that the ideals  $(x, c_i)$  are prime.

**Solution:** Note first that  $(x, c_i)$  (i = 1, 2) is indeed a prime ideal of  $R[x]/(x^2 - c)$ , because

$$(R[x]/(x^2-c))/(x,c_i) = R[x]/(x^2-c,x,c_i) = R/(-c,c_i) = R/(c_i),$$

which is a domain, since  $c_i$  is irreducible.

We only have to show that  $(c_i) = (x, c_i)^2$ .

We first show that  $(c_i) \subseteq (x, c_i)^2$ . For this, note that  $c_i^2 \in (x, c_i)^2$  by definition and

$$c_i(c_j - c_i) = c - c_i^2 = x^2 - c_i^2 \in (x, c_i)^2,$$

where  $\{i, j\} = \{1, 2\}$ . But  $\gcd_R(c_i^2, c_i(c_j - c_i)) = c_i$  (because  $c_j - c_i$  is coprime to  $c_i$  in R, since  $c_j$  is irreducible and distinct from  $c_i$ ), and in particular  $c_i \in (x, c_i)^2$ , so that  $(c_i) \subseteq (x, c_i)^2$ .

The inclusion  $(c_i) \supseteq (x, c_i)^2$  is clear, since  $(x, c_i)^2$  is generated as an R-module by  $x^2 = c, xc_i$ , and  $c_i^2$ , and all these elements lie in  $(c_i)$ .

7. Let R be a ring (not necessarily noetherian). Suppose that  $\dim(R) < \infty$ . Show that  $\dim(R[x]) \leq 1 + 2\dim(R)$ .

Solution: Let

$$\mathfrak{q}_0 \supset \mathfrak{q}_1 \supset \mathfrak{q}_2 \supset \cdots \supset \mathfrak{q}_d$$

be a descending chain of prime ideals in R[x], where  $d \ge 0$ . By restriction, we obtain a descending chain of prime ideals

$$\mathfrak{q}_0 \cap R \supseteq \mathfrak{q}_1 \cap R \supseteq \mathfrak{q}_2 \cap R \supseteq \cdots \supseteq \mathfrak{q}_d \cap R \quad (*)$$

(possibly with repetitions) in R. For each  $i \in \{0, ..., d\}$ , let  $\rho(i) \ge 0$  be the largest integer k such that  $\mathfrak{q}_i \cap R = \mathfrak{q}_{i+1} \cap R = \cdots = \mathfrak{q}_{i+k} \cap R$ . By Lemma 11.21, the remark before it, and Lemma 11.19 we have  $\rho(i) \le 1$  for all  $i \in \{0, ..., d\}$ . Now let

$$\mathfrak{q}_{i_0} \cap R = \mathfrak{q}_0 \cap R \supset \mathfrak{q}_{i_1} \cap R \supset \cdots \supset \mathfrak{q}_{i_\delta} \cap R$$

be an enumeration of all the prime ideals appearing in the chain (\*), in decreasing order of inclusion. We have

$$d+1 = (1+\rho(i_0)) + (1+\rho(i_1)) + \dots + (1+\rho(i_\delta)) \leq 2(\delta+1)$$

and so that  $d \leq 2\delta + 1$ . Now we have  $\delta \leq \dim(R)$  and the required inequality follows.

8. Let R be a Dedekind domain. Let I be a non zero ideal in R. Show that every ideal in R/I is principal. Deduce that every ideal in a Dedekind domain can be generated by two elements.

**Solution:** We first prove the first statement. Since R is a Dedekind domain, we have a primary decomposition

$$I = \bigcap_{i=1}^{k} \mathfrak{p}_i^{m_i}$$

for some prime ideals  $\mathfrak{p}_i$ . Using Lemma 12.2 and the Chinese remainder theorem, we see that we have

$$R/I \simeq \bigoplus_{i=1}^k R/\mathfrak{p}_i^{m_i}.$$

Now an ideal J of  $\bigoplus_{i=1}^k R/\mathfrak{p}_i^{m_i}$  is of the form  $\bigoplus_{i=1}^k J_i$ , where  $J_i$  is an ideal of  $R/\mathfrak{p}_i^{m_i}$ . This follows from the fact that if  $e \in J$  and  $e = \bigoplus_{i=1}^k e_i$  then  $e_i = e \cdot (0, \dots, 1, \dots, 0) \in J$ , where 1 appears in the i-th place in the expression  $(0, \dots, 1, \dots, 0)$ . Hence, if we can find generators  $g_i \in J_i$  for  $J_i$  in  $R/\mathfrak{p}_i^{m_i}$ , then  $(g_1, \dots, g_k)$  will be a generator of J. We proceed to show that any ideal in  $R/\mathfrak{p}_i^{m_i}$  can be generated by one element.

Consider the exact sequence

$$0 \to \mathfrak{p}_i^{m_i} \to R \to R/\mathfrak{p}_i^{m_i} \to 0.$$

Localising this sequence at  $R \setminus \mathfrak{p}_i$ , we get the exact sequence of  $R_{\mathfrak{p}_i}$ -modules

$$0 \to (\mathfrak{p}_i^{m_i})_{\mathfrak{p}_i} \to R_{\mathfrak{p}_i} \to (R/\mathfrak{p}_i^{m_i})_{\mathfrak{p}_i} \to 0.$$

Now the  $R_{\mathfrak{p}_i}$ -submodule  $(\mathfrak{p}_i^{m_i})_{\mathfrak{p}_i}$  of  $R_{\mathfrak{p}_i}$  is the ideal generated by the image of  $\mathfrak{p}_i^{m_i}$  in  $R_{\mathfrak{p}_i}$  (see the beginning of the proof of Lemma 5.6). If we let  $\mathfrak{m}$  be the maximal ideal of  $R_{\mathfrak{p}_i}$ , this is also  $\mathfrak{m}^{m_i}$ . On the other hand,  $\mathfrak{p}_i$  is contained in the nilradical of  $\mathfrak{p}_i^{m_i}$  and since  $\mathfrak{p}_i$  is maximal (by Lemma 12.1) it coincides with the radical of  $\mathfrak{p}_i^{m_i}$ . Hence  $R/\mathfrak{p}_i^{m_i}$  has only one maximal ideal, namely  $\mathfrak{p}_i$  mod  $\mathfrak{p}_i^{m_i}$ . Since the image of  $R \setminus \mathfrak{p}_i$  in  $R/\mathfrak{p}_i^{m_i}$  lies outside  $\mathfrak{p}_i$  mod  $\mathfrak{p}_i^{m_i}$ , we see that this image consists of units. Hence  $(R/\mathfrak{p}_i^{m_i})_{\mathfrak{p}_i} \simeq R/\mathfrak{p}_i^{m_i}$ . All in all, there is thus an isomorphism

$$R_{\mathfrak{p}_i}/\mathfrak{m}^{m_i} \simeq R/\mathfrak{p}_i^{m_i}$$
.

Now by Proposition 12.4, every ideal in  $R_{\mathfrak{p}_i}/\mathfrak{m}^{m_i}$  is principal, and so we have proven the first statement.

For the second one, let  $e \in I$  be any non-zero element. Then the ideal  $I \mod (e) \subseteq R/(e)$  is generated by one element, say g. Let  $g' \in R$  be a preimage of g. Then I = (e, g').

9. Let A (resp. B) be a noetherian local ring with maximal ideal  $\mathfrak{m}_A$  (resp.  $\mathfrak{m}_B$ ). Let  $\phi$ :  $A \to B$  be a ring homomorphism and suppose that  $\phi(\mathfrak{m}_A) \subseteq \mathfrak{m}_B$  (such a homomorphism is said to be 'local').

Suppose that

- (a) B is finite over A via  $\phi$ ;
- (b) the map  $\mathfrak{m}_A \to \mathfrak{m}_B/\mathfrak{m}_B^2$  induced by  $\phi$  is surjective;
- (c) the map  $A/\mathfrak{m}_A \to B/\mathfrak{m}_B$  induced by  $\phi$  is bijective.

Prove that  $\phi$  is surjective. [Hint: use Nakayama's lemma twice].

**Solution:** By Corollary 3.6, (b) implies that the image of  $\mathfrak{m}_A$  in  $\mathfrak{m}_B$  generates  $\mathfrak{m}_B$  as a B-module. In other words,  $\phi(\mathfrak{m}_A)B = \mathfrak{m}_B$ . On the other hand, since B is finitely generated as an A-module, the homomorphism  $\phi$  is surjective if and only if the induced map  $A/\mathfrak{m}_A \to B/\phi(\mathfrak{m}_A)B$  is surjective, again by Corollary 3.6. Now  $B/\phi(\mathfrak{m}_A)B = B/\mathfrak{m}_B$  by the above, and by (c) the map  $A/\mathfrak{m}_A \to B/\mathfrak{m}_B$  is surjective. The conclusion follows.

# Section C

10. Let R be a Dedekind domain. Show that R is a PID if and only if it is a UFD.

**Solution:** Every PID is a UFD.

For the converse, first note that it is enough to prove that all prime ideals are principal, since every non-trivial proper ideal in a Dedekind domain is a product of prime ideals.

Let  $\mathfrak{p}$  be a non-trivial prime ideal in R. Since R is a UFD, there is a prime element  $p \in \mathfrak{p}$ . Hence we have the inclusions

$$(0) \subset (p) \subseteq \mathfrak{p},$$

and since dim R = 1 we must have  $\mathfrak{p} = (p)$ .