

# String Theory 1

Lecture #7

## Chapter 2

# Old covariant quantisation

- 2.1 Introduction ✓
- 2.2 Hilbert space (without constraints) ✓
- 2.3 Constraints, Normal ordering and the Virasoro algebra ✓
- 2.4 Constraints and physical states ✓
- 2.5 Mass-shell and level matching conditions ✓
- 2.6 Level 1 states & dealing with ghosts
- 2.7 Level 2 states & dealing with ghosts
- 2.8 Null states and  $D=26$
- 2.9 Physical states of the closed string

**Last lecture:**

QCD of the relativistic string

► promote  $\left\{ \begin{array}{l} \text{fields eg } x^M \longrightarrow \text{operators} \\ \{ \cdot, \cdot \}_{PB} \longrightarrow i [ \cdot, \cdot ] \end{array} \right.$

oscillator modes satisfy an algebra

$$[\hat{p}^\mu, \hat{x}^\nu] = -i \eta^{\mu\nu}$$

$$[\alpha_m^\mu, \alpha_n^\nu] = m \delta_{m+n} \eta^{\mu\nu}$$

$$(\alpha_n^\mu)^\dagger = \alpha_{-n}^\mu$$

similar for  $\tilde{\alpha}$  in the case of CS

► Hilbert space (before imposing the constraints)

$$\mathcal{H}_{open} = L^2(\mathbb{R}^{1,D-1}) \otimes \mathcal{H}_{open}^{Fock} = \text{Span} \left\{ \prod_{i=1}^K \alpha_{-n_i}^{\mu_i} |K, 0\rangle \right\}_{n_i \geq 1} = \bigoplus_{N=1}^{\infty} \mathcal{H}_N^{Fock} [N]$$

states fall into reps of  $SO(1, D-1)$   
manifestly covariant

N-evalued  $\sum_{i=1}^K n_i$

$$N = \sum_{k>0} \alpha_{-k} \cdot \alpha_k$$

$$[N, \alpha_n^\mu] = -n \alpha_n^\mu$$

"counting op"

$$\mathcal{H}_{closed} = L^2(\mathbb{R}^{1,D-1}) \otimes \mathcal{H}_L^{Fock} \otimes \mathcal{H}_R^{Fock} = \text{Span} \left\{ \prod_{i=1}^L \prod_{j=1}^R \alpha_{-n_i}^{\mu_i} \tilde{\alpha}_{-m_j}^{\nu_j} |K, 0\rangle \right\}_{n_i, m_j \geq 1}$$

→  $N, \tilde{N}$

## ► Constraints

$$\begin{array}{l}
 m \neq 0 \quad L_m = \frac{1}{\alpha'} \sum_{k \in \mathbb{Z}} \alpha_{m-k} \cdot \alpha_k \quad L_m^\dagger = L_{-m} \\
 m = 0 \quad L_0 = \frac{1}{\alpha'} \sum_{n \in \mathbb{Z}} \underbrace{\alpha_{-n} \cdot \alpha_n}_{\text{NOR}} = \frac{1}{\alpha'} \alpha_0^2 + N
 \end{array}
 \left. \vphantom{\begin{array}{l} m \neq 0 \\ m = 0 \end{array}} \right\} \text{Virasoro generators}$$

$$[L_m, L_n] = (m-n) L_{m+n} + \frac{D}{12} (m^2-1) m \delta_{m+n,0} \quad \text{Virasoro algebra}$$

Physical states  $\mathcal{H}_{\text{phys}} \subset \mathcal{H}_0$

$$|\psi\rangle \in \mathcal{H}_{\text{phys}} \quad \text{i.f.f.} \quad \begin{array}{l} L_m |\psi\rangle = 0 \quad \forall m \geq 1 \\ (L_0 - a) |\psi\rangle = 0 \quad \text{for a fixed } a \in \mathbb{R} \end{array}$$

$$\mathcal{H}_{\text{phys}} = \text{Ker}(L_2) \cap \text{Ker}(L_1) \cap \text{Ker}(L_0 - a) \quad \begin{array}{l} L_1 \text{ \& } L_2 \text{ generate} \\ \text{all } L_m \quad m \geq 3 \end{array}$$

$$\text{closed strings} \quad \mathcal{H}_{\text{phys}} = \mathcal{H}_{\text{phys}} \otimes \widetilde{\mathcal{H}}_{\text{phys}}$$

# Summary (so far)

► conditions

$$(L_0 - a)|\psi\rangle = 0, \quad (\tilde{L}_0 - a)|\psi\rangle = 0$$

Closed string



mass shell condition

$$\alpha' M^2 = 2(N + \tilde{N} - 2a)$$

level matching condition

$$N = \tilde{N}$$

Open string

mass shell condition

$$\alpha' M^2 = N - a$$

► Ground state

$$|K, 0\rangle$$

$$(N = 0)$$

$$a < 0$$

massive ground state

$$a = 0$$

massless ground state

$$\underline{a > 0}$$

tachyonic ground state (!)

↳ continue discussing the construction of  $\mathcal{H}_{phys} \subset \mathcal{H}$

## 2.6 level 1 states & dealing with ghosts

Recall: earlier we encountered a problem with negative norm states (ghosts) at level one of the open string (eg:  $\alpha_{-1}^0 |0; K\rangle$ ).

We want to see if this issue remains after applying the constraints.

Consider a general level one open string state

$$\xi \cdot \alpha_{-1} |0; K\rangle \equiv |\xi; K\rangle \quad \xi \in \mathbb{R}^{1, D-1} \quad \text{polarization vector} \\ \text{(D-degrees of freedom)}$$

& impose the physical state conditions for  $L_0$  &  $L_{+1}$ .

The  $L_n$  conditions for  $n \geq 2$  are satisfied automatically once the ones for  $L_0$  &  $L_{+1}$  are imposed

$$L_m \xi \cdot \alpha_{-1} |0; K\rangle = \xi_{\mu} [L_m, \alpha_{-1}^{\mu}] |0; K\rangle = \xi \cdot \alpha_{m+1} |0; K\rangle \\ = 0 \quad m \geq 2 \quad \checkmark$$

- mass-shell condition  $\underline{-\alpha' k^2 = \alpha' M^2 = 1 - \alpha}$

- $L_{+1}$  condition:

$$\leftarrow L_{+1} |0; k\rangle = 0$$

$$L_{+1} (\xi \cdot \alpha_{-1}) |0; k\rangle = \eta_{\mu\nu} \xi^\mu [L_{+1}, \alpha_{-1}^\nu] |0; k\rangle$$

$$= \eta_{\mu\nu} \xi^\mu \alpha_0^\nu |0; k\rangle = \sqrt{2\alpha'} \xi \cdot k |0; k\rangle$$

$$[L_m, \alpha_n^\mu] = -n \alpha_{m+n}^\mu$$

$$\curvearrowright \alpha_0^\mu = \sqrt{2\alpha'} k^\mu$$

$$L_{+1} |\xi; k\rangle = 0 \iff \underline{\xi \cdot k = 0}$$

transverse polarization  
hence  $\xi$  has  $D-1$   
independent components

- Norm of a general level 1 state

$$\langle \xi; k | \xi'; k' \rangle = \langle 0; k | (\xi \cdot \alpha_{+1}) (\xi' \cdot \alpha_{-1}) |0; k'\rangle$$

$$= \xi_\mu \xi'_\nu \langle 0; k | [\alpha_{+1}^\mu, \alpha_{-1}^\nu] |0; k'\rangle = \xi \cdot \xi' \delta(k - k')$$

For  $\xi = \xi'$  require  $\xi^2 \geq 0$  to avoid ghosts

$\curvearrowright$  space like or null

## level 1 summary

$\alpha |K|^2 = a - 1$  : so  $K$  is  $\left\{ \begin{array}{l} \text{space like if } a > 1 \\ \text{light like if } a = 1 \\ \text{timelike if } a < 1 \end{array} \right.$

$S \cdot K = 0$  : transverse polarization  $S$

$S^2 \geq 0$  : polarization is Null or space like  
to avoid ghosts

For  $a > 1$ ,  $K$  is spacelike (L<sub>0</sub> condition)

Then the constraint  $S \cdot K = 0$  (L<sub>1</sub> condition)

is satisfied by timelike polarisations  $S$ ,  $S^2 < 0$ .

That is, we would get negative norm states (ghosts).

So for  $a > 1$ , the Virasoro constraints are not enough to eliminate them.

Then we reject  $a > 1$  and require  $a \leq 1$

Critical theory: Consider the case  $a=1$  (threshold case)

$$a=1 \Rightarrow K^2=0$$

$\Rightarrow |s; k\rangle = s \cdot \alpha_{-1} |0; k\rangle$  is a massless state

Additionally, there is a zero norm state

$$\begin{matrix} s=k \\ K^2=0 \end{matrix} \quad |K; k\rangle = (K \cdot \alpha_{-1}) |0; k\rangle \quad \text{w/ longitudinal polarisation}$$

This state is orthogonal to all physical states  $|s', k'\rangle$

$$\langle K; k | s', k' \rangle = (K \cdot s') \delta(k - k') = 0 \quad \text{(} K \cdot s' = 0 \text{ for physical states)}$$

Hence: the longitudinal polarisation decouples leaving  $D-2$  physical polarisations like a photon!

However: for  $a=1$  ground state is a tachyon

Remark: the decoupling of the longitudinal degree of freedom is due to the fact that it corresponds to a state that is "pure gauge":

$$L_{-1}|0;K\rangle = \sqrt{2\alpha'} K \cdot \alpha_{-1}|0;K\rangle = \sqrt{2\alpha'} |K;K\rangle$$

$$\begin{aligned} L_{-1}|0;K\rangle &= \left( \frac{1}{2} \sum_{h=-\infty}^{\infty} \alpha_{-h-1} \cdot \alpha_h \right) |0;K\rangle = \frac{1}{2} \left( \alpha_{-1} \cdot \alpha_0 + \alpha_0 \cdot \alpha_{-1} + \sum_{h=2}^{\infty} \underbrace{\alpha_{h-1}}_{\rightarrow 0} \cdot \alpha_{-h} \right) |0;K\rangle \\ &= \alpha_{-1} \cdot \alpha_0 |0;K\rangle = \sqrt{2\alpha'} K \cdot \alpha_{-1} |0;K\rangle \end{aligned}$$

ie  $|K;K\rangle$  is created by the action of  $L_{-1}$  which is a generator of a conformal transformation. In this sense we say that  $|K;K\rangle$  is pure gauge state

↳ Virasoro constraints for  $a=1$  precisely restrict the level 1 states to consistently describe space-time photos

$$\underline{a < 1}$$

$K$  is timelike

$g \cdot K = 0$  is  $g$  spacelike

( $D-1$  degrees of freedom)

$g^2 > 0$  the norm is  $g^2$

massive vector boson in  $D$ -dim.

Summary: Physical states:  $(L_0 - a)|\psi\rangle = 0$

$$L_m|\psi\rangle = 0, \quad m \geq 1$$

(only need to check  $m=1, 2$ )  $\rightarrow$

open strings

► Ground state

$$|K, 0\rangle$$

$a < 0$	massive ground state
$a = 0$	massless ground state
<u><math>a &gt; 0</math></u>	tachyonic ground state (!)

►  $N=1$

$$\xi \cdot \alpha_{-1} |0; K\rangle = |\xi; K\rangle \quad \xi \in \mathbb{R}^{1, D-1} \quad \text{polarization vector (D-degrees of freedom)}$$

$$\alpha' K^2 = a - 1 : \text{so } K \text{ is } \begin{cases} \text{space like if } a > 1 \\ \text{light like if } a = 1 \\ \text{timelike if } a < 1 \end{cases}$$

ghosts!  
so  $a \leq 1$

$$\xi \cdot K = 0 : \quad \text{transverse polarization}$$

$$\xi^2 \geq 0 : \quad \text{polarization is Null or space like to avoid ghosts}$$

In particular

Critical theory: Consider the case  $a=1$  (threshold case)

$N=0$ :  $|K, 0\rangle$  tachyon [ $\alpha' M^2 = -1$  (OS)]

$N=1$ :  $|\mathcal{P}; K\rangle = \mathcal{P} \cdot \alpha_{-1} |0; K\rangle$  is a massless state ( $K^2=0$ )

photon:  $D-2$  degrees of freedom as the longitudinal state  $|K, K\rangle$  **decouples**

[orthogonal to all physical states  $|\mathcal{P}'; K'\rangle$   
 $\langle K, K | \mathcal{P}'; K'\rangle = 0$

& it is pure gauge  $L_{-1}|0; K\rangle = \sqrt{2\alpha'} |K, K\rangle$  ]

↳ Virasoro constraints for  $a=1$  precisely restrict the level 1 states to consistently describe space-time photons

What is the role of  $L-m$   $m \geq 1$ ?

The remaining  $L-m$  ( $m \geq 1$ ) lead to redundancies in the spectrum corresponding to the associated gauge symmetries.

↳ next: discuss these redundancies

## 2.7 level 2 states & dealing with ghosts

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level 2 state for  $a = 1$  (threshold value so for  $a > 1$  there are ghosts in theory)

Consider the level 2 state  $|\phi, k\rangle$  with momentum  $k$

$$|\phi, k\rangle = [c_1 \alpha_{-1} \cdot \alpha_{-1} + c_2 \alpha_{-2} \cdot k + c_3 (\alpha_{-1} \cdot k)(\alpha_{-1} \cdot k)] |0; k\rangle$$

on shell mass condition  $-\alpha' k^2 = 1 \leftarrow \alpha' M^2 = N - a$

$$L_1 |\phi, k\rangle = 0 \quad \text{iff} \quad c_1 + c_2 - 2c_3 = 0$$

$$L_2 |\phi, k\rangle = 0 \quad \text{iff} \quad D c_1 - 4c_2 - 2c_3 = 0$$

$$\text{so } |\phi, k\rangle = c_1 \left[ \alpha_{-1} \cdot \alpha_{-1} + \frac{1}{2}(D-1) \alpha_{-2} \cdot k + \frac{1}{10}(D+4) (\alpha_{-1} \cdot k)(\alpha_{-1} \cdot k) \right] |0, k\rangle$$

is a physical state for **any**  $D$

$$\text{Norm} \quad \langle \phi; k | \phi; k' \rangle = -\frac{\alpha}{2\alpha'} |c_1|^2 (D-1)(D-2\alpha) \delta(k-k')$$

**no** ghosts  $\Rightarrow$   $1 \leq D \leq 26$  ( $\exists$  ghosts for  $D > 26$ )

"null" (physical-zero norm) states when  $D=26$  (or  $D=1$ )

so when  $\alpha=1$  &  $D=26$  there are **more** "null" states  
(multiplication of null states @  $D=26$  sign of large gauge (conf.) symmetry)

1972 Blower; Goddard, Thorn No ghost theorem

$\hookrightarrow$  For  $\alpha=1$  and  $D=26$  the physics has no ghosts

Note: there are **no** ghosts for  $\alpha \leq 1$  &  $1 \leq D \leq 25$

(this is a corollary of the no ghost theorem for the critical string)

BUT these theories are inconsistent at the level of string loops (need to look at one loop interactions)

## 2.8 Null states and $D=26$

### Definition:

A state  $|\psi\rangle$  is called spurious if

- it is orthogonal to **all** physical states and
- obeys  $(L_0 - a)|\psi\rangle = 0$

A null state is a spurious state which is also physical.

A null state has zero norm as it is orthogonal to itself.

An example of a null state is  $|K; k\rangle$  at level  $\perp$   $k = a = 1$ , and this state is also pure gauge.

Null states are physical states that decouple from the dynamics.

These states are "quotiented out", that is two physical states are equivalent if they differ by a null state

$$|\psi\rangle_{\text{phys}} \sim |\psi\rangle_{\text{phys}} + |\psi\rangle_{\text{null}}$$

so we define

$$\mathcal{H}_{\text{red}} = \mathcal{H}_{\text{phys}} / \mathcal{H}_{\text{null}}$$

↳ physically distinct states

We expect: physical states of the form  $L_{-m}|\psi\rangle \quad \forall m > 0$  are null!

↳ spurious states generated by the residual symmetries (conformal transformations)

Consider the state

$$|\psi\rangle = \sum_{m>0} L_{-m} |\psi_m\rangle \quad \text{st} \quad L_0 |\psi_m\rangle = (a-m) |\psi_m\rangle$$

$$\text{Then} \quad \langle \varphi | \psi \rangle = 0 \quad \forall |\varphi\rangle \in \text{physical}$$

$$(L_0 - a) |\psi\rangle = 0$$

$$\sum_{m>0} (L_0 - a) L_{-m} |\psi_m\rangle = \sum_{m>0} (\underbrace{[L_0, L_{-m}]}_{m L_{-m}} + L_{-m} L_0 - a L_{-m}) |\psi_m\rangle = \sum_{m>0} L_{-m} (m - a + L_0) |\psi_m\rangle$$

So  $|\psi\rangle$  is spurious.

One can in fact prove that **any** spurious state is of this form,  
i.e. all spurious states are "pure gauge". (GSW p 83)

[pure gauge states ~ states generated by residual symmetries  
(conformal symmetries!)]

this is expected  
in gauge theories

One can show moreover that **all** spurious states are of the form

$$|\psi\rangle = L_{-1}|\chi_1\rangle + L_{-2}|\chi_2\rangle$$

with  $L_0|\chi_1\rangle = (a-1)|\chi_1\rangle$ ,  $L_0|\tilde{\chi}_2\rangle = (a-2)|\chi_2\rangle$

[ for  $m \geq 3$ : can replace  $L_{-m}$  by commutators

$$[L_{-p}, L_{-q}] = (-p+q)L_{-p-q}, \quad 1 < p, q < m \quad (\text{no central term as } -p-q \neq 0)$$

eg  $[L_{-1}, L_{-2}] = L_{-3}$

$$\begin{aligned} L_{-3}|\chi_3\rangle &= [L_{-1}, L_{-2}]|\chi_3\rangle = L_{-1}(L_{-2}|\chi_3\rangle) - L_{-2}(L_{-1}|\chi_3\rangle) \\ &= L_{-1}|\chi'_1\rangle + L_{-2}|\chi'_2\rangle \end{aligned}$$

etc. - ]

see GSW p 83

**Null states**: (ie spurious states which are physical)

► Consider first:  $|\psi\rangle = L_{-1}|\chi\rangle$ ,  $L_0|\psi\rangle = (a-1)|\chi\rangle$   
with  $L_m|\chi\rangle = 0 \quad \forall m > 0$ .

•  $(L_0 - a)|\psi\rangle = 0 \quad \checkmark$  by construction

•  $L_1|\psi\rangle = [L_1, L_{-1}]|\chi\rangle = 2L_0|\chi\rangle = 2(a-1)|\chi\rangle$   
 $= 0 \quad \text{iff} \quad \underline{a=1}$

•  $L_2|\psi\rangle = [L_2, L_{-1}]|\chi\rangle = 3L_1|\chi\rangle = 0$

So, for  $\underline{a=1}$  we get an infinite set of null states

$$|\psi\rangle = L_{-1}|\chi\rangle, \quad L_m|\chi\rangle = 0 \quad \forall m > 0, \quad L_0|\chi\rangle = 0$$

(generalizing the case  $\sqrt{2\alpha'}|k; k\rangle = L_{-1}|0; k\rangle$ ).

► Now consider another example

$$|\psi\rangle = (L_{-2} + \gamma L_{-1}^2) |\chi\rangle, \quad L_m |\chi\rangle = 0 \quad \forall m > 0, \quad L_0 |\chi\rangle = (a-2) |\chi\rangle$$

$$\begin{aligned} L_1 |\psi\rangle &= [L_1, L_{-2} + \gamma L_{-1}^2] |\chi\rangle = (3L_{-1} + \gamma \cdot 2(L_0 L_{-1} + L_{-1} L_0)) |\chi\rangle \\ &= (3L_{-1} + 2\gamma \underbrace{[L_0, L_{-1}]}_{L_{-1}} + 4\gamma L_{-1} (a-2)) |\chi\rangle \quad \underbrace{[L_1, L_{-1}^2]}_{\frac{2L_0}{2L_0}} = \underbrace{[L_1, L_{-1}]}_{2L_0} L_{-1} + L_{-1} \underbrace{[L_1, L_{-1}]}_{2L_0} \\ &= (3 + 2\gamma + 4\gamma(a-2)) L_{-1} |\chi\rangle \end{aligned}$$

$$L_1 |\psi\rangle = 0 \quad \Leftrightarrow \quad \gamma = \frac{3}{2(3-2a)} \quad \left( \text{for } a=1 \text{ we have } \gamma = \frac{3}{2} \right)$$

$$\begin{aligned} L_{+2} |\psi\rangle &= L_{+2} (L_{-2} + \gamma L_{-1}^2) |\chi\rangle = [L_{+2}, L_{-2} + \gamma L_{-1}^2] |\chi\rangle \\ &= (4L_0 + \frac{D}{12} \cdot 6 + \gamma (\underbrace{[L_{+2}, L_{-1}]}_{3L_1} L_{-1} + L_{-1} \cancel{[L_{+2}, L_{-1}]}) ) |\chi\rangle \\ &= (4L_0 + \frac{1}{2} D + 3\gamma [L_1, L_{-1}]) |\chi\rangle = (4L_0 + \frac{1}{2} D + 3\gamma \cdot 2L_0) |\chi\rangle \\ &= \frac{1}{2} (4(2+3\gamma)(a-2) + D) |\chi\rangle \end{aligned}$$

$$L_{+2} |\psi\rangle = 0 \quad \Leftrightarrow \quad D = 4(2+3\gamma)(2-a)$$

so the spurious state  $|\psi\rangle$  is null iff

$$\delta = \frac{3}{2(3-2a)}$$

$$D = 4(2+3\delta)(2-a)$$

critical bosonic string:

$a=1$  :  $|\psi\rangle$  null iff  $\delta = \frac{3}{2}$  &  $D=26$

critical dimension

• all spurious states are null at higher levels

as long as  $D=26$

ie states associated to residual gauge (conformal)

symmetric generated by  $L_{-m}$  ( $m > 0$ ) despite

## Summary

Open strings: by studying the low level spectrum we found

- $a > 1$   $D > 26$  : there are ghosts in physics

- $a = 1$   $D = 26$  critical strings  
we found infinite families of null states

- $a \leq 1$   $D \leq 25$  (subcritical case)

no inconsistencies at tree-level (no ghosts)

but inconsistent at the level of string loops (need to look at one loop interactions)

OCQ:  $a=1$   $D=26$  needs 1-loop interactions  
to prove (no proof at tree level)

(no ghosts, many null states)

LCQ:  $a=1$   $D=26$  follows by requiring  
Lorentz spacetime invariance  
• manifestly ghost free

BZS quantization:  $a=1$ ,  $D=26$  required for  
quantum gauge (conformal) invariance.

Too bad we have no time to go over the modern (BET) quant.!

From now on  $a=1$   $D=26$

Next • closed string spectrum

## 2.9 Physical states of the closed string

(at least low level  $N=0,1$ )

Recall

states are of the form  $\prod_{i=1}^k \alpha_{-n_i}^{m_i} \prod_{j=1}^k \tilde{\alpha}_{-m_j}^{n_j} |0, \tilde{0}; k\rangle$ ,  $n_i, m_j \geq 1$   
 $\underbrace{|0, \tilde{0}; k\rangle}_{\text{two oscillator vacua}}$

Physical state conditions

$$\begin{cases} (L_0 - \tilde{L}_0) |\phi\rangle = 0 & \Leftrightarrow N = \tilde{N} \\ (L_0 + \tilde{L}_0 - 2a) |\phi\rangle = 0 & \Leftrightarrow -\alpha' k^2 = -4a + 2(N + \tilde{N}) \stackrel{a=1}{\Leftrightarrow} -\alpha'^2 k^2 = 4(N-1) \\ L_m |\phi\rangle = 0 \ \& \ \tilde{L}_m |\phi\rangle \ \forall m \geq 1 \quad (\text{sufficient to prove this for } \underline{m=1,2}) \end{cases}$$

ground state ( $N = \tilde{N} = 0$ ):  $|0, \tilde{0}; k\rangle$  with  $-\alpha'^2 k^2 = -4$  (tachyon)

level 1 states:  $N = \tilde{N} = 1$

General state  $|\Omega, K\rangle = \underbrace{\Omega_{\mu\nu}}_{\text{spacetime two-tensor}} \alpha_{-1}^{\mu} \tilde{\alpha}_{-1}^{\nu} |0, \tilde{0}; K\rangle$

mass shell condition:  $-\alpha' K^2 = 4(1-a) = 0 \Rightarrow$  massless state for the critical string

Impose Virasoro constraints: only need to impose  $L_{+1}|\Omega, K\rangle = 0$   
 $\tilde{L}_{+1}|\Omega, K\rangle = 0$

$$L_{+1}|\Omega, K\rangle = \Omega_{\mu\nu} L_1 \alpha_{-1}^{\mu} \tilde{\alpha}_{-1}^{\nu} |0; K\rangle = \Omega_{\mu\nu} (\alpha_0^{\mu} \tilde{\alpha}_{-1}^{\nu}) |0; K\rangle$$

$[L_m, \alpha_n^{\mu}] = -n \alpha_{m-n}^{\mu}$

$$\stackrel{\alpha_0^{\mu} = \sqrt{\frac{\alpha'}{2}} \hat{p}^{\mu}}{=} \sqrt{\frac{\alpha'}{2}} K^{\mu} \Omega_{\mu\nu} \tilde{\alpha}_{-1}^{\nu} |0; K\rangle = 0 \iff \underline{K^{\mu} \Omega_{\mu\nu} = 0}$$

similarly

$$\tilde{L}_{+1}|\Omega, K\rangle = \sqrt{\frac{\alpha'}{2}} K^{\nu} \Omega_{\mu\nu} \alpha_{-1}^{\mu} |0; K\rangle = 0 \iff \underline{K^{\nu} \Omega_{\mu\nu} = 0}$$

So far  $|\Omega, K\rangle \equiv \Omega_{\mu\nu} \alpha_{-1}^{\mu} \tilde{\alpha}_{-1}^{\nu} |0; K\rangle$  massless state  
 $\hookrightarrow$  with  $K^{\mu} \Omega_{\mu\nu} = 0$ ,  $K^{\nu} \Omega_{\mu\nu} = 0$

Null states: which degrees of freedom of  $|\Omega, K\rangle$  are null?

$L_{-1}|\chi\rangle, L_0|\chi\rangle = 0$  :  $|\chi\rangle = \xi \cdot \tilde{\alpha}_{-1} |0, K\rangle$  ( $N_{\chi} = 0, \tilde{N}_{\chi} = 1$ ) recall  $L_0 = N + \frac{1}{2}\alpha_0^2$   
 $\tilde{L}_{-1}|\tilde{\chi}\rangle, \tilde{L}_0|\tilde{\chi}\rangle = 0$  :  $|\tilde{\chi}\rangle = \xi' \cdot \alpha_{-1} |0, K\rangle$  ( $N_{\tilde{\chi}} = 1, \tilde{N}_{\tilde{\chi}} = 0$ )

$L_{-1}|\chi\rangle, \tilde{L}_{-1}|\tilde{\chi}\rangle$  are spurious by construction

$L_{-1}|\chi\rangle = \xi_{\mu} L_{-1} \tilde{\alpha}_{-1}^{\mu} |0, K\rangle = \xi \cdot \tilde{\alpha}_{-1} \frac{1}{2} (2 \alpha_{-1} \cdot \alpha_0) |0, K\rangle = \sqrt{\frac{\alpha'}{2}} (K \cdot \alpha_{-1}) (\xi \cdot \tilde{\alpha}_{-1}) |0, K\rangle$   
 $L_{-1} = \frac{1}{\alpha'} \sum_{k=-\infty}^{\infty} \alpha_{-1+k} \cdot \alpha_k$ , so spurious states when  $\Omega_{\mu\nu} = \sqrt{\frac{\alpha'}{2}} K_{\mu} \xi_{\nu}$

similarly  $\tilde{L}_{-1}|\tilde{\chi}\rangle = \sqrt{\frac{\alpha'}{2}} (\xi' \cdot \alpha_{-1}) (K \cdot \tilde{\alpha}_{-1}) |0, K\rangle$  so  $\Omega_{\mu\nu} = \sqrt{\frac{\alpha'}{2}} \xi'_{\mu} K_{\nu}$

These are also physical (hence null) when  $K^{\mu} \Omega_{\mu\nu} = 0$  &  $K^{\nu} \Omega_{\mu\nu} = 0$   
 ie  $K \cdot \xi = 0$  &  $K \cdot \xi' = 0$

Then  $|\Omega, K\rangle = \Omega_{\mu\nu} \alpha_{-1}^{\mu} \tilde{\alpha}_{-1}^{\nu} |0; K\rangle$  massless state

↳ with  $K^{\mu} \Omega_{\mu\nu} = 0$ ,  $K^{\nu} \Omega_{\mu\nu} = 0$

and two states  $|\Omega, K\rangle$  &  $|\hat{\Omega}, K\rangle$  are equivalent if

$$|\hat{\Omega}; K\rangle = |\Omega; K\rangle + |\Omega_{\text{null}}; K\rangle; \quad \underbrace{(\Omega_{\text{null}})_{\mu\nu} = K_{\mu} g_{\nu} + K_{\nu} g'_{\mu}}_{K \cdot g = 0, K \cdot g' = 0}$$

To understand this better decompose the state into space-time Lorentz irreps

$$\Omega_{\mu\nu} = \underbrace{\gamma_{\mu\nu}}_{\substack{\text{traceless} \\ \text{symmetric}}} + \underbrace{\varphi \eta_{\mu\nu}}_{\text{trace}} + \underbrace{b_{\mu\nu}}_{\text{antisymmetric}}$$

$$D^2 = \frac{1}{2} D(D+1) - 1 + 1 + \frac{1}{2} D(D-1)$$

# Physical states associated to $\gamma_{\mu\nu}$

$$K^\mu \gamma_{\mu\nu} = 0 \quad (\& \quad K^\nu \gamma_{\mu\nu} = 0)$$

$\gamma_{\mu\nu}$  transverse, symmetric, traceless

$$\left(\frac{1}{2}D(D+1) - 1\right) - D = \frac{1}{2}D(D-1) - 1$$

with gauge invariance:

$$\left\{ \begin{array}{l} \gamma_{\mu\nu} \rightarrow \gamma_{\mu\nu} + S_\mu K_\nu + S_\nu K_\mu \\ \text{with } S \cdot K = 0 \quad (\Rightarrow \hat{\gamma}_{\mu\nu} \text{ is traceless}) \end{array} \right.$$

$S' = S$  for symmetry

degrees of freedom:

$$\frac{1}{2}D(D+1) - 1 - D - (D-1) = \frac{1}{2}(D-2)(D-1) - 1$$

sym  
2-tensor

↑  
trace

↑  
 $K^\mu \gamma_{\mu\nu} = 0$

↑  
S-st  
 $S \cdot K = 0$

dim of irrep of  $SO(D-2)$   
for massless transverse-  
polarized spin 2 particle

Spacetime interpretation: right degrees of freedom expected of a graviton in the traceless harmonic gauge **GR**

$$g_{\mu\nu}(x) = \eta_{\mu\nu} + \gamma_{\mu\nu}(x)$$

$$\gamma_{\mu\nu}(x) \sim \gamma_{\mu\nu} + \partial_\mu S_\nu(x) + \partial_\nu S_\mu(x)$$

→ infinitesimal diffeomorphisms parametrized by  $S$   
which in momentum space become

$$\gamma_{\mu\nu}(k) \rightarrow \gamma_{\mu\nu}(k) + S_\mu K_\nu + S_\nu K_\mu \quad \text{with } S \cdot k = 0$$

# Physical states associated to $b_{\mu\nu}$

# Ramond-Kalb field

$$\underline{K^\mu b_{\mu\nu} = 0 \quad \& \quad K^\nu b_{\mu\nu} = 0}$$

$b_{\mu\nu}$  transverse, antisymmetric

with gauge invariance:  $b_{\mu\nu} \rightarrow b_{\mu\nu} + \xi_\mu K_\nu - \xi_\nu K_\mu$ ,  $\xi \cdot K = 0$

Note that  $\xi$  has redundancy

$$\xi_\mu \sim \xi_\mu + K_\mu \lambda$$

degrees of freedom

$$\frac{1}{2} D(D-1) - (D-1) - (D-2) = \frac{1}{2} (D-2)(D-3)$$

antisymmetric 2-tensor       $K^\mu b_{\mu\nu} = 0$        $\xi$  s.t.  $\xi \cdot K = 0$ ,  $\xi \sim \xi + K\lambda$       dim of  $\text{SO}(D-2)$  irrep corresponding to a massless 2-form

In spacetime this is interpreted as a 2-form gauge field

$$b = \frac{1}{2} b_{\mu\nu}(x) dx^\mu \wedge dx^\nu \sim b + dS, \quad S \sim S + d\lambda \quad \text{one form}$$

↳ next lecture  $\rightsquigarrow$

- scalar state
- § 3 Interactions

