## Analytic Topology: Problem sheet 0

**1.** (i) Prove that every compact subset of a Hausdorff space is closed.

Let X be a Hausdorff space, and let K be a compact subset of X.

We show that K is closed by showing that its complement is open.

Suppose that x is not an element of K.

For each  $y \in K$ , we use Hausdorffness of X to find disjoint open subsets  $U_y$  and  $V_y$  of X such that  $x \in U_y$  and  $y \in V_y$ .

Now the family  $\{V_y : y \in K\}$  is an open cover of K.

K is compact, so there exists a finite subcover  $\{V_{y_i} : i < n\}$  (where n is some natural number).

Let  $U = \bigcap_{i < n} U_{y_i}$ .

Then for all  $i < n, U \subseteq U_{y_i}$ . So  $U \cap V_{y_i} = \emptyset$ . Also notice that U is a finite intersection of open sets, so it is open.

Let  $V = \bigcup_{i < n} V_{y_i}$ .

Then because  $U \cap V_{y_i} = \emptyset$  for all  $i, U \cap V = \emptyset$ , and because  $\{V_{y_i} : i < n\}$  is a cover of  $K, K \subseteq V$ . Hence  $U \cap K = \emptyset$ .

Hence for any  $x \notin K$ , we have an open set U such that  $x \in U$  and  $U \cap K = \emptyset$ .

So the complement of K is open, and hence K itself is closed, as required.

(ii) Give an example of a space X with a compact subset K which is not closed.

There are many examples. The simplest is probably the two-point indiscrete space  $X = \{0, 1\}$  (recall that a space X is indiscrete if and only if the only open sets are  $\emptyset$  and the the whole space X), with  $K = \{0\}$ : K is neither the empty set nor the whole of X, so it is not closed, but it is finite, so it is compact.

**2.** (i) Prove that every closed subset of a compact space is compact.

Let X be a compact space, and let C be a closed subset.

Let  $\mathscr{U}$  be an open cover of C.

Then because C is closed,  $X \setminus C$  is open.

Thus  $\mathscr{U} \cup \{X \setminus C\}$  is an open cover of X.

Now X is compact, so there exists  $\mathscr{V}$  which is a finite subset of  $\mathscr{U} \cup \{X \setminus C\}$  and is a cover for X.

The extra set  $X \setminus C$  may or may not be a member of  $\mathscr{V}$ . If it is not, then  $\mathscr{V}$  is already the finite subcover of  $\mathscr{U}$  that we are seeking. If it is, we eliminate it, to obtain  $\mathscr{V} \setminus \{X \setminus C\}$ , which is a finite subset of  $\mathscr{U}$ , and is a cover of C.

Thus C is compact.

(ii) Give an example of a space X with a closed subspace A which is not compact.

There are many examples of this. One of the most familiar is  $X = \mathbb{R}$  with the usual topology, and  $A = [0, \infty)$ .

**3.** Prove that the image of any compact space under a continuous function is compact.

Suppose that X is a compact space, and that  $f: X \to Y$  is a continuous surjection. Let  $\mathscr{U}$  be an open cover of Y.

Then for each element U of  $\mathscr{U}$ ,  $f^{-1}(U)$  is an open set, because f is continuous. Also the set  $\{f^{-1}(U) : U \in \mathscr{U}\}$  is a cover of X.

Because X is compact, there is a finite subset  $\{f^{-1}(U_i) : i < n\}$  which is a cover of X.

Then  $\{U_i : i < n\}$  is a finite subset of  $\mathscr{U}$  which is a cover for Y. Hence Y is compact.

**4.** (i) Prove that if X is a compact space, Y is a Hausdorff space, and  $f : X \to Y$  is bijective and continuous, then it is a homeomorphism.

It is sufficient to prove that  $f^{-1}: Y \to X$  is continuous.

Recall that a function is continuous if and only if the inverse image of every closed set is closed.

So let C be a closed subset of X. We consider its inverse image under the function  $f^{-1}$ , namely  $(f^{-1})^{-1}[C]$ .

This is equal to the forward image f[C] of C under f.

Now C is a closed subset of X, and X is compact.

Hence C is compact.

The image of any compact space under a continuous function is compact.

Hence f[C] is compact.

Now Y is Hausdorff, so f[C] is closed.

Thus the inverse image of any closed set under the map  $f^{-1}$  is closed, so  $f^{-1}$  is continuous.

Thus f is a homeomorphism.

(ii) Give examples to show that the hypotheses that X is compact and that Y is Hausdorff cannot be omitted.

We note that any function whose domain is discrete is continuous, and any function whose range is indiscrete is continuous.

Let X and Y be spaces of the same infinite size, such that X is discrete and Y is not, and let  $f: X \to Y$  be a bijection. Then f is automatically continuous, but is not a homeomorphism.

Note that because X is infinite and discrete, it is not compact.

Now let X and Y be spaces of the same size, which must be at least two, such that Y is indiscrete and X is not, and let  $f: X \to Y$  be a bijection. Then again f is automatically continuous, but is not a homeomorphism.

Note that because Y is indiscrete and has at least two distinct points, it is not Hausdorff.

**5.** Let (X, d) be a metric space.

(i) Show that a subset A of X is closed if and only if every accumulation point a of a sequence  $(a_n)_{n\in\mathbb{N}}$  of elements of A, is itself an element of A.

First, suppose that A is closed. Let  $(a_n)_{n \in \mathbb{N}}$  be a sequence of elements of A, and let a be an accumulation point of this sequence.

Recall that a belongs to the closure of A if and only if every open set containing a meets A.

But every open set containing a also contains  $a_n$  for infinitely many values of n, and thus contains at least one point of A, as required.

So a belongs to  $\overline{A}$ .

But A is closed, so A = A, so a belongs to A.

Now suppose that whenever a is an accumulation point of a sequence  $(a_n)_{n \in \mathbb{N}}$  on A, then a is an element of A.

We argue that A is closed, by showing that  $\overline{A} \subseteq A$ .

For suppose that a is an element of A.

Then every open set containing a meets A.

Let  $a_n$  be a point of A contained in the ball of radius 1/n around a.

Then the sequence  $(a_n)_{n \in \mathbb{N}}$  converges to a.

A fortiori, a is an accumulation point of the sequence.

By our hypothesis, a now belongs to A.

So we have shown that  $A \subseteq A$ , and so A is closed.

(ii) Show that if a is an accumulation point of a sequence  $(a_n)_{n\in\mathbb{N}}$ , then there is a subsequence of  $(a_n)_{n\in\mathbb{N}}$  which converges to a.

Since a is an accumulation point of the sequence  $(a_n)_{n \in \mathbb{N}}$ , every open set containing a contains  $a_n$  for infinitely many values of n.

For each natural number  $k \ge 1$ , let  $n_k$  be such that  $a_{n_k}$  is contained in the ball of radius 1/k about a, and such that for all k,  $n_k < n_{k+1}$ .

Then the sequence  $(a_{n_k})_{k \in \mathbb{N}}$  converges to a, as required.

(iii) Deduce that in a metric space, the topology can be completely described in terms of convergent sequences.

This part just consists of restating what we've already proved.

A set A in a metric space is closed if and only if every accumulation point of any sequence on A is contained in A, if and only if the limit of every convergent sequence of elements of A is contained in A.

That is, we can tell whether A is closed purely by examining the convergent sequences of X.

Thus we can tell whether a set is *open* purely by looking at the convergent sequences of X; that is, the topology can be completely described in terms of convergent sequences.

**6.** Let X be a Hausdorff space, let x be an element of X, and let C be a compact subset of X such that  $x \notin C$ . Prove that there exist disjoint open sets U and V such that  $x \in U$  and  $C \subseteq V$ .

Following the hint, we use Hausdorffness of X to show that for each  $y \in C$  there exist disjoint open sets  $U_y \ni x$  and  $V_y \ni y$ .

Now the family  $\{V_y : y \in C\}$  is an open cover of C.

Let  $\{V_{y_i} : i < n\}$  be a finite subcover.

Let  $V = \bigcup_{i < n} V_{y_i}$  and let  $U = \bigcap_{i < n} U_{y_i}$ . U is a finite intersection of open sets, so it's open. (This is the point at which compactness is crucial.) Also,  $U \cap V = \emptyset$ ; and  $x \in U$ and  $C \subseteq V$ .

## 7. Prove that a product of two compact spaces is compact.

Let X and Y be compact spaces, and suppose that  $\mathscr{U}$  is an open cover of  $X \times Y$ .

For each  $x \in X$  and  $y \in Y$ , let  $U_{x,y}$  be an open subset of X and  $V_{x,y}$  be an open subset of Y such that for some element  $W_{x,y}$  of the open cover  $\mathscr{U}$ ,

$$(x,y) \in U_{x,y} \times V_{x,y} \subseteq W_{x,y}$$

Fix x for a moment. Then for each  $y \in Y$ ,  $y \in V_{x,y}$ , so  $\{V_{x,y} : y \in Y\}$  is an open cover of the compact space Y. So let  $F_x$  be a finite subset of Y such that  $\{V_{x,y} : y \in F_x\}$  covers Y.

Then the finite family

$$\{U_{x,y} \times V_{x,y} : y \in F_x\}$$

covers  $\{x\} \times Y$ .

Let  $U_x = \bigcap_{y \in F_x} U_{x,y}$ . Then  $U_x$  is a finite intersection of open sets, so it is open. Also  $x \in U_x$ , so

$$\{x\} \times Y \subseteq U_x \times Y = U_x \times \bigcup_{y \in F_x} V_{x,y} = \bigcup_{y \in F_x} U_x \times V_{x,y} \subseteq \bigcup_{y \in F_x} U_{x,y} \times V_{x,y}$$

Now for each  $x, x \in U_x$ , so the open sets  $U_x$  cover the compact space X, so let G be a finite subset of X such that  $\{U_x : x \in G\}$  covers X.

Then  $X = \bigcup_{x \in G} U_x$ .

Hence

$$X \times Y = \left(\bigcup_{x \in G} U_x\right) \times Y = \bigcup_{x \in G} (U_x \times Y) \subseteq \bigcup_{x \in G} \bigcup_{y \in F_x} U_{x,y} \times V_{x,y}$$

It follows that the family  $\{U_{x,y} \times V_{x,y} : x \in G, y \in F_x\}$  covers  $X \times Y$ . Hence so does  $\mathscr{U}' = \{W_{x,y} : x \in G, y \in F_x\}.$ Since G is finite, and all sets  $F_x$  are finite, so is  $\mathscr{U}'$ ; so  $\mathscr{U}'$  is a finite subcover.

The proof that  $X \times Y$  is compact is now complete.

This argument can obviously be iterated to show that a product of three, four, five,... compact spaces is compact. However it provides no clue as to how to extend this to infinite products of compact spaces.